

*Gauge Unification in
Supersymmetric
Intersecting Brane Worlds*

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based on:

R. Blumenhagen, V. Braun, B. Körs and D. Lüst, *Orientifolds of K3 and Calabi-Yau Manifolds with Intersecting D-branes*, JHEP 0207 (2002) 026, hep-th/0206038.

R. Blumenhagen, D. Lüst and S. Stieberger, *Gauge Unification in Supersymmetric Intersecting Brane Worlds*, hep-th/0305146.

I. Introduction to Gauge Unification

The three Standard Model gauge couplings g_s , g_w and g_y have different values at the weak scale and are all in the perturbative regime $g_i < 1$.

Extrapolating these couplings due to the one-loop running

$$\frac{4\pi}{g_a^2(\mu)} = k_a \frac{4\pi}{g_X^2} + \frac{b_a}{2\pi} \log\left(\frac{\mu}{M_X}\right) + \Delta_a$$

to higher scales, one finds that they all meet at

$$M_X \simeq 2 \cdot 10^{16} \text{ GeV}, \quad \alpha_s = \alpha_w = \frac{3}{5}\alpha_Y = \alpha_X \simeq \frac{1}{24},$$

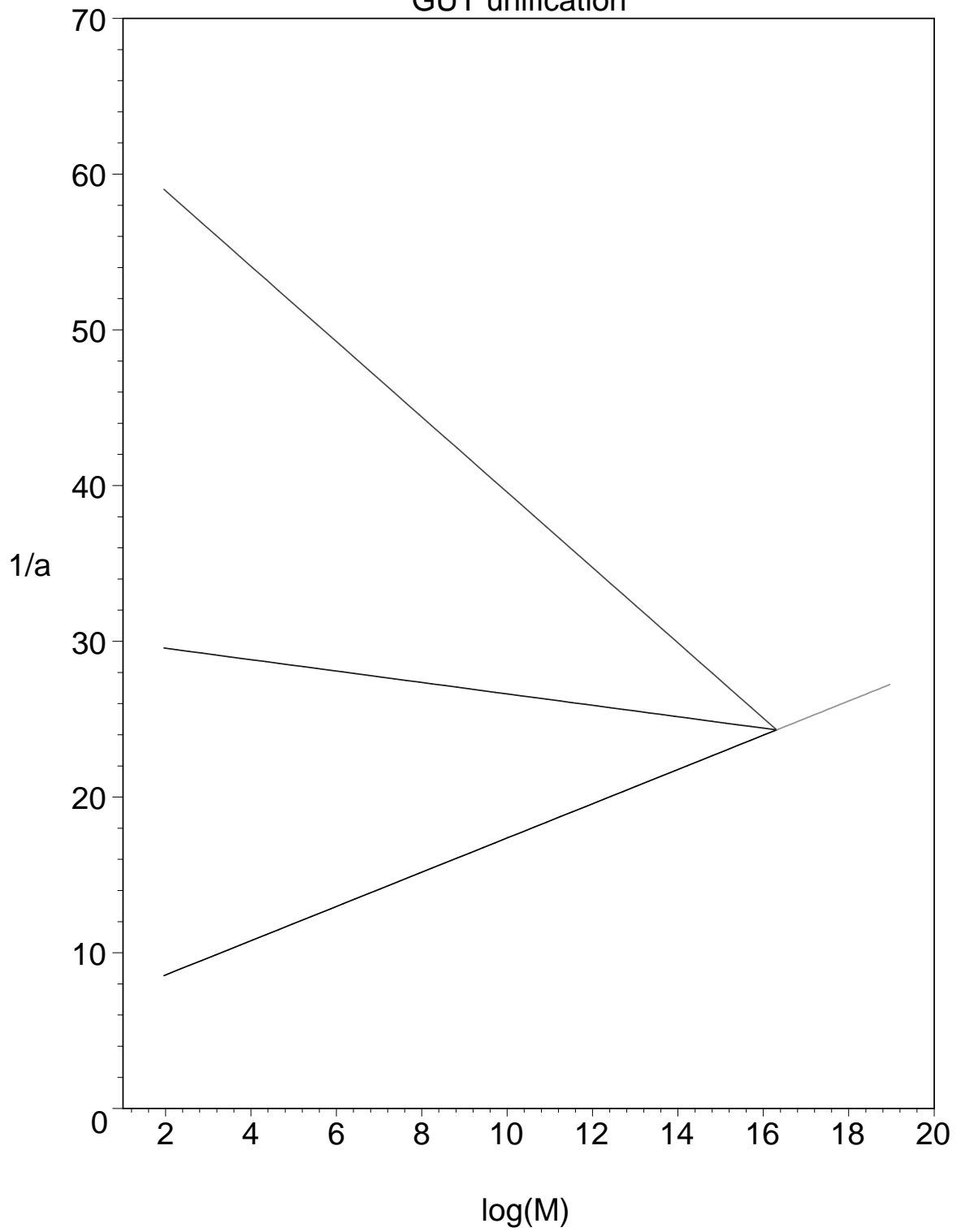
if the light spectrum contains just the MSSM particles.

This is in accord with for instance an $SU(5)$ Grand Unified gauge group at the GUT scale.

Shortcomings:

- Inherent problems with GUT models, like doublet-triplet splitting problem
- Since $M_X < M_{pl}$ no unification with gravity.

GUT unification



String model building

- 1986-1994: weakly coupled $E_8 \times E_8$ heterotic string on Calabi-Yau threefolds, E_6 GUT model with $\chi/2$ generations of chiral fermions, $\mathcal{N} = 1$ supersymmetry, string scale $M_s \simeq 10^{18} GeV$, concrete models have a lot of exotic matter.

review:(K. Dienes, hep-th/9602045)

- >1995: open string model building using D-branes, orientifolds, supersymmetric models with branes on singularities and intersecting D-branes, string scale in principle a free parameter, extreme case: $M_s \sim 1$ TeV allows for non-supersymmetric models, exotic matter, stability of non-susy configurations.

reviews:(C. Angelantonj, A. Sagnotti, hep-th/0204089)

(A.M. Uranga, hep-th/0301032)

Gauge Unification for Heterotic Strings

In string theory one has a **new scale** M_s , so that it is natural to identify M_X with M_s . In the heterotic string one finds

k_a = level of $SU(N_a)$ Kac – Moody algebra.

At **one loop level** the relation between the string and the Planck scale was found to be

$$M_s \simeq g_{st} \cdot 0.058 \cdot M_{pl},$$

which, using $g_{st} \simeq 0.7$, led to $M_s \simeq 5 \cdot 10^{17}$ GeV.

(Kaplunovsky, *Nucl. Phys. B307 (1988) 145*, hep-th/9205068)

The discrepancy between M_X and M_s needs to be explained by moduli-dependent **string threshold corrections** Δ_a (or alternatively by heterotic M-theory).

For adjoint scalars one needs $k_a > 1$, which makes the string construction fairly messy. Better to have **direct string unification** of the Standard model couplings without an enhanced grand unified gauge symmetry (no doublet-triplet splitting problem).

II. Introduction to Intersecting Brane Worlds

Intersecting Brane Worlds (IBW) have been proven to be a new branch in the M-theory moduli space, which exhibit nice phenomenological properties and where computations can be performed quite explicitly.

The general set-up:

Consider an orientifold background

$$\mathcal{X} = \mathbf{R}^{3,1} \times \frac{\mathcal{M}^6}{\Omega\bar{\sigma}}$$

with $\bar{\sigma}$ an anti-holomorphic involution.

The fixed point locus of $\bar{\sigma}$ gives rise to an orientifold $O6$ plane, whose RR charge must be canceled by introducing D-branes in the background.

Giving up the restriction of placing these D-branes parallel to the O-planes, they will intersect each other non-trivially leading to chirality and supersymmetry breaking.

(Berkooz, Douglas, Leigh, hep-th/9606139)

One gets the following massless modes in the effective four-dimensional space-time.

- Supergravity in the 10D bulk
- Gauge fields localized on the 7-dimensional world-volume of the D-branes
- Chiral matter localized on the 4-dimensional intersection locus of two D-branes

The number of chiral fermions is given by the [topological intersection numbers](#) between two D-branes.

The mass of the bosonic partners [depend on the intersection angles](#) between two-branes. Therefore, the breaking of supersymmetry depends on the conformal structure of the manifold \mathcal{M} .

Issues studied so far:

- String model building with [intersecting D-branes](#), construction of both non-supersymmetric and [non-trivial supersymmetric models](#).

(Blumenhagen, Görlich, Körs, Lüst, hep-th/0007024)

(Angelantonj, Antoniadis, Dudas, Sagnotti, hep-th/0007090)

(Aldazabel, Franco, Ibáñez, Rabadán, Uranga, hep-th/0011073, hep-ph/0011132)

(Blumenhagen, Körs, Lüst, hep-th/0012156)
(Ibanez, Marchesano, Rabadan, hep-th/0105155)
(Cvetic, Shiu, Uranga, hep-th/0107143, hep-th/0107166)

- Generic structure of intersecting brane worlds on general K3 and **Calabi-Yau manifolds**

(Blumenhagen, Braun, Körs, Lüst, hep-th/0206038,
hep-th/0210083)

- Computation of **Yukawa and four-point couplings** and discussion of their phenomenological implications, like **FCNC** and **proton decay** in GUT-like models

(Cvetic, Papadimitriou, hep-th/0303197)

(Abel, Owen, hep-th/0303124)

(Klebanov, Witten, hep-th/0304079)

- Computation of **gauge threshold corrections**

(Friedmann, Witten, hep-th/0211269)

(Lüst, Stieberger, hep-th/0302221)

Tadpole conditions

(Blumenhagen, Braun, Körs, Lüst, hep-th/0206038)

Choose the **anti-holomorphic involution** in local coordinates to be $\bar{\sigma} : z_i \rightarrow \bar{z}_i$.

The fixed locus, $\text{Fix}(\bar{\sigma})$, is a **sLag 3-cycle**, implying

$$\text{Vol}(\text{Fix}(\bar{\sigma})) = \int_{\text{Fix}(\bar{\sigma})} \Re(\Omega_3).$$

The **RR tadpole cancellation conditions** can be deduced from the Chern-Simons terms

$$\mathcal{S}_{\text{CS}}^{(\text{D6})} = \mu_6 \int_{\text{D6}} \text{ch}(\mathcal{F}) \wedge \sqrt{\frac{\hat{\mathcal{A}}(\mathcal{R}_T)}{\hat{\mathcal{A}}(\mathcal{R}_N)}} \wedge \sum_p C_p$$

for the D-branes and the O-planes

$$\mathcal{S}_{\text{CS}}^{(\text{O6})} = Q_6 \mu_6 \int_{\text{O6}} \sqrt{\frac{\hat{\mathcal{L}}(\mathcal{R}_T/4)}{\hat{\mathcal{L}}(\mathcal{R}_N/4)}} \wedge \sum_p C_p.$$

Introduce general **D6 branes** wrapped on the homology cycles π_a and their **$\Omega\bar{\sigma}$ images** π'_a .

For A-type D-branes wrapping sLag cycles with $\mathcal{F} = 0$ the RR 7-form charge on the compact manifold \mathcal{M}^6 vanishes if

$$\sum_a N_a (\pi_a + \pi'_a) + Q_6 \pi_{\text{O6}} = 0.$$

Tadpole conditions

The **disc level scalar potential** (tension of the branes) can be deduced from the DBI action for the D-branes. For sLag branes it can be written as

$$\mathcal{V} = T_6 e^{-\phi_4} \left(\sum_a N_a \int_{\pi_a + \pi'_a} \Re(e^{i\phi_a} \widehat{\Omega}_3) + Q_6 \int_{\pi_{O6}} \Re(\widehat{\Omega}_3) \right),$$

where the D-branes are calibrated with respect to the 3-form $\Re(e^{i\phi_a} \Omega_3)$.

In a **supersymmetric configuration** all branes are calibrated with respect to the same 3-form as the orientifold plane, so that

$$\mathcal{V} = T_6 e^{-\phi_4} \left(\sum_a N_a \int_{\pi_a + \pi'_a} \Re(\widehat{\Omega}_3) + Q_6 \int_{\pi_{O6}} \Re(\widehat{\Omega}_3) \right),$$

which **vanishes** due to the RR-tadpole condition.

Note, \mathcal{V} only depends on the **complex structure** (conformal structure) of \mathcal{M} .

The Chiral Massless Spectrum

Since the chiral spectrum has to satisfy some anomaly constraints, we expect that it is given by purely **topological data** (Atiyah-Singer index theorem).

The chiral massless spectrum indeed is completely fixed by the topological **intersection numbers of the 3-cycles** of the configuration.

Sector	Rep.	Number
$a' a$	A_a	$\frac{1}{2} (\pi'_a \circ \pi_a + \pi_{O6} \circ \pi_a)$
$a' a$	S_a	$\frac{1}{2} (\pi'_a \circ \pi_a - \pi_{O6} \circ \pi_a)$
$a b$	(\overline{N}_a, N_b)	$\pi_a \circ \pi_b$
$a' b$	(N_a, N_b)	$\pi'_a \circ \pi_b$

The **non-abelian gauge anomalies cancel automatically** and mixed $U(1)_a - SU(N)_b^2$ anomalies are canceled by a **generalized Green-Schwarz mechanism** involving dimensionally reduced RR-forms.

III. Gauge Couplings at Tree Level

In contrast to the heterotic string, here each gauge factor comes with its own gauge coupling, which at string tree-level can be deduced from the Dirac-Born-Infeld action

$$\frac{4\pi}{g_a^2} = \frac{M_s^3 V_a}{(2\pi)^3 g_{st} \kappa_a}$$

with $\kappa_a = 1$ for $U(N_a)$ and $\kappa_a = 2$ for $SP(2N_a)/SO(2N_a)$.

By dimensionally reducing the type IIA gravitational action one can similarly express the Planck mass in terms of stringy parameters ($M_{pl} = (G_N)^{-\frac{1}{2}}$)

$$M_{pl}^2 = \frac{8 M_s^8 V_6}{(2\pi)^6 g_{st}^2}$$

Eliminating the unknown string coupling g_{st} gives

$$\frac{1}{\alpha_a} = \frac{M_{pl}}{2\sqrt{2} \kappa_a M_s} \frac{V_a}{\sqrt{V_6}}$$

Due to

$$\frac{V_a}{\sqrt{V_6}} = \int_{\pi_a} \Re(e^{i\phi_a} \widehat{\Omega}_3)$$

the gauge coupling only depends on the complex structure moduli.

MSSM-like models

There are so far **two** simple ways to embed the standard model gauge group into products of unitary and symplectic gauge groups.

Both of them use **four stacks of D6-branes**, which give rise to the initial gauge symmetries

$$A : U(3) \times SP(2) \times U(1) \times U(1)$$

$$B : U(3) \times U(2) \times U(1) \times U(1).$$

The chiral spectrum of the intersecting brane world model should be identical to the chiral spectrum of the standard model particles.

This **fixes uniquely the intersection numbers** of the four 3-cycles, $(\pi_a, \pi_b, \pi_c, \pi_d)$.

field	sector	I	$SU(3) \times SU(2) \times U(1)^3$
q_L	(ab)	3	$(3, 2; 1, 0, 0)$
u_R	(ac)	3	$(\bar{3}, 1; -1, 1, 0)$
d_R	(ac')	3	$(\bar{3}, 1; -1, -1, 0)$
e_L	(db)	3	$(1, 2; 0, 0, 1)$
e_R	(dc')	3	$(1, 1; 0, -1, -1)$
ν_R	(dc)	3	$(1, 1; 0, 1, -1)$

The Hypercharge

The hypercharge Q_Y is given as the following linear combination of the three $U(1)$ s

$$Q_Y = \frac{1}{3}Q_a - Q_c - Q_d.$$

In general some of the stringy $U(1)$ s are anomalous and get a mass via some generalized Green-Schwarz mechanism.

However, for intersecting brane worlds it can also happen that via axionic couplings some anomaly-free abelian gauge groups become massive.

The condition that a linear combination $U(1)_Y = \sum_i c_i U(1)_i$ remains massless reads

$$\sum_i c_i N_i (\pi_i - \pi'_i) = 0.$$

In general, if the hypercharge is such a linear combination of $U(1)$ s, $Q_Y = \sum_i c_i Q_i$, then the gauge coupling is given by

$$\frac{1}{\alpha_Y} = \sum_i \frac{N_i c_i^2}{2} \frac{1}{\alpha_i}.$$

In our case

$$\frac{1}{\alpha_Y} = \frac{1}{6} \frac{1}{\alpha_a} + \frac{1}{2} \frac{1}{\alpha_c} + \frac{1}{2} \frac{1}{\alpha_d}.$$

Realizing the MSSM in IBWs

There exists a **most natural and economical way** of realizing the Standard Model intersection numbers.

Say one finds two supersymmetric 3-cycles π_a and π_b with the intersection numbers $\pi_a \circ \pi_b = 3$, then homologically choosing $\pi_d = \pi_a$ gives the right intersection numbers for π_d .

Therefore

$$V_a = V_d.$$

The condition that $U(1)_Y$ remains massless simply implies $\pi'_c = \pi_c$.

Therefore, at the bottom of this simple realization there lies an extended **Pati-Salam like model**

$$U(4) \times SU(2) \times SU(2).$$

From the field theory point of view it is very natural to assume, that the **two gauge couplings of the two $SU(2)$ factors are the same**, i.e.

$$V_c = V_b.$$

Realizing the MSSM in IBWs

From the stringy point of view, even though we cannot rigorously prove it in the general case, the constraints from supersymmetry and the intersection numbers $\pi_a \circ \pi_b = -\pi_a \circ \pi_c = 3$ do not seem to leave very much room to evade that the internal volumes for the cycles π_b and π_c agree.

Therefore

$$\alpha_d = \alpha_a = \alpha, \quad \alpha_c = \frac{1}{2}\alpha_b = \frac{1}{2}\alpha_w,$$

which implies the Pati-Salam like tree-level relation

$$\frac{1}{\alpha_Y} = \frac{2}{3} \frac{1}{\alpha_s} + \frac{1}{\alpha_w}.$$

IV. Running of the gauge couplings

Using the string prediction of the relation among the gauge couplings at the **string scale**, we can now use the **one-loop running** of the gauge couplings down to the **weak scale**.

In the **absence of threshold corrections**, the one-loop running of the three gauge couplings is described by the well known formulas

$$\begin{aligned}\frac{1}{\alpha_s(\mu)} &= \frac{1}{\alpha_s} + \frac{b_3}{2\pi} \ln\left(\frac{\mu}{M_s}\right) \\ \frac{\sin^2 \theta_w(\mu)}{\alpha(\mu)} &= \frac{1}{\alpha_w} + \frac{b_2}{2\pi} \ln\left(\frac{\mu}{M_s}\right) \\ \frac{\cos^2 \theta_w(\mu)}{\alpha(\mu)} &= \frac{1}{\alpha_Y} + \frac{b_1}{2\pi} \ln\left(\frac{\mu}{M_s}\right),\end{aligned}$$

where (b_3, b_2, b_1) are the one-loop beta-function coefficients for $SU(3)_c$, $SU(2)_L$ and $U(1)_Y$.

Using **tree level relation** at the string scale yields

$$\frac{2}{3} \frac{1}{\alpha_s(\mu)} + \frac{2 \sin^2 \theta_w(\mu) - 1}{\alpha(\mu)} = \frac{B}{2\pi} \ln\left(\frac{\mu}{M_s}\right)$$

with

$$B = \frac{2}{3} b_3 + b_2 - b_1.$$

Running of the Gauge Couplings

Employing the measured Standard Model parameters

$$\begin{aligned} M_Z &= 91.1876 \text{ GeV}, & \alpha_s(M_Z) &= 0.1172, \\ \alpha(M_Z) &= \frac{1}{127.934}, & \sin^2 \theta_w(M_Z) &= 0.23113 \end{aligned}$$

the resulting value of the unification scale **only depends on the combination B** of the beta-function coefficients.

In general besides the chiral matter string theory contains also **additional vector-like matter**.

This is also localized on higher dimensional intersection loci of the $D6$ branes and also comes with **multiplicity n_{ij}** with $i, j \in \{a, b, c, d\}$.

One finds the following contribution to B

$$\begin{aligned} B &= 12 - 2 n_{aa} - 4 n_{ab} + 2 n_{a'c} + 2 n_{a'd} - 2 n_{bb} + 2 n_{c'c} \\ &\quad + 2 n_{c'd} + 2 n_{d'd}. \end{aligned}$$

B does not depend on the number of **weak Higgs** multiplets n_{bc} .

Running of the Gauge Couplings

For the **unification scale** one finds

B	M_s
18	$3.36 \cdot 10^{11}$
16	$5.28 \cdot 10^{12}$
14	$1.82 \cdot 10^{14}$
12	$2.04 \cdot 10^{16}$
10	$1.51 \cdot 10^{19}$
8	$3.06 \cdot 10^{23}$

For the **MSSM** one has $(b_3, b_2, b_1) = (3, -1, -11)$, i.e. $B = 12$ and the unification scale is the usual **GUT scale**

$$M_X = 2.04 \cdot 10^{16} \text{ GeV.}$$

Of course, for the individual **gauge couplings at the string scale** we get

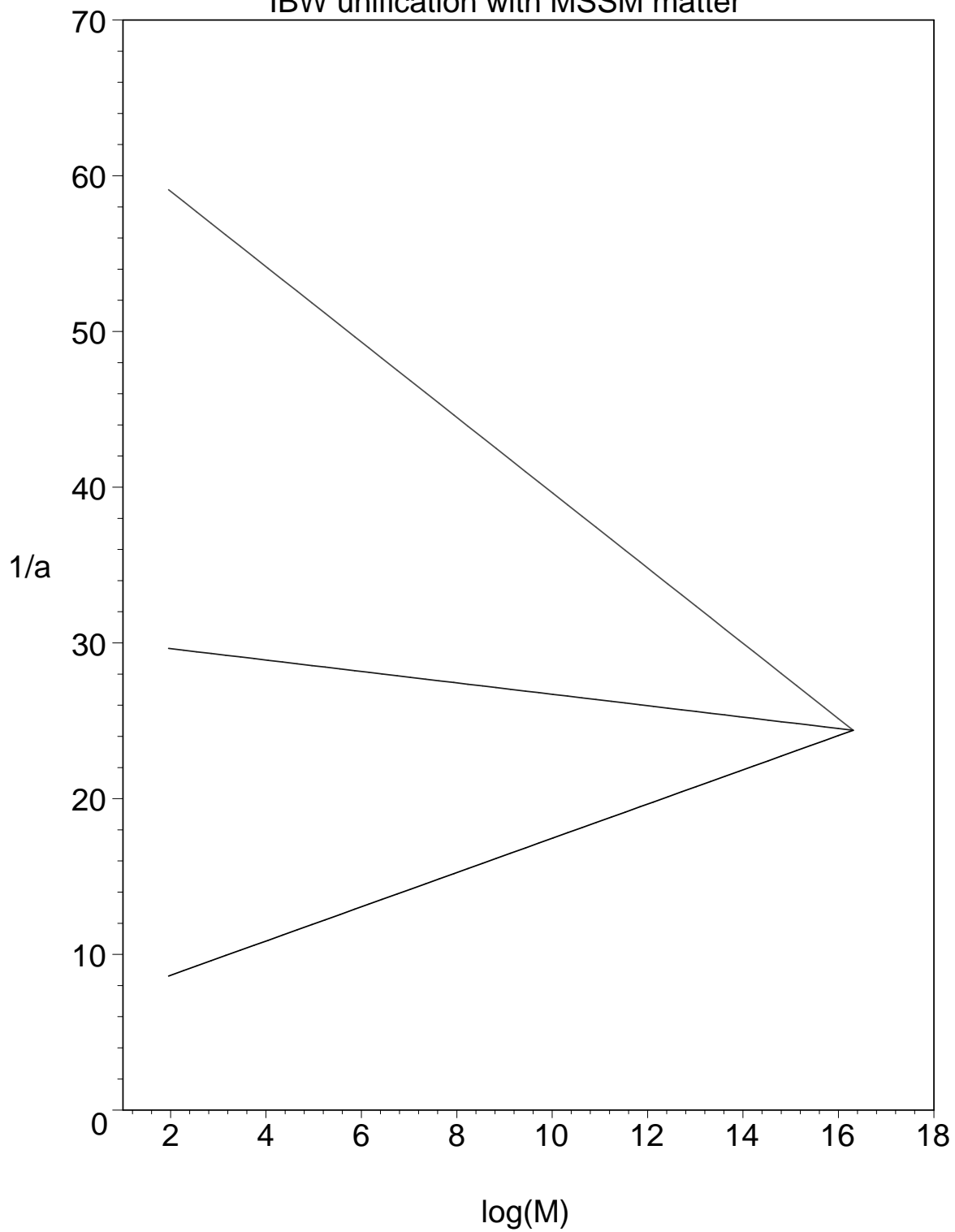
$$\alpha_s(M_s) = \alpha_w(M_s) = \frac{5}{3}\alpha_Y(M_s) = 0.041,$$

which are just the supersymmetric **GUT scale** values with the Weinberg angle being $\sin^2 \theta_w(M_s) = 3/8$.

Assuming $g_{st} = g_X$, for the **internal radii** one obtains

$$M_s R = 5.32, \quad M_s R_s = 2.6, \quad M_s R_w = 3.3.$$

IBW unification with MSSM matter



Comparison to Heterotic String

Comparison to Heterotic String:

- Only **one relation** among the three gauge couplings at the string scale.
- **No discrepancy** between M_X and M_s .
- Difference for the string scale couplings achieved for appropriate **choice of radii** (string tree level) as opposed to one-loop threshold corrections for the heterotic string.
- Concerning **$SU(5)$ GUT**: Adjoint scalars are easy to get (in most cases one even has too many of them), but chiral matter in anti-symmetric representations poses some **problems with Yukawa couplings**.

V. More examples

Example A:

If we have a model with a **second weak Higgs** field, i.e. $n_{bc} = 1$, we still get $B = 12$ but with

$$(b_3, b_2, b_1) = (3, -2, -12).$$

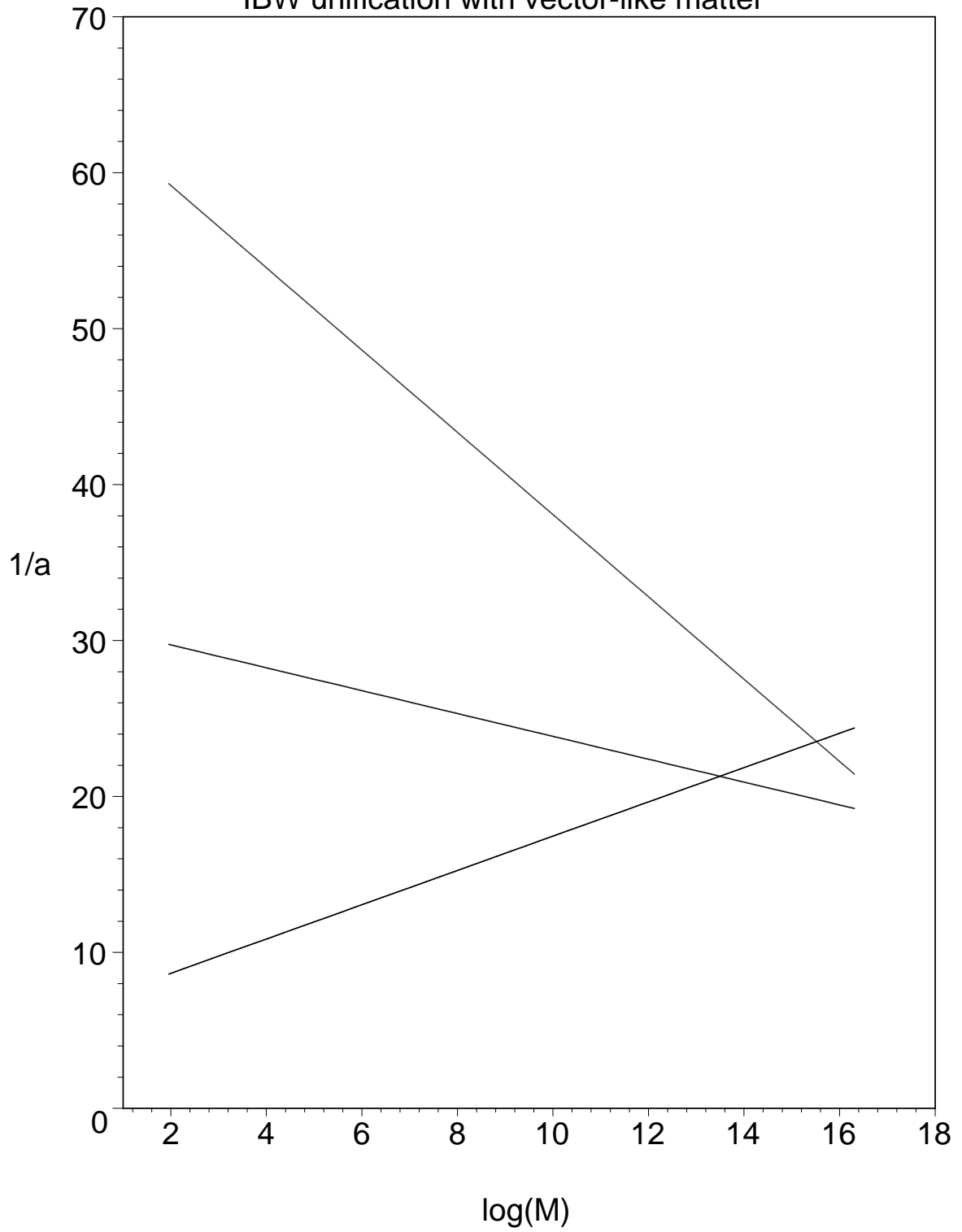
The **gauge couplings** "unify" at the scale

$$M_s = 2.02 \cdot 10^{16} \text{GeV}.$$

However they are not all equal at that scale

$$\alpha_s(M_s) = 0.041, \quad \alpha_w(M_s) = 0.052, \quad \alpha_Y(M_s) = 0.028.$$

IBW unification with vector-like matter



Intermediate Scale Model

Example B:

For models with **gravity mediated supersymmetry breaking** (hidden anti-branes) the string scale is naturally in the **intermediate regime** $M_s \simeq 10^{11} \text{GeV}$.

Choosing **vector-like** matter

$$n_{a'a} = n_{a'd} = n_{d'd} = 2, \quad n_{bb} = 1$$

leads to $B = 18$.

The string scale turns out to be

$$M_s = 3.36 \cdot 10^{11} \text{GeV}.$$

The **running** of the couplings with

$$(b_3, b_2, b_1) = (-1, -3, -65/3)$$

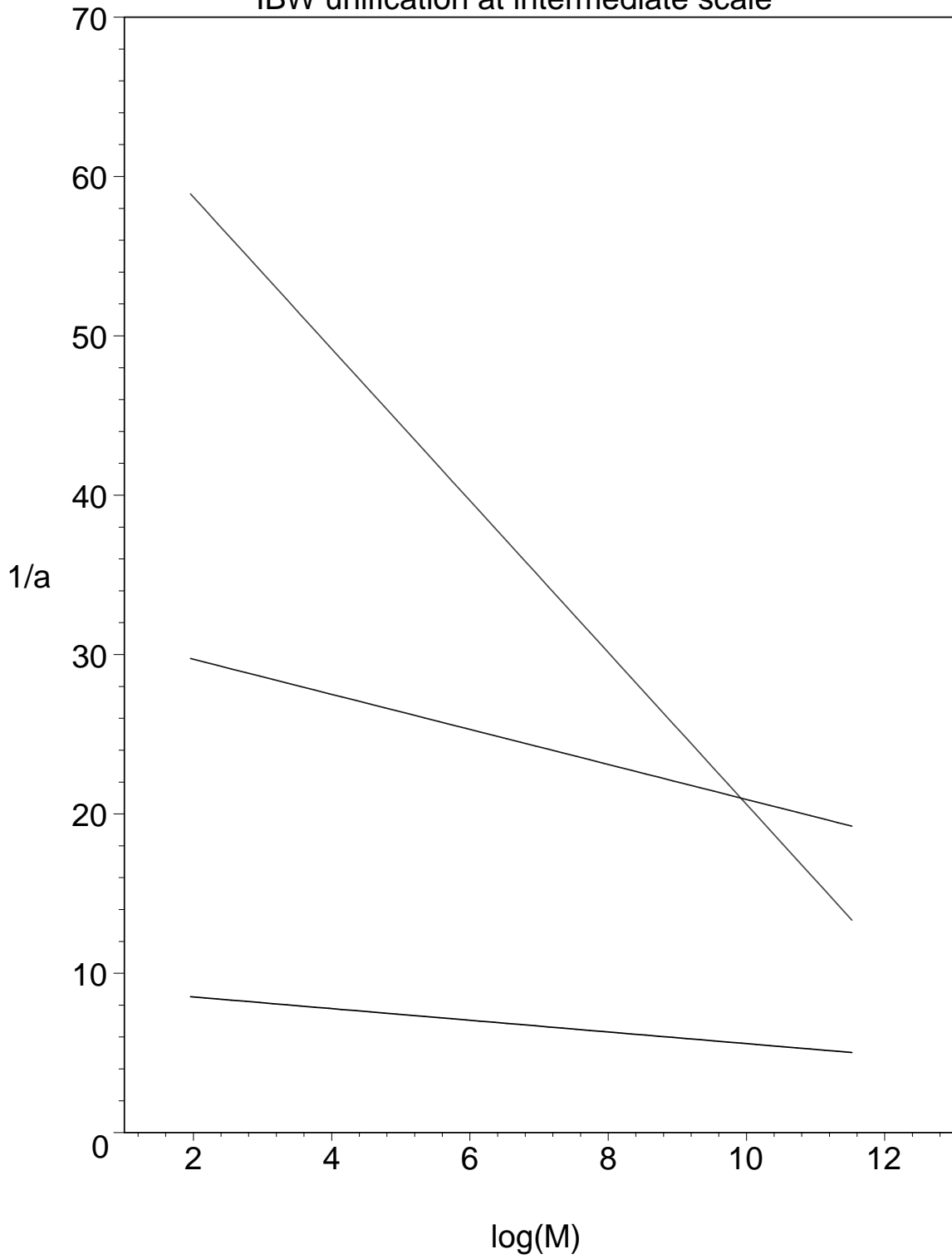
leads to the values of the **gauge couplings** at the string scale

$$\alpha_s(M_s) = 0.199, \quad \alpha_w(M_s) = 0.052, \quad \alpha_Y(M_s) = 0.045.$$

Assuming $g_{st} \simeq 1$, for the **internal radii** one obtains

$$M_s R = 230, \quad M_s R_s = 1.7, \quad M_s R_w = 3.3.$$

IBW unification at intermediate scale



Planck scale model

Example C:

Interestingly for $B = 10$ one gets

$$\frac{M_s}{M_{pl}} = 1.24 \sim \sqrt{\frac{\pi}{2}}.$$

Choosing **vector-like** matter

$$n_{aa} = 1,$$

the beta-function coefficients read

$$(b_3, b_2, b_1) = (0, -1, -11).$$

The **couplings** at the string scale turn out to be

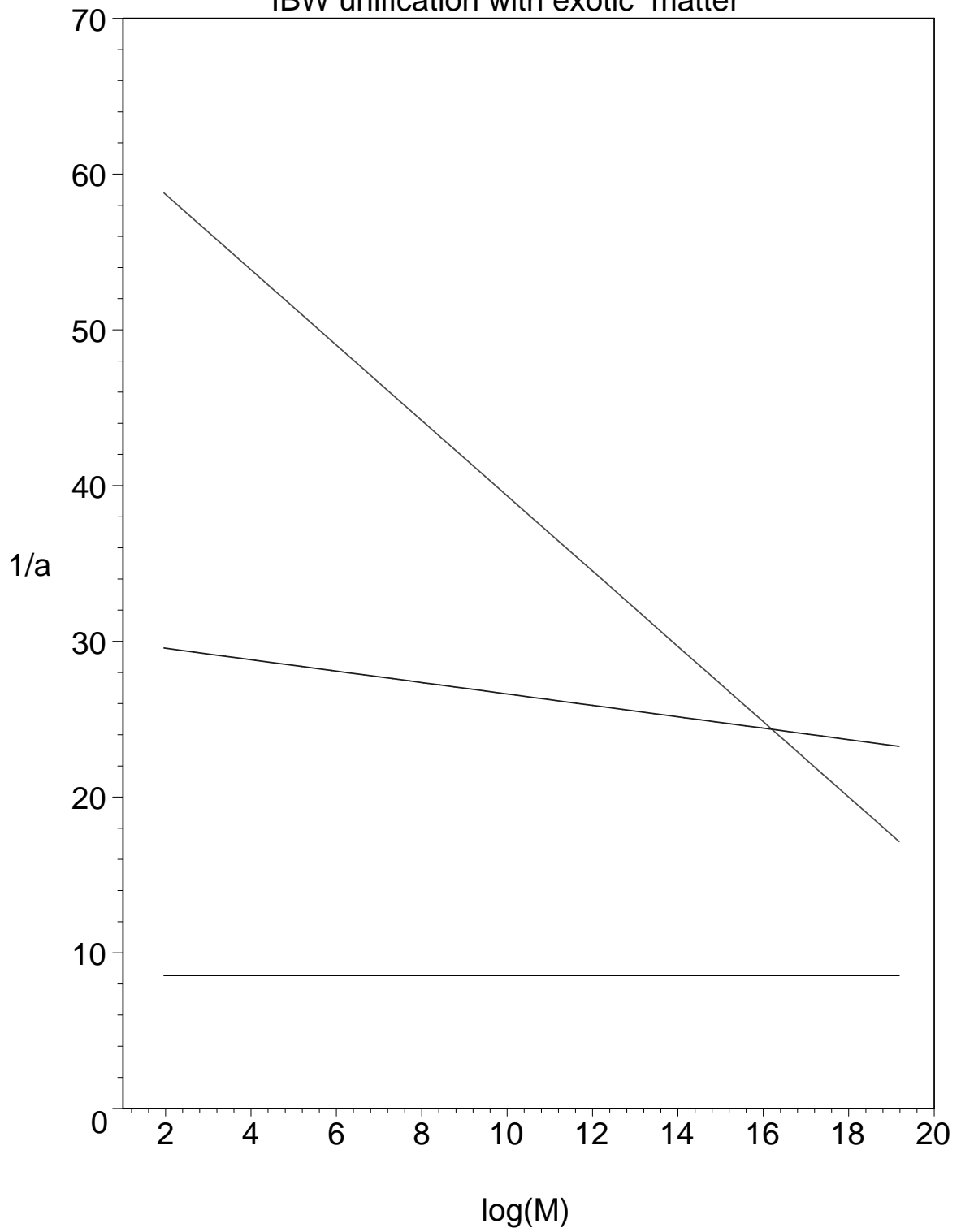
$$\alpha_s(M_s) = 0.117, \quad \alpha_w(M_s) = 0.043, \quad \alpha_Y(M_s) = 0.035$$

leading to $\sin^2 \theta_w(M_s) = 0.445$.

For the **scales** of the overall Calabi-Yau volume and the 3-cycles we obtain

$$M_s R = 0.6, \quad M_s R_s = 1.9, \quad M_s R_w = 3.3.$$

IBW unification with exotic matter



VI. Conclusions

- Under a few natural assumptions supersymmetric Intersecting Brane World Models can make interesting predictions about gauge coupling unification.
- The challenge remains to construct realistic supersymmetric IBW models with the chiral spectrum of the MSSM and only a mild amount of vector-like matter.
- For a concrete model it would be interesting to compute the string threshold corrections.