

ORIGIN OF  
PRIMORDIAL  
PERTURBATIONS

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UC DAVIS

©

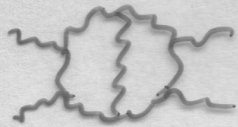
MANOJ KAPLINGHAT  
MATT KLEBAN  
ALBION LAWRENCE  
STEVE SHENKER  
LENNY SUSSKIND

# OUTLINE

- \* EFT AND THE UNIVERSE
- \* COSMOLOGICAL PROBLEMS AND INFLATION
- \* EMERGENCE OF PERTURBATIONS
- \* PERTURBATIONS AND NEW PHYSICS
- \* SUMMARY

# COSMOLOGY

RECOURSE TO EFFECTIVE FIELD THEORY:  
CLASSICAL GR IS NOT A STAND-ALONE  
THEORY BECAUSE IT IS NOT UV COMPLETE



... LOOP DIVERGENCES!

INSTEAD: A THEORY WITH A CUTOFF:

$$l > l_p \sim M_p^{-1}$$

WORKS WONDERFULLY AT DISTANCES  
LONG COMPARED TO  $l_p$  DECOUPLING

IN COSMOLOGY: PHYSICS AT SCALES  $L$   
IS INDEPENDENT TO LEADING ORDER OF  
THE PHYSICS AT SCALES  $l \ll L$   
LOCALITY, CAUSALITY & COVARIANCE!

CAVEAT: COSMOLOGICAL CONSTANT PROBLEM  
SO FAR DEFIED ALL ATTEMPTS TO SOLVE IT  
WITHIN A LOCAL, CAUSAL, COVARIANT FRAMEWORK.  
DOES THIS SUGGEST OUR ASSUMPTIONS ARE  
WRONG?

WE WILL CONTINUE TO IGNORE THIS...

# BASIC OBSERVATIONS

THE UNIVERSE IS :

- \* VERY OLD AND BIG  
( $\tau \sim 14 \cdot 10^9$  yrs &  $L \gtrsim 4500$  Mpc)
- \* HOMOGENEOUS AND ISOTROPIC  
(THE SAME FOR ANY OBSERVER AT A GIVEN TIME, WITH ACCURACY  $\Delta \sim 10^{-5}$ )
- \* SPATIALLY FLAT  
(WITH SPATIAL GEOMETRY APPROXIMATED BY EUCLIDEAN GEOMETRY, WITH ACCURACY 1%)
- \* EXPANDING, WITH  $v = H R$   
(HUBBLE'S LAW,  $H \approx 65$  km/s/Mpc)
- \* FILLED WITH MATTER WHICH IS MOSTLY INVISIBLE  
(THE USUAL BARYONS & LEPTONS COMPRISE ONLY ABOUT  $\sim 1\%$  OF THE UNIVERSE)

# CMB ANISOTROPIES

HOWEVER, THE UNIVERSE IS NOT PERFECTLY SMOOTH - THERE ARE "SMALL BLEMISHES" - PERTURBATIONS IN THE DISTRIBUTION OF MATTER

$$\frac{\delta \rho}{\rho} \sim 10^{-5}$$

GALAXIES, CLUSTERS, NEBULAE ...

THEY YIELD THE SMALL TEMPERATURE FLUCTUATIONS IN THE CMB:

$$\frac{\delta T}{T} \sim \frac{\delta \rho}{\rho} \sim 10^{-5} \quad \text{SACHS-WOLFE}$$

MEASURED IN THE CMB!

COBE, 1982

CMB ANISOTROPIES A PERFECT TOOL FOR OBSERVERS!

BOOMERANG, MAXIMA, WMAP, PLANCK, ...

FO'S HARRISON & ZELDOVITCH

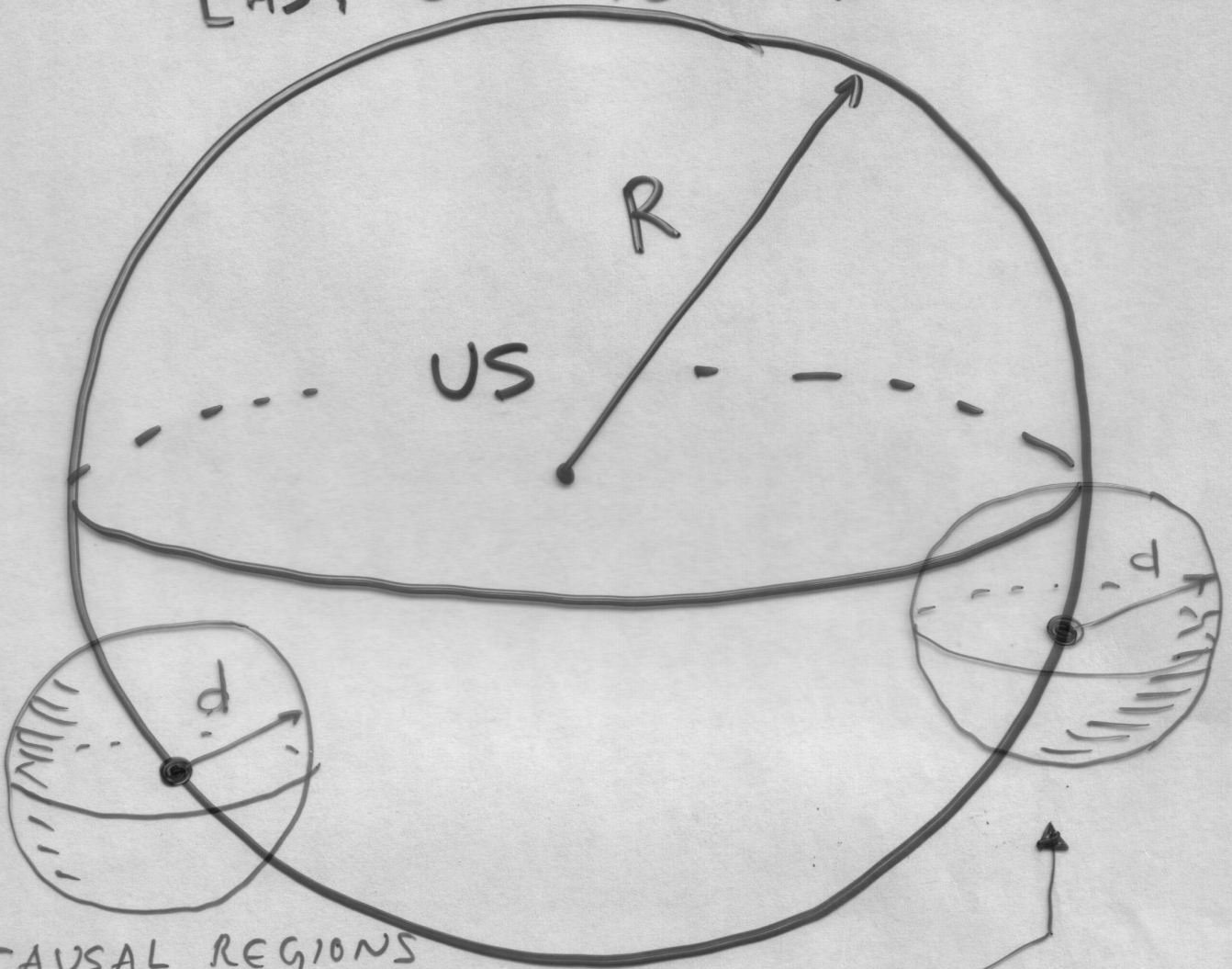
THE OBSERVED STRUCTURES IN THE UNIVERSE (GALAXIES, CLUSTERS, VOIDS ETC) CAN BE EXPLAINED BY GRAVITATIONAL INSTABILITY ( $\rightarrow$  CLUMPING) IF THERE WAS AN INITIAL SCALE-INVARIANT SPECTRUM OF FLUCTUATIONS

WHAT GAVE RISE TO IT?

THE ANSWER IS RELATED TO THE SOLUTION OF THE OTHER COSMIC CONUNDRAS...

HOMOGENEITY, ISOTROPY, AGE, FLATNESS...

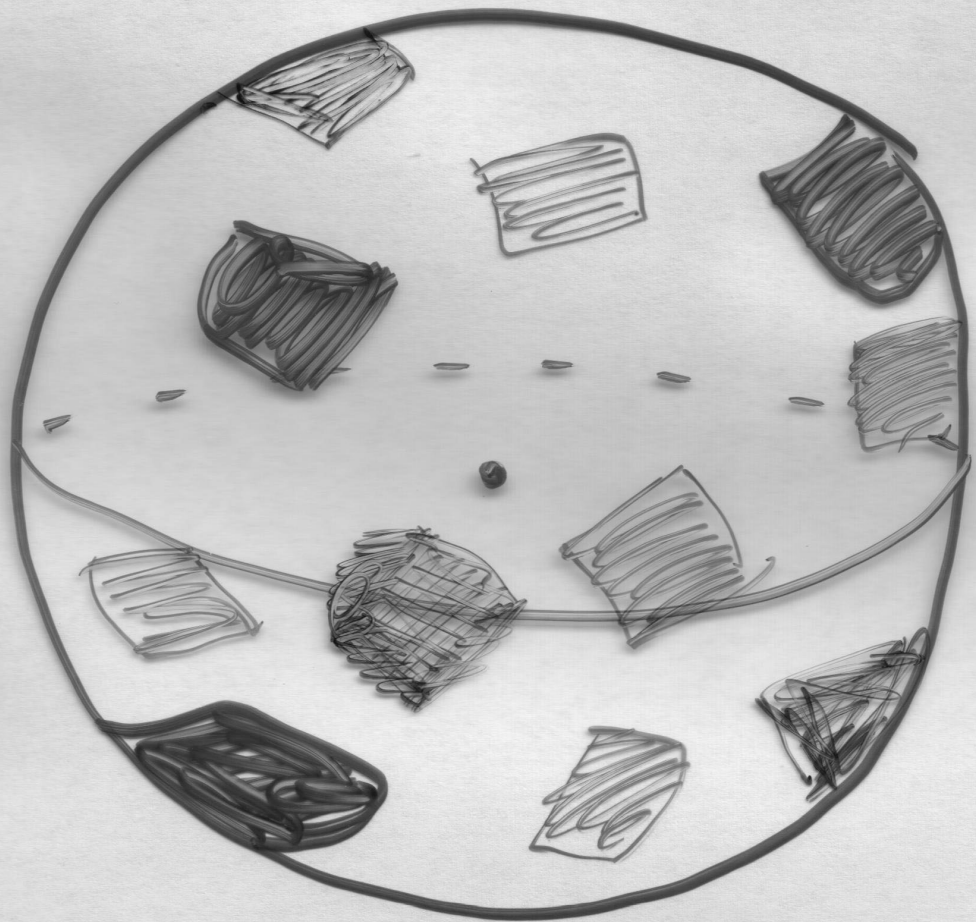
# LAST SCATTERING SURFACE



CAUSAL REGIONS  
AT DECOUPLING

THESE REGIONS ARE OUTSIDE  
OF CAUSAL CONTACT !!!

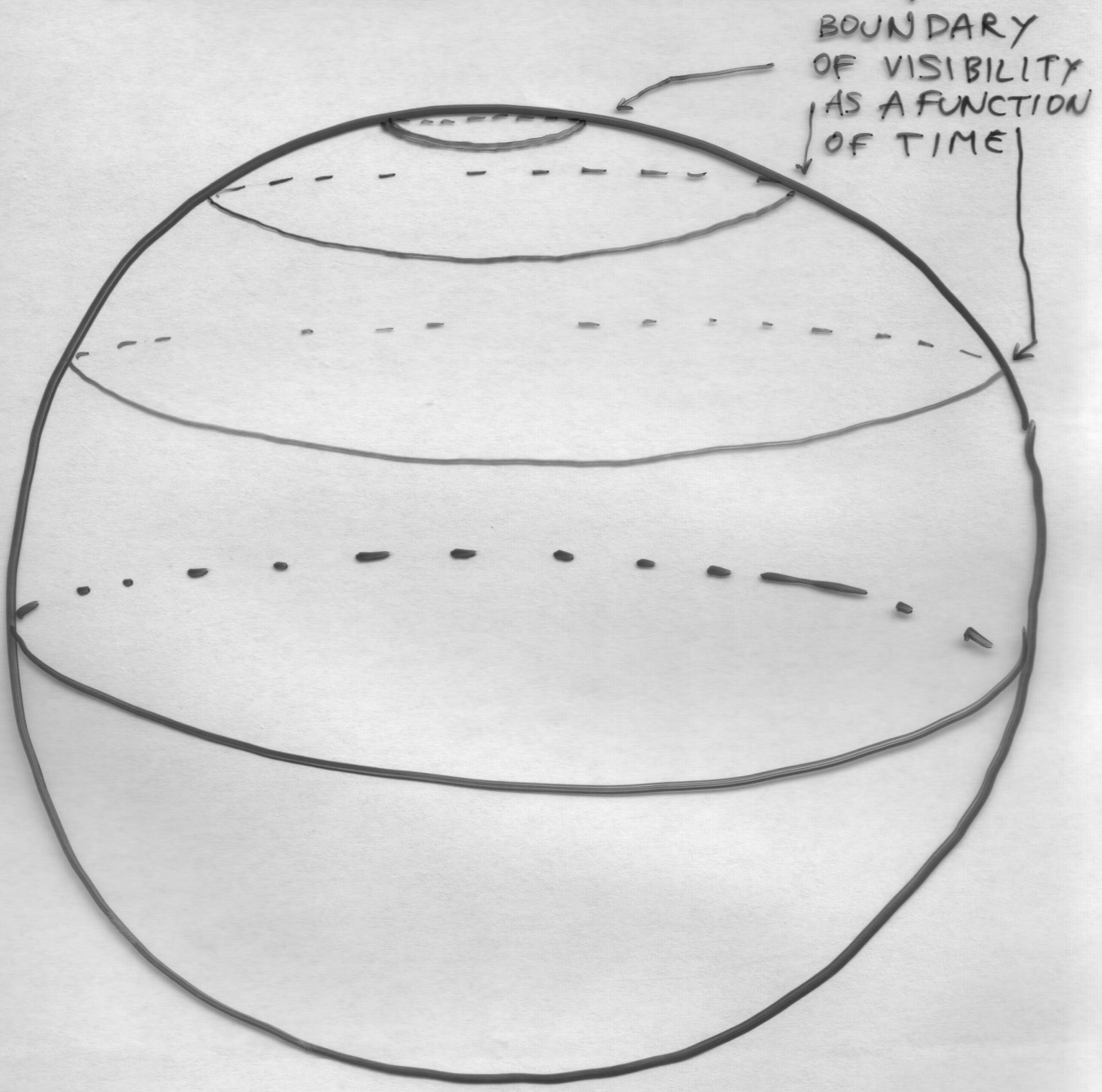
WE SHOULD EXPECT THE  
UNIVERSE TO LOOK MUCH  
MORE PATCHY!



$$\frac{\Delta T}{T} \sim 1, \text{ NOT } 10^{-5} ?!$$



# CURVATURE PROBLEM



WHY IS THE BALLOON SO  
BIG?!

## FLATNESS

$$H^2 + \frac{k}{a^2} = \frac{8\pi G_N}{3} \rho$$

DEF:  $\Omega = \frac{\rho}{\rho_c} = \frac{\rho}{\frac{3H^2}{8\pi G_N}}$

$$\Omega = 1 + \frac{k}{a^2 H^2}$$

TODAY:  $H^{-1} \sim 10^{60} \text{ lp}$      $a \gtrsim 10^{60} \text{ lp}$

PLANCK:  $H^{-1} \sim \text{lp}$      $a \gtrsim 10^{30} \text{ lp}$

$$\therefore \Omega = 1 + 10^{60} (\Omega_p - 1)$$

AT THE PLANCK TIME  $\Omega_p$  MUST BE  
1 WITH THE PRECISION OF ...

1 PART PER  $10^{60}$  !

A SOLUTION:

# COSMIC INFLATION

A. GUTH, 81, A. LINDE, 82

A. ALBRECHT & P. STEINHARDT, 82

IDEA: THE VERY EARLY UNIVERSE  
WAS DOMINATED BY DARK ENERGY  
- A NON-CLUMPING FORM OF MATTER  
WITH  $\rho \sim \text{const}$

THEN

$$3H^2 + 3\frac{k}{R^2} = 8\pi G_N \rho \approx \text{const}$$

$$\rightarrow H \sim \text{const}, R \sim e^{Ht}$$

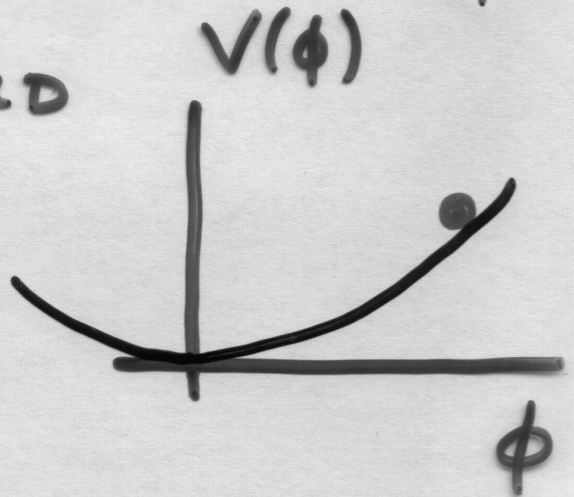
THE COSMIC BALLOON STARTED  
GROWING EXPONENTIALLY FAST!

# DYNAMICS OF INFLATION

LINDE, '82

$\phi$  : INFLATON FIELD

$$H = \frac{\dot{a}}{a}$$

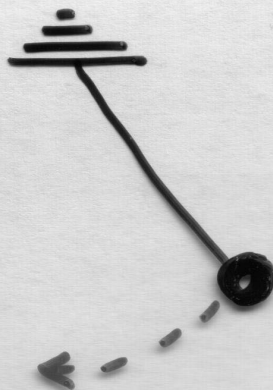


$$3H^2 = 8\pi G_N \left( \frac{\dot{\phi}^2}{2} + V(\phi) \right)$$

$$\ddot{\phi} + 3H\dot{\phi} + \frac{\partial V}{\partial \phi} = 0$$

INFLATION OCCURS WHEN THE FRICTION TERMS DOMINATE OVER THE ACCELERATION TERMS: SLOW ROLL

ANALOGY: PENDULUM IN A VERY VISCOUS MEDIUM



OVERDAMPED!

BUT: IN QM IT FLUCTUATES!

# QUANTUM FLUCTUATIONS

QUANTUM FLUCTUATIONS OF THE INFLATON ARE IMPRINTED ON THE BACKGROUND AS SMALL RIPPLES ON THE SPACE-TIME  $\rightarrow$  SO THEY PRODUCE DENSITY FLUCTUATIONS

THE OVERDENSE REGIONS WILL EVENTUALLY BEGIN TO COLLAPSE BY JEANS INSTABILITY  
THE ORIGIN OF STRUCTURE: GALAXIES ETC...

## HEURISTIC "DERIVATION"

$$\delta\rho \sim H\rho\delta\tau$$

$$\delta\phi \sim \dot{\phi}\delta\tau$$

$$\frac{\delta\rho}{\rho} = \frac{H}{\dot{\phi}}\delta\phi$$

BACKGROUND METRIC ALSO FLUCTUATES  
→ TENSOR GRAVITON MODES ARE  
GETTING EXCITED

TO COMPUTE: GO TO THE AXIAL  
GAUGE (TRANSVERSE-TRACELESS) AND  
RECALL THAT EACH GRAVITON POLARIZATION  
IS A (SCALAR FIELD) X (POLARIZATION TENSOR)

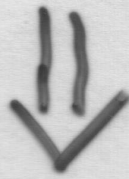
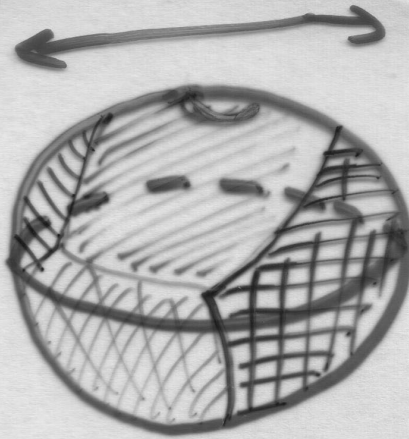
$$\therefore \frac{\delta \rho_g}{\rho} = 2 \frac{\delta h}{M_p}$$

TO LEADING ORDER BOTH  $\delta\phi$   
AND  $\delta h$  ARE FIELDS WHICH HAVE  
WEAK SELFINTERACTIONS AND COUPLE  
TO THE BACKGROUND → TREAT  
THEM AS ESSENTIALLY FREE FIELDS  
IN INFLATING SPACETIME

FLUCTUATIONS  $\equiv$  PARTICLE PRODUCTION

$c t_p \sim 10^{-43}$  lightseconds

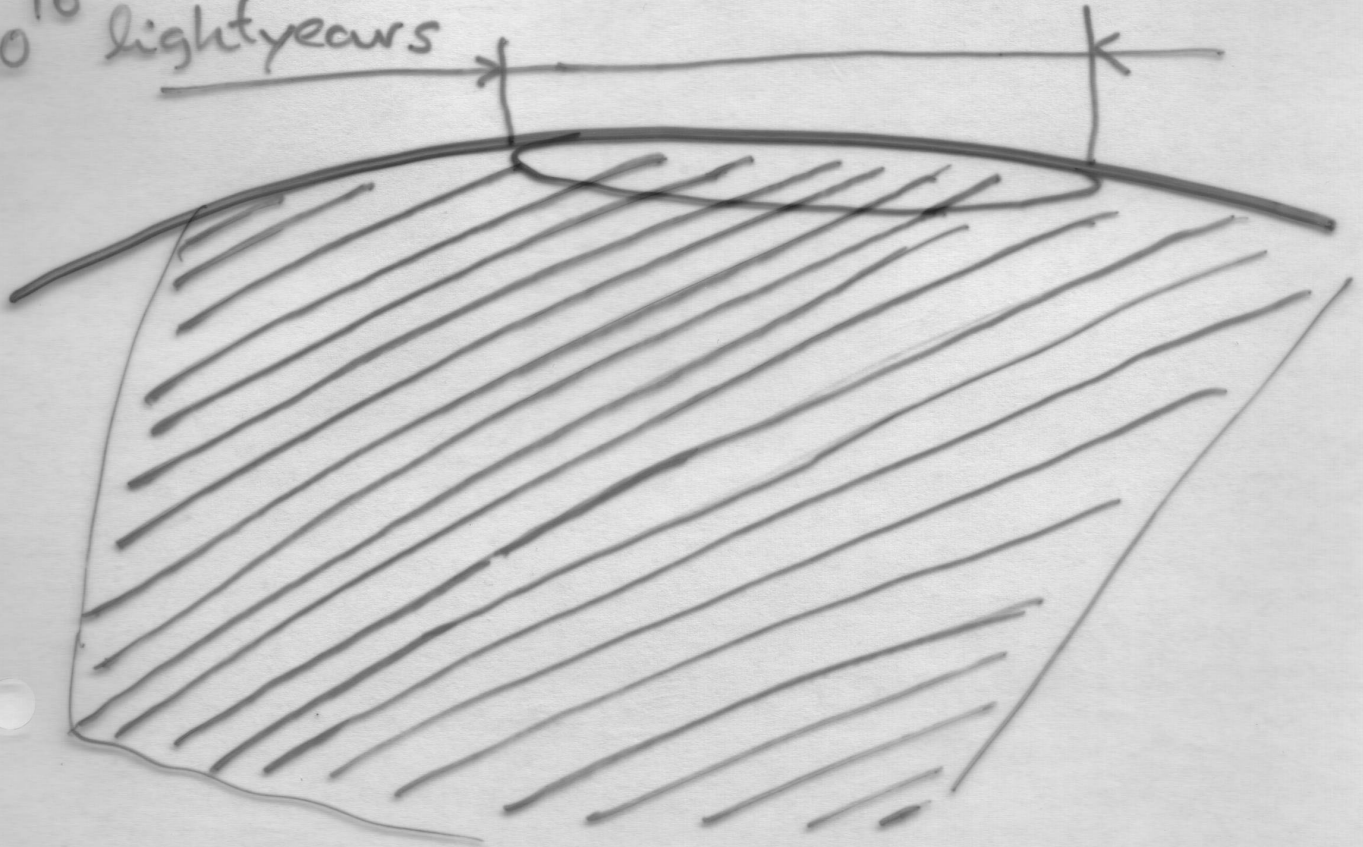
L143



INFLATION +  
SUBSEQUENT  
EVOLUTION

OUR OBSERVABLE  
UNIVERSE

$10^{10}$  lightyears



A PAIR CREATED FROM THE VACUUM CAN

\* ANNIHILATE, LEADING TO A BUBBLE DIAGRAM CORRECTION TO  $\lambda_4$  FINE-TUNED AWAY AS IS USUAL

\* PREVENTED FROM ANNIHILATION BY COSMOLOGICAL STRETCHING, BOGOLUBOV PARTICLE PRODUCTION  $\approx$  IMPRINTING OF A CLASSICAL WAVE

$$\lambda_{\text{physical}} = \frac{a(t)}{k} \quad k: \text{WAVE VECTOR}$$

WHEN  $\lambda_{\text{physical}} \geq H^{-1}$  MODE

"FREEZES": e.g. DEFINE CONFORMAL TIME  $\eta = -\frac{1}{H} e^{-Ht}$  AND  $\psi = \frac{\phi}{\eta}$ :

$$\psi'' + \left(k^2 - \frac{2}{\eta^2}\right) \psi = 0$$

SO: WHEN  $k^2 \eta^2 \lesssim 2 \rightarrow \lambda_{\text{physical}} \geq H^{-1}$

MODES BECOME POWER-LAW:  $\psi \rightarrow \frac{A}{\eta} + B\eta^2$

$$\phi \rightarrow A + B e^{-3Ht}$$

FREEZE-OUT!



(NEED: CONSIDER SMALL FLUCTUATIONS

$$\ddot{\phi}_k + 3H\dot{\phi}_k + \left(m^2 + \frac{k^2}{a^2}\right)\phi_k = 0$$

A TECHNICAL ASIDE: FOR SIMPLICITY

TAKE  $H \approx \text{CONST}$ ,  $a \approx a_0 \exp(Ht)$

DEFINE CONFORMAL TIME  $\eta = -\frac{1}{H} \exp(-Ht)$

AND REDEFINE THE SCALAR BY  $\phi = \eta \psi$

USING  $f' = \frac{df}{d\eta}$ ,

$$\psi'' + \left(k^2 - \frac{2 - \frac{m^2}{H^2}}{\eta^2}\right)\psi = 0$$

IGNORE  $\frac{m^2}{H^2}$ ; THEN:

1)  $\eta^2 \gg \frac{2}{k^2} \rightarrow \psi \sim A \cos(k\eta + \delta)$

UV:  $\phi_k \sim e^{-Ht} \phi_0 \cos(k\eta + \delta)$

2)  $\eta^2 \ll \frac{2}{k^2} \rightarrow \psi \sim \frac{A}{\eta} + B\eta^2$

IR:  $\phi_k \sim A + B e^{-3Ht}$

INDEED: CONSIDER GAUGE-INVARIANT  
SMALL FLUCTUATIONS: BARDEEN

$$ds^2 = a^2 \left( -(1-2\Phi) d\eta^2 + (1+2\Phi) d\vec{x}^2 \right)$$

$$\phi = \phi(\eta) + \delta\phi(\eta, \vec{x})$$

$$\textcircled{a} \quad \phi' \delta\phi = -2M_p^2 \left( \Phi' + \frac{a'}{a} \Phi \right)$$

CURVATURE PERTURBATION MUKHANOV, '82

$$\Psi = a \delta\phi - \frac{a\phi'}{a'/a} \Phi$$

$\therefore$  DURING SLOW ROLL INFLATION,  $H \approx \text{CONST}$ ,  
 $a \approx a_0 \exp(Ht)$ ,  $\eta = -\frac{1}{H} \exp(-Ht)$   
as " $\eta_0 \rightarrow -\infty$ !"

$$\Psi_k'' + \left( k^2 - \frac{2+\dots}{\eta^2} \right) \Psi_k = 0$$

IN THE IR THIS REDUCES TO A  
BEAUTIFUL PICTURE OF CLASSICAL  
PARAMETRIC RESONANCE

L. KOFMAN

# CURVATURE PERTURBATION

$$\delta\Phi \sim \frac{H}{\dot{\Phi}} \left\langle \frac{\psi}{a} \right\rangle$$

QUANTUM MECHANICS DETERMINES  
THE NORMALIZATION OF  $\left\langle \frac{\psi}{a} \right\rangle$ ;

STANDARD RESULT:  $\left\langle \frac{\psi}{a} \right\rangle \sim H$

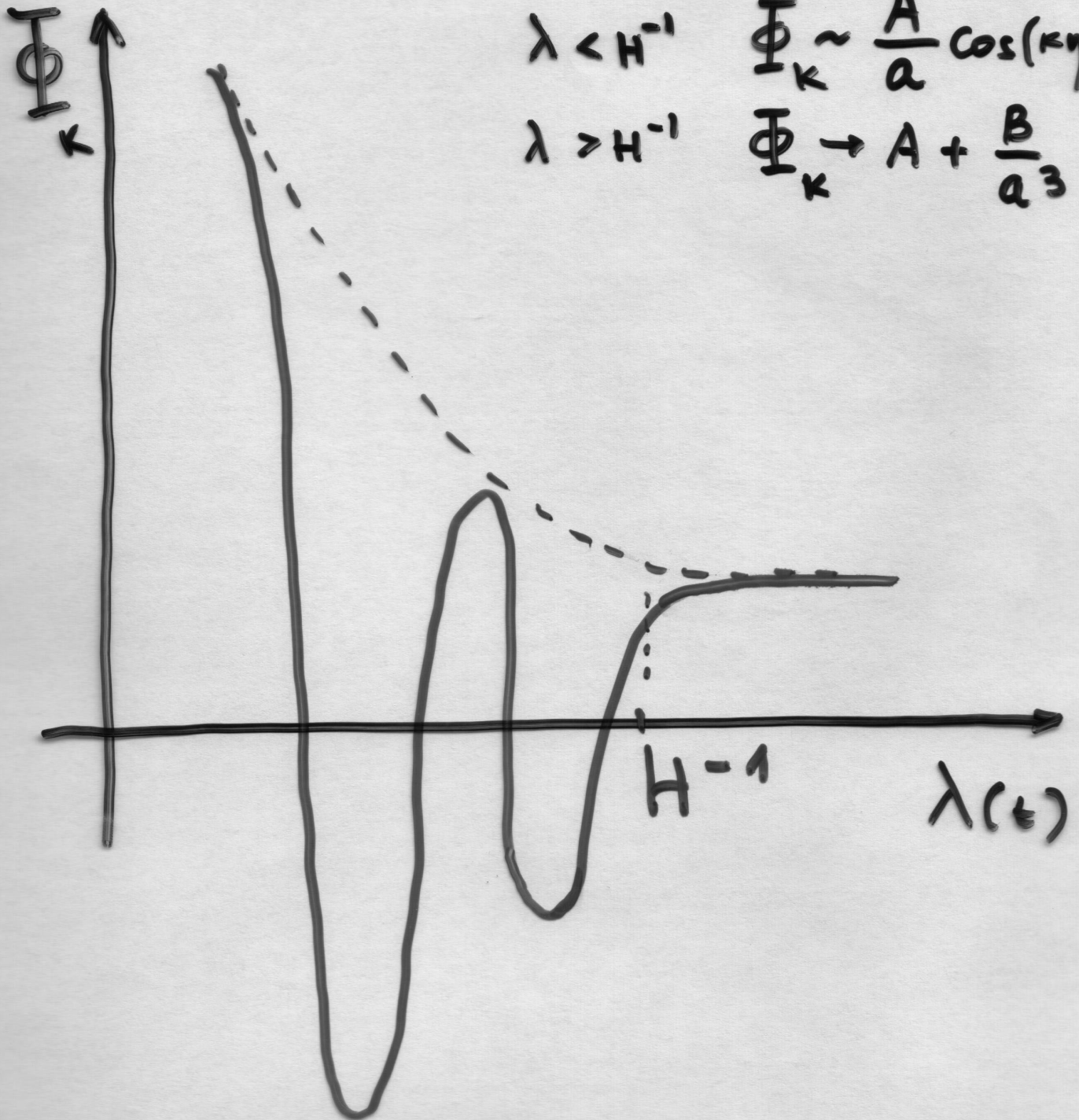
$$\frac{\delta\rho}{\rho} \sim \frac{H^2}{\dot{\Phi}}$$

ALMOST INDEPENDENT OF  $k$  (i.e.  $\epsilon$ )  
SINCE  $H \approx \text{CONST.}$ ,  $\dot{\Phi} \approx \text{CONST.}$  DURING  
SLOW ROLL REGIME

$$\lambda(t) = \lambda_0 a(t) \propto e^{Ht}$$

$$\lambda < H^{-1} \quad \Phi_k \sim \frac{\hat{A}}{a} \cos(\kappa\eta + \delta)$$

$$\lambda > H^{-1} \quad \Phi_k \rightarrow A + \frac{B}{a^3}$$



TYPICALLY, USING EQS OF MOTION

$$H \sim \frac{\sqrt{V}}{M_p} \quad \dot{\phi} \sim \frac{1}{H} \frac{\partial V}{\partial \phi}$$

$$\therefore \frac{\delta \rho}{\rho} \sim \frac{V^{3/2}}{M_p V'} \sim \left( \frac{M}{M_p} \right)^\alpha$$

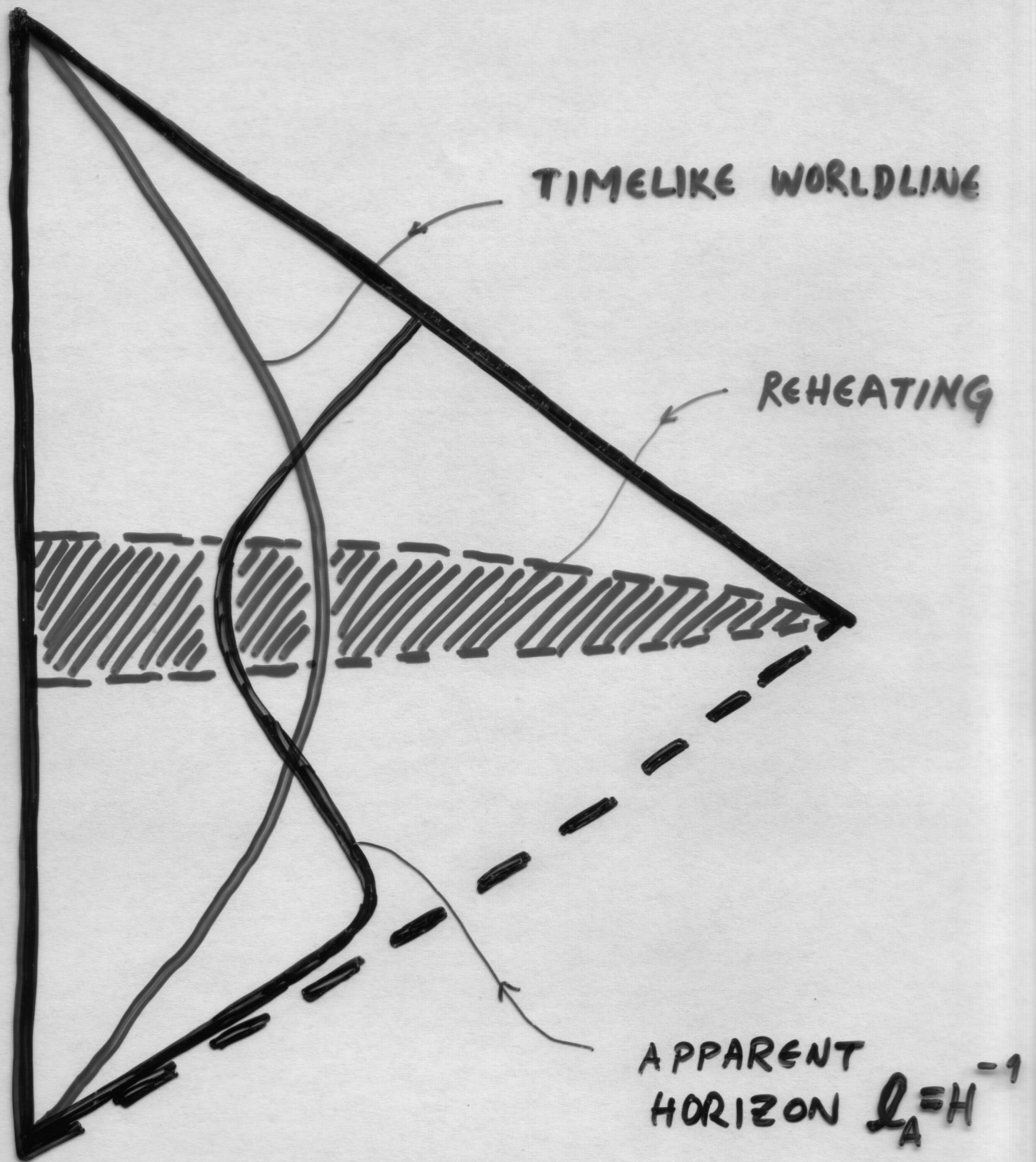
$M = V^{1/4}$  : SCALE OF INFLATION

$\alpha$  : LOW INTEGER : 1, 2, ... (MODEL-DEPENDENT)

$$\frac{\delta \rho}{\rho} \sim 10^{-5} \rightarrow M \sim 10^{10} M_p - 10^5 M_p$$

ONE INPUT! SLOW ROLL  
GUARANTEES SCALE INVARIANCE!

KEY PREDICTION ...

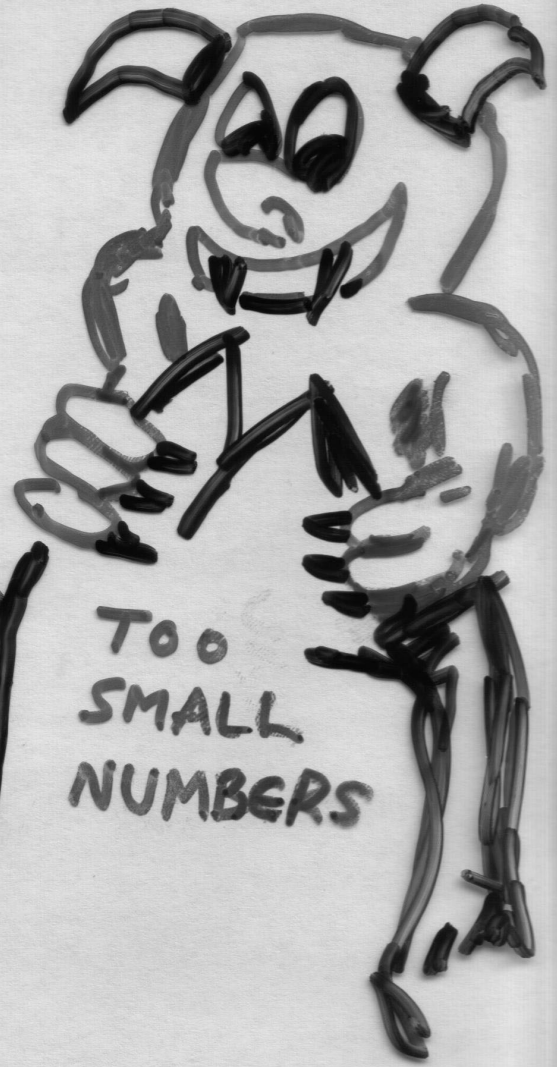
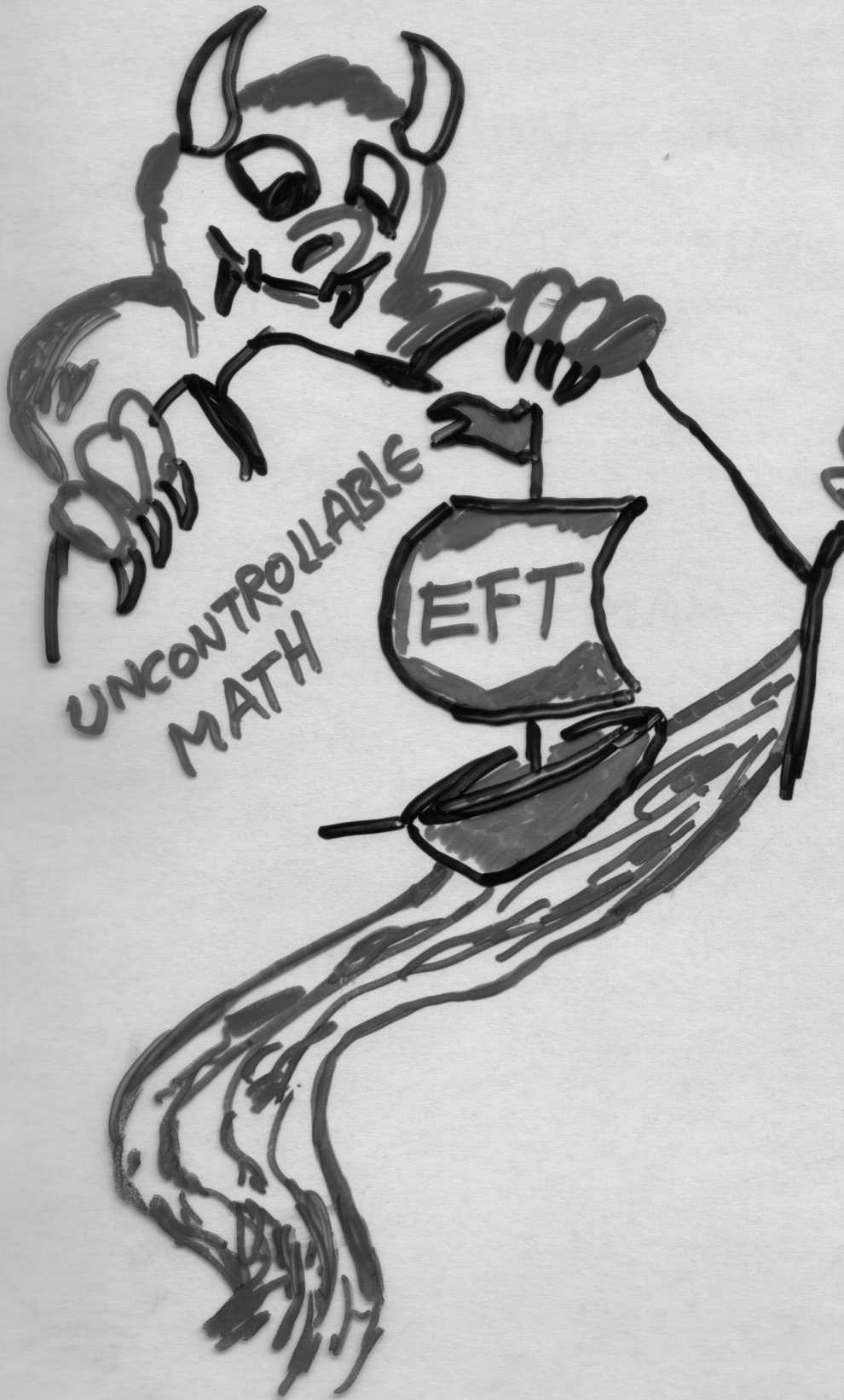


KALOPEL, KICEBAN, LAWRENCE, SHENKER, 2002

NOTE:  $M \sim 10^{-10} M_p - 10^{-5} M_p$

$M \sim 10^9 \text{ GeV} - 10^{14} \text{ GeV}$

COULD INFLATIONARY DYNAMICS  
BE SENSITIVE TO NEW  
HIGH ENERGY PHYSICS?





## WHICH SCALES ARE FUNDAMENTAL?

EG: GAUGE HIERARCHY PROBLEM: WHY ARE THERE SUCH DISPARATE SCALES WHERE DIFFERENT FORCES BECOME STRONG?

- \* DESERT PARADIGM: ALL FORCES UNIFY NEAR  $M_{GUT} \sim 10^{16} \text{ GeV}$  AND THE TeV- $M_{GUT}$  DESERT IS PROTECTED BY SUSY, BROKEN AT TeV

## REALLY HIGH SCALES IN NATURE!

- \* LARGE X-TRA DIMENSIONS: ALL FORCES BECOME STRONG AT TeV, AND HIERARCHY COMES FROM DILUTION IN X-TRA D

LOW ENERGY INDICATIONS OF HIGH SCALES ARE A MIRAGE!

## TESTS:

- \* PROTON DECAY - IRRELEVANT OPERATORS

- \* RG RUNNING - LOG. UNIFICATION

- \* COSMOLOGY - INFLATION

BRANDENBERGER & MARTIN  
TANAKA  
EASTHER, GREENE, KINNEY, SHU  
KEMPF & NIEMEYER  
HUI & KINNEY  
KKLS, KKLS, KK  
DANIELSSON  
STAROBINSKY & TRACHOU  
BURGESS, HOLMAN, CLINE, LEMIEUX  
GIUDICE, KOLB, RIOTTO & TRACHOU  
CHUNG, NOTARI, RIOTTO ...

TO CALCULATE FLUCTUATIONS: USE EFFECTIVE FIELD THEORY!

THERE ARE 4 SCALES IN THE IN ASCENDING ORDER

$$m < H < M < \sqrt{\dot{\phi}}$$

INFLATON  
MASS

HUBBLE  
SCALE

SCALE OF  
NEW PHYSICS

SCALE OF  
INFLATON  
KINETIC ENERGY

SPLIT THE THEORY AS BACKGROUND + FLUCTUATIONS AND ORGANIZE IT BY THESE SCALES

FLUCTUATIONS LIGHT:  $m < H$

NEW PHYSICS HEAVY:  $M > H$  INTEGRATE OUT!

RESULT: EFFECTIVE ACTION FOR FLUCTUATIONS ON TOP OF THE INFLATING BACKGROUND!

BACKGROUND "DECOUPLED":  $\sqrt{\dot{\phi}} > M > H > m$

SO IT IS MERELY A SPECTATOR (ONCE ONE ENSURES THAT RADIATIVE CORRECTIONS DO NOT LIFT THE INFLATON POTENTIAL!)

CALCULATION: RECALL  $\frac{\delta p}{p} = \frac{H \delta \phi}{\dot{\phi}}$

QUANTUM MECHANICS PROVIDES THE  
CORRECT NORMALIZATION FOR THESE MODES

MUST QUANTIZE IN CURVED SPACE-TIME  
CHOICE OF VACUUM!!

$$\delta\phi = \delta\phi_0 + \delta\phi_1 + \delta\phi_2 + \dots$$

FREE

INTERACTIONS

$$\delta\phi_0 = \langle \phi \phi \rangle^{\frac{1}{2}} = \frac{H}{2\pi} \quad \text{---}$$

$$\delta\phi_1 = \langle \phi \mathcal{L}_I \phi \rangle^{\frac{1}{2}} = \frac{H}{2\pi} c \frac{H^2}{M^2} \quad \Omega$$

...

$$\delta\phi = \frac{H}{2\pi} \left( 1 + c \frac{H^2}{M^2} + \dots \right)$$

SIMILAR PROCEDURE FOR TENSORS!

THIS LEADS TO (def:  $\delta_S = \frac{2}{5} \frac{\delta \rho}{\rho}$ )

$$\delta_S = \frac{1}{\sqrt{75} \pi} \frac{V^{\frac{3}{2}}}{m_{PL}^3 \partial_\phi V} \left( 1 + \underline{C_S \frac{H^2}{M^2}} + \dots \right)$$

$$\delta_T = \frac{1}{\sqrt{60} \pi} \frac{V^{\frac{1}{2}}}{m_{PL}^2} \left( 1 + \underline{C_T \frac{H^2}{M^2}} + \dots \right)$$

def:  $n_T = 2 \frac{\partial \ln \delta_T}{\partial \ln k}$

TENSOR TILT

$$\epsilon = \frac{3 \dot{\phi}^2}{2V}$$

SLOW ROLL PARAMETER

$$n_T + 2 \left( \frac{\delta_T}{\delta_S} \right)^2 = -2\epsilon C_S \frac{H^2}{M^2} + O(\epsilon^2)$$

AN IN-PRINCIPLE EFFECT OF NEW PHYSICS WHICH LEADS TO DEVIATIONS AWAY FROM THE STANDARD INFLATIONARY CONSISTENCY CONDITION TO SUBLEADING ORDER IN P.T.

KALOPEL, KLEBAN, LAWRENCE & SHENKER,  
HUI & KINNEY

WITHOUT  $\delta_T$ , WE COULD REINTERPRET  $\propto C_S \frac{H^2}{M^2}$  AS A DIFFERENT POTENTIAL

$|\alpha\rangle$

OUR RESULT ( $\propto \frac{H^2}{M^2}$ ) DEPENDS CRUCIALLY  
ON VACUUM CHOICE: THERMAL (AKA  
ADIABATIC, BUNCH-DAVIES...) VACUUM

OTHER CHOICES: INFLATION APPROX DE SITTER  
 $\Rightarrow$  VACUUM (APPROX) DS INVARIANT

IN DS  $\exists$  CONTINUOUS  $\infty$  OF INVARIANT STATES!

$$a_k^\alpha |\alpha\rangle = 0$$

$$a_k^\alpha = N_\alpha (a_k - e^{\alpha^*} a_k^\dagger), \quad N_\alpha = \frac{1}{\sqrt{1 - \exp(\alpha + \alpha^*)}}$$

Chernikov & Tagitov; Gehrmann & Schomblond;  
Schomblond & Spindler; Mottola; Allen;  
Basso, Maloney & Strominger

$$\text{Re } \alpha < 0$$

CORRECTIONS TO  $\frac{\delta \rho}{\rho} \propto \left( \frac{H}{M} t \dots \right)$   
Danielsson;  
Easther, Greene,  
Kinney & Sklar

BUT: BACKREACTION HUGE, ATTEMPTS TO  
CONTROL IT BREAK LOCALITY, DECOUPLING...

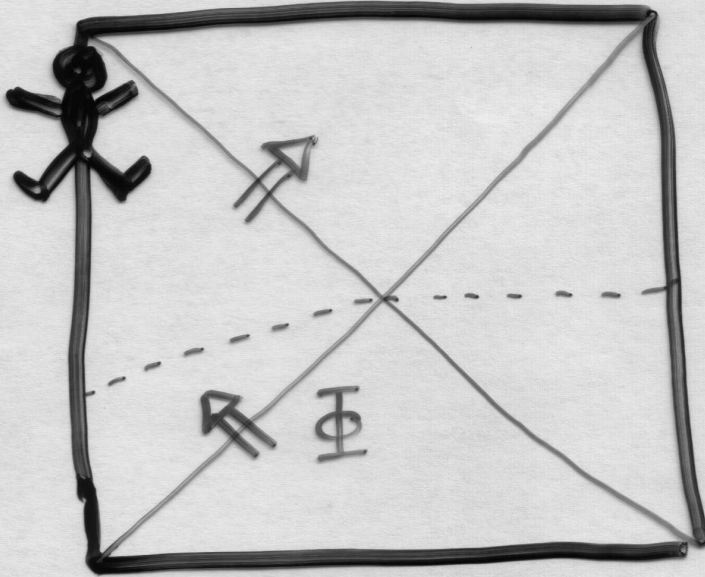
KKLSS

BANKS & MANNELLI

EIVHORN & LARSEN


POSITIVE MESSAGE: THERMAL VACUUM  
IS THE RIGHT CHOICE!

# THINKING IN A BOX ...

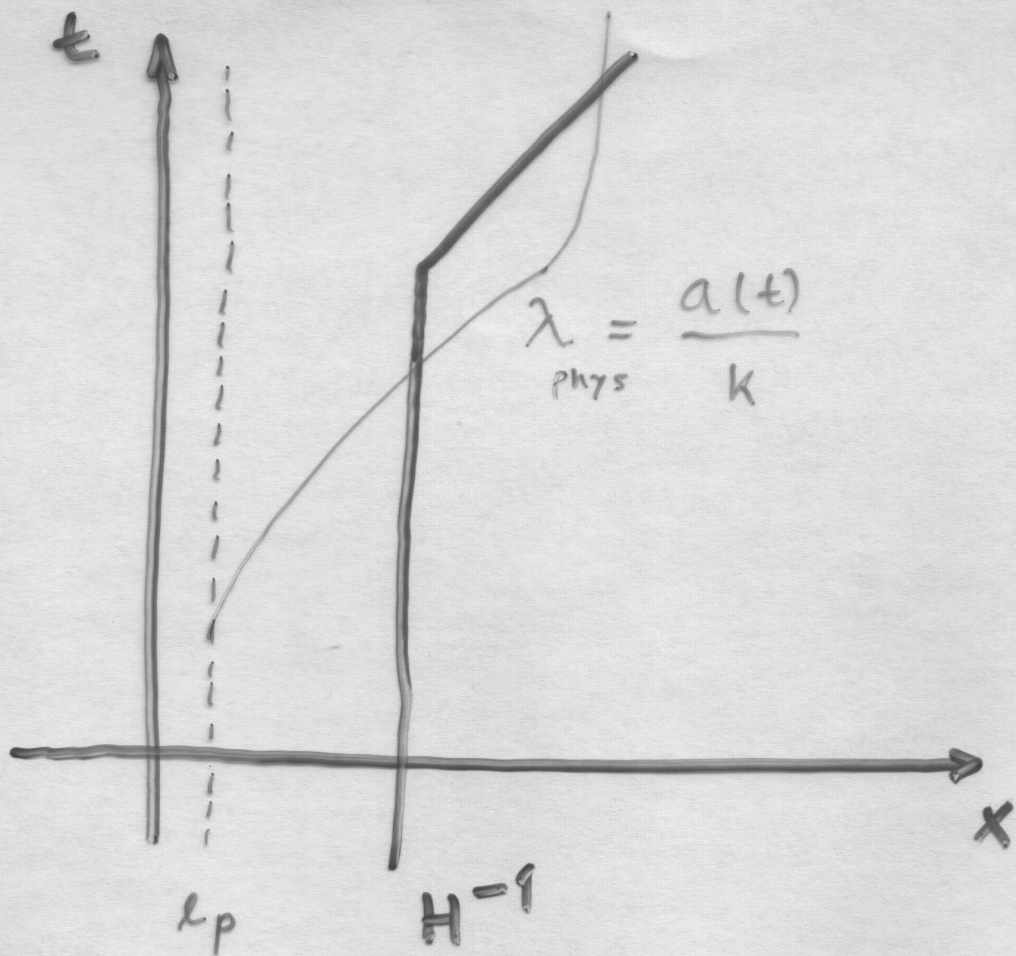


$$\bar{\Phi} = 0 \quad \text{THERMAL } (\alpha = 0) \quad \bar{\Phi} \neq 0 \quad (\alpha \neq 0)$$

$$\alpha \neq 0 \quad \text{LEVEL OCCUPANCY} \rightarrow e^{-\alpha} \quad E > |k|H$$

$\therefore$   SEES A SHOWER OF HIGH ENERGY QUANTA  $\rightarrow$  AT THE HORIZON THEIR ENERGY  $\rightarrow \infty$  BECAUSE OF BLUESHIFT

WHY WOULD  TRUST EFT OF THE BACKGROUND ??



BUT :

CONSIDER

$$\Theta = \int \Psi$$

GAUGE INVARIANT  
CURVATURE PERTURBATION

$$\lambda_{\text{phys}} > H^{-1}$$

$$\Theta \rightarrow \tilde{A} + \frac{\tilde{B}}{a^3}$$

"FREEZE OUT"

$$\lambda_{\text{phys}} < H^{-1}$$

$$\Theta \rightarrow \frac{A}{a} \cos(k\eta + \delta)$$

AT HORIZON CROSSING

$$\lambda_{\text{phys}} = \frac{a_0}{k} \sim H^{-1} \quad \rightarrow \quad k \sim a_0 H$$

$$|\theta| \sim \frac{A}{a_0} \sim 10^{-5} \quad \text{COBE!}$$

SO: WHEN  $\lambda_{\text{phys}} \sim \ell_p \sim \frac{a}{k}$

$$\therefore a \sim a_0 H \ell_p \sim a_0 \frac{H}{M_p}$$

THERE:  $|\theta| \sim \frac{A}{a_0 \frac{H}{M_p}} \sim \frac{M_p}{H} \cdot 10^{-5}$

CMB:  $H \lesssim 10^{14} \text{ GeV}$

SO  $\frac{M_p}{H} \gtrsim 10^5$

THUS: WHEN  $\lambda_{\text{phys}} \sim \ell_p,$

$$|\theta| \gtrsim 1$$

BREAKDOWN OF PERTURBATION THEORY!



# WHAT CAN ACTUALLY BE SEEN?

NEED TO OBSERVE TENSORS  $\rightarrow$  E.G. BY CMB POLARIZATION MEASUREMENTS

THE WORST OBSTACLE COSMIC VARIANCE  
TO MEASURE  $\frac{\delta T}{T}$  WE SAMPLE  $\approx 1000$   
REGIONS OF THE SKY; STATISTICAL  
VARIANCE IS  $\sigma \sim \frac{1}{\sqrt{2l+1}} \sim \frac{1}{\sqrt{1000}} \sim \%$

HENCE: ANY CORRECTION MUST  
BE  $> 0.01$  TO BE OBSERVABLE

@ SLOW-ROLL PARAMETER  $\epsilon \leq \frac{1}{15}$

MUST HAVE

$$c_s \frac{H^2}{M^2} \gtrsim 0.1 - 1$$

TO BE OBSERVABLE!

IN ALL ESSENTIALLY 4D MODELS (w  
 $M_4 \sim 10^{19} \text{ GeV}$  (E.G. WEAKLY COUPLED HETEROTIC  
 STRING THEORY @  $g_s^2 \sim 0.1$ ,  $M_5 \sim 10^{19} \text{ GeV}$ )  
 ANY NEW PHYSICS WILL EITHER :

\* CONTRIBUTE AT THE CUTOFF  $\sim M_4$

\* GET HIGGED BY  $\phi$  TO  $m_4$  †  
 S. THOMAS

HENCE :  $M \sim m_4$

(w) SCALE OF INFLATION  $H < 10^{14} \text{ GeV}$

$$\frac{H^2}{M^2} \approx 10^{-11}$$

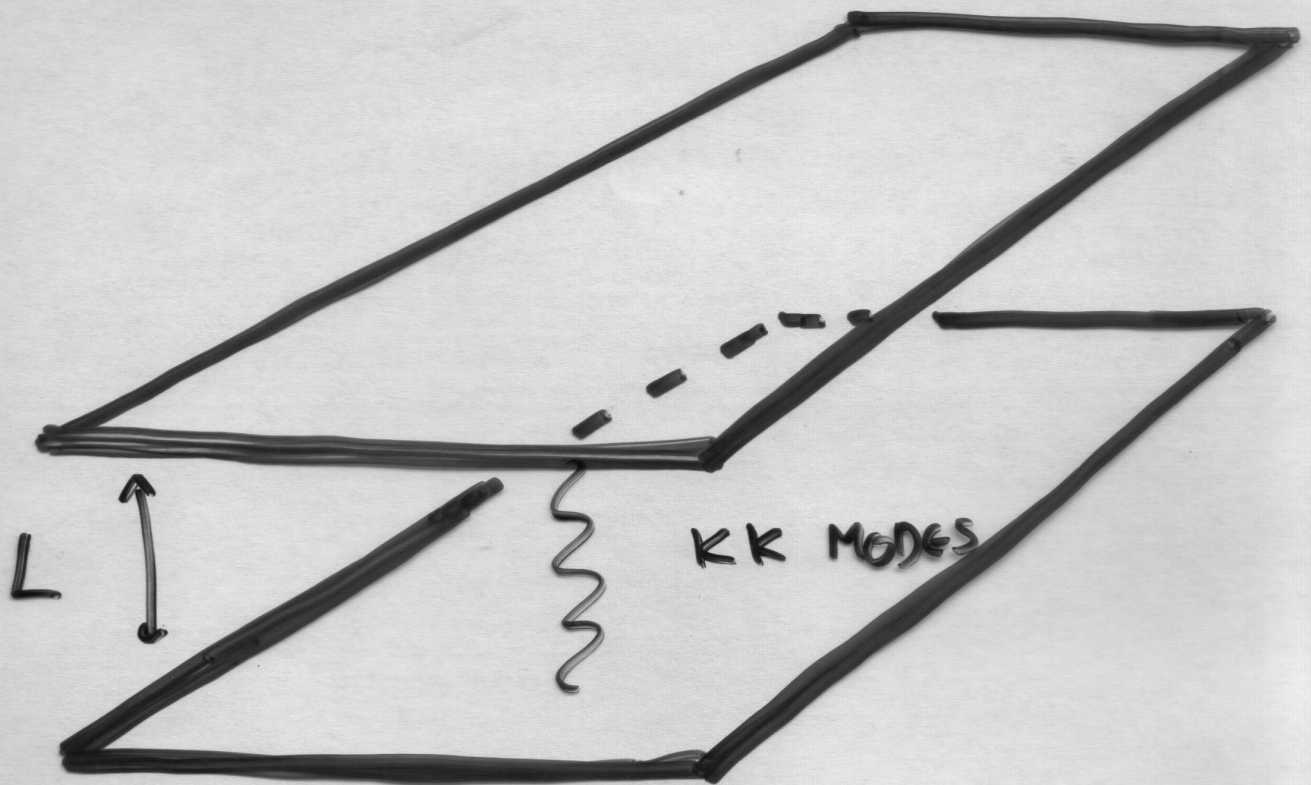
COMPLETELY UNOBSERVABLE !

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† EXCEPTION: IT IS POSSIBLE TO HAVE COUPLINGS  
 $(\lambda + \phi) \bar{\Psi} \Psi$  WHICH GIVE  $M_\Psi \sim 0$  DURING  
 INFLATION - WIMPZILLAS - WILL PRODUCE A BLIP!

LINDE ET AL, CHUNG ET AL

BUT:  $\exists$  MODELS  $\textcircled{w}$   $m_f \ll m_{PL}$  !



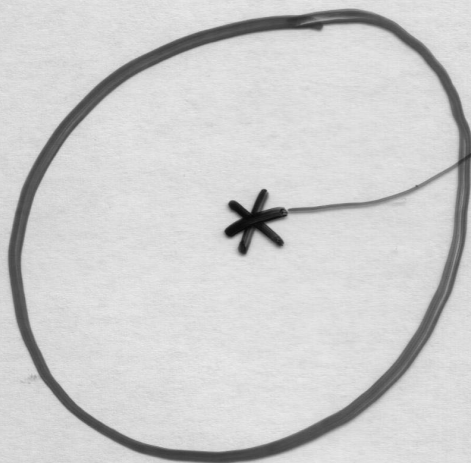
$$\frac{\delta\rho}{\rho} (1) = \sum_{KK} \frac{H^2}{M_{PL}^2}$$

$$= cN \frac{H^2}{M_{PL}^2} = c \frac{H^2}{M_f^2}$$

BY GAUSS LAW:  $N = (M_f L)^3 = \frac{M_{PL}^3}{M_f^3}$

WITH THIS,  $\exists$  MODELS WITH OBSERVABLE SIGNATURES IN THE CMB.

e.g., MANIFOLDS  $\textcircled{w}$   $G_2$  HOLONOMY



SINGULARITY GIVING  
RISE TO  $G_2$  HOLONOMY  
GROUP

CODIMENSION 4

$$M_f \sim m_{11} \sim 4 \cdot 10^{13} \text{ GeV}$$

$$c \frac{H^2}{M_f^2} \sim 0.1$$

WARNING: CALCULATIONS IMPRECISE!

MORE PRECISELY:

CONSIDER COMPACTIFICATIONS WITH

- $m_{Pl}^2 = m_{Pl,d}^{d-2} V_{d-4} = (2 \cdot 10^{18} \text{ GeV})^2$

- $\alpha_{\text{gauge}} = \frac{g^2}{4\pi} \sim \frac{1}{25}$  SO THAT RG RUNNING PRODUCES THE RIGHT VALUE AT TeV

- $\frac{1}{H} > (V_{d-4})^{\frac{1}{d}}$  4D DESCRIPTION

- $\rho_{4D} \approx \rho_d V_{d-4}$  SUB-PLANCKIAN:

i.e.  $\frac{H^2}{m_{Pl,d}^2} \sim \frac{\rho_d}{m_{Pl,d}^4} \approx 0(1)$

S.T. HIGHER-DIMENSIONAL SUGRA IS VALID!

**SIGNAL COULD BE CRANKED UP!**

NOTE: 1) GIVES UP 4D UNIFICATION, AS  
 $m_{Pl,d} \sim H \sim 10^{14} \text{ GeV}$

2) PROTON DECAY PROBLEMS

POSSIBLE IMPROVEMENT: DIRECT GRAVITY  
WAVE DETECTION SINCE  $\lambda \ll H^{-1}$ ,  
THERE ARE NO COSMIC VARIANCE CONSTRAINTS

BUT: HARD TO DETECT (WEAKNESS OF GRAVITY...)

AN OPTIMISTIC PROPOSAL: GREAT MISSION  
CORNISH, SPERGEL & BENNETT

SENSITIVITY  $\sim 10^{-3} - 10^{-4}$  INFLATION

$$\left(\frac{H}{M}\right)^2 \sim 10^{-4} \Rightarrow \text{IF } H \sim 10^{14} \text{ GeV}$$
$$M \sim 10^{16} \text{ GeV}$$

GUT SCALE  $\rightarrow$  NEAR HORAVA - WITTEN

... THIS WOULD BE FAR IN THE FUTURE,  
BUT AT LEAST IS POSSIBLE IN PRINCIPLE...

WHAT IF INFLATION WERE SHORT, OR  
THERE WERE SIGNIFICANT FEATURES IN  
THE INFLATON DYNAMICS  $\sim 60$  e-FOLDS  
BEFORE THE EXIT?

BURGESS, CLINE, HOLMAN, LEMIEUX

SIGNAL COULD BE PARAMETRICALLY  
SLIGHTLY LARGER:  $\propto \frac{H}{M}$  INSTEAD OF  $\left(\frac{H}{M}\right)^2$

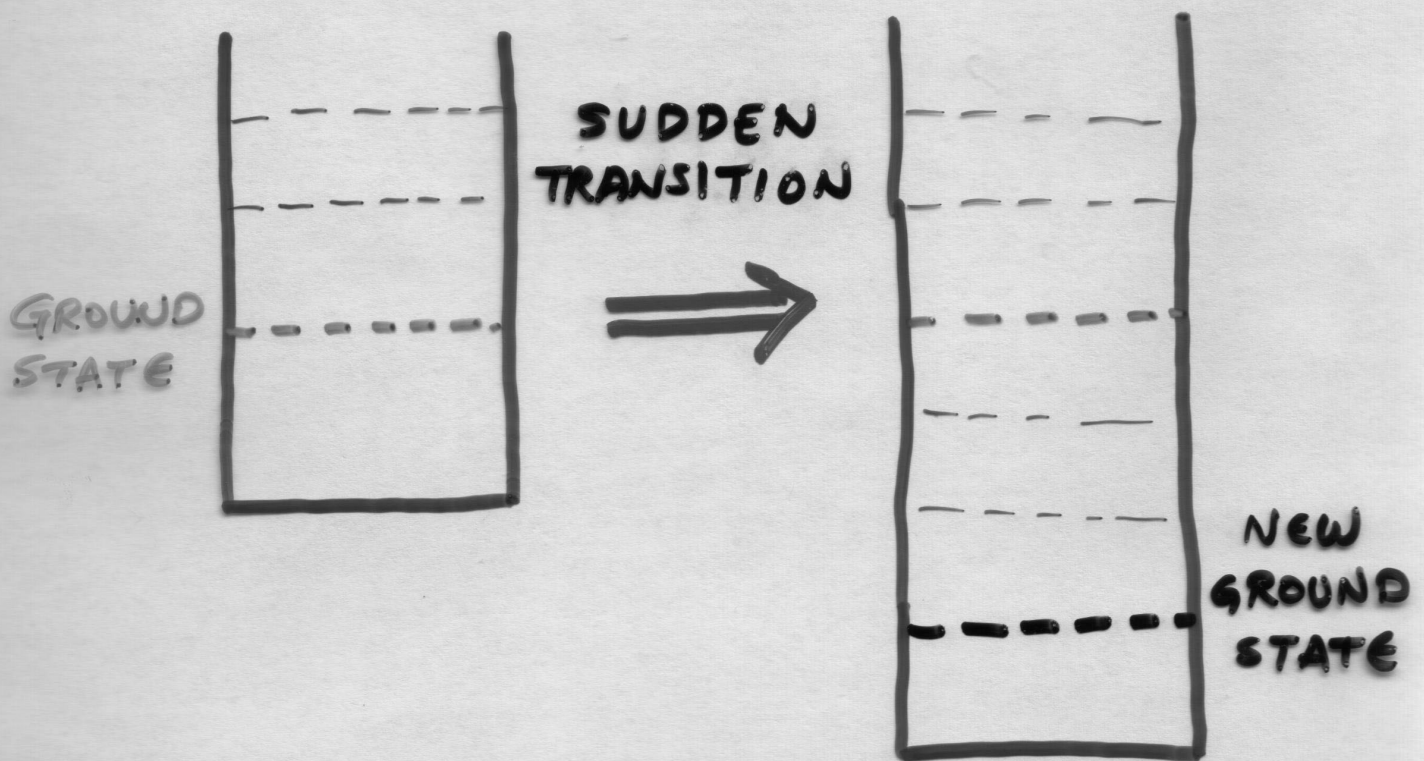
BUT: THIS IS STILL A LOW-ENERGY  
EFFECT, HAVING NOTHING TO  
DO WITH TRANSPLANCKIAN SCALES

INFLATON FLUCTUATIONS ARE PRODUCED  
IN A STATE WHICH IS NOT THE  
THERMAL VACUUM BUT SOME "EXCITED"  
STATE GENERATED BY "ENVIRONMENTAL"  
CIRCUMSTANCES

# INFLATION WITH A HICKUP

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HEP-TH/0307013

CONSIDER QUANTUM-MECHANICAL ANALOGY:



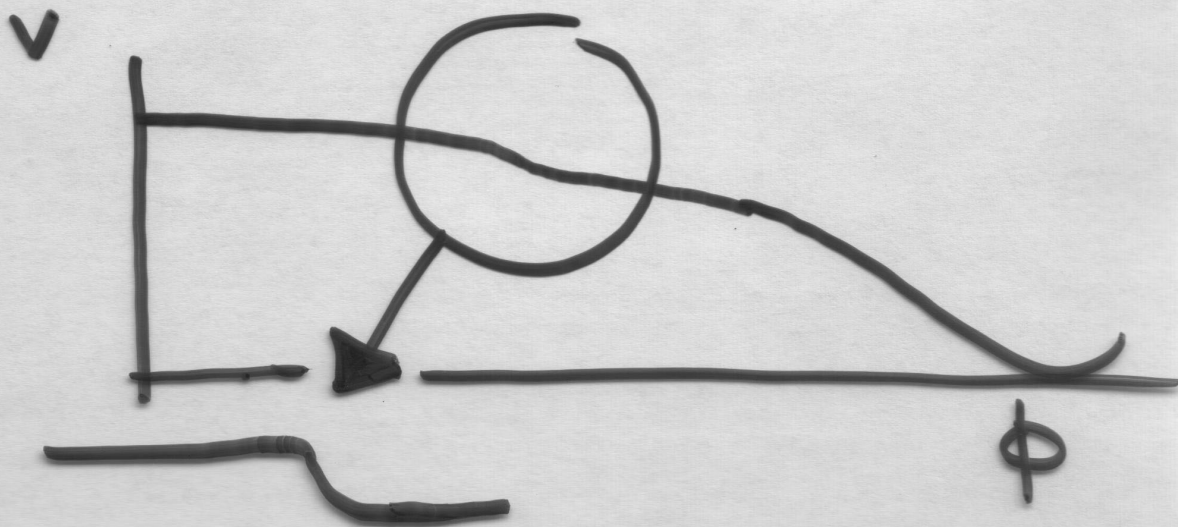
IF TRANSITION SHARP, THE SYSTEM REMAINS IN THE STATE IT OCCUPIED BEFORE THE TRANSITION WHICH IS NOT A VACUUM ANYMORE!



THIS STATE IS A SQUEEZED STATE ON TOP OF THE THERMAL VACUUM AND THE INFLATON FLUCTUATIONS ARE PRODUCED IN IT. THEY CARRY THE INFORMATION ABOUT THE DEVIATION OF THIS STATE FROM THE VACUUM, CORRECTING THE THERMAL VACUUM RESULT FOR  $\delta\rho/\rho$

J. BJORKEN  
 A. STAROBINSKY  
 M. KAPLINGHAT & NK

**EXAMPLE:** CONSIDER A POTENTIAL WHERE THE SLOWROLL PARAMETER  $\eta = -\frac{\ddot{\phi}}{H\dot{\phi}}$  JUMPS  $\sim 60$  EFOLDS BEFORE THE END OF INFLATION, OR WHERE INFLATION WAS SHORT, STARTING FROM SOME NON-VACUUM STATE



USE GAUGE-INVARIANT PERTURBATION THEORY: MUKHANOV

$$\varphi = a \delta \phi - \frac{a \phi'}{a'} \bar{\Phi}$$

$$\varphi_k'' + \left( k^2 - \frac{\bar{z}''}{\bar{z}} \right) \varphi_k = 0$$

$$\frac{\bar{z}''}{\bar{z}} = \frac{2}{\eta^2} + \frac{1}{2} \left( \frac{\epsilon'}{\epsilon} \right)' + \dots \quad \epsilon = \frac{\dot{\phi}^2}{2M_p^2 H^2}$$

A JUMP IN  $\eta = -\frac{\dot{\phi}}{H\dot{\phi}'}$  PRODUCES A CANONICAL TRANSFORMATION:

$$\varphi_k(\eta_0^+) = \varphi_k(\eta_0^-)$$

$$\mathcal{H}_k(\eta_0^+) = \mathcal{H}_k(\eta_0^-) - \Delta(\eta - \epsilon) \mathcal{H}_0 \varphi_k$$

BOGOLIUBOV TRANSFORMATION IN THE PERTURBATIVE HILBERT SPACE - THE STATE OF THE INFLATON DIFFERENT FROM THE THERMAL VACUUM AFTER THE TRANSITION

∴ INFLATON ENDS UP IN THE STATE OBEYING

$$b_k(\eta_0^+) |I\rangle = -i \Delta(\eta - \epsilon) \frac{H_0}{2k} b_{-k}^\dagger(\eta_0^+) |I\rangle$$

SQUEEZED STATE!

ORGANIZE THE RESULT AS A TRIPLE SERIES:

$\epsilon, \eta$

$$\Delta(\eta - \epsilon) \frac{H}{\rho}$$

$$\frac{H^2}{\rho^2}$$

SLOW ROLL

SUDDEN

ADIABATIC

$$\frac{\delta \rho}{\rho} \sim \frac{H^2}{\dot{\phi}} \left( 1 + \frac{1}{2} \mathcal{D} \right)$$

$$\mathcal{D} = \Delta(\eta - \epsilon) \frac{H}{\rho} \sin\left(\frac{2\rho}{H}\right)$$

$$+ \frac{H^2}{\rho^2} \cos\left(\frac{2\rho}{H}\right)$$

$$+ 2(2 - \ln 2 - \gamma)(2\epsilon - \eta) - 2\epsilon$$

FOCUS ON  $O\left(\frac{H}{p}\right)$ :

$$\Delta(\eta - \epsilon) \frac{H}{p} \sin\left(\frac{2p}{H}\right)$$

- \* VANISHES WHEN  $\Delta(\eta - \epsilon) \rightarrow 0$  NOT AN
- \* VANISHES WHEN  $p \rightarrow \infty$   $\alpha$ -VAC!

## QUANTUM NO-HAIR THM!

IF THE TRANSITION OCCURED  $\sim 60$   
e-FOLDS BEFORE THE EXIT, THIS COULD  
BE  $\sim$  FEW %

CAN BE VIEWED AS A POTENTIAL  
DIAGNOSTIC OF SHORT INFLATION ...

# SUMMARY

- THERE EXIST MODELS WHICH LEAVE OBSERVABLE SIGNATURES IN THE CMB!
- ALTHOUGH THEY MAY REQUIRE SPECIAL PARTICLE PHYSICS (CHOICE OF SCALES, RATIONALE FOR UNIFICATION, NEW PHYSICS  $\sim 60$  e-FOLDS BEFORE THE EXIT), THEY ARE FULLY CONSISTENT WITH EFT  
LOCAL, CAUSAL, TACHYON-FREE, OBEYING USUAL DECOUPLING
- IF ONE ABANDONS EFT, ONE CAN GET LARGER SIGNALS, BUT IT IS NOT CLEAR ONE CAN TRUST IT
- HEDGING STRATEGY: THIS MAY BE WORTH PURSUING SINCE IT COULD BE ONE OF FEW CHANCES WE GET TO SEE REALLY HIGH ENERGY PHYSICS

## The Conclusion

Now, reader, I have told my dream to thee;  
See if thou canst interpret it to me,  
Or to thyself, or neighbour; but take heed  
Of misinterpreting; for that, instead  
Of doing good, will but thyself abuse:  
By misinterpreting, evil ensues.

Take heed, also, that thou be not extreme,  
In playing with the outside of my dream:  
Nor let my figure or similitude  
Put thee into a laughter or a feud.  
Leave this for boys and fools; but as for thee,  
Do thou the substance of my matter see.

Put by the curtains, look within my veil,  
Turn up my metaphors, and do not fail,  
There, if thou seekest them, such things to find,  
As will be helpful to an honest mind.

What of my dross thou findest there, be bold  
To throw away, but yet preserve the gold;  
What if my gold be wrapped up in ore? –  
None throws away the apple for the core.  
But if thou shalt cast all away as vain,  
I know not but 'twill make me dream again.