

New Horizons in DIS Spin Physics

E.C. Aschenauer

DESY-ZEUTHEN



Jefferson Lab



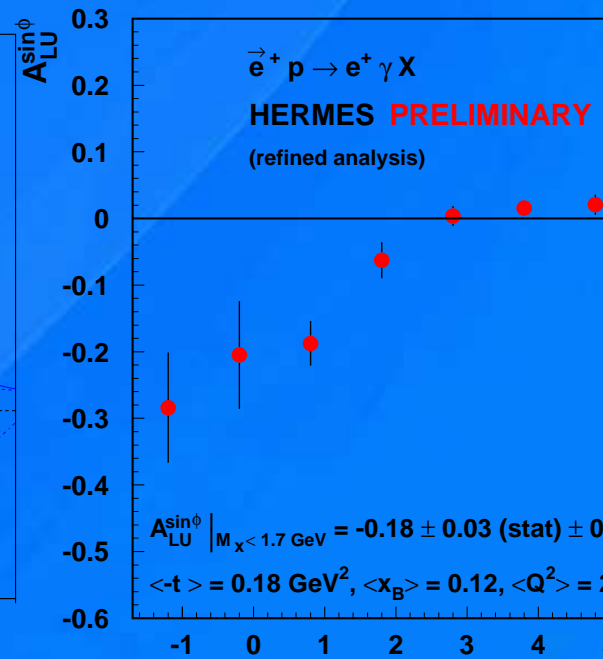
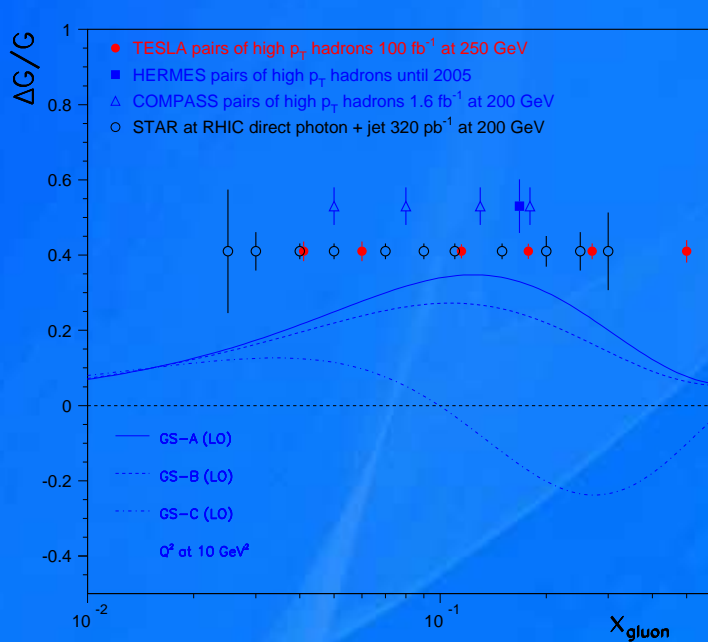
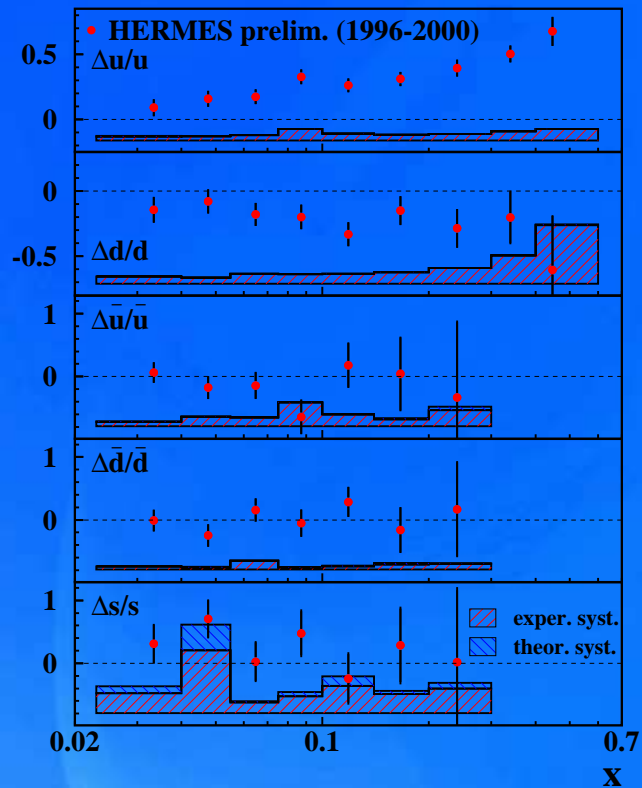
The Spin Structure of the Nucleon

$$\frac{1}{2} = \frac{1}{2} (\Delta u + \Delta d + \Delta s) + \Delta G + (L_q + L_g)$$

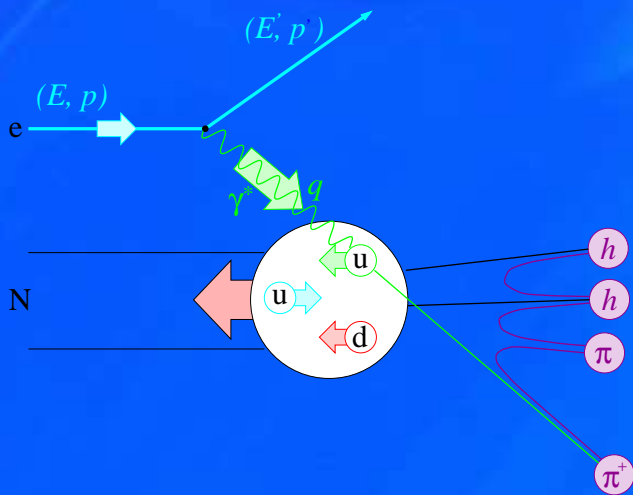
inclusive: $g_p^1, g_n^1 \rightarrow \Delta\Sigma$
 semi-incl.: flavor separation

isolate PGF
 high- p_t hadrons

exclusive: π, \dots
 Production



Polarized Deep Inelastic Scattering



Important Variables:

$$Q^2 \stackrel{\text{lab}}{=} 4EE' \sin^2\left(\frac{\theta}{2}\right) \quad \nu \stackrel{\text{lab}}{=} E - E'$$

$$x \stackrel{\text{lab}}{=} \frac{Q^2}{2m\nu} \quad y \stackrel{\text{lab}}{=} \frac{\nu}{E} = \frac{p \cdot q}{p \cdot k}$$

$$z \stackrel{\text{lab}}{=} \frac{E_h}{\nu}$$

Cross Section:

$$\frac{d^2\sigma}{d\Omega dE^2} = \frac{\alpha^2 E'}{Q^2 E} L_{\mu\nu} W^{\mu\nu}$$

$$L_{\mu\nu} = 2[k_\mu k'_\nu + k_\nu k'_\mu - (k \cdot k' - m_e^2) g_{\mu\nu} + im_e \epsilon_{\mu\nu\alpha\beta} S^\alpha (k - k')^\beta]$$

Where: $S^\alpha = \frac{1}{2} \bar{u}(k, s) \gamma^\alpha \gamma_5 u(k, s)$

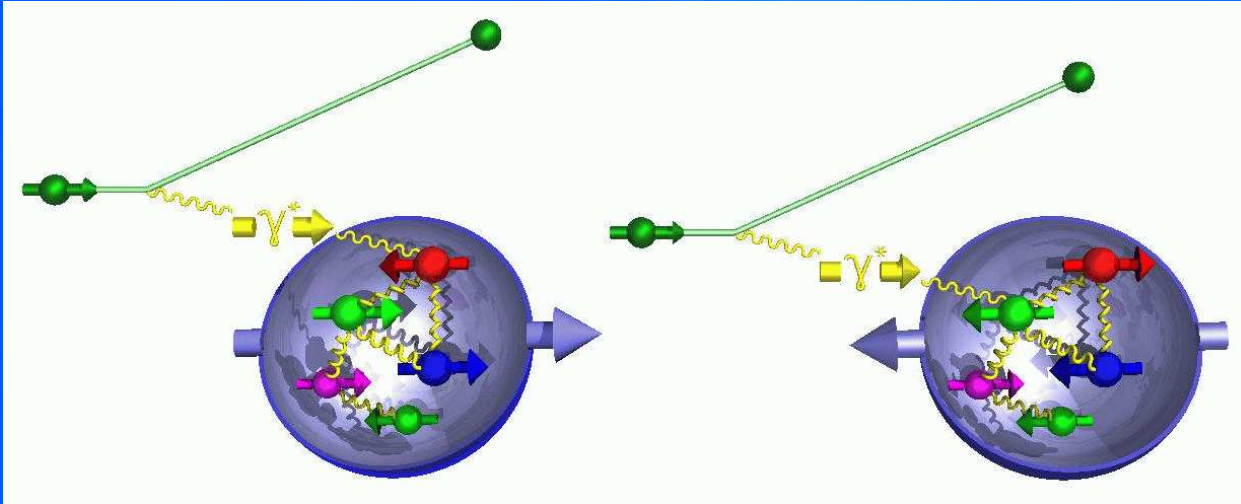
$$W^{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{\nu} F_2(x, Q^2) + \frac{i}{\nu} \epsilon^{\mu\nu\lambda\sigma} q_\lambda S_\sigma g_1(x, Q^2) + \frac{i}{\nu^2} \epsilon^{\mu\nu\lambda\sigma} q_\lambda (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2)$$

(for spin 1) + quadropole terms (b_1, b_2, b_3, b_4)

$F_1, F_2 / g_1, g_2 \implies$ **Unpolarized / Polarized** Structure Functions



Virtual Photon Asymmetry



polarized Photon
can only probe
quarks with spin
opposite to its own

$$\vec{S}_\gamma + \vec{S}_N = 3/2$$

$$\sigma_{3/2} \sim q^-(x)$$

unpolarized target + beam

$$\vec{S}_\gamma + \vec{S}_N = 1/2$$

$$\sigma_{1/2} \sim q^+(x)$$

polarized target + beam

flipping target spin

Quark Distributions:

$$q_f(x) := q_f^+(x) + q_f^-(x)$$

$(f = u, d, s, \bar{u}, \bar{d}, \bar{s})$

Quark Spin Distributions:

$$\Delta q_f(x) := q_f^+(x) - q_f^-(x)$$



Virtual Photon Asymmetries:

$$A_1 = \frac{\sigma_{\frac{1}{2}}^{-} - \sigma_{\frac{3}{2}}^{-}}{\sigma_{\frac{1}{2}}^{-} + \sigma_{\frac{3}{2}}^{-}} = \frac{g_1 - \gamma^2 g_2}{F_1} \quad A_2 = \frac{\sigma_{TL}}{\sigma_T} = \frac{\gamma(g_1 + g_2)}{F_1}$$

Measurable Asymmetries:

$$A_{\parallel} = \frac{\sigma_{\leftarrow}^{\rightarrow} - \sigma_{\rightarrow}^{\rightarrow}}{\sigma_{\leftarrow}^{\rightarrow} + \sigma_{\rightarrow}^{\rightarrow}} \quad A_{\perp} = \frac{\sigma^{\uparrow\rightarrow} - \sigma^{\uparrow\leftarrow}}{\sigma^{\uparrow\rightarrow} + \sigma^{\uparrow\leftarrow}}$$

$$A_{\parallel} = D(A_1 + \eta A_2) \quad A_{\perp} = d(A_2 + \xi A_1)$$

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 (q_i^+(x) + q_i^-(x)) = \frac{1}{2} \sum_i e^2 q_i(x)$$

2xF₁ measures the momentum distribution of quarks

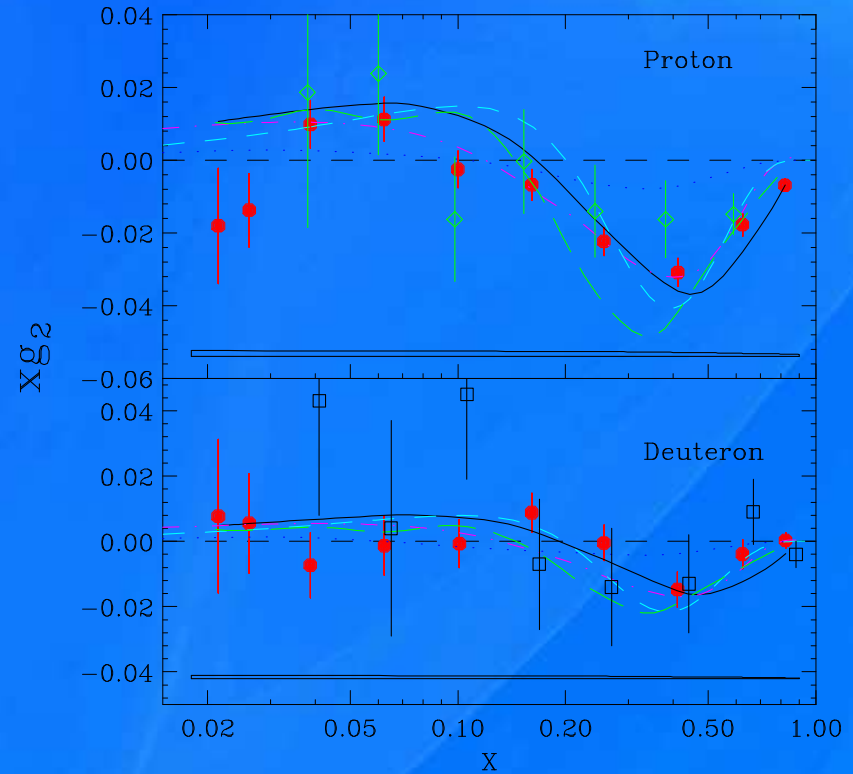
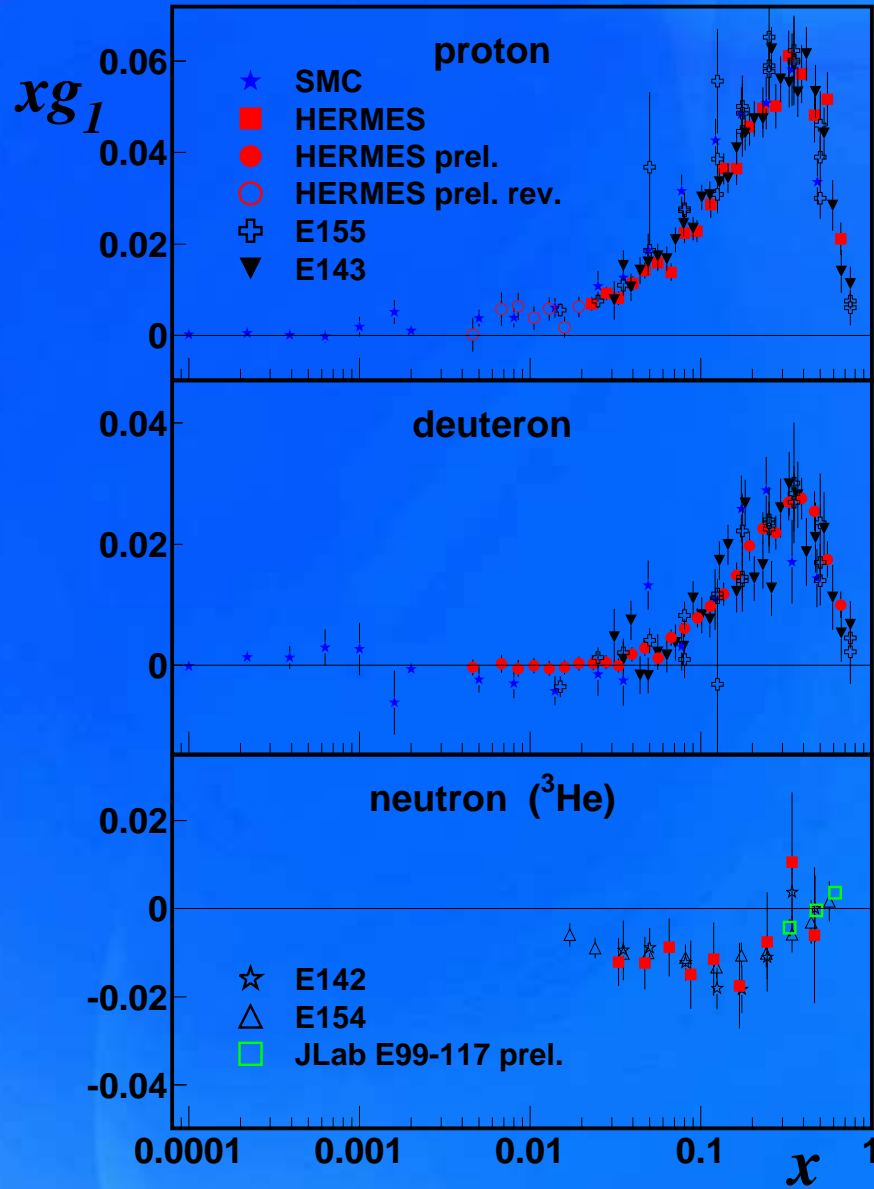
$$g_1(x) = \frac{1}{2} \sum_i e_i^2 (q_i^+(x) - q_i^-(x)) = \frac{1}{2} \sum_i e^2 \Delta q_i(x)$$

g₁ measures the spin distribution of quarks

With: $D, d, R, \epsilon, \gamma$ being kinematic factors



World data on $g_1(x)$ and $g_2(x)$

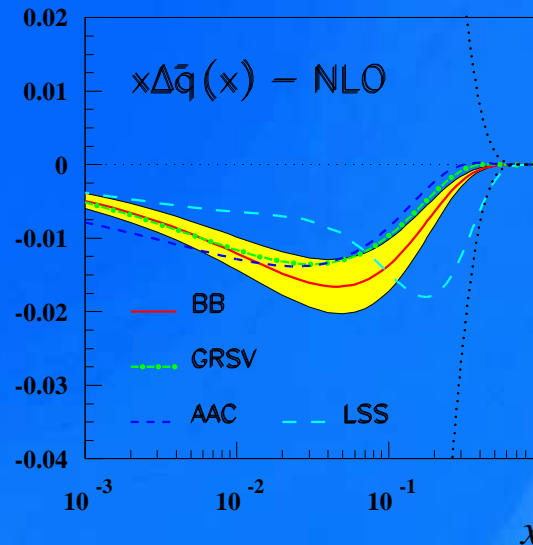
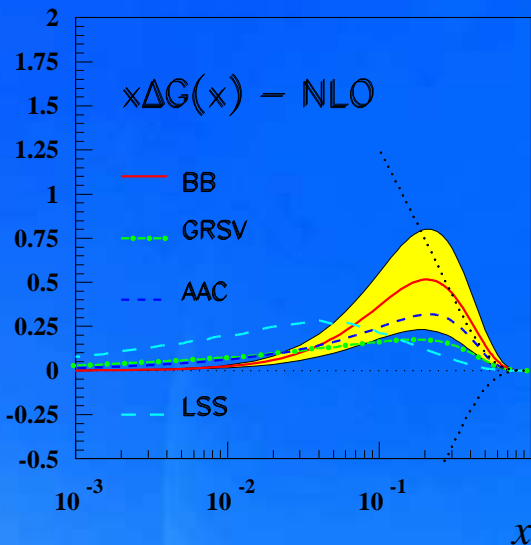
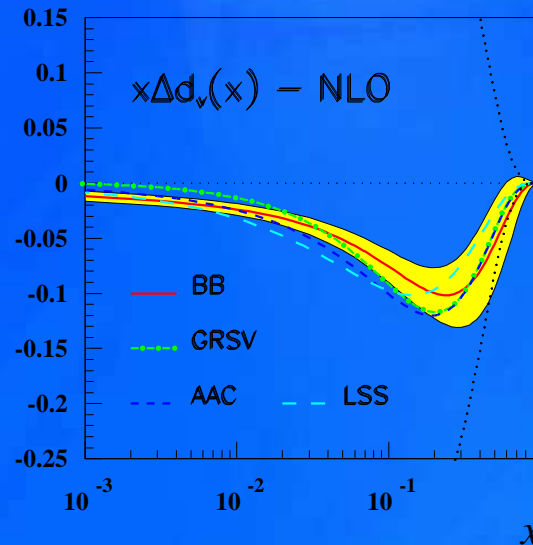
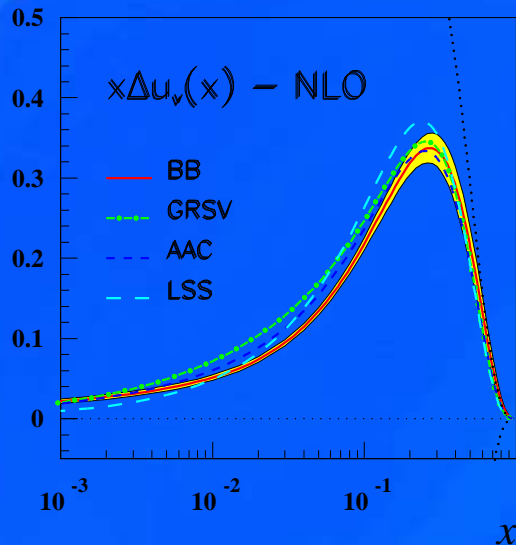


— WW - . - Stratmann Song
 - - - Weigel - - - Wakamatsu
 ◇ E143 □ E155 ● E155X

Data given at measured $\langle Q^2 \rangle$: 0.02 - 58 GeV²



NLO pQCD Fits to $g_1(x, Q)^2$



NLO QCD ($\bar{M}\bar{S}$) fit at $Q^2 = 4 \text{ GeV}^2$
 fully propagated stat. uncertainties
 (yellow bands BB 2002)

$$\Delta s(\bar{q}) = -0.07 \pm 0.02 \text{ (stat.)} \quad \leftarrow$$

$$\Delta G = 1.03 \pm 0.55 \text{ (stat.)} \quad \rightarrow$$

BUT $SU(3)_f$ symmetry breaking?

BB: Blümlein Böttcher hep/ph 0203155

LSS: Leader et al. hep/ph 0111267

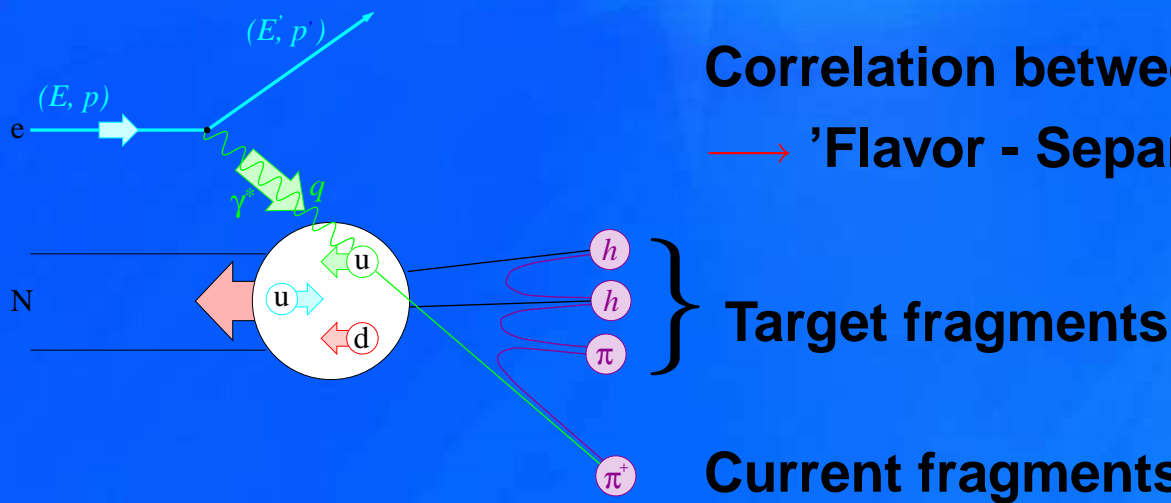
GRSV: Glück et al. hep/ph 0011215

AAC: Goto et al. hep/ph 0001046

more direct probes for ΔG and Δs needed



Semi-inclusive DIS



Inclusive DIS: $\Delta\Sigma = (\Delta u + \Delta\bar{u} + \Delta d + \Delta\bar{d} + \Delta s + \Delta\bar{s})$

Semi-inclusive DIS: $\Delta u, \Delta\bar{u}, \Delta d, \Delta\bar{d}, \Delta s, \Delta\bar{s}$

In LO-QCD:

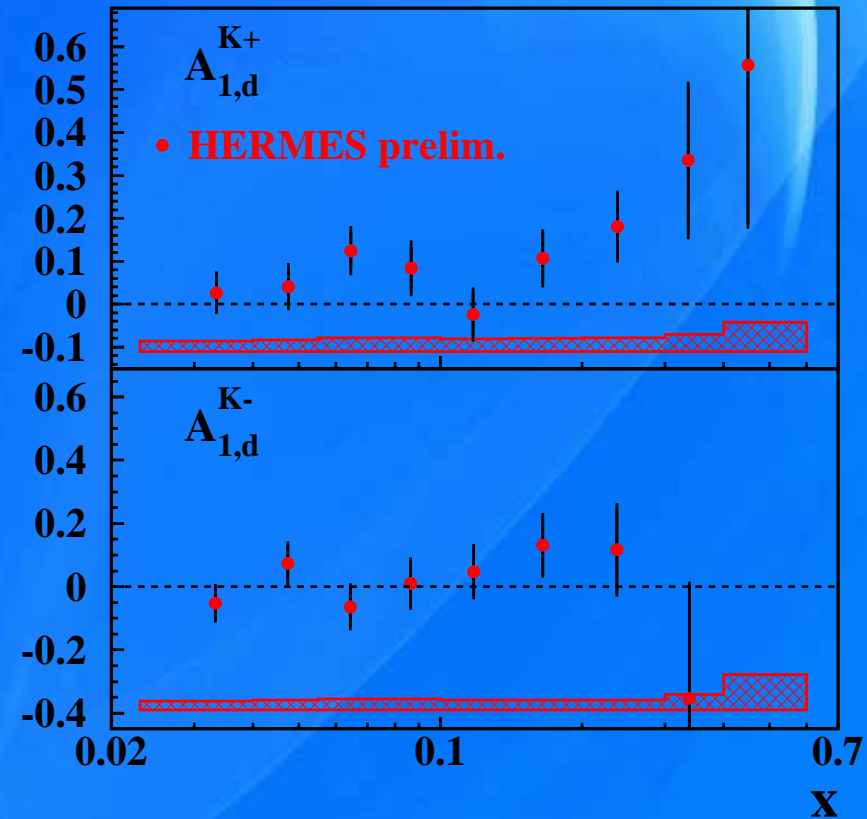
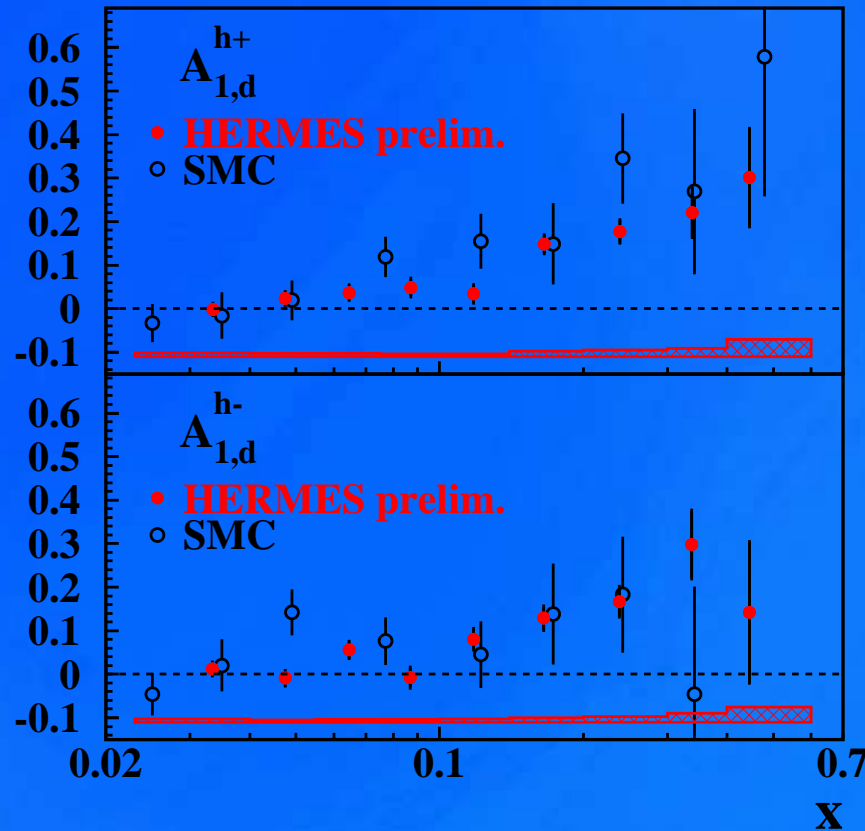
$$A_1^h(x, Q^2) = \frac{\sigma_{1/2}^h - \sigma_{3/2}^h}{\sigma_{1/2}^h + \sigma_{3/2}^h} = \frac{1+R(x, Q^2)}{1+\gamma^2} \cdot \frac{\sum_f e_f^2 \Delta q_f(x, Q^2) \int dz D_f^h(z, Q^2)}{\sum_f e_f^2 q_f(x, Q^2) \int dz D_f^h(z, Q^2)}$$

$(\Delta q_f), q_f$ (Polarized) quark distributions

$D_f^h(z)$ fragmentation functions giving the probability that a (struck) quark of flavor f fragments into a hadron of type h .



Hadron Asymmetries on the Deuteron



- HERMES selects hadrons with the following cuts:
 - $0.2 \leq z = E_h/\nu \leq 0.8$ and $x_F \geq 0.1$ and $W^2 \geq 10 \text{ GeV}^2$
 - HERMES-Deuterium π^\pm and K^\pm data identified by RICH



- Rewrite Photon-Nucleon Asymmetry

$$A_1^h(\mathbf{x}) \stackrel{g_2=0}{\simeq} C \cdot \sum_q \frac{e_q^2 q(x) \int dz D_q^h(z)}{\underbrace{\sum_{q'} e_{q'}^2 q'(x) \int dz D_{q'}^h(z)}_{P_q^h(x, z)}} \frac{\Delta q(\mathbf{x})}{q(\mathbf{x})}$$

- $P_q^h(x, z)$ Purity, the prob. a hadron h originates from an event with struck quark f
Effective Purities accounting for detector effect may be computed via Monte Carlo based on the JetSet model for fragmentation

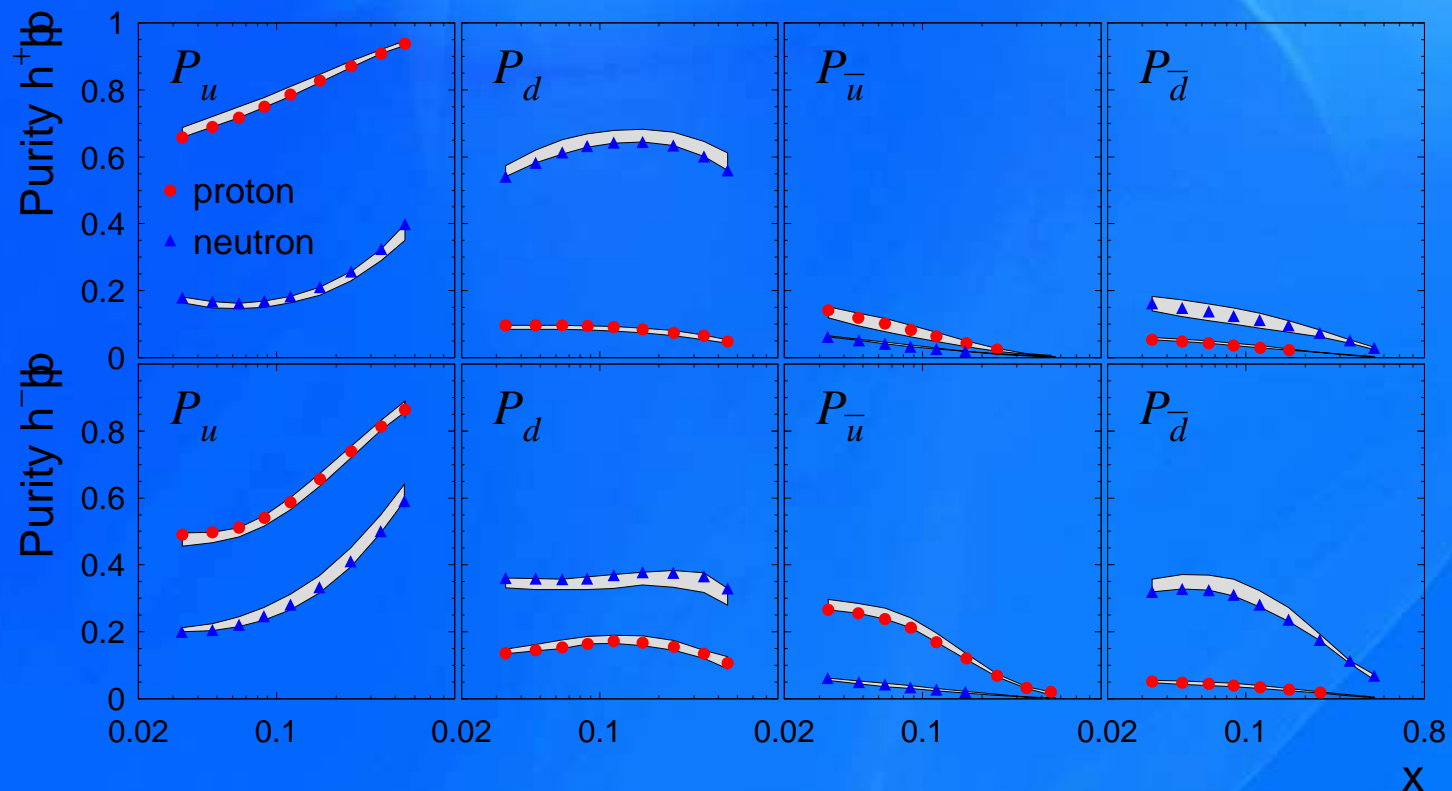
- Solve linear system for \vec{Q} with

$$\vec{A} = (A_{1,p}(\mathbf{x}), A_{1,d}(\mathbf{x}), A_{1,p}^{\pi^\pm}(\mathbf{x}), A_{1,d}^{\pi^\pm}(\mathbf{x}), A_{1,d}^{K^\pm}(\mathbf{x}))$$

$$\vec{A} = \mathcal{P} \vec{Q}$$

$$\vec{Q} = \left(\frac{\Delta u}{u}, \frac{\Delta d}{d}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta \bar{d}}{\bar{d}}, \frac{\Delta s + \Delta \bar{s}}{s + \bar{s}} \right)$$

Purities for charged Hadrons

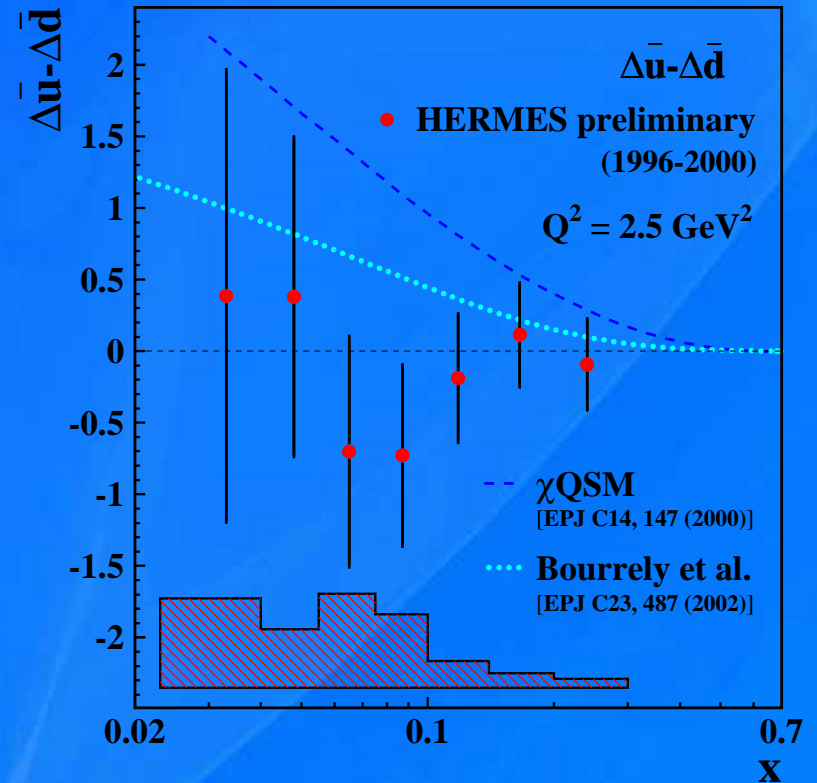
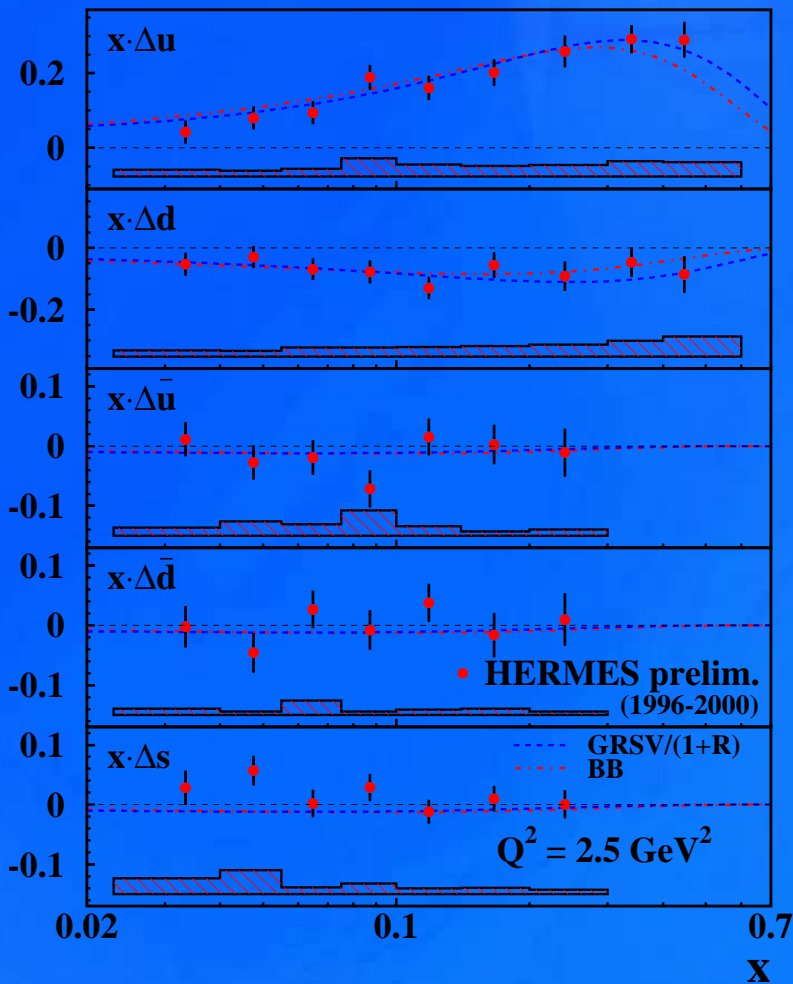


shaded bands indicate the systematic uncertainties

- An adequate degree of orthogonality is provided
 - u versus d from h^+
 - valence versus sea from hadron charged
 - \bar{u} versus \bar{d} from h^-
- Kaons have about 10% sensitivity of the strange sea (not shown)



Polarized Quark Densities at LO



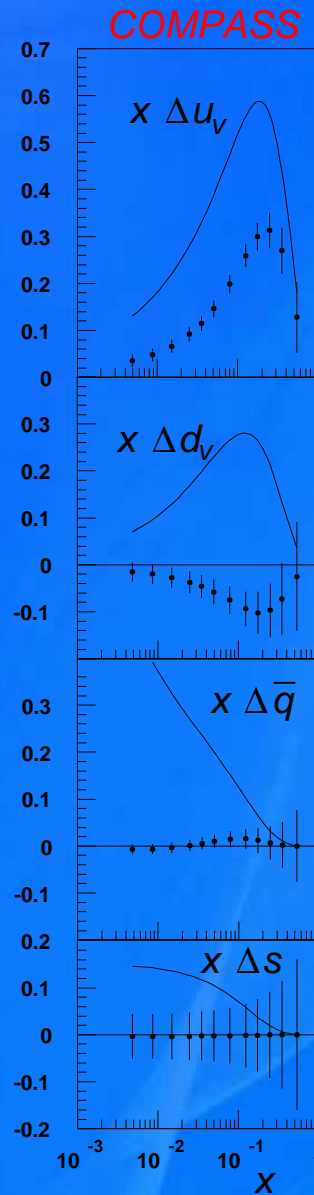
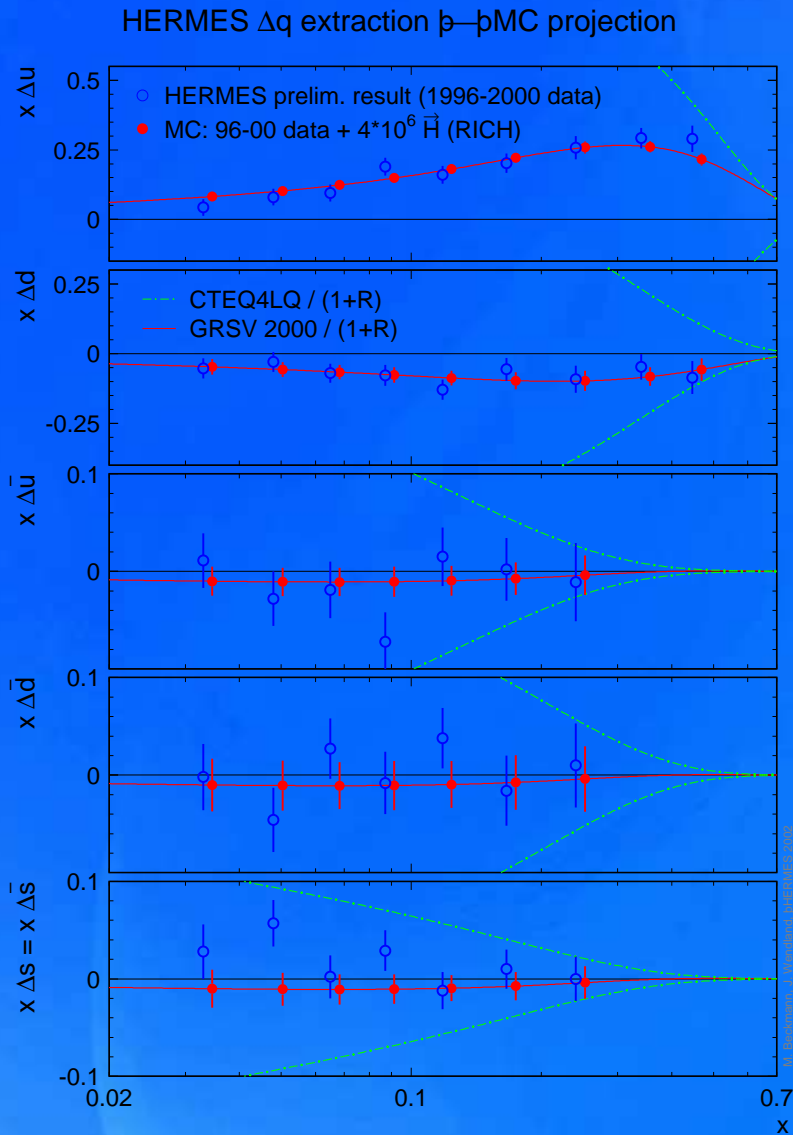
strange sea polarization $\Delta s \geq 0$

The Hermes data are consistent with $SU(3)_f$ symmetry

Data disfavor χQSM of Dressler et al



Future of Polarized Quark Densities



HERMES

additional 4 Million DIS
with polarized \bar{H} and the RICH

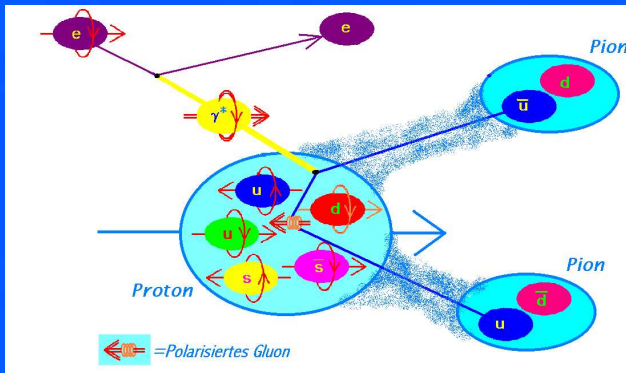
COMPASS

will extend to lower x
expected luminosity: $2 \text{ fb}^{-1} /$



Direct Measurements of ΔG

Isolate the photon-gluon fusion process (PGF)



OPEN CHARM

reconstruct D^* , D^0

$$A_{||} = \frac{N_{c\bar{c}}^{\leftarrow\leftarrow} - N_{c\bar{c}}^{\rightarrow\rightarrow}}{N_{c\bar{c}}^{\leftarrow\leftarrow} + N_{c\bar{c}}^{\rightarrow\rightarrow}}$$

$$A^{\gamma p \rightarrow c\bar{c}} \sim \Delta G/G$$

HIGH- P_T

pairs of high- P_T hadrons

$$A_{||} = \frac{N_{h^\pm}^{\leftarrow\leftarrow} - N_{h^\pm}^{\rightarrow\rightarrow}}{N_{h^\pm}^{\leftarrow\leftarrow} + N_{h^\pm}^{\rightarrow\rightarrow}}$$

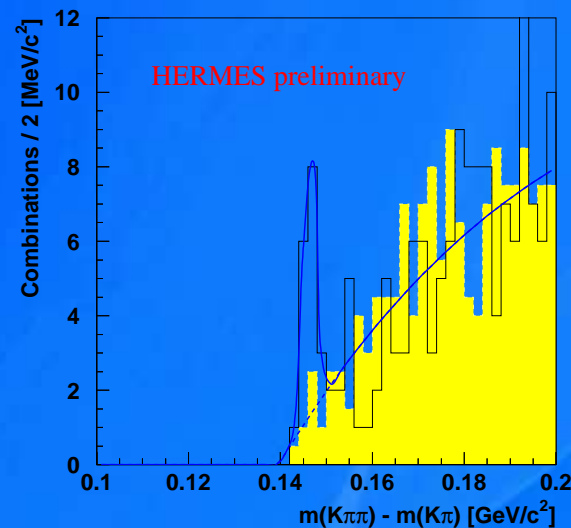
$$A^{\gamma p \rightarrow h^+ h^-} \sim \Delta G/G$$

additionally:

use identified hadrons

pairs of high- P_T Pions

pairs of high- P_T Kaons



$$D^* \rightarrow K + \pi + \pi_{slow}$$

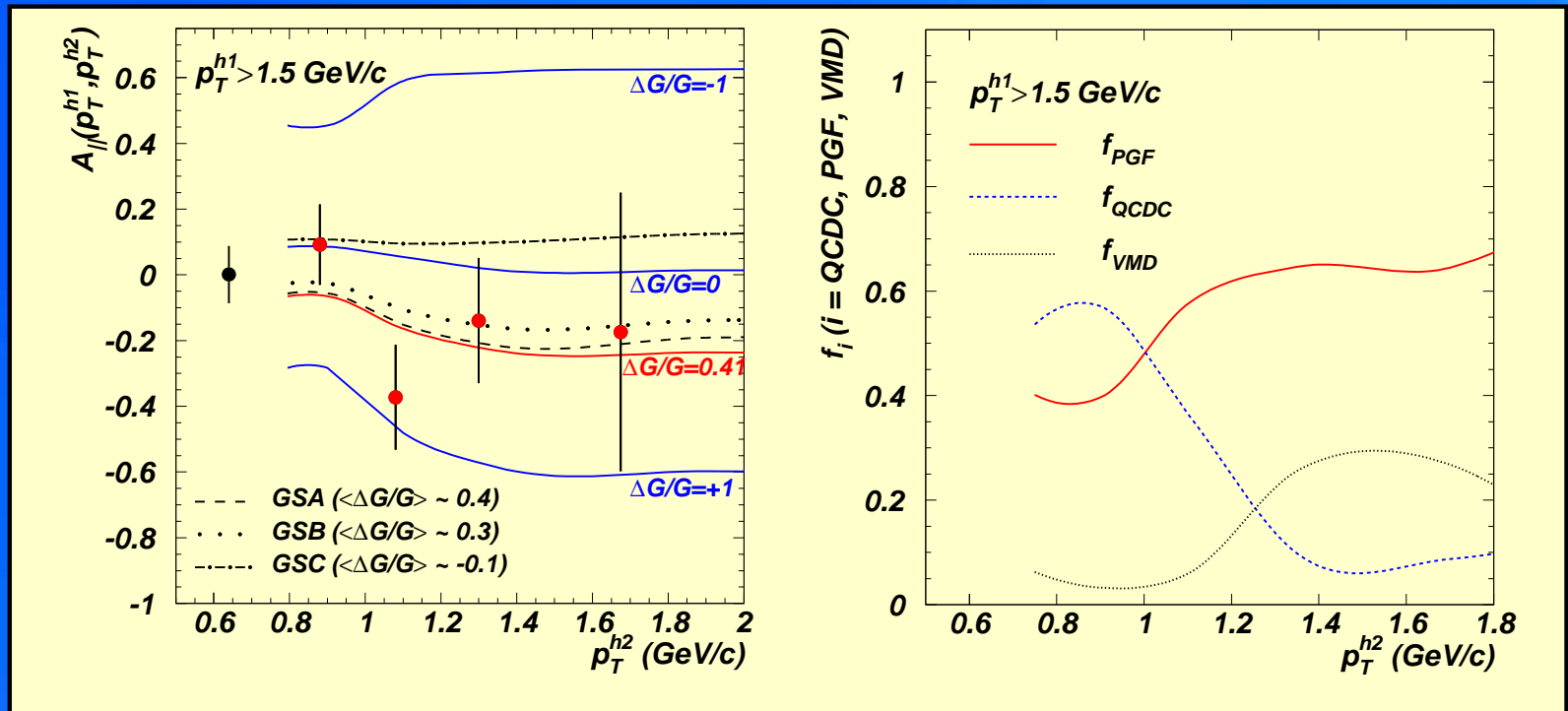
Can be measured by **HERMES** and **COMPASS**



Pairs of high- P_T Hadrons within LO pQCD and PYTHIA5 MC model

$$\Delta G/G = 0.41 \pm 0.18 \text{ (stat.)} \pm 0.03 \text{ (exp.syst.)}$$

at $\langle x_G \rangle = 0.17$ and $\langle \hat{p}_T^2 \rangle = 2.1 \text{ GeV}^2$

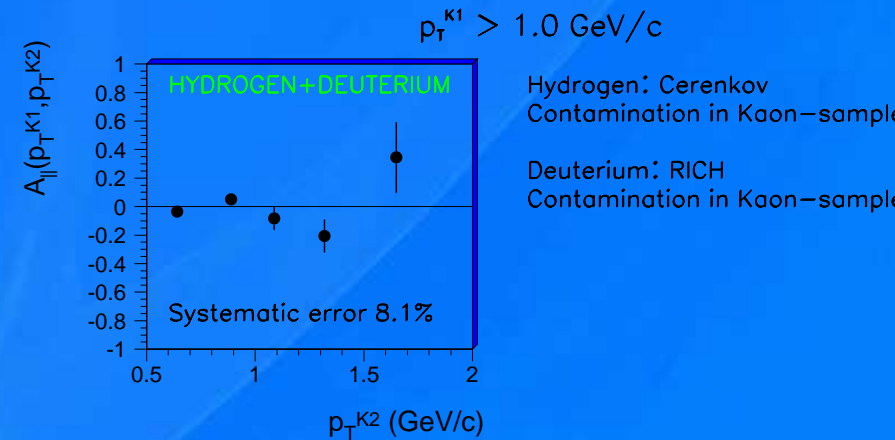
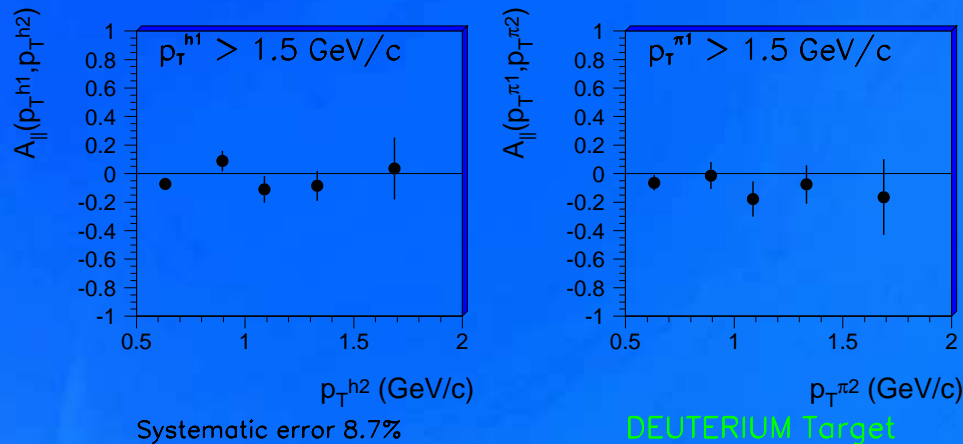
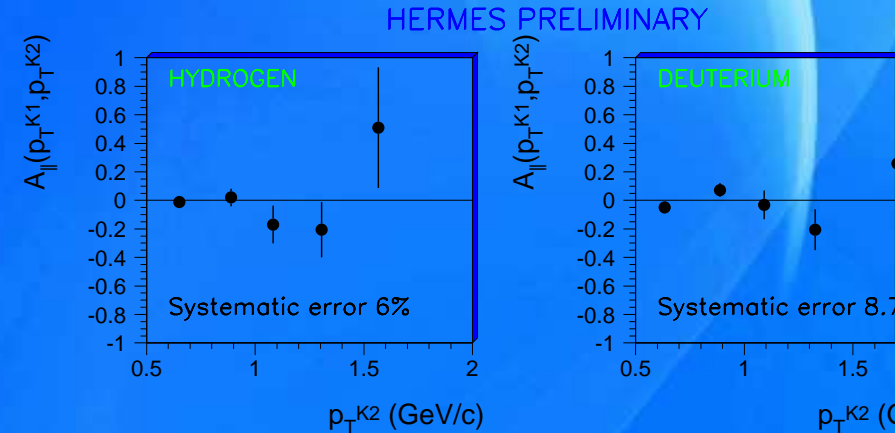
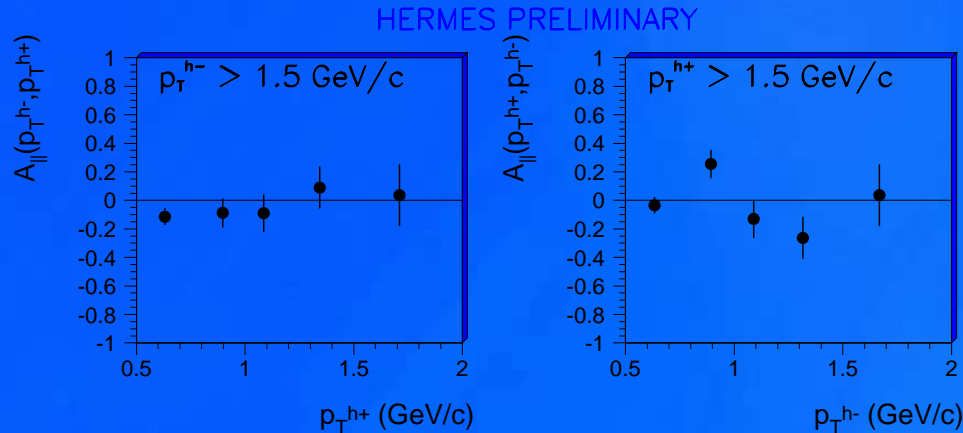


A. Airapetian et al, Phys. Rev. Lett. 84 (2000) 2584
Target: Hydrogen
Extraction strongly Model dependent



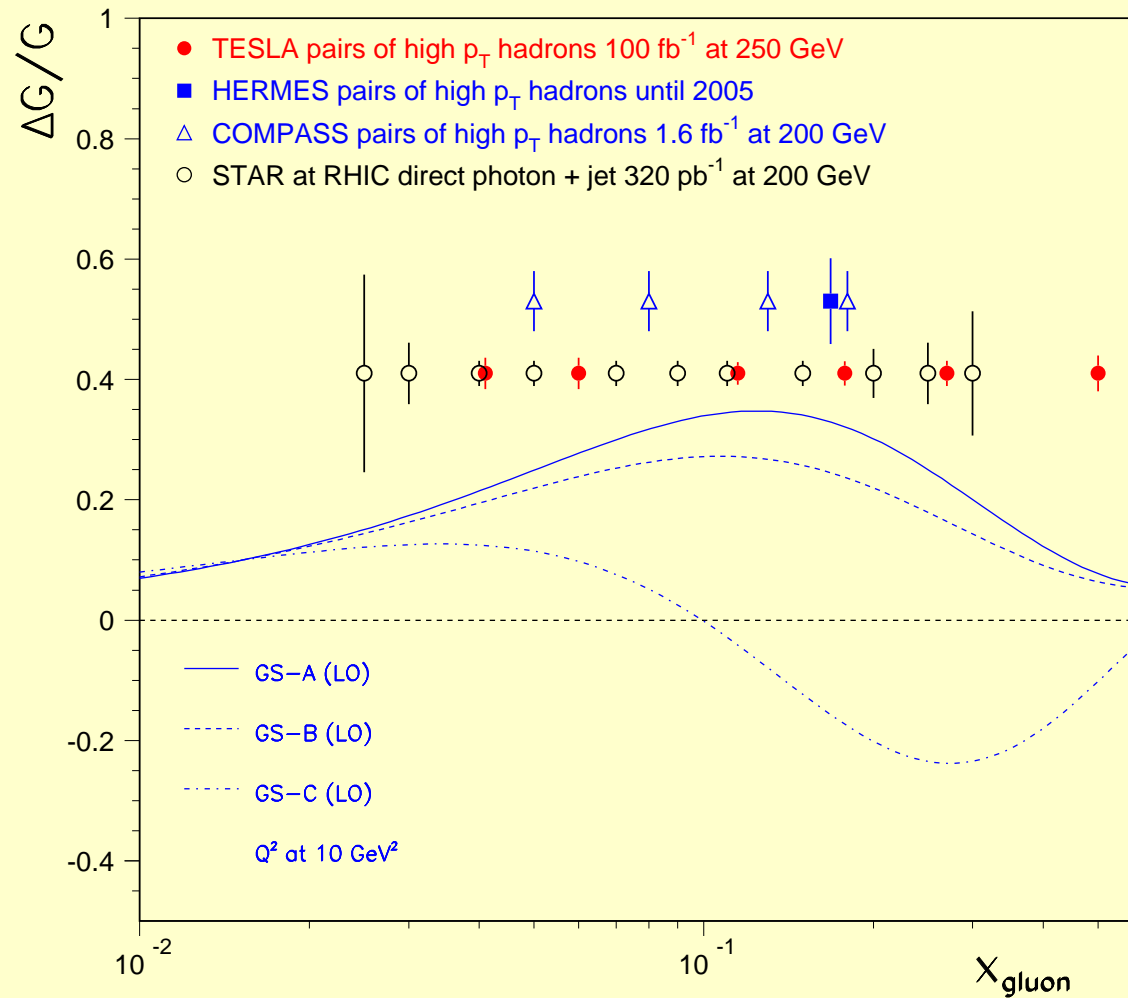
Deuterium Results

Statistics: ~ 3 x hydrogen statistics



$\Delta G/G$ Extraction still under way



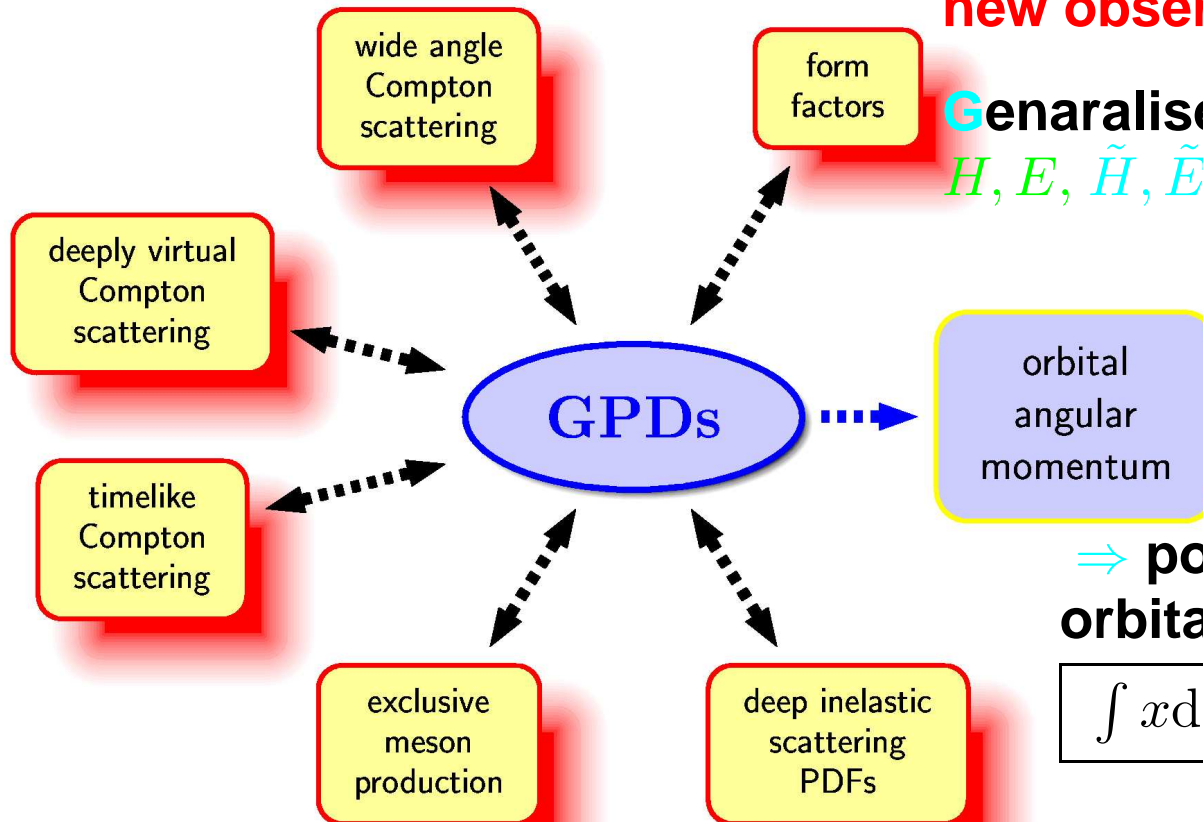


GPDs Introduction

new observables in hard exclusive processes

Generalised Parton Distributions

$H, E, \tilde{H}, \tilde{E}$

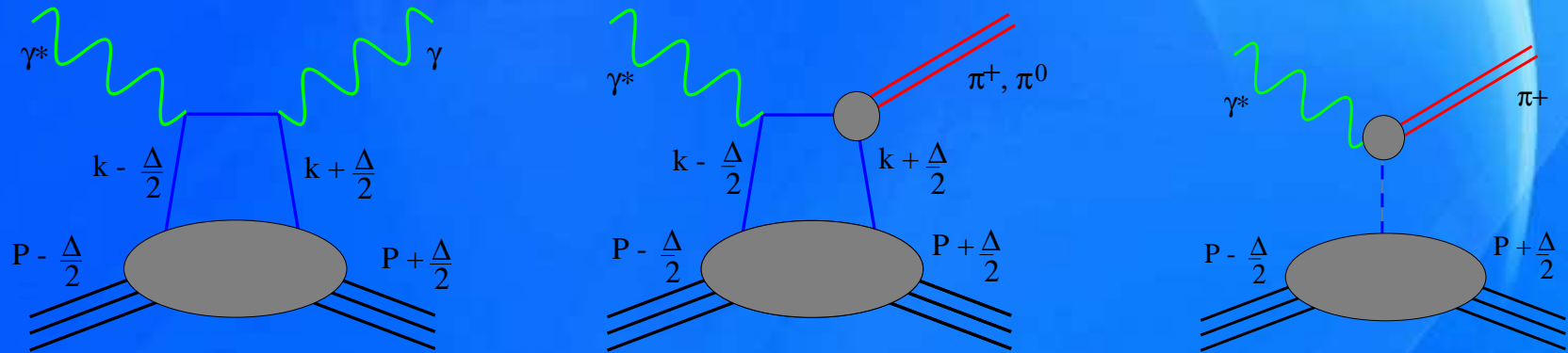


⇒ possible access to orbital angular momentum

$$\int x dx (H + E) = J_q$$



GPDs introduction II



quantum numbers of final state \rightarrow select different GPDs

DVCS: $\tilde{H}, \tilde{E}, H, E,$

vector mesons: H, E

pseudo-scalar mesons: \tilde{H}, \tilde{E}

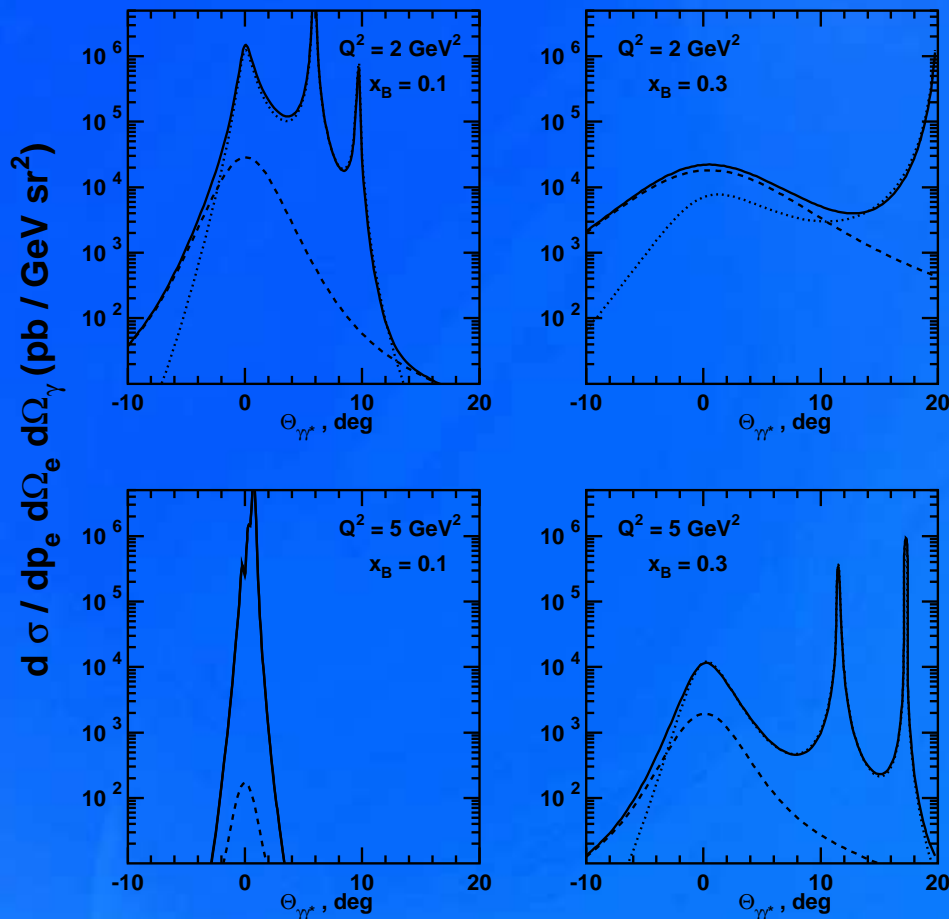
quadratic combination of GPDs appear in unpolarized cross section

\rightarrow polarization provides new observables

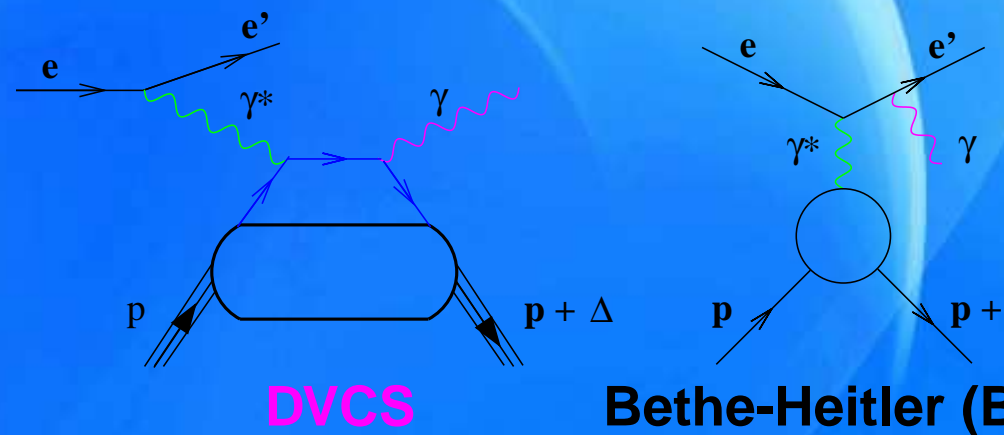


DVCS $ep \rightarrow e'\gamma p$

HERMES kinematics: BH process larger than DVCS



[Korotkov, Nowak, hep-ph/0108077]



$$d\sigma \propto |\mathcal{T}_{BH}|^2 + |\mathcal{T}_{DVCS}|^2 + (\mathcal{T}_{BH}^* \mathcal{T}_{DVCS} + \mathcal{T}_{DVCS}^* \mathcal{T}_{BH})$$

HERMES, JLAB:

DVCS-BH interference:

→ use BH as a vehicle to study DVCS

H1, ZEUS:

measure DVCS cross section directly



DVCS azimuthal asymmetries

isolate **BH-DVCS interference** term:

- imaginary part \propto beam **helicity** asymmetry:

$$\begin{aligned} d\sigma_{e^+}^{\leftarrow} - d\sigma_{e^+}^{\rightarrow} &\propto \text{Im}(\mathcal{T}_{BH}\mathcal{T}_{DVCS}) \\ &\propto \sin\phi \end{aligned}$$

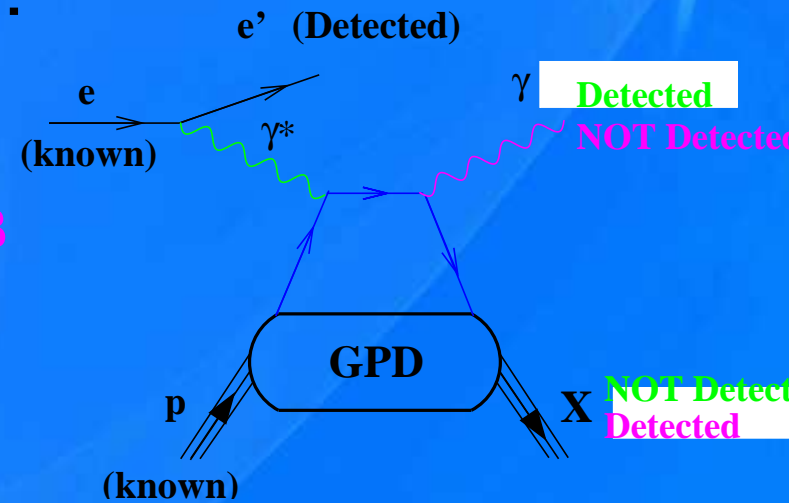
\Rightarrow asymmetry measured by **HERMES** and **JLAB**

- real part \propto beam **charge** asymmetry:

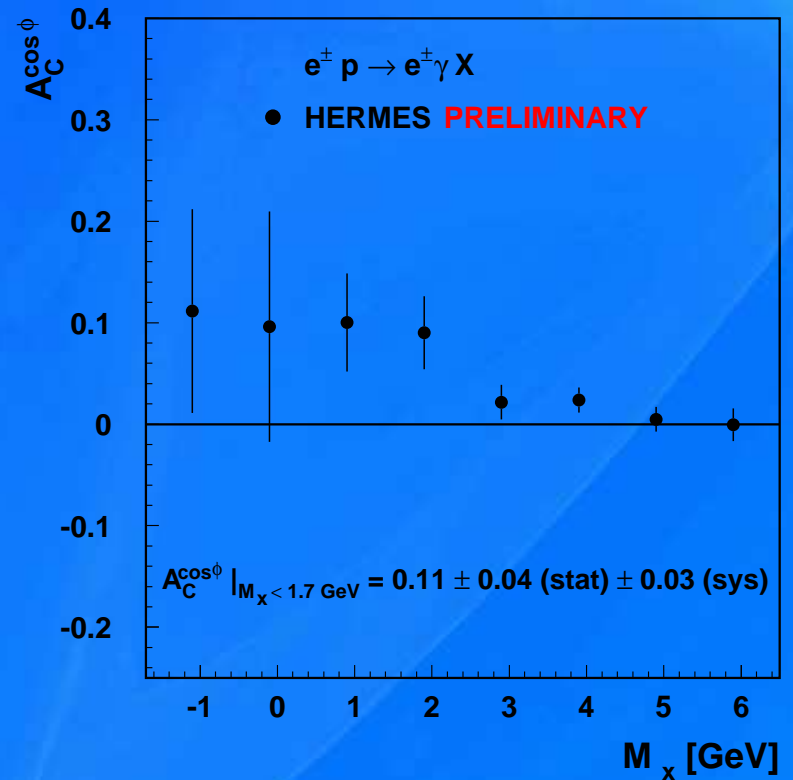
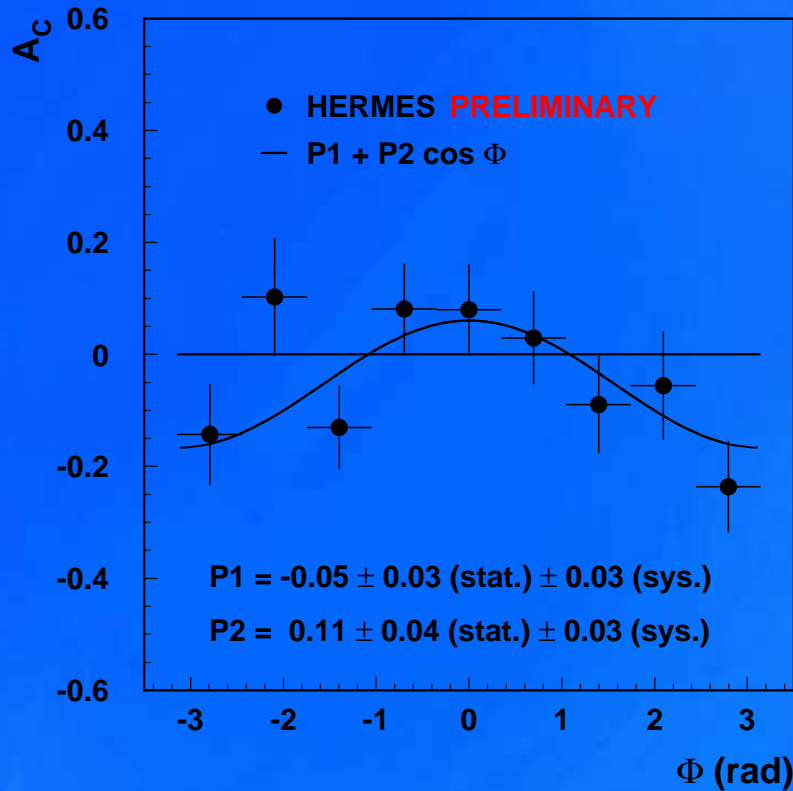
$$\begin{aligned} d\sigma_{e^+} - d\sigma_{e^-} &\propto \text{Re}(\mathcal{T}_{BH}\mathcal{T}_{DVCS}) \\ &\propto \cos\phi \end{aligned}$$

\Rightarrow asymmetry measured by **HERMES**

ϕ : azimuthal angle between lepton scattering plane and the $\gamma^*\gamma$ - plane



DVCS beam charge asymmetry (BCA)



$$A_C(\phi) = \frac{N_{e^+}(\phi) - N_{e^-}(\phi)}{N_{e^+}(\phi) + N_{e^-}(\phi)}$$

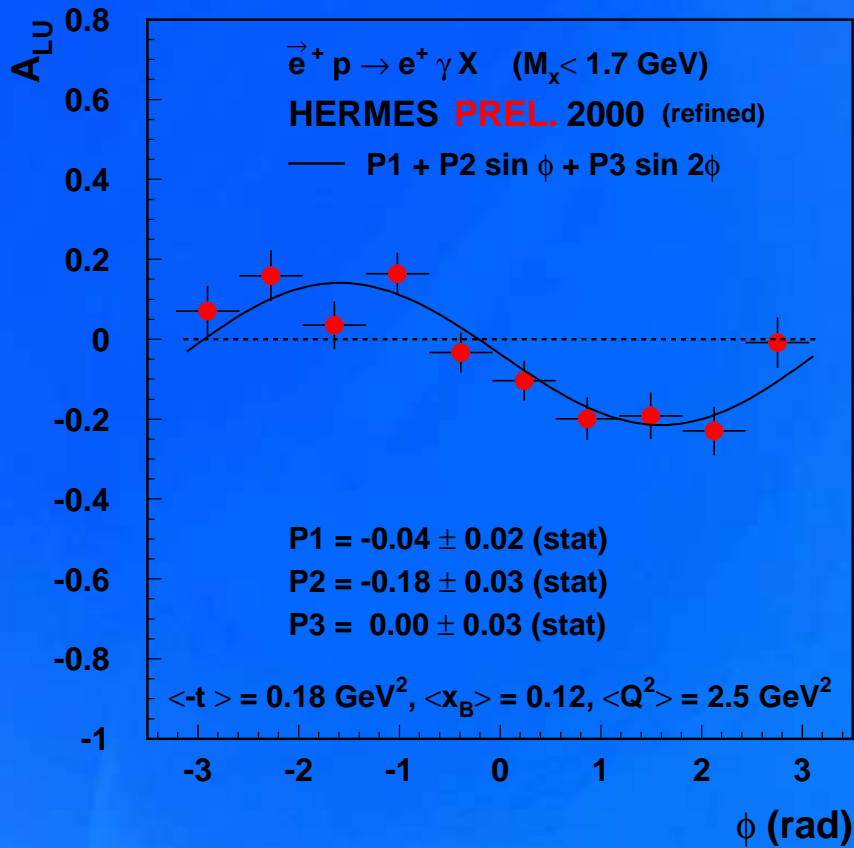
$$A_C^{\cos\phi} = \frac{1}{N_{e^+}} \sum_{i=1}^{N_{e^+}} \cos(\phi_i) - \frac{1}{N_{e^-}} \sum_{i=1}^{N_{e^-}} \cos(\phi_i)$$

exclusive region: $-1.5 < M_x < 1.7 \text{ GeV}$



DVCS single beam-spin asymmetry (SSA)

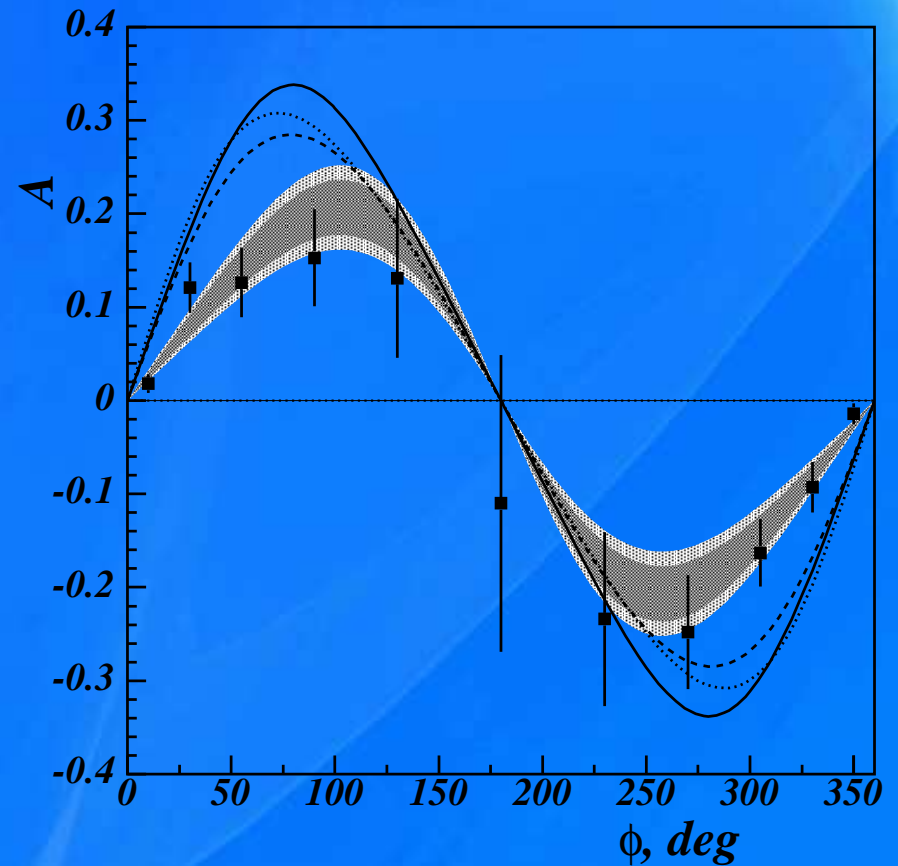
HERMES



96/97: [PRL87 (2001), 182001]

$$A_{LU}^{\sin \phi}(\phi) = -0.18 \pm 0.03 \pm 0.03$$

CLAS

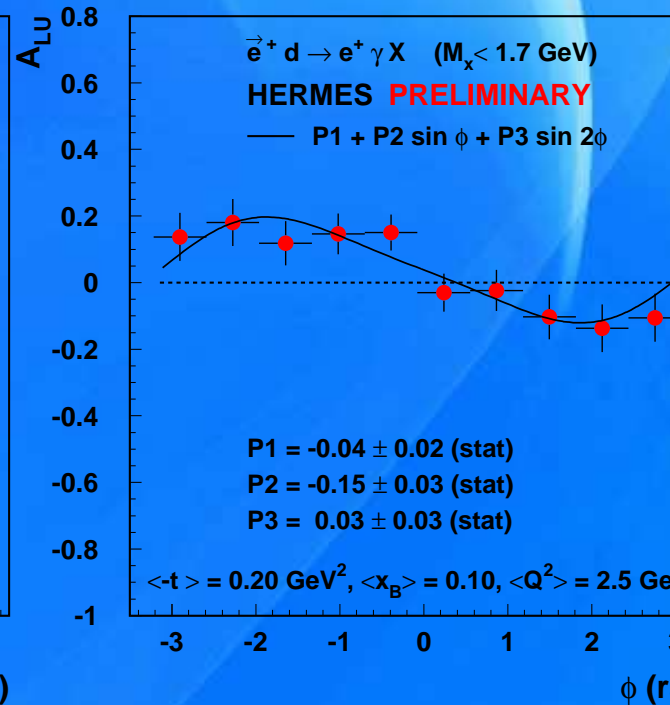
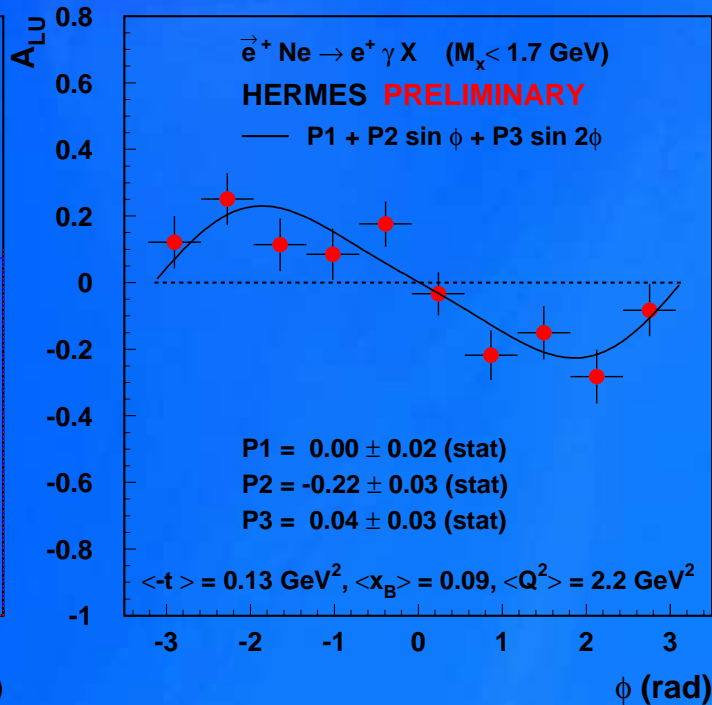
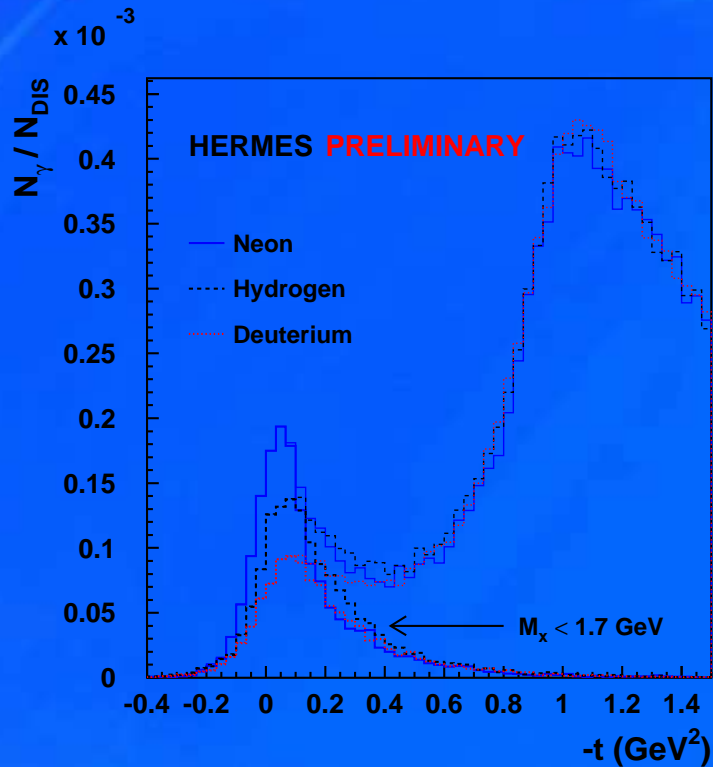


[PRL87 (2001), 182002]

$$A_{LU}^{\sin \phi}(\phi) = -0.202 \pm 0.021 \pm 0.009$$



DVCS on Nuclear Targets



Predictions by A.V. Belitsky et al. (hep-ph/0112108):

Deuterium: $A_{LU} \sim -0.13 \cdot \sin(\Phi)$ at $Q^2 = 4\text{GeV}^2, x = 0.1, t = -0.3\text{GeV}^2$

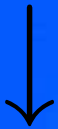
Hydrogen: $A_{LU} \sim -0.16 \cdot \sin(\Phi)$

Is there an EMC like effect for quarks?



The 3rd Twist-2 Structure function

$$f_1^q = \text{[Diagram: a circle with a black dot in the center]} \rightarrow$$



Unpolarized
quarks and nucleons

vector charge:

$$\langle PS | \bar{\psi} \gamma^\mu \psi | PS \rangle = \int_0^1 dx q(x) - \bar{q}(x)$$

q(x): spin averaged
well known

$$g_1^q = \text{[Diagram: two circles with black dots and red arrows pointing right, separated by a minus sign, with a green arrow pointing right between them]} \rightarrow$$



Longitudinally polarized
quarks and nucleons

axial charge:

$$\langle PS | \bar{\psi} \gamma^\mu \gamma_5 \psi | PS \rangle = \int_0^1 dx \Delta q(x) + \Delta \bar{q}(x)$$

$\Delta q(x)$: helicity difference
known

$$h_1^q = \text{[Diagram: two circles with black dots, red arrows pointing up and down, and green arrows pointing up, separated by a minus sign]} \rightarrow$$



Transversely polarized
quarks and nucleons

tensor charge :

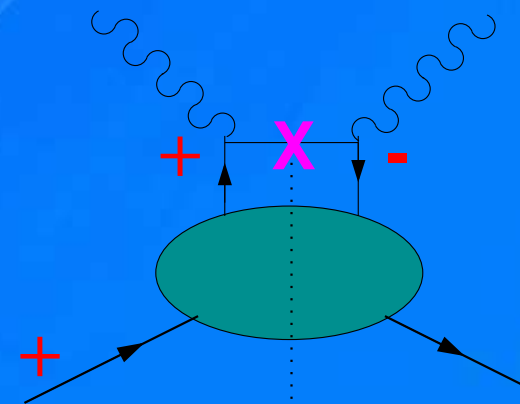
$$\langle PS | \bar{\psi} \sigma^{\mu\nu} \gamma_5 \psi | PS \rangle = \int_0^1 dx \delta q(x) - \delta \bar{q}(x)$$

$\delta q(x)$: helicity flip
unmeasured



Characteristics of Transversity

- **Non-relativistic quarks:** $\Delta q(x) = \delta q(x)$
 $\Rightarrow \delta q$ probes **relativistic nature** of quarks
- **Angular momentum conservation**
 \Rightarrow **Transversity has no gluon component**
 \Rightarrow **different Q^2 evolution than $\Delta q(x)$**
- **q and \bar{q} contribute with opposite sign to $\delta q(x)$**
 \Rightarrow **predominantly sensitive to valence quark polarization**
- **Bounds:**
 $\Rightarrow |\delta q(x)| \leq q(x)$
 \Rightarrow **Soffer bound:** $|\delta q(x)| \leq \frac{1}{2}[q(x) + \Delta q(x)]$
- **Transversity distribution **CHIRAL ODD****
 \Rightarrow **No Access In Inclusive DIS**



How can one measure Transversity?

Need another chiral-odd object!

$\delta q(x)$ accessible in semi-inclusive DIS

$$\sigma^{ep \rightarrow ehX} = \sum_q f^{H \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$

\downarrow \downarrow
chiral-odd chiral-odd
DF **FF**

Study SSA with a transversely polarized target at HERMES and COMPASS

1. $ep^\uparrow \longrightarrow e'\pi X$ \Leftarrow **Favorite Process**
Collins,93, Kotzinian,95, Mulders et al,96
2. $ep^\uparrow \longrightarrow e'\Lambda^\uparrow X$ Baldracchini,82, Jaffe,96
3. $ep^\uparrow \longrightarrow e'\pi\pi X$ Jaffe et al,97



Single Spin Asymmetries

$$ep^\uparrow \longrightarrow e'\pi X$$

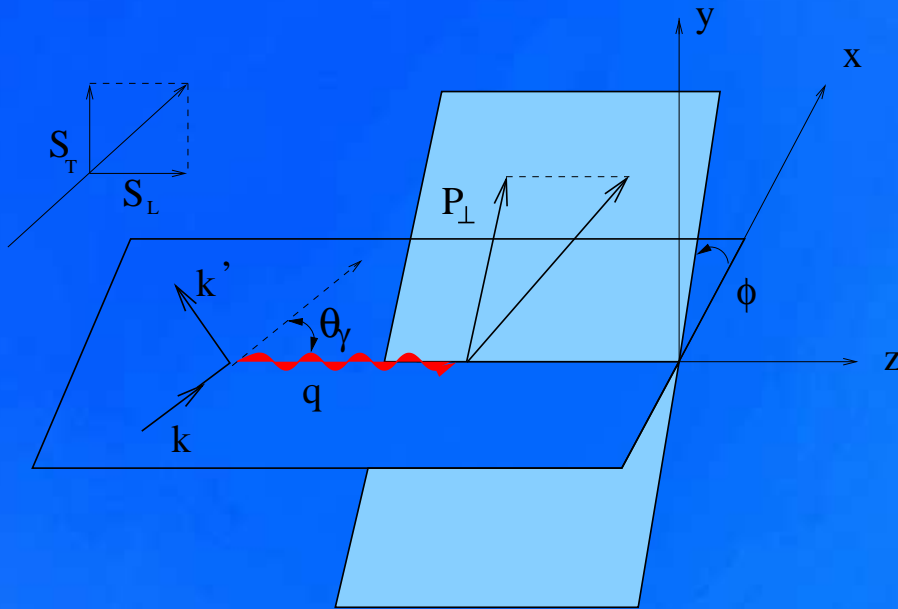
study azimuthal distribution of π 's:

$$A^{\sin \Phi} \propto \frac{\sum_{i=1}^{N^+} \sin \Phi_i - \sum_{i=1}^{N^-} \sin \Phi_i}{\frac{1}{2}(N^+ + N^-)}$$

Transversely polarized target:

$$A_T^{\sin \Phi} \propto \frac{\sum_q e_q^2 \delta q(x) H_1^{\perp, q}(z)}{\sum_q e_q^2 q(x) D_1^q(z)}$$

$H_1^{\perp}(z)$ Collins fragmentation function



$\Phi = \phi + \phi_s^l$ Collins angle

ϕ_s^l ... angle between target spin vector and scattering plane



First glimpse on Transversity ?!

HERMES longitudinal polarized hydrogen target

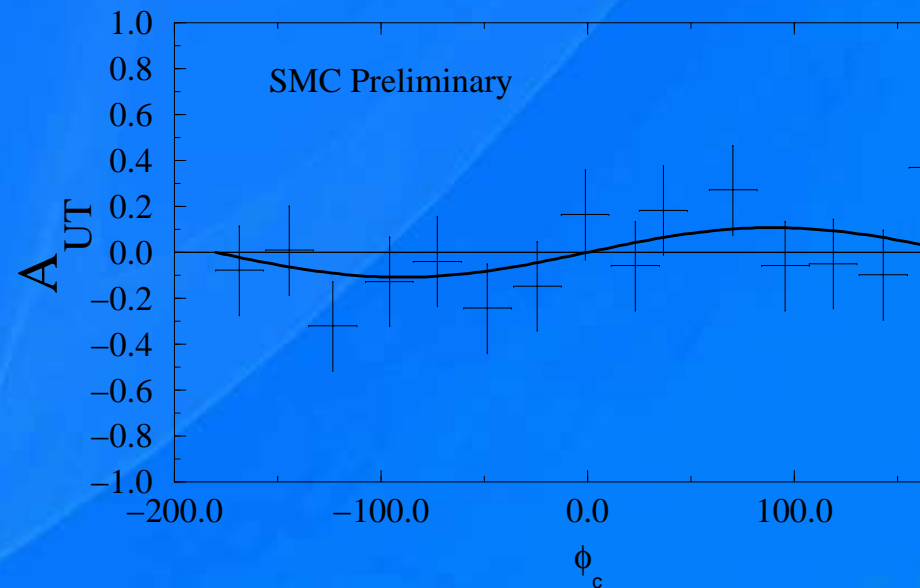
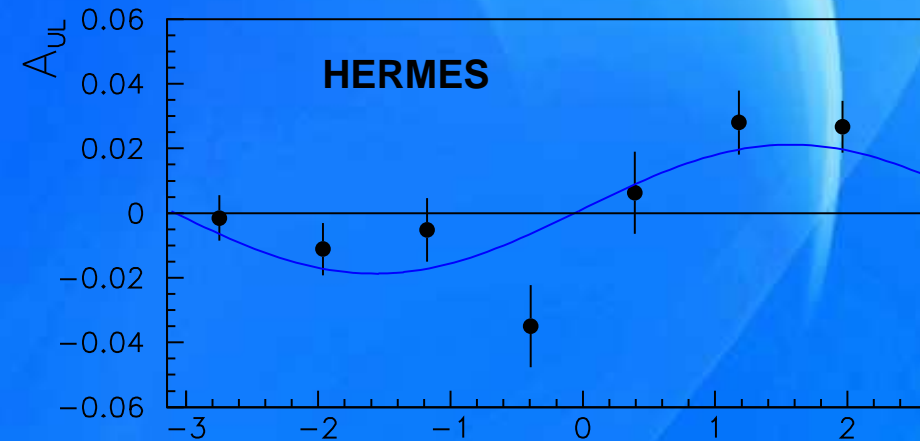
$$A_{UL}(\phi) = \frac{1}{\langle P \rangle} \cdot \frac{N^+(\phi) - N^-(\phi)}{N^+(\phi) + N^-(\phi)}$$

S_T transverse component of target spin w.r.t. virtual photon:

$$S_T \propto \sin \Theta_\gamma \simeq \frac{2Mx}{Q} \sqrt{1-y} \sim \mathbf{0.15}$$

π^0 : hep-ex/0104005, π^\pm : hep-ex/9910062

SMC transverse polarized hydrogen target



Attempt of Interpretation

- observe non-vanishing $\langle \sin \phi \rangle$ -moments
- $\langle \sin 2\phi \rangle$ -moment small (consistent with zero)

Attribute asymmetry to **Collins fragmentation** and **Transversity**:

$$A_{UL}^{\sin \phi} \sim S_L \langle \sin \phi \rangle_{UL} - S_T \langle \sin \phi \rangle_{UT}$$

Longitudinally polarized in experiment
(along beam direction)

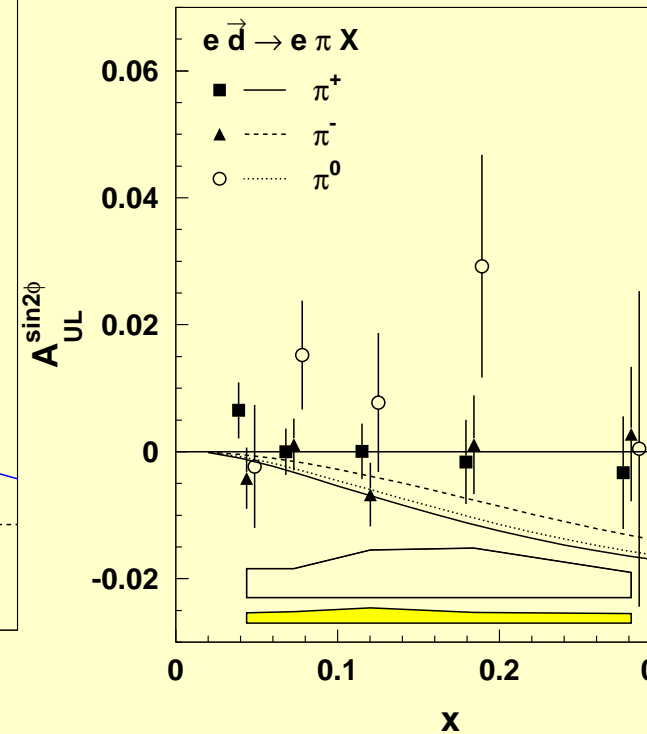
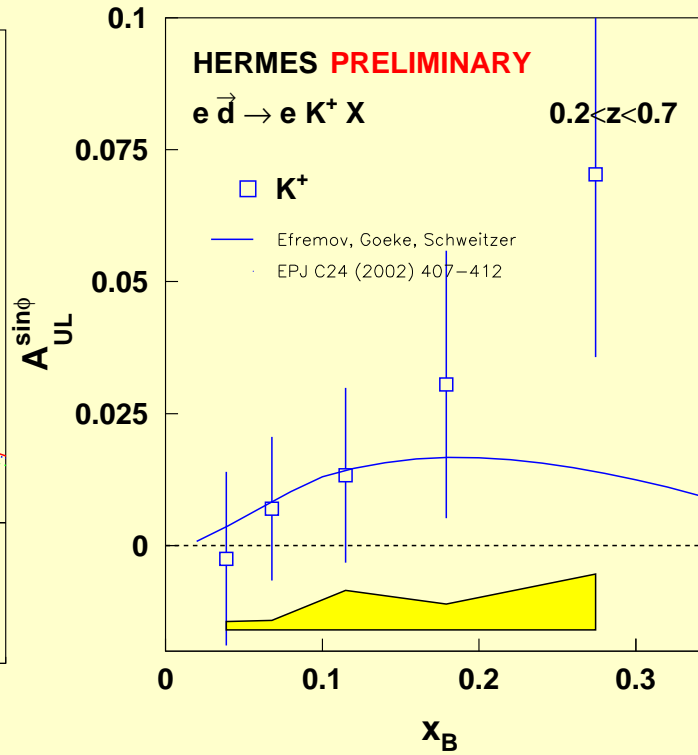
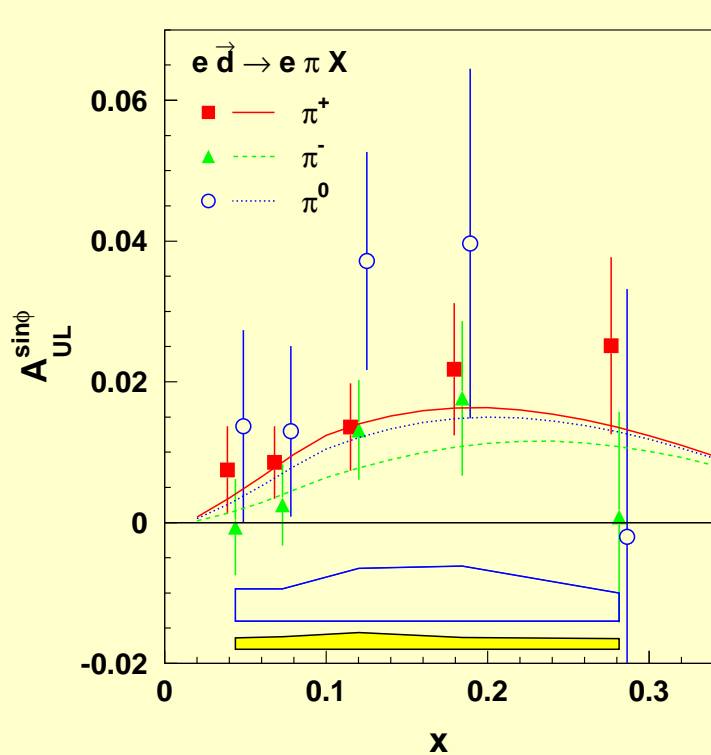
L/T polarized in theory
(along virtual gamma direction)

$$\langle \sin \phi \rangle_{UL} \sim \frac{1}{Q} \sum_q e_q^2 (h_L^q(x) H_1^{\perp(1),q}(z) - \frac{1}{z} h_{1L}^{\perp(1),q}(x) \tilde{H}(z))$$

$$\langle \sin \phi \rangle_{UT} \sim \sum_q e_q^2 x h_1^q(x) H_1^{\perp(1),q}(z) \quad \text{but } S_T \sim \frac{1}{Q} \text{ like twist-3}$$

$$\langle \sin 2\phi \rangle_{UL} \sim \sum_q e_q^2 x h_{1L}^{\perp(1),q}(x) H_1^{\perp(1),q}(z)$$





- h_1 from χ QSM (Efremov et al. Eur.Phys.J. C24 (2002) 407)
- assume reduced twist-3 $\longrightarrow \tilde{h}_L = 0$
- H_1^\perp : Collins function parametrisation to fit HERMES proton data

optimistic" result from DELPHI $e^+e^- \rightarrow 2\text{jets}$: $\frac{\langle H_1^\perp(1) \rangle}{\langle D_1 \rangle} = (12.5 \pm 1.4)\%$



Challenges in Interpretation

Attribute asymmetry to **Sivers** effect:

- Final state interactions (Brodsky et al.)
- Sivers function (Sivers, Mulders et al) $\langle \sin \phi \rangle_{UL} \sim \mathbf{f}_{1T}^{\perp(1)} D_1$

longitudinally polarized target \Rightarrow **Sivers** and **Collins** effect **indistinguishable**

COMPASS and **HERMES**: Transversely polarized target

- $\langle \sin \phi \rangle_{UT}$ becomes dominant
- **Sivers** and **Collins** distinguishable

$\langle \sin(\phi_h^1 - \phi_s^1) \rangle$ moment $\langle \sin(\phi_h^1 + \phi_s^1) \rangle$ moment



- **Inclusive**

- $g_1(x)/(g_2(x))$ mature

- **Semi-Inclusive**

- good agreement with NLO-fits to inclusive data
- give more information
→ $\Delta_s(\bar{s})$ and $\Delta_{\bar{u}} - \Delta_{\bar{d}}$

- ΔG

- wait till 2010

- $L_q + L_g$

- exclusive reactions exclusively established
→ need more work

- **Transversity**

- results coming soon

