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## Rare $B$ -Decays in the Standard Model<sup>1</sup>

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### Abstract

We review theoretical work done in studies of the Flavour Changing Neutral Current (FCNC)  $B$ -decays in the context of the Standard Model. Making use of the QCD-improved effective Hamiltonian describing the so-called  $|\Delta B| = 1$  and  $|\Delta B| = 2$ ,  $|\Delta Q| = 0$  transitions, we calculate the rates and differential distributions in a large number of  $B$ -decays. The FCNC processes discussed here include the radiative decays  $B \rightarrow X, + \gamma$ ,  $B \rightarrow X_d + \gamma$ , and the semileptonic decays  $B \rightarrow X, \ell^+ \ell^-$ ,  $B \rightarrow X_d \ell^+ \ell^-$ ,  $B \rightarrow X, \nu \ell \bar{\nu}$ , and  $B \rightarrow X_d \nu \ell \bar{\nu}$ . We also discuss the inclusive photon energy spectrum calculated from the Charged Current (CC) decays  $B \rightarrow X_c + \gamma$  and  $B \rightarrow X_u + \gamma$  and the mentioned FCNC radiative decays. The importance of carrying out measurements of the inclusive photon energy spectrum in  $B$ -decays is emphasized. Using phenomenological potential models and the Heavy Quark Effective Theory (HQET) we estimate decay branching ratios in a number of exclusive FCNC  $B$ -decays. Purely leptonic and photonic decays  $(B_d, B_s) \rightarrow \ell^+ \ell^-$  and  $(B_d, B_s) \rightarrow \gamma \gamma$  are also estimated. The principal interest in the studies of FCNC  $B$ -decays lies in their use in determining the parameters of the standard Model, in particular the CKM matrix elements and the top quark mass. The parametric dependence of these and other QCD-specific parameters on the rates and distributions is worked out numerically.

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We give an overview of the work done on FCNC  $B$ -decays in the context of the Standard Model (SM) [1]. Being forbidden by the GIM mechanism at the tree level in SM [2], they are governed by one-loop graphs (penguins and boxes) and hence they are also termed as rare  $B$ -decays. These decays, like their counterpart FCNC  $K$ -decays, provide information on the properties of the top quark, in particular its mass and the three CKM matrix-elements ( $V_{cb}^* = d, s, b$ ) [3]. In the SM context, the quantitative determination of the top quark parameters is, therefore, the principal goal of all FCNC decays. We shall concentrate here on the so-called  $|\Delta B| = 1$ ,  $\Delta Q = 0$  decays, leading to transitions such as  $b \rightarrow (s, d) + X$ , where  $X = g, \gamma, \ell^+ \ell^-$ , and  $\nu \bar{\nu}$ . None of these decays have been observed so far, though the present experimental upper limits in some of these decays are rather close to their expected rates in the Standard Model [4]. Deviations from the SM predictions would imply the existence of new physics [5]. This makes their systematic study particularly suitable for future  $B$ -physics facilities, such as a  $B$  factory.

It should be recalled here that the  $|\Delta B| = 2$ ,  $\Delta Q = 0$  FCNC processes in  $B$ -physics, inducing the mass mixing in the neutral meson sector  $B_d^0 - \bar{B}_d^0$  and  $B_s^0 - \bar{B}_s^0$ , have already been measured. Judging from the measured value of the phenomenologically relevant parameter in the  $B_d^0 - \bar{B}_d^0$  sector,  $x_d = (\Delta M/\Gamma)_d = 0.67 \pm 0.10$  [6], and increasing experimental evidence that the mixing in the  $B_s^0 - \bar{B}_s^0$  sector is substantial, perhaps maximal, the mixing probabilities are anything but rare! In SM, one expects  $x_s = O(10)$ , which makes its measurements one of the most challenging experimental endeavours. The prospects of  $x_s$ -measurements have been recently studied in ref. [7] and will not be discussed here. However, in estimating the rates for some of the rare  $B$ -decays, we do take into account the constraints provided by the measurements of  $x_d$  and  $|\epsilon|$ , the CP-violating parameter in  $K$  decays. We shall be using the Wolfenstein's parametrization for the CKM matrix [8].

Inclusive rates and final state distributions in FCNC  $B$ -decays, as well as their CC counterparts, can be calculated in perturbative QCD, which is the theoretical framework relied upon heavily in this work [9]-[15]. For most distributions, however, one needs additionally information about the  $B$ -meson wave function, for which we use phenomenological models constrained by CC  $B$ -decays [16,17]. The uncertainties in the various parameters, such as  $m_b$ , the QCD-scale  $\mu$ , the  $B$ -meson wavefunction, and the CKM matrix are taken into account in numerical estimates of the decay rates and distributions presented here.

In the second part of this report we estimate the decay rates for a large number of exclusive rare  $B$ -decays. These necessarily depend on the hadronic form factors and/or coupling constants. We use two approaches here. First, we make use of vector meson dominance (VMD) in the low mass region ( $m_X \leq 1 \text{ GeV}$ ) of the inclusive hadron-mass distributions. This, for example, gives an estimate of the decay rate for  $B \rightarrow K^* + \gamma$  from the inclusive hadron spectrum in the decays  $B \rightarrow X_s + \gamma$ , assuming  $K^*$ -dominance in the threshold region ( $m_X + m_\pi \leq m(X_s) \leq 1.0 \text{ GeV}$ ). Likewise, assuming that the decays  $B \rightarrow X_d + \gamma$  in the mass range  $2m_\pi \leq m(X_d) \leq 1.0 \text{ GeV}$  are dominated by the exclusive channel  $B \rightarrow \rho + \gamma$ , gives the branching ratio for this channel. The assumption of vector meson dominance in this mass range is reasonable, since there is plenty of experimental evidence for such dominance from low energy experiments [18] and  $D$ -meson semileptonic decays. However, transitions to the lowest lying vector meson states are the only exclusive FCNC decay modes of the  $B$ -meson

## 2 Inclusive radiative $B$ -decays

In estimates of the inclusive radiative decays  $B \rightarrow X + \gamma$ , both the charged current CC radiative transitions  $B \rightarrow (X_c, X_u) + \gamma$  and the flavour changing neutral current FCNC processes  $B \rightarrow (X_s, X_d) + \gamma$  have to be taken into account. Here and in what follows the subscript  $q$  in  $X_q$  denotes the quark transition  $b \rightarrow q$ . The former decays are sensitive to the CKM matrix elements  $|V_{cb}|$  and  $|V_{ub}|$ . On the other hand, the FCNC radiative decays  $B \rightarrow (X_s, X_d) + \gamma$ , induced by the electromagnetic penguins, are essentially determined by the magnetic moment operators, whose Wilson coefficients are dominated by the top quark contribution in the processes  $b \rightarrow (s, d) + \gamma + (g)$ . The rates for these processes therefore depend on the top quark mass  $m_t$  and the CKM matrix elements  $|V_{ti}|$  (for  $B \rightarrow X_s + \gamma$ ) and  $|V_{td}|$  (for  $B \rightarrow X_d + \gamma$ ) [13]. It follows that a precise measurement of the prompt photon energy spectra in  $B$ -decays has, in principle, the potential of determining the four CKM matrix elements:  $|V_{cb}|, |V_{ub}|, |V_{ts}|$ , and  $|V_{td}|$ . The main point of this chapter is to stress that one can clearly disentangle the FCNC processes from the CC contributions from measurements of the photon energy spectrum in  $B$ -decays. We now discuss the FCNC- and CC-processes separately.

### 2.1 FCNC processes $B \rightarrow X_s, \gamma$ and $B \rightarrow X_d, \gamma$

The calculations for the FCNC  $B$ -decays have been done within the framework of an effective Hamiltonian [10]. The operator basis for the effective Hamiltonian, responsible for the decay under consideration, consists of four-quark operators and the magnetic moment type operators of dimension 6. Operators of higher dimension are suppressed by powers of the masses of the heavy particles (W-boson and top quark) which have been integrated out, and hence are not considered here. First, we give the complete set of operators relevant for the processes  $b \rightarrow d\gamma, d\gamma g$ :

$$H_{eff}(b \rightarrow d) = -\frac{4G_F}{\sqrt{2}} \xi_t \sum_{j=1}^6 C_j(\mu) O_j(\mu) \quad (1)$$

Here  $G_F$  is the Fermi coupling constant and  $\xi_i = V_b V_d^*$  for  $i = t, c, u$ . As discussed in refs. [13,14], the dominant contributions arise from the operators  $O_1, O_2, O_7$  and  $O_8$ , whereas the operators  $O_3, \dots, O_6$  get coefficients through operator mixing only, which numerically are negligible. The dominant operators read as follows:

$$\begin{aligned} O_1 &= -\frac{\xi_c}{\xi_t} (\bar{c}_L \beta \gamma^\mu b_{L\alpha}) (\bar{d}_L \alpha \gamma_\mu c_{L\beta}) - \frac{\xi_u}{\xi_t} (\bar{u}_L \beta \gamma^\mu b_{L\alpha}) (\bar{d}_L \alpha \gamma_\mu u_{L\beta}) \\ O_2 &= -\frac{\xi_c}{\xi_t} (\bar{c}_L \alpha \gamma^\mu b_{L\alpha}) (\bar{d}_L \beta \gamma_\mu c_{L\beta}) - \frac{\xi_u}{\xi_t} (\bar{u}_L \alpha \gamma^\mu b_{L\alpha}) (\bar{d}_L \beta \gamma_\mu u_{L\beta}) \\ O_7 &= (e/16\pi^2) \bar{d}_\alpha \sigma^{\mu\nu} (m_b R + m_s L) b_\alpha F_{\mu\nu} \\ O_8 &= (g_s/16\pi^2) \bar{d}_\alpha \sigma^{\mu\nu} (m_b R + m_s L) T_{ab}^A \beta_\beta G_{\mu\nu}^A \end{aligned} \quad (2)$$

Here  $e$  and  $g_s$  denote the QED and QCD coupling constants, respectively, and  $L = (1 - \gamma_5)/2$ ;  $R = (1 + \gamma_5)/2$ . The effects of QCD corrections, contained in the Wilson coefficients  $C_i(\mu)$ , have been evaluated to leading logarithmic accuracy. At the renormalization scale  $\mu$ , which will be varied in numerical evaluations between  $(m_b/2)$  and  $(2m_b)$ , the

whose branching ratios can be estimated from the inclusive rates and spectra using VMD. For higher hadron masses ( $1 \text{ GeV} \leq M_X \leq 2 \text{ GeV}$ ) recoiling against the photon or leptons in  $B$  decays, there are just too many states contributing to allow a meaningful extraction of decay rate in any given decay channel from the inclusive hadron mass distribution alone.

As the second approach, we use the heavy quark effective theory HQET [19,20] to estimate exclusive rare  $B$ -decays. The usefulness of the HQET methods in rare  $B$ -decays is at present rather limited. Since rare  $B$ -decays involve the so-called heavy to light transitions, reliable relations can be obtained only in the static  $b$ -quark limit. For example, one can relate rare  $B$ -decays, like  $B \rightarrow K^* + \gamma$ , and the CKM-suppressed CC decays, such as  $B \rightarrow \rho + \ell \nu_\ell$  [21,22], at a specific kinematic point. There are, however, hardly any data available at present to make quantitative predictions. For first estimates, we treat both the  $b$  and  $s$  quarks as heavy. This then allows to describe a large number of exclusive rare  $B$ -decays and the semileptonic decays of  $B$  and  $D$  mesons in terms of a single Isgur-Wise form factor [19]. It is, however, to be expected that there will be significant power corrections of  $O(\Lambda/m_s)$  and higher orders in such relations. Since these corrections have yet to be calculated, the results presented for exclusive rare decays are at best semi-quantitative. On the same issue, rare  $B$ -decay rate estimates involve extrapolations of the Isgur-Wise functions in the momentum space much beyond their presently constrained regions. This, in particular, is true for the decays  $B \rightarrow K^* + \gamma$  and  $B \rightarrow \rho + \gamma$ , making their decay rates vulnerable to parametrizations. We estimate the variations in rates in a number of parametrizations of the Isgur-Wise functions. Predictions for exclusive rare  $B$ -decays can be firm considerably when data on the CKM-suppressed CC  $B$ -decays become available.

This report is organized as follows: In section 2, we discuss the inclusive radiative rare  $B$ -decays,  $B \rightarrow X_s + \gamma$  and  $B \rightarrow X_d + \gamma$  in the Standard Model, where the rates for the latter are obtained by constraining the CKM-matrix element,  $|V_{td}|$ . We also review estimates of the inclusive energy-momentum profile of the final states in radiative  $B$ -decays, including both the FCNC and CC decays. In particular, measurements of the inclusive photon energy spectrum in  $B$ -decays have the potential of determining a number of CKM-matrix elements, which we quantify. In section 3, we discuss the inclusive FCNC semileptonic decays  $b \rightarrow (s, d) + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ) and  $b \rightarrow (s, d) + \nu \bar{\nu}$ . The use of QCD for these decays is restricted to the evaluation of the improved Wilson coefficients for the relevant operators. Fixing the long distance contribution in the process  $B \rightarrow X_s, \ell^+ \ell^-$  and discuss the inclusive dilepton invariant mass distribution in the process  $B \rightarrow X_s, \ell^+ \ell^-$  and the asymmetry in the angular distribution of the  $\ell^\pm$  in the dilepton rest frame. The short distance contributions in these distributions are sensitive to the top quark mass [10,23,24] and we show this dependence here. In section 4, we describe some applications of HQET in selected exclusive rare  $B$ -decays [25,26]. These include the decays  $B \rightarrow (K^*, K^{**}) + \gamma$ , where  $K^{**}$  stands for higher resonances of the  $K^*$  series, and the FCNC semileptonic decays  $B \rightarrow (K, K^*) \ell^+ \ell^-$  and  $B \rightarrow (K, K^*) \nu \bar{\nu}_\ell$  [25]. Constraining the CKM-matrix element from the present phenomenology, we give estimates for the corresponding CKM-suppressed decays  $B \rightarrow (\pi, \rho) \ell^+ \ell^-$  and  $B \rightarrow (\pi, \rho) \nu \bar{\nu}_\ell$  [27]. Purely leptonic and photonic decays  $(B_d, B_s) \rightarrow \ell^+ \ell^-$  and  $(B_d, B_s) \rightarrow \gamma\gamma$  are also given in this section, where we correct a factor 2 reported in the published literature [28,4]. A brief outlook is given in section 5, where the present experimental limits on rare  $B$ -decays are also listed.

dominant coefficients read as follows [10]:

$$\begin{aligned}
C_1(\mu) &= \frac{1}{2} \left[ \eta^{-6/23} - \eta^{12/23} \right] C_2(m_W) & C_2(\mu) &= \frac{1}{2} \left[ \eta^{-6/23} + \eta^{12/23} \right] C_2(m_W) \\
C_7(\mu) &= \eta^{-16/23} \left\{ C_7(m_W) - \frac{58}{135} \left[ \eta^{10/23} - 1 \right] C_2(m_W) - \frac{29}{189} \left[ \eta^{28/23} - 1 \right] C_2(m_W) \right\} \\
C_8(\mu) &= \eta^{-14/23} \left\{ C_8(m_W) - \frac{11}{144} \left[ \eta^{8/23} - 1 \right] C_2(m_W) + \frac{35}{234} \left[ \eta^{26/23} - 1 \right] C_2(m_W) \right\}
\end{aligned} \tag{3}$$

with  $\eta = \frac{\alpha_s(\mu)}{\alpha_s(m_W)}$ . At the scale  $\mu = m_W$ , where the matching conditions are imposed [28], we have:

$$\begin{aligned}
C_1(m_W) &= 0 & C_2(m_W) &= 1 \\
C_7(m_W) &= \frac{24(x-1)^4}{x} \left[ 6x(3x-2) \log x - (x-1)(8x^2+5x-7) \right] \\
C_8(m_W) &= -\frac{8x \log x + (x-1)(x^2-5x-2)}{8(x-1)^4}
\end{aligned} \tag{4}$$

with  $x = m_i^2/m_W^2$ . We note that all the CKM-angle dependent quantities  $\xi_i$  ( $i = t, c, u$ ) in Eq. (1) are of the same order of magnitude. However, in the decays  $b \rightarrow s\gamma$  and  $b \rightarrow s\gamma g$  the quantities  $\xi_i$  are replaced by  $\lambda_i = V_{ib}^* V_{is}$ . As  $\lambda_u \ll \lambda_c, \lambda_t$ , we can neglect  $\lambda_u$ . In this case the CKM matrix dependence in the effective Hamiltonian factorizes completely due to unitarity:

$$H_{eff}(b \rightarrow s) = -\frac{4G_F}{\sqrt{2}} \lambda_c \sum_{j=1}^8 C_j^A(\mu) \hat{O}_j(\mu) \tag{5}$$

with

$$\hat{O}_1 = (\bar{c}_{L\beta} \gamma^\mu b_{L\alpha}) (\bar{s}_{L\alpha} \gamma_\mu c_{L\beta}) \tag{6}$$

The remaining operators  $\hat{O}_j$  are obtained from the corresponding  $O_j$  operators by simply replacing the  $d$  field by an  $s$  field. Furthermore, the Wilson coefficients in  $H_{eff}(b \rightarrow s)$  and  $H_{eff}(b \rightarrow d)$  are identical.

### 2.1.1 Branching ratios for $B \rightarrow X_c + \gamma$ and $B \rightarrow X_d + \gamma$

To get the branching ratios and the differential spectra for  $B \rightarrow X_c + \gamma$  and  $B \rightarrow X_d + \gamma$ , the diagrams associated with the operators  $O_1, O_2, O_7$ , and  $O_8$  have to be evaluated. As they are given in refs. [13,14] we do not display them here. It turns out that the diagrams associated with  $O_1$  vanish due to the colour structure. Furthermore, the coefficient of the operator  $O_8$  gets suppressed after including QCD effects and its contribution is neglected. The following results are therefore based on the contributions associated with the operators  $O_2$  and  $O_7$ . Omitting the technical details of their evaluation, which may be found in ref. [13], we now discuss the dependence of the branching ratios  $B \rightarrow X_c + \gamma$  and  $B \rightarrow X_d + \gamma$  on the various input parameters. The default values of the parameters used in the numerical estimates for the CC and FCNC branching ratios and energy spectra are given in Table 1. We note that we have set  $|V_{cb}| = |V_{cb}|$ , which follows from the Wolfenstein's parametrization where  $|V_{cb}| = |V_{cb}| = A\lambda^2$ . Note also, that the assumed value for these coupling constants translates

| Parameter             | Default value |
|-----------------------|---------------|
| $m_t$ (GeV)           | 140           |
| $m_b$ (GeV)           | 5             |
| $m_c$ (GeV)           | 1.68          |
| $m_s$ (GeV)           | 0.50          |
| $m_u = m_d$ (GeV)     | 0.30          |
| $\mu$ (GeV)           | 5.0           |
| $\Lambda^{(6)}$ (GeV) | 0.225         |
| $p_F$ (GeV)           | 0.3           |
| $ V_{cb} $            | 0.044         |
| $ V_{cb} $            | 0.044         |
| $ V_{ub} / V_{cb} $   | 0.08          |
| $ V_{cb}  =  V_{ub} $ | 0.220         |
| $ V_{cs}  =  V_{cd} $ | 0.975         |

Table 1: List of parameters and their default values used in the numerical estimates of the  $B$ -decay rates and photon energy spectra.

into  $A = 0.905$ , with the PDG value  $\lambda = 0.2205$  [18]. Since the masses of the light quarks,  $m_u, m_d$  and  $m_s$ , are numerically not important, we fix them to their default values given in Table 1. The contribution of the charm quark enters through the loop in the diagrams for the decays  $b \rightarrow (s, d) + \gamma + g$ . The decay rates are again not very sensitive to the precise value of  $m_c$ , but we shall include the effect of its variation in the range  $1.3 \text{ GeV} \leq m_c \leq 1.7 \text{ GeV}$ . The branching ratios are being calculated by using the total  $B$  decay width,  $\Gamma_{tot}$ :

$$\begin{aligned}
\Gamma_{tot} &= (\tau_u |V_{ub}|^2 + \tau_c |V_{cb}|^2) \Gamma_0 \\
\Gamma_0 &= \frac{m_b^5 G_F^2}{192\pi^3} ; \quad \tau_u \approx 7 ; \quad \tau_c \approx 3
\end{aligned} \tag{7}$$

The values of  $\tau_u$  and  $\tau_c$  include phase space and QCD corrections [30,31]. The dependence on the  $b$ -quark mass cancels to a very large extent in the branching ratios. We vary  $m_t$  in the presently favoured range from the electroweak phenomenology  $m_t = 140 \pm 40 \text{ GeV}$  [32]. For the scale dependence of the strong coupling constant, which is needed for the estimates of the rates and spectra for the FCNC decays  $B \rightarrow (X_c, X_d) + \gamma$ , we use the two loop  $\beta$  function:

$$\alpha_s(\mu) = \frac{12\pi}{(33 - 2N_f) \log(\mu^2/\Lambda^2)} \left( 1 - \frac{6(153 - 19N_f) \log \log(\mu^2/\Lambda^2)}{(33 - 2N_f)^2 \log(\mu^2/\Lambda^2)} \right) \tag{8}$$

We set  $N_f = 5$  and the corresponding  $\Lambda^{(6)} = 225 \text{ MeV}$ , which is the central value obtained from a recent compilation [33]. It should be remarked here that since the anomalous dimension matrix for rare  $B$  decays, used in estimating the rates below, has been evaluated at the 1-loop level, also for the  $\beta$ -function we could restrict ourselves to the first term in Eq. (8). However, recent calculations of the anomalous dimension matrix in the effective weak Hamiltonian by Buras et al. [34] show that the anomalous dimensions are not significantly renormalized going from 1-loop to 2-loop. Hence, using the two-loop  $\beta$  function incorporates the bulk of the 2-loop effects in the decay rates. The dependence of the branching ratio  $BR(B \rightarrow X_c + \gamma)$

on  $m_t$  and  $\mu$  is shown in Fig. 1, where it is plotted as a function of  $\mu$  with the upper curve corresponding to  $m_t = 180$  GeV and the lower to  $m_t = 100$  GeV. Based on this figure, a

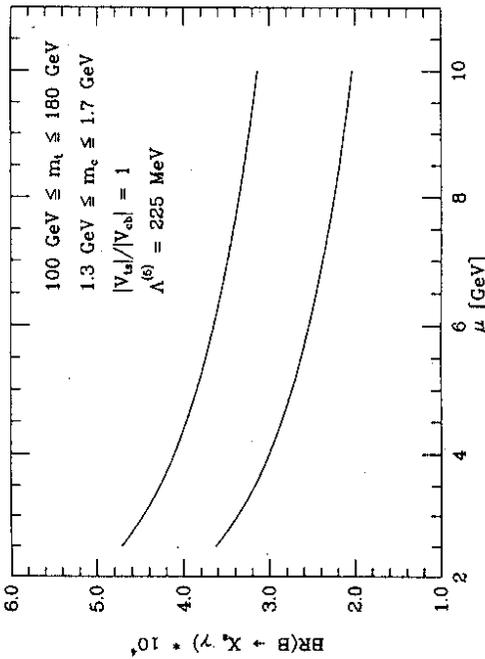


Figure 1: Dependence of the branching ratio for  $B \rightarrow X_s + \gamma$  on the scale  $\mu$  and  $m_t$ .

firm prediction for this branching ratio in the SM is:

$$BR(B \rightarrow X_s + \gamma) = (2 - 5) \times 10^{-4} \quad (9)$$

As can be seen in Fig. 1, the  $m_t$ -dependence of  $BR(B \rightarrow X_s + \gamma)$  in the assumed  $m_t$ -range is only  $O(25\%)$ . It would, therefore, be difficult to extract a meaningful bound on  $m_t$  from a measurement of  $BR(B \rightarrow X_s + \gamma)$ . We remark that the central value in Fig. 1,  $BR(B \rightarrow X_s + \gamma) = 3.5 \times 10^{-4}$  (corresponding to  $\mu = 5.0$  GeV and  $m_t = 150$  GeV), is not too far away from the present bound on this branching ratio, namely  $BR(B \rightarrow X_s + \gamma) < 8.4 \times 10^{-4}$  (@ 90% C.L.) [36]; hence a measurement in this channel is expected soon.

Whereas in the branching ratio for  $B \rightarrow X_t + \gamma$  the CKM matrix dependence was contained in an overall factor  $|\lambda_t|^2$ , this is no longer true in the case of  $B \rightarrow X_d + \gamma$ , which we want to discuss now. The nontrivial dependence comes in through the contribution of the operator  $O_2$  in list (1). The dependence of the branching ratio can be written explicitly as:

$$BR(B \rightarrow X_d + \gamma) = D_1 |\xi_t|^2 \left\{ 1 - \frac{1-\rho}{(1-\rho)^2 + \eta^2} D_2 - \frac{\eta}{(1-\rho)^2 + \eta^2} D_3 + \frac{D_4}{(1-\rho)^2 + \eta^2} \right\} \quad (10)$$

Here we have expressed  $\xi_t$  and  $\xi_c$  in terms of the Wolfenstein parameters  $A$ ,  $\lambda$ ,  $\rho$  and  $\eta$ :

$$\begin{aligned} \xi_t &= A\lambda^3(1-\rho+i\eta) \\ \xi_c &= -A\lambda^3 \end{aligned} \quad (11)$$

The  $m_t$ -dependence of the coefficients  $D_i$  is rather weak, as shown in Table 2. To get the

| $m_t$ (GeV) | $D_1$ | $D_2$ | $D_3$ | $D_4$ |
|-------------|-------|-------|-------|-------|
| 100         | 0.16  | 0.21  | 0.04  | 0.14  |
| 120         | 0.17  | 0.20  | 0.04  | 0.13  |
| 140         | 0.19  | 0.18  | 0.04  | 0.12  |
| 160         | 0.20  | 0.17  | 0.04  | 0.11  |
| 180         | 0.21  | 0.17  | 0.03  | 0.10  |
| 200         | 0.22  | 0.16  | 0.03  | 0.10  |

Table 2: Values of the coefficients  $D_i$ , entering in Eq. 10 as a function of  $m_t$ .

inclusive branching ratio as a function of  $m_t$ , we vary the CKM parameters  $\rho$  and  $\eta$  over the presently allowed range. For this purpose we use the following experimental inputs:

$$\left| \frac{V_{ub}}{V_{cb}} \right| = 0.08 \pm 0.02 \quad (12)$$

$$|\epsilon| = (2.26 \pm 0.02) \times 10^{-3} \quad (13)$$

$$x_d = 0.67 \pm 0.10 \quad (14)$$

We use the following expressions for the CP-violating parameter in  $K$ -decays  $|\epsilon|$ , [36]:

$$\begin{aligned} |\epsilon| &= \frac{G_F^2 f_K^2 m_K m_W^2}{6\sqrt{2}\pi^2 \Delta m_K} B_K (A^2 \lambda^6 \eta) \{ x_c (\eta_{ct} f_3(x_c, x_t) - \eta_{cc}) \\ &\quad + \eta_{tt} x_t f_3(x_t) A^2 \lambda^4 (1-\rho) \} \end{aligned} \quad (15)$$

Here, the  $\eta_i$  are QCD correction factors,  $\eta_{cc} \simeq 0.85$ ,  $\eta_{tt} \simeq 0.61$ ,  $\eta_{ct} \simeq 0.36$  for  $\Lambda_{QCD} = 200$  MeV, [37],  $m_K = 498$  MeV,  $\Delta m_K = 3.5 \cdot 10^{-12}$  MeV,  $f_K = 160$  MeV,  $x_i \equiv m_i^2/M_W^2$ , and the functions  $f_2$  and  $f_3$  are given by

$$\begin{aligned} f_2(x) &= \frac{1}{4} + \frac{9}{4(1-x)} - \frac{3}{2(1-x)^2} - \frac{3}{2(1-x)^3} \\ f_3(x, y) &= \ln \frac{y}{x} - \frac{3y}{4(1-y)} \left( 1 + \frac{y}{1-y} \ln y \right). \end{aligned} \quad (16)$$

The final parameter in the expression for  $|\epsilon|$  is  $B_K$ , which represents our ignorance of the matrix element  $\langle K^0 | (\bar{d}\gamma^\mu(1-\gamma_5)s)^2 | K^0 \rangle$ . We shall take  $B_K = 0.8 \pm 0.2$ . This leads to the two hyperbolae in Fig. 2.

We now turn to  $B_s^0$ - $B_d^0$  mixing parameter  $x_d$ , whose value in SM is dominantly determined by the  $t$ -quark exchange:

$$x_d \equiv \frac{(\Delta m)_B}{\Gamma} = \frac{G_F^2}{6\pi^2} m_W^2 m_B (f_B^2 B_B) \eta_B x_t f_3(x_t) |\xi_t|^2. \quad (17)$$

Here  $\eta_B$  is the QCD correction factor, for which it was customary to take the leading order result  $\eta_B = 0.85$  [36]. There now exists a next-to-leading order calculation for  $\eta_B$ , giving an appreciably lower value,  $\eta_B = 0.55$  [38]. It has been stressed in [34] that other parameters remaining the same, the renormalization of  $\eta_B$  has a significant effect in restricting the allowed

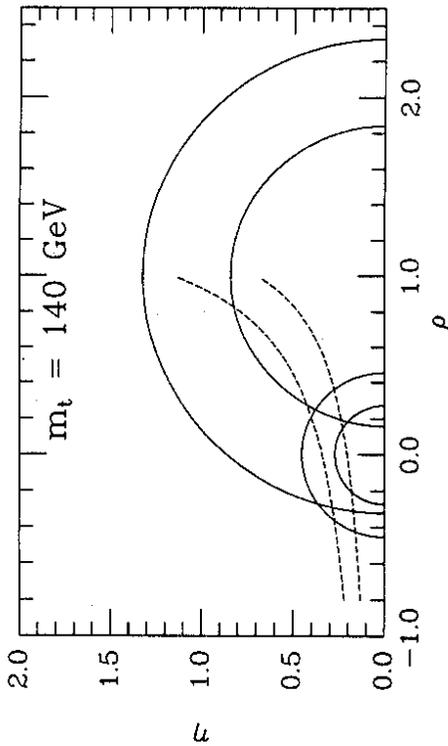


Figure 2: Presently allowed range of the Wolfenstein parameters  $\rho$  and  $\eta$  as function of  $m_t$ .

$(\rho, \eta)$  values. In accordance with the recommendations of [34] we set  $\eta_B = 0.55$ , and assume  $f_B \sqrt{B_B} = (0.20 \pm 0.03) \text{ GeV}$ , as suggested by recent Lattice simulations [39]. In addition, we use  $\tau_B = 1.36 \times 10^{-12} \text{ sec}$ , corresponding to the recently updated world average including LEP results [40], and  $m_B = 5.277 \text{ GeV}$  [18]. The constraint from  $x_d$  leads to two semi-circles centered at  $(\rho, \eta) = (1, 0)$  shown in Fig. 2.

The resulting branching ratio  $BR(B \rightarrow X_d + \gamma)$  is shown in Fig. 3 as a function of  $m_t$ , from which we predict

$$BR(B \rightarrow X_d + \gamma) = (0.8 - 3.2) \times 10^{-5} \quad (18)$$

for the top quark mass in the range  $100 \text{ GeV} \lesssim m_t \lesssim 200 \text{ GeV}$ . We remark that the  $\mu$ -dependence of the branching ratio  $BR(B \rightarrow X_d + \gamma)$  is very significant, which can again be traced to the very significant QCD renormalization of the Wilson coefficients  $C_i(m_W) \rightarrow C_i(\mu)$ , in particular  $C_7$ .

### 2.1.2 Photon energy spectra in FCNC $B$ -decays

Concerning the photon energy spectra in the decays  $b \rightarrow (s, d) + \gamma + g$ , it is to be noted that they have a nonintegrable infrared singularity for  $E_g \rightarrow 0$ , showing up as  $E_\gamma \rightarrow E_\gamma^{\text{max}}$ . Adding the contributions of the processes  $b \rightarrow (s, d) + \gamma + g$  with their respective virtual QCD corrections, the singularity cancels in a distribution sense [13,14]. The end-point spectra, however, show sensitivity to the leftover effects of the (cancelled) infrared singularity, with the photon-energy distribution  $\frac{d\Gamma}{dx_\gamma}$  rising very steeply near the end-point,  $x_\gamma \simeq 1$  (here  $x_\gamma$  is the fractional energy of the photon,  $x_\gamma = E_\gamma/E_\gamma^{\text{max}}$ ). To remedy this we performed a resummation of the leading (infrared) logarithms as discussed in refs. [13,14]. Furthermore, the motion of the  $b$  quark in the  $B$  meson has to be taken into account. The model incorporating this Fermi motion of the  $b$ -quark has previously been used in the derivation of the inclusive lepton energy spectrum in the semileptonic decays of the  $B$  and  $D$  hadrons [16,17], where it is known to give a good description of the measured lepton energy spectra. In this model the  $b$ -quark

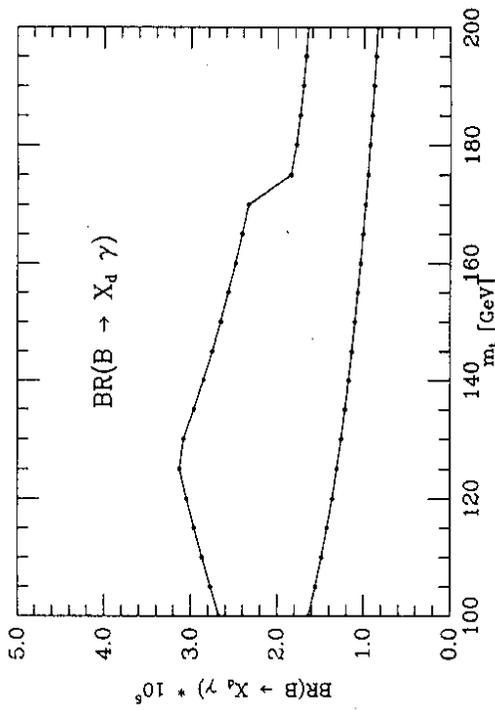


Figure 3: Branching ratio for  $B \rightarrow X_d + \gamma$  as a function of  $m_t$  by varying over the allowed range in the  $(\rho, \eta)$ -plane.

is given a non-zero momentum having a Gaussian distribution represented by an a priori free (but adjustable) parameter,  $p_F$ :

$$\phi(p) = \frac{4}{\sqrt{\pi} p_F^3} \exp\left(-\frac{p^2}{p_F^2}\right); \quad p = |\vec{p}| \quad (19)$$

The energy-momentum constraint is imposed in the form:

$$W^2 = m_B^2 + m_q^2 - 2m_B \sqrt{p^2 + m_q^2} \quad (20)$$

where  $m_B$  is the  $B$ -meson mass,  $W$ , the effective momentum dependent mass of the  $b$ -quark, and  $m_q$ , the constant mass of the spectator quark in the  $B$ -meson,  $B = \bar{b}q$ . The detailed formulae for the photon energy spectrum containing the Fermi motion are given in refs. [13, 14]. For  $p_F$ , we take the best value obtained from a fit of the ARGUS and CLEO inclusive lepton energy spectrum,  $p_F = 0.30 \pm 0.09 \text{ GeV}$  [41,42]. The dependence of the inclusive branching ratios for both  $B \rightarrow X_d + \gamma$  and  $B \rightarrow X_s + \gamma$  on the wave-function parameter,  $p_F$ , is negligible, if one replaces the mass of the  $b$  quark in the total decay width ( $\Gamma$ ) by an effective value  $W_{\text{eff}}$ , which depends on  $p_F$ :

$$W_{\text{eff}}^2 \simeq m_B^2 - 2m_B \cdot p_F \cdot 1.28 \quad (21)$$

However, the shape of the photon energy spectra and the distributions of the invariant mass of the final state hadrons are sensitive to its value, as illustrated in Fig. 4, where we plot the distribution  $d\Gamma/dM_X$ , for different values of  $p_F$ . The photon energy spectra for  $B \rightarrow X_s + \gamma$  and  $B \rightarrow X_d + \gamma$  are contained in Fig. 5, using the central value  $p_F = 0.30 \text{ GeV}$ . More detailed information may also be found in refs. [13,14,15].

### 2.1.3 Estimates of $BR(B \rightarrow K^* + \gamma)$ and $BR(B \rightarrow \rho + \gamma)$

Having the hadronic invariant mass distributions for the decays  $B \rightarrow X_d + \gamma$  and  $B \rightarrow X_s + \gamma$ , one could attempt to estimate the exclusive branching ratios using the assumption

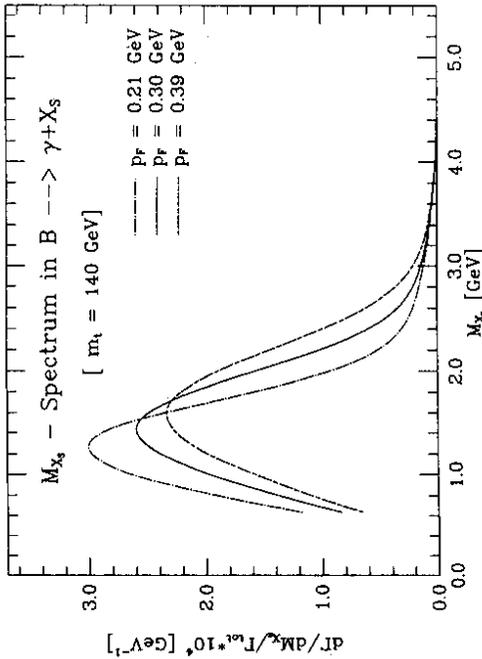


Figure 4: Profile of the invariant hadronic mass distribution  $d\Gamma/dM_X$ .

of vector meson dominance in the low-mass part of the invariant hadron mass spectrum. The motivation of doing this comes from experimental studies in semileptonic  $D$ -decays, in which the hadronic mass spectrum in the range  $m_K + m_\pi \leq m_X \leq 1.0$  GeV is found to be completely saturated by the  $K^*$ -resonance. It may therefore be reasonable to assume that in the mass range between  $(m_K + m_\pi)$  and 1.0 GeV, the decay  $B \rightarrow X_s + \gamma$  will be dominated by the  $K^*$ -resonance. For the  $B \rightarrow X_d + \gamma$  case we assume a corresponding saturation by the  $\rho$ -resonance in the interval between  $(2m_\pi)$  and 1.0 GeV. Following this argument, we integrate the spectra in the decays  $B \rightarrow X_s + \gamma$  and  $B \rightarrow X_d + \gamma$  in the ranges

$$m_K + m_\pi \leq M_X \leq 1 \text{ GeV} \quad \text{and} \quad 2m_\pi \leq M_X \leq 1 \text{ GeV} \quad (22)$$

to estimate the branching ratio for  $B \rightarrow K^* + \gamma$  and  $B \rightarrow \rho + \gamma$ , respectively. The branching ratio for  $B \rightarrow K^* + \gamma$  is shown in Table 3 for different values of  $m_t$  and  $p_F$ . To focus attention to this branching ratio, which probably will be the first to be measured in the class of FCNC decays being calculated here, we note that the central values of the parameters ( $m_t = 150$  GeV and  $p_F = 0.30$  GeV) give:

$$BR(B \rightarrow K^* + \gamma) = 5.0 \times 10^{-5} \quad (23)$$

The branching ratio for  $B \rightarrow \rho + \gamma$  is shown in Table 4, where the entries again correspond

| $m_t(\text{GeV}) =$      | 100 | 150 | 200 |
|--------------------------|-----|-----|-----|
| $p_F = 0.21 \text{ GeV}$ | 5.4 | 6.8 | 7.8 |
| $p_F = 0.30 \text{ GeV}$ | 3.9 | 5.0 | 5.7 |
| $p_F = 0.39 \text{ GeV}$ | 3.1 | 4.0 | 4.5 |

Table 3: Branching ratio for the decay  $B \rightarrow K^* + \gamma$  in units of  $10^{-6}$

to a central values in Fig. 2, namely  $(\rho, \eta) = (0.1, 0.3)$ . It turns out that to a precision better

| $m_t(\text{GeV}) =$      | 100 | 150 | 200 |
|--------------------------|-----|-----|-----|
| $p_F = 0.21 \text{ GeV}$ | 3.6 | 4.5 | 5.2 |
| $p_F = 0.30 \text{ GeV}$ | 2.7 | 3.5 | 3.9 |
| $p_F = 0.39 \text{ GeV}$ | 2.2 | 2.8 | 3.2 |

Table 4: Branching ratio for the decay  $B \rightarrow \rho + \gamma$  in units of  $10^{-6} \times (|V_{td}|^2 / (8.43 \cdot 10^{-5}))$ .

than 1% this branching ratio depends on  $\rho$  and  $\eta$  only through the combination  $|\xi_t|^2 / |V_{td}|^2 = \lambda^2[(1-\rho)^2 + \eta^2]$ . The reason for this is not too difficult to understand: in the region close to the end-point photon energy spectrum,  $E_\gamma \rightarrow E_\gamma^{max}$ , the magnetic moment operator  $O_\gamma$  dominates and it only depends on  $|\xi_t|$ , as can be seen from the effective Hamiltonian for  $b \rightarrow d + \gamma + (g)$ . We estimate:

$$BR(B \rightarrow \rho + \gamma) = (2.2 - 5.2) \times 10^{-6} \times \left( \frac{|V_{td}|^2}{8.43 \times 10^{-5}} \right) \quad (24)$$

taking into account the uncertainties in our model (in particular, dependence on  $p_F$  and the QCD-scale  $\mu$ ) and with  $m_t$  in the range  $100 \text{ GeV} < m_t < 200 \text{ GeV}$ . A much firm prediction is however obtained on the relative branching ratios for the decays  $B \rightarrow \rho + \gamma$  and  $B \rightarrow K^* + \gamma$ :

$$\frac{\Gamma(B \rightarrow \rho + \gamma)}{\Gamma(B \rightarrow K^* + \gamma)} = (0.07) \times \left( \frac{|V_{td}|^2}{8.43 \times 10^{-5}} \right) \quad (25)$$

The (remaining)  $m_t$  and  $p_F$  dependence in this ratio is negligible. With the estimate for the branching ratio  $BR(B \rightarrow K^* + \gamma) = (3-8) \times 10^{-5}$  calculated in ref. [13,14], and the present experimental bound  $BR(B \rightarrow K^* + \gamma) < 9.2 \times 10^{-5}$  (90% C.L.) [43], it is fair to conclude that the discovery of this channel is just around the corner. The dependence of the branching ratios  $B \rightarrow K^* + \gamma$  and  $B \rightarrow \rho + \gamma$  on the renormalization scale  $\mu$  follows that of the inclusive branching ratios. This dependence can be as large as 50% in the individual branching ratios, it tends to cancel in the quantity  $BR(B \rightarrow \rho + \gamma) / BR(B \rightarrow K^* + \gamma)$ , however. It should be remarked here that the numerical coefficient in the above relation is specific to our model. In general, it will depend on SU(3)-breaking in the magnetic moment form factors, which, however, we don't expect to be overwhelming. So, in our opinion, Eq. (25) is a pretty firm prediction.

## 2.2 CC radiative processes $B \rightarrow X_c \gamma$ and $B \rightarrow X_u \gamma$

The CC radiative decays  $B \rightarrow X_c + \gamma$  and  $B \rightarrow X_u + \gamma$  are modelled after the quark decays given in Table 5, where we have also listed the CKM-matrix element dependence of the partial widths through the factor  $K_n$  with  $n = 1, \dots, 14$ . They represent single photon bremsstrahlung processes from all the charged fermion lines (leptons and quarks) evaluated in the spectator model. Note that we have neglected the so-called  $W$ -exchange two-body decays, since they are generally considered negligible in  $B$ -decays [30]. The most convincing experimental support in favour of the dominance of the spectator model contribution is the (near) equality of the charged- and neutral  $B$ -meson lifetimes, gotten indirectly through the

| $n$ | reaction                                  | $K_n$                     |
|-----|---|---------------------------|
| 1   | $b \rightarrow c d \bar{u} \gamma$        | $3  V_{cb} ^2  V_{ud} ^2$ |
| 2   | $b \rightarrow c d \bar{c} \gamma$        | $3  V_{cb} ^2  V_{cd} ^2$ |
| 3   | $b \rightarrow c s \bar{u} \gamma$        | $3  V_{cb} ^2  V_{us} ^2$ |
| 4   | $b \rightarrow c s \bar{c} \gamma$        | $3  V_{cb} ^2  V_{cs} ^2$ |
| 5   | $b \rightarrow c e^- \bar{\nu} \gamma$    | $ V_{cb} ^2$              |
| 6   | $b \rightarrow c \mu^- \bar{\nu} \gamma$  | $ V_{cb} ^2$              |
| 7   | $b \rightarrow c \tau^- \bar{\nu} \gamma$ | $ V_{cb} ^2$              |
| 8   | $b \rightarrow u d \bar{u} \gamma$        | $3  V_{ub} ^2  V_{ud} ^2$ |
| 9   | $b \rightarrow u d \bar{c} \gamma$        | $3  V_{ub} ^2  V_{cd} ^2$ |
| 10  | $b \rightarrow u s \bar{u} \gamma$        | $3  V_{ub} ^2  V_{us} ^2$ |
| 11  | $b \rightarrow u s \bar{c} \gamma$        | $3  V_{ub} ^2  V_{cs} ^2$ |
| 12  | $b \rightarrow u e^- \bar{\nu} \gamma$    | $ V_{ub} ^2$              |
| 13  | $b \rightarrow u \mu^- \bar{\nu} \gamma$  | $ V_{ub} ^2$              |
| 14  | $b \rightarrow u \tau^- \bar{\nu} \gamma$ | $ V_{ub} ^2$              |

Table 5: CC radiative  $b$ -quark decays contributing to  $B \rightarrow (X_c, X_u) + \gamma$  and their CKM matrix element dependent factors in decay widths,  $K_n$ .

ARGUS and CLEO measurements of the semileptonic branching ratios [6]:

$$\frac{\tau_{B^\pm}}{\tau_B} = \frac{BR(B^\pm \rightarrow D^{(\ast)\pm} \ell^\pm \nu)}{BR(B^0 \rightarrow D^{(\ast)\pm} \ell^\pm \nu)} = 0.96 \pm 0.14 \quad (26)$$

as well as from individual  $B$ -hadron lifetime measurements from experiments at LEP [40]. We now specify the approximations used in calculating the inclusive photon energy spectrum from the CC processes [15, 44]. First, we have left out the QCD corrections to the decays listed in Table 5. Judging from the analogous corrections to the non-radiative  $b$  decays, which are typically of  $O(20\%)$ , we don't expect such corrections to alter the basic description given in [15]. It is to be noted that the radiative semileptonic decays contribute more significantly to the CC radiative  $B$ -decays than the purely hadronic ones due to the smallness of the electron and muon mass. Hence, the dominant corrections in CC decays are expected to arise from the leading QCD contribution to the semileptonic radiative decays, including real and virtual gluon bremsstrahlung processes such as  $b \rightarrow (c, u) \ell \nu_l + \gamma + g$ . These corrections will be needed as the experimental precision on the inclusive photon energy measurements improve. For a first estimate, the CC processes listed in Table 5 are quite adequate. We have also left out the QED virtual corrections in CC decays, as a result of which our calculations are ill-suited for the soft photon energy spectrum in radiative  $B$ -decays. There is no overriding experimental interest in the soft photon spectrum either, since it is inundated by the initial state radiation (in  $e^+e^-$  production processes) and secondary photons from the pion and kaon decays produced in  $B$ -decays and in background processes. Depending on the experimental details, the photon energy spectrum above a certain threshold (say  $E_\gamma \geq 1.0$  GeV in the  $B$ -rest frame) should, however, be reliably given by the sum of the CC contributions in Table 5 and the FCNC contributions discussed in the previous sections. The expressions for the matrix elements of the processes in Table 5 are given in detail in ref. [15]. The photon energy

spectrum from the CC processes is shown in Fig. 5, where the contributions from the decays  $B \rightarrow X_c \gamma$  and  $B \rightarrow X_u \gamma$  are given separately.

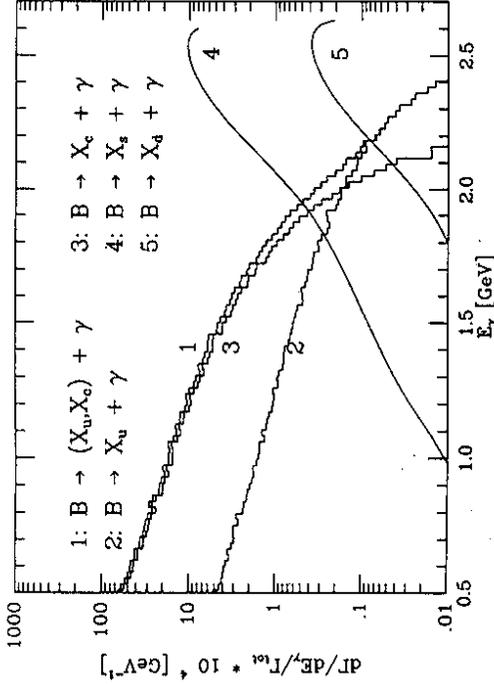


Figure 5: CC and FCNC components of the photon energy spectrum in  $B \rightarrow X \gamma$

### 2.3 Inclusive Photon Energy Spectrum in $B \rightarrow X + \gamma$

In section 2.1 we have discussed the FCNC contributions to the inclusive photon energy spectrum. Section 2.2 dealt with the CC contributions. We now put together these two components, leading to the inclusive photon energy spectrum in radiative  $B$  decays. The results are summarized in Figs. 5 and 6 on which we now want to make a few comments:

- The photon energy spectrum in the decays  $B \rightarrow X + \gamma$  shown in Fig. 6 divides itself in three regions.
  - The first is the low frequency part  $E_\gamma \leq 1.8$  GeV, which is dominated by the CC decay  $B \rightarrow X_c + \gamma$ , a small intermediate region  $1.9 \text{ GeV} \leq E_\gamma \leq 2.1$  GeV, where the CC and FCNC contributions are comparable, and the high frequency part  $E_\gamma \geq 2.1$  GeV, which is dominated by the FCNC radiative decay  $B \rightarrow X_s + \gamma$ . Precise measurements of the photon energy spectra in these regions can therefore be used to test the normalization and shape of the two dominating components.
  - The significantly different thresholds in the two CC radiative transitions, due to the presence of a charmed hadron in the decays  $B \rightarrow X_c + \gamma$  versus non-charmed light hadrons in  $B \rightarrow X_u + \gamma$ , result in the longer  $E_\gamma$ -tail for the latter. However, in the region where the photons from the dominant CC process  $B \rightarrow X_c + \gamma$  range out (say above 2 GeV in the  $B$  rest frame), the inclusive photon spectrum is dominated by the CKM allowed FCNC radiative decay  $B \rightarrow X_s + \gamma$ .

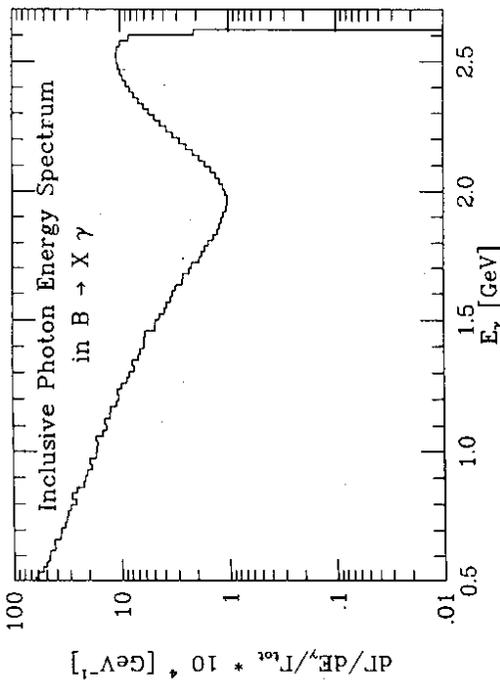


Figure 6: Inclusive photon energy spectrum in  $B \rightarrow X\gamma$

- The separation of the high frequency part of the spectrum in the two FCNC components  $B \rightarrow X_u + \gamma$  and  $B \rightarrow X_d + \gamma$  would require flavour tagging the hadrons recoiling against the photon, for example, by demanding the overall strangeness quantum number of  $X_d$  being 0 and of  $X_u$ , being equal to  $\pm 1$ . At the exclusive level, this can be exemplified by the decays  $B \rightarrow K^* + (\pi\pi) + \gamma$  versus  $B \rightarrow \rho + (\pi\pi) + \gamma$ .
- Our calculations also indicate that the long distance (i.e. CC) contamination to the short distance piece (i.e. penguin dominated FCNC decays) is small in the high energy region of the photon spectrum. This is a rather important conclusion, since otherwise the final states in the CC radiative decays  $B \rightarrow X_u + \gamma$  and the FCNC decays  $B \rightarrow X_d + \gamma$  very easily mock up each other.
- This analysis also demonstrates that probably the most difficult to measure is the CC component  $B \rightarrow X_u + \gamma$ , since there is no region in which it dominates. The only way to isolate this component is probably through the measurement of the photon spectrum in the low frequency part and demanding the absence of charmed hadrons in  $B \rightarrow X + \gamma$ , recoiling against the photon. With a vertex detector, perhaps this is feasible in a limited range of photon energy, though the non- $B$ -background will be formidable, perhaps prohibitive.

### 3 FCNC $B$ -DECAYS INVOLVING DILEPTONS

In this section, we review the calculations for the FCNC inclusive semileptonic  $B$ -decays,  $b \rightarrow (s, d) + \ell\ell$  ( $\ell = e, \mu, \tau, \nu$ ), and in doing this will treat the quark states synonymous with the corresponding hadron states. Compared to the rare radiative  $B$ -decays considered in the previous section, the FCNC semileptonic  $B$ -decays  $b \rightarrow (s, d) + \ell^+\ell^-$  ( $\ell = e, \mu, \tau$ ) re-

ceive very significant contributions from the so-called long-distance processes. For the dominant decays  $b \rightarrow s + \ell^+\ell^-$  ( $\ell = e, \mu$ ), these contributions are dominated by the decays  $B \rightarrow (J/\psi, \psi)X \rightarrow \ell^+\ell^-X$ , which have been measured by the ARGUS and CLEO collaborations [18] with a branching ratio  $O(10^{-3})$  [18]. However, as the corresponding short distance contribution is estimated to be  $O(10^{-6})$  for the decays  $b \rightarrow s + \ell^+\ell^-$  ( $\ell = e, \mu, \tau$ ), the long distance contribution in  $b \rightarrow s + \ell^+\ell^-$  ( $\ell = e, \mu$ ), while overwhelming near the  $J/\psi$  and  $\psi'$  resonances, is still numerically important in the continuum away from the  $J/\psi$  and  $\psi'$  resonances. Hence, one has to treat the long- and short-distance contributions coherently. We follow here closely the calculations reported in [24], whose sign convention agrees with the choice in [23, 46], leading to a constructive interference in the invariant dilepton mass distribution. Inclusive decay rates involving the dielectron and dimuon final states are reported and various distributions sensitive to  $m_s$  are shown. At the end of this section we discuss the rates for the FCNC  $B$ -decays involving a pair of neutrinos,  $b \rightarrow (s, d) + \nu\bar{\nu}$ . With hermetic detectors and sufficient statistics, this final state may be detected in LEP experiments, or in asymmetric  $B$ -factories, if the decay products of the two  $B$ -mesons produced in  $Y(4S)$  decays can be separated.

#### 3.1 FCNC $B$ -Decays $B \rightarrow (X_s, X_d) + \ell^+\ell^-$

The effective Hamiltonian for the rare decays  $b \rightarrow (s, d) + \ell\ell$  ( $\ell = e, \mu, \tau, \nu$ ) is derived as before by integrating out the top quark and the  $W$ -bosons. We shall concentrate here on the CKM-allowed decays  $b \rightarrow s + \ell\ell$  ( $\ell = e, \mu, \tau, \nu$ ), but most of what is being said here also applies (with obvious modifications) to the case  $b \rightarrow d + \ell\ell$  ( $\ell = e, \mu, \tau, \nu$ ). Also, since already the lowest order processes  $b \rightarrow (s, d) + \ell\ell$  ( $\ell = e, \mu, \tau, \nu$ ) provide a non-trivial lepton-energy and mass-spectra, we shall not consider real gluon bremsstrahlung corrections. This is motivated by the  $O(\alpha_s)$  corrections to the rate and spectra in the analogous CC semileptonic decays  $b \rightarrow (c, u)\ell\nu_\ell$ , which lead to at most  $O(20\%)$  effects in the decay rates and leave the normalized distributions stable [16, 17]. The renormalization group improvements in terms of the Wilson coefficients are, however, included in presenting the rates and distributions being discussed.

In the approximation of keeping only dimension-6 operators, the appropriate basis for the FCNC  $B$ -decays involving dileptons consists of twelve operators and the effective Hamiltonian may be written as

$$H_{eff}(b \rightarrow s + \ell^+\ell^- (\ell = e, \mu, \tau)) = -\frac{4G_F}{\sqrt{2}}\lambda_t \sum_{j=1}^{12} \bar{O}_j(\mu)\bar{O}_j(\mu) \quad (27)$$

Detailed considerations, however, show that the coefficients of some of the operators and their mixing with the remaining ones are small and the basis may be truncated. The operators of interest in the present context are,  $\bar{O}_1, \bar{O}_2, \bar{O}_7, \bar{O}_8$  and  $\bar{O}_9$ . The first three in this list were given in the previous section while discussing the decays  $b \rightarrow s + \gamma + g$ . Since the operators  $\bar{O}_j$  are used to calculate the matrix elements for the transition  $b \rightarrow s + (\text{virtual})$  photon, we have to take a one loop matrix element of the operators  $\bar{O}_1, \bar{O}_2, \bar{O}_7$  and  $\bar{O}_9$ . The remaining operators are defined below:

$$\bar{O}_8 = \frac{\alpha}{4\pi}(\bar{s}\gamma_\mu Lb)(\bar{\ell}\gamma_\mu \ell) \quad (28)$$

$$\bar{O}_9 = \frac{\alpha}{4\pi}(\bar{s}\gamma_\mu Lb)(\bar{\ell}\gamma_\mu \gamma_5 \ell) \quad (29)$$

and the Wilson coefficients are [11,12]:

$$C_8(m_b) = C_8(M_W) + \frac{4\pi}{\alpha_s(M_W)} \left[ \frac{4}{33} (1 - \eta^{-11/23}) - \frac{8}{87} (1 - \eta^{-29/23}) \right] \quad (30)$$

$$C_9(m_b) = C_9(M_W) \quad (31)$$

where we have dropped the tilde on the coefficients  $\tilde{C}_i$  for ease of writing. To avoid possible mix-up in the notation, we point out that the operator  $\tilde{O}_8$  in the effective Hamiltonian here and the operator  $\tilde{O}_8$  in the corresponding Hamiltonian for  $b \rightarrow s + \gamma + g$  are different operators, hence also the Wilson coefficients  $C_8$  in the two cases are different. Again, the large logarithms of the type  $\ln(M_W^2/m_b^2)$  are included in the Wilson coefficients and not in the matrix elements of the operators.

The coefficients  $C_8(m_W)$  and  $C_9(m_W)$  appearing above can be seen in [23,11,12]. The matrix-element for the process of interest can be written as:

$$\mathcal{M}(b \rightarrow s + \ell^+ \ell^-) = 2\sqrt{2} G_F \frac{\alpha}{s m^2} \lambda_s \frac{-1}{4\pi} \bar{\mathcal{M}} \quad (32)$$

where we have again dropped the small terms due to the intermediate  $u$ -quark, and have used the CKM unitarity constraint to relate  $\lambda_s \equiv V_{sb} V_{cs}$  to  $\lambda_t$ . The reduced matrix element  $\bar{\mathcal{M}}$  can be shown to be:

$$\bar{\mathcal{M}} = C_A s_L \gamma_{\mu\nu} \bar{\ell}_L \gamma^\mu \ell_L + C_B s_L \gamma_{\mu\nu} \bar{\ell}_R \gamma^\mu \ell_R + 2 \sin^2 \theta_W C_T(\mu) \bar{s} i \sigma_{\mu\nu} q^\nu / q^2 (m_s L + m_b R) b \bar{\ell} \gamma_\mu \ell \quad (33)$$

As pointed out earlier, one has to calculate both the long- and short-distance contribution to  $\bar{\mathcal{M}}$ . We concentrate first on the short distance piece and give the long distance contribution later. The functions  $C_A$ ,  $C_B$  are given by:

$$C_A = \sin^2 \theta_W (-C_8(m_b) + C_9(m_b)) - (3C_1(m_b) + C_2(m_b)) g(m_c/m_b, q^2) \quad (34)$$

$$C_B = \sin^2 \theta_W (-C_8(m_b) - C_9(m_b)) - (3C_1(m_b) + C_2(m_b)) g(m_c/m_b, q^2) \quad (35)$$

and the function  $g(m_c/m_b, q^2)$  arises from the one-loop matrix element of the four-quark operators [23,11]. The invariant dilepton mass distribution in the inclusive decays can now be calculated easily with the help of the matrix elements, given above. For the inclusive decay rate contribution from the short-distance piece, one estimates:

$$\begin{aligned} BR(B \rightarrow X_s + e^+ e^-) &= (0.6 - 2.5) \times 10^{-6} \\ BR(B \rightarrow X_s + \mu^+ \mu^-) &= (3.5 - 14.0) \times 10^{-6} \end{aligned} \quad (36)$$

for the top quark mass range 100 GeV  $< m_t < 200$  GeV, whereas for  $m_t = 150$  GeV, the central value we are using in this report, one obtains  $BR(B \rightarrow X_s + e^+ e^-) = 1.5 \times 10^{-5}$  and  $BR(B \rightarrow X_s + \mu^+ \mu^-) = 8.5 \times 10^{-6}$ . Thus, FCNC semileptonic decay rates are much more sensitive to  $m_t$  than the corresponding FCNC radiative  $B$  decays. These estimates are to be contrasted with the recent upper limit for the (averaged)  $B$ -meson branching ratio from the UA1 collaboration:  $BR(B \rightarrow X + \mu^+ \mu^-) = 5 \times 10^{-5}$  [45], indicating that the present experimental sensitivity is an order of magnitude away in this channel. The branching ratios for the Cabibbo-suppressed FCNC decays  $b \rightarrow d + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ) can be obtained by scaling the corresponding  $b \rightarrow s + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ) rates by the CKM factor  $(|V_{cd}|/|V_{cs}|)^2$ . One expects

$$BR(B \rightarrow X_d + \ell^+ \ell^-) / BR(B \rightarrow X_s + \ell^+ \ell^-) = \frac{|V_{cd}|^2}{|V_{cs}|^2} (1 + \delta) \quad (37)$$

where  $\delta$  represents the differences due to phase space and SU(3)-breaking effects. The relative rates  $BR(B \rightarrow X_d + \ell^+ \ell^-) / BR(B \rightarrow X_s + \ell^+ \ell^-)$  are independent of  $m_t$  and QCD corrections, and their eventual measurement would determine the indicated CKM matrix-element ratio. The precise value of this ratio depends on the parameters,  $\rho$  and  $\eta$ , as discussed in the previous section. However, for the default value,  $|V_{cd}|/|V_{cs}| = 0.21$  used in this paper, we estimate a suppression by a factor  $\sim 22$  for the CKM-suppressed FCNC semileptonic decays in SM, yielding (for  $m_t = 100 - 200$  GeV):

$$\begin{aligned} BR(B \rightarrow X_d + e^+ e^-) &= (2.6 - 10.0) \times 10^{-7} \\ BR(B \rightarrow X_d + \mu^+ \mu^-) &= (1.5 - 6.0) \times 10^{-7} \end{aligned} \quad (38)$$

Some of these decay rates should be measurable at a first generation  $B$ -factory and in high energy hadronic collisions, such as at the Tevatron, LHC and SSC.

The calculations so far, however, take into account only the short distance contributions. In addition to this, there are long distance contributions from the intermediate  $c\bar{c}$  state. Formally, this contribution can be implemented by the replacement of the function  $g(m_c/m_b, q^2)$  by  $G(m_c/m_b, q^2)$  in the expressions for  $C_A$  and  $C_B$  above, where [24],

$$G(m_c/m_b, q^2) = \{g(m_c/m_b, q^2) + \frac{3}{\alpha^2} \kappa \sum_{V_i=\psi, \psi'} \frac{\pi \Gamma[V_i \rightarrow \ell^+ \ell^-] M_{V_i}}{M_{V_i}^2 - q^2 - i M_{V_i} \Gamma_{V_i}}\} \quad (39)$$

The pole contributions from the  $J/\psi$  and  $\psi'$  with the Breit-Wigner form are explicitly indicated.

It is well known that the Wilson coefficient sum  $3C_1(m_b) + C_2(m_b)$ , entering in the coefficients  $C_A$  and  $C_B$  in Eqs. (33)-(34) above, depends very sensitively on the QCD scale parameter  $\Lambda_{QCD}$ , as well as the renormalization point  $\mu$ . For instance, taking  $\Lambda_{QCD} = 400$  MeV and the scale  $\mu = m_b$ , one has  $3C_1(m_b) + C_2(m_b) = -0.17$ , while for  $\Lambda_{QCD} = 100$  MeV one obtains  $3C_1(m_b) + C_2(m_b) = -0.41$ , and for  $\Lambda_{QCD} = 100$  MeV and using the renormalization point  $\mu = 2m_b$  one finds  $3C_1(2m_b) + C_2(2m_b) = -0.58$ . Although the QCD corrected inclusive nonleptonic decay rate is stable against changes in  $\Lambda_{QCD}$ , the semi-inclusive channels  $B \rightarrow J/\psi X$ , and  $B \rightarrow \psi' X$ , have rather large uncertainties due to cancellations in the relevant combination of the Wilson coefficients.

A good strategy to calculate the inclusive dilepton invariant mass and related distribution in the decays  $b \rightarrow s + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ), pending a satisfactory theoretical resolution of the long-distance puzzle in the decays  $B \rightarrow (J/\psi, \psi') X_s$ , is to use the data to calibrate the long-distance contribution. Using the branching ratios from the Particle Data Group [18]  $BR(B \rightarrow J/\psi X \rightarrow \ell^+ \ell^- X) = BR(B \rightarrow J/\psi X) BR(J/\psi \rightarrow \ell^+ \ell^-) \simeq 7 \times 10^{-4}$  fixes the constant  $\kappa$  introduced in the resonance part of the amplitude for  $b \rightarrow s \ell^+ \ell^-$ . Numerically, a value  $\kappa(3C_1(m_b) + C_2(m_b)) = -1$  reproduces the data well. Curiously, this amounts to neglecting the QCD corrections in the decay  $B \rightarrow (J/\psi, \psi') X_s$ , since  $3C_1(m_W) + C_2(m_W) = -1$ .

Fixing the normalization of the long distance piece from data, we show two differential distributions here, namely the dilepton invariant mass and the forward-backward asymmetry of the charged lepton  $\ell^+$  in the rest frame of the dilepton pair. Defining the variable  $z \equiv \cos \theta$ , as the angle between the momentum of the  $B$ -meson and that of lepton  $\ell^+$  in the rest frame of the dilepton, the forward-backward asymmetry for a fixed invariant mass  $q^2$  is obtained by integrating the double differential branching ratio  $(\frac{d^2 BR}{dq^2 dz})$  with respect to the angular variable

$$A(q^2) \equiv \frac{\int_0^1 dz \frac{\partial^2 BR}{\partial s^2 \partial z} - \int_{-1}^0 dz \frac{\partial^2 BR}{\partial s^2 \partial z}}{\int_0^1 dz \frac{\partial BR}{\partial s \partial z} + \int_{-1}^0 dz \frac{\partial BR}{\partial s \partial z}} \quad (40)$$

In the region where  $A(q^2)$  is positive, the number of  $t^+$  scattered in the forward hemisphere is more than the one in the backward hemisphere in the center of mass frame of the dilepton. The asymmetry in the dilepton angular distribution can be qualitatively understood as follows. The decays  $b \rightarrow s + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ) occur through  $\gamma$ ,  $Z$  and  $W^+ W^-$  exchange diagrams. For low  $m_t$  ( $\frac{m_t}{M_W} < 1$ ) the photon contribution dominates and the vector-like interactions to the leptonic current remain substantial, consequently the asymmetry is small. However, for  $\frac{m_t}{M_W} > 1$ , the contribution from the  $Z$ -exchange diagrams becomes important and the coefficient of the left-handed leptonic current grows as  $m_t^2$ , leading to a large asymmetry. (A part of the coefficient for the vector current also behaves in the same way because  $Z$  also couples to the vector current.) It should be noted that the asymmetry depends on the invariant dilepton mass. At and near the  $J/\psi$  and  $\psi'$  peaks, the asymmetry is small since the resonances couple to the vector leptonic current.

### 3.2 Dilepton Invariant-mass and Asymmetry Distributions in $B \rightarrow (X_s, X_d) + \ell^+ \ell^-$

Some results of interest taken from [24] are summarized in Figs. 7 and 8. In Fig. 7, we show the invariant dilepton mass distribution,  $dBR/d\hat{s}$ , for three assumed values of the top quark mass,  $m_t = 100, 150, 200$  GeV. Here, the variable  $\hat{s}$  is the normalized dilepton invariant-mass,  $\hat{s} = m_{\ell\ell}^2/m_b^2$ . Away from the resonance regions,  $m_{J/\psi, \psi'}^2 = m_{J/\psi, \psi'}^2$ , the dilepton mass distribution is sensitive to the top quark mass. This can also be seen quantitatively in Table 6, where the branching ratios, integrated over well defined regions of the dilepton invariant mass in the decays  $B \rightarrow X_s + \ell^+ \ell^-$ , with  $\ell = e, \mu$ , are shown. To test the dynamics of the short distance component (and hence to gain sensitivity on  $m_t$ ), it will be crucial to measure the dilepton mass spectra in the regions called (i), (iii), and (v) in Table 6. We recall here that the present experimental limit on  $b \rightarrow s + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ) is based on the analysis of the region (v) by the UA1 collaboration. The double differential distribution evaluated at

| $m_t$ (GeV) | (i)                  | (ii)                 | (iii)                | (iv)                 | (v)                  |
|-------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| 100         | $1.8 \times 10^{-6}$ | $6.8 \times 10^{-4}$ | $4.3 \times 10^{-7}$ | $3.3 \times 10^{-5}$ | $1.8 \times 10^{-7}$ |
| 150         | $2.7 \times 10^{-6}$ | $6.8 \times 10^{-4}$ | $6.6 \times 10^{-7}$ | $3.3 \times 10^{-5}$ | $4.1 \times 10^{-7}$ |
| 200         | $4.3 \times 10^{-6}$ | $6.8 \times 10^{-4}$ | $1.1 \times 10^{-6}$ | $3.3 \times 10^{-5}$ | $8.5 \times 10^{-7}$ |

Table 6: Branching ratio for the decay  $B \rightarrow X_s + \ell^+ \ell^-$  with  $\ell = e, \mu$  in different regions of the dilepton invariant mass,  $s = m_{\ell\ell}^2$ . (i):  $1.0 \text{ GeV}^2 \leq s \leq (M_{J/\psi} - \delta)^2$ , (ii):  $(M_{J/\psi} - \delta)^2 \leq s \leq (M_{J/\psi} + \delta)^2$ , (iii):  $(M_{J/\psi} + \delta)^2 \leq s \leq (M_{\psi'} - \delta)^2$ , (iv):  $(M_{\psi'} - \delta)^2 \leq s \leq (M_{\psi'} + \delta)^2$ , (v):  $(M_{\psi'} + \delta)^2 \leq s \leq (M_b - M_s)^2$ , where an energy resolution of  $\delta = 20$  MeV at the  $J/\psi$  and  $\psi'$  resonances has been assumed (from ref. [24]).

the point  $\hat{s} = 0.3$ ,  $d^2 BR/d\hat{s} dz|_{\hat{s}=0.3}$  is shown in Fig. 8 for  $m_t = 100, 150, 200$  GeV. The asymmetry for the decays  $b \rightarrow s + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ), defined above, in three different invariant

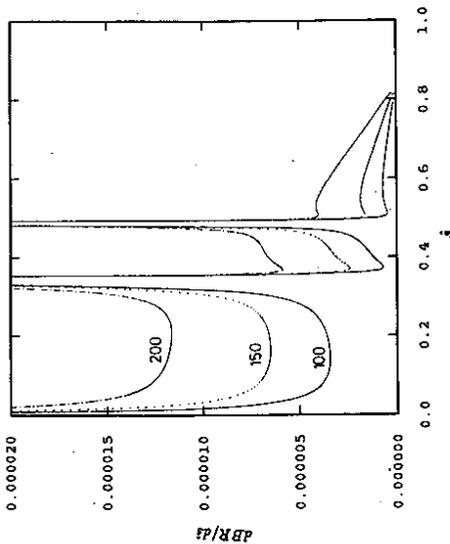


Figure 7: The differential branching ratio  $dBR/d\hat{s}$  as a function of the scaled invariant dilepton mass  $\hat{s} = s/m_b^2$  in the decay  $b \rightarrow s + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ). Assumed top quark mass values are indicated on the curves (from ref. [24]).

dilepton mass ranges for the assumed values of the top quark mass,  $m_t = 100, 150, 200$  GeV, can be seen in ref. [24].

### 3.3 Estimates of the FCNC $B$ -Decays $B \rightarrow (X_s, X_d) + \nu\bar{\nu}$

As the final inclusive rare  $B$ -decays, we take up the FCNC  $B$ -decays involving two neutrinos  $b \rightarrow (s, d) + \nu\bar{\nu}$ . The exclusive decays in this chain, involving for example  $B \rightarrow (K, \pi)\nu\bar{\nu}$  and  $B \rightarrow (K^*, \rho)\nu\bar{\nu}$ , will be discussed in the next section. The FCNC dineutrino  $B$ -decays are experimentally very difficult to measure, except probably in a high luminosity LEP environment, involving the decay  $Z^0 \rightarrow b\bar{b}$ , where such events have a nice tag: large missing energy and momentum in a  $b$ -quark jet with no charged lepton. From the theoretical point of view, on the other hand, these decays are much simpler to deal with, since the operator basis given for  $b \rightarrow s + \ell\bar{\ell}$  ( $\ell = e, \mu, \tau, \nu$ ) reduces to only one operator, which is the difference of the  $\hat{O}_8$  and  $\hat{O}_9$  operators. Thus, a left handed current for the neutrino appears which couples pointlike to the left handed current of the  $b \rightarrow s$  transition. The Wilson coefficient of this operator is  $D_9$  [47]

$$D_9(M_W) = \frac{1}{\sin^2 \theta_W} \left[ \frac{1}{8} x + \frac{3x(x-2)}{8(x-1)^2} \ln x + \frac{3}{8} \frac{x}{x-1} \right] \quad (41)$$

$D_9$ , like  $C_9$ , is not affected by the QCD scaling corrections. This gives

$$H_{eff}(b \rightarrow s + \nu\bar{\nu}) = -\frac{8G_F}{\sqrt{2}} V_{tb} V_{ts}^* D_9(M_W) \left( \frac{\alpha}{4\pi} \right) (\bar{s}\gamma_\mu L b)(\bar{\nu}\gamma_\mu L \nu) \quad (42)$$

The differential distribution in the missing invariant mass for the inclusive decay  $b \rightarrow s + \nu\bar{\nu}$  are given in [25], together with the corresponding distributions for some exclusive decays. The

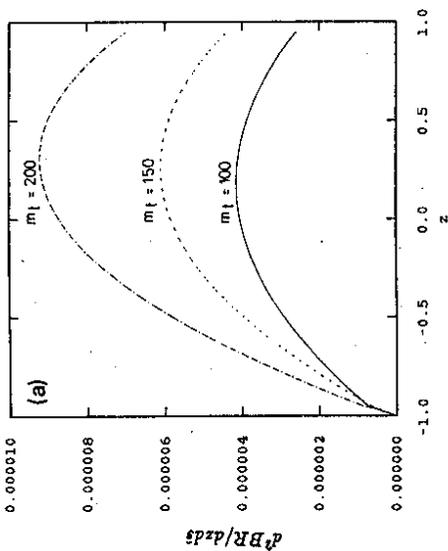


Figure 8: The angular distribution  $d^2 BR / dz ds|_{\ell=e, \mu, \tau} = 0.3$  in the decay  $B \rightarrow s + \ell^+ \ell^-$  ( $\ell = e, \mu, \tau$ ) as a function of the variable  $z$  for the indicated values of the top quark mass (from ref. [24]).

branching ratios for the inclusive decays  $B \rightarrow X_s + \nu \bar{\nu}$ , summed over three neutrino species, and  $m_t = 100 - 200$  GeV, has been estimated as [25]:

$$BR(B \rightarrow X_s + \nu \bar{\nu}) = (2.8 - 13.0) \times 10^{-5} \quad (43)$$

with  $BR(B \rightarrow X_s + \nu \bar{\nu}) = 6.8 \times 10^{-5}$  for  $m_t = 150$  GeV. These decays, like their charged dilepton counterparts, are sensitive to  $m_t$ . Again, for the CKM-suppressed inclusive decays  $BR(B \rightarrow X_d + \nu \bar{\nu})$ , one expects:

$$\frac{BR(B \rightarrow X_d + \nu \bar{\nu})}{BR(B \rightarrow X_s + \nu \bar{\nu})} = \frac{|V_{td}|^2}{|V_{ts}|^2}. \quad (44)$$

For the default value of  $|V_{td}/V_{ts}| = 0.21$  used in this paper, this gives giving

$$BR(B \rightarrow X_d + \nu \bar{\nu}) = (1.2 - 5.6) \times 10^{-6} \quad (45)$$

Again, prototypes of this class would be  $B \rightarrow (\pi, \rho) \nu \bar{\nu}$ , which can be related to the corresponding CC decays.

## 4 Exclusive Rare B Decays

In this section we shall discuss exclusive rare  $B$  decays. These fall into three classes. The first class consists of the purely leptonic decays like  $B_0^0 \rightarrow \ell^+ \ell^-$  and  $B_0^0 \rightarrow \ell^+ \ell^- \ell^-$  where  $\ell = e, \mu, \tau$ . In SM the decays  $B_0^0 \rightarrow \ell^+ \ell^-$  will be suppressed due to their dependence on the CKM matrix element  $|V_{td}|$  and helicity, leading to a factor  $m_\ell^2/m_B^2$  in rates. Consequently, the branching fractions are very small, see table (14), thus such decays will be too small to be observable even in a first generation  $B$  factory. However, one has to keep in mind that the small branching fractions for the purely leptonic modes are SM predictions and thus an

observation of such processes would be a sign of physics beyond the Standard Model. In particular, leptons in the TeV mass range can induce measurable rates for the decays such as  $(B_d, B_s) \rightarrow \ell^+ \ell^-$  [48].

The second class of exclusive  $B$  decays that we want to discuss consists of the radiative rare decays. We shall discuss in the next section in some detail the decays  $B \rightarrow (K^*, K^{**}) + \gamma$  where  $K^{**}$  denotes any excited  $K$  meson, some of which are listed in Table 7.

The third class of exclusive decays that we discuss are the semileptonic FCNC decays. We shall consider in some detail the decays involving charged leptons like  $B \rightarrow K^{(*)} \ell^+ \ell^-$  as well as the decays into neutrino pairs like  $B \rightarrow K^{(*)} \nu \bar{\nu}$ . Likewise, the FCNC transition  $b \rightarrow d$ , giving rise to the decays  $B \rightarrow (\pi, \rho) \ell^+ \ell^-$  as well as  $B \rightarrow (\pi, \rho) \nu \bar{\nu}$ , are modes of great theoretical interests, and we also take up their rate estimates here.

### 4.1 Exclusive radiative rare decays

In this section we shall consider the radiative rare decays into  $K^*$  and excited states. In order to arrive at quantitative predictions some input is necessary to model the form factors of the corresponding transition matrix elements. In the following we shall use the relations between the form factors implied by heavy quark symmetries. Although the  $s$  quark is not particularly heavy and very substantial corrections to the Isgur-Wise functions are to be anticipated, yet we feel that the heavy quark symmetry can be used gainfully to relate various form factors.

Heavy quark spin symmetry predicts that heavy hadrons fall into spin symmetry doublets [20]. The corresponding group theory, including excited states, has been elaborated in [49]. The main result is that for transitions from a heavy  $0^-$  ground state meson to a heavy excited meson only one independent form factor per spin symmetry doublet appears. We shall use the model by Grinstein, Score, Isgur and Wise [50] to estimate the various Isgur-Wise functions.

A rich spectrum of states has been observed in the  $K$  system. In Table 7 we summarize the present knowledge about the  $K, K^*$  mesons and excited states [18]. In dedicated  $B$ -physics experiments, radiative  $B$ -decays can be used to check and in some ambiguous cases determine the spin-parity assignment of the various excited states. We use the usual notation for the quantum numbers. The radial excitation quantum number is denoted by  $n = 1, 2, 3, \dots$ .  $L = (S = 0), (P = 1), (D = 2), \dots$  is the orbital angular momentum,  $s = 0, 1$  is the sum of the spins of the two quarks in the meson and finally  $J = 1, 2, 3, \dots$  is the total spin of the meson. The parity is given by the orbital angular momentum to be  $P = (-1)^{L+1}$ .

The  $K$  resonances listed in Table 7 have to be assigned to spin symmetry doublets. In total we shall consider five spins symmetry doublets

$$(C, C^*) \quad \ell = 0, \quad j_{\text{right}} = 1/2 \quad J^P = (0^-, 1^-) \quad (46)$$

$$(E, E^*) \quad \ell = 1, \quad j_{\text{right}} = 1/2 \quad J^P = (0^+, 1^+) \quad (47)$$

$$(F, F^*) \quad \ell = 1, \quad j_{\text{right}} = 3/2 \quad J^P = (1^+, 2^+) \quad (48)$$

$$(G, G^*) \quad \ell = 2, \quad j_{\text{right}} = 3/2 \quad J^P = (1^-, 2^-) \quad (49)$$

$$(C_2, C_2^*) \quad \ell = 0, \quad j_{\text{right}} = 1/2 \quad J^P = (0^-, 1^-) \quad (50)$$

We have also included the spins symmetry doublet  $(C_2, C_2^*)$  which we consider to be a radial excitation of the lowest spin symmetry doublet. The single independent form factor per spins symmetry doublet is labelled by a corresponding subscript

$$\xi_C(\nu \nu') \quad \text{for } 0^- \rightarrow (0^-, 1^-) = (C, C^*) \quad (51)$$

$$\begin{aligned} \xi_{C_2}(vv') & \text{ for } 0^- \rightarrow (0^-, 1^-) = (C_2, C_2^*) & (52) \\ \xi_E(vv') & \text{ for } 0^- \rightarrow (0^+, 1^+) = (E, E^*) & (53) \\ \xi_F(vv') & \text{ for } 0^- \rightarrow (1^+, 2^+) = (F, F^*) & (54) \\ \xi_G(vv') & \text{ for } 0^- \rightarrow (1^-, 2^-) = (G, G^*) & (55) \end{aligned}$$

where  $v$  and  $v'$  denote the velocities of the incoming and outgoing meson, respectively. The assignment of the resonances to spin symmetry doublets is not unique. We shall choose our assignment of the excited  $K$  mesons into the spin symmetry doublets in the following way: we put the  $K_1(1270)$  and the  $K_2^*(1430)$  into the spin symmetry doublet  $(E, E^*)$  and correspondingly the  $K_1(1400)$  and the  $K(1430)$  into  $(F, F^*)$ . In particular, this assignment of the states  $K_1(1270)$  and  $K_1(1400)$  implies that due to the spin symmetry these states will be a mixture of the quark model states  $1^1P_1$  and  $1^3P_1$  with a mixing angle of  $48^\circ$ . In fact, this value of the mixing angle is consistent with experimental results [51], which in turn supports our assignment of the states to the spin symmetry doublets. A further motivation for our assignment is the fact that in the  $D$  system the lowest lying  $1^+$  state is the spin symmetry partner of the lowest  $2^+$  state. We shall put the  $K^*(1680)$  and the  $K_1(1580)$  into a fourth spin symmetry doublet  $(G, G^*)$ , assuming that they have both orbital angular momentum  $L=2$ . Finally we assume that the  $K^*(1460)$  and  $K^*(1410)$  are radially excited states, which form a fifth spin symmetry doublet  $(C, C^*)$ .

The effective Hamiltonian for the radiative decays is given in Eq. (5), where only the operator  $\mathcal{O}_7$  has a nonvanishing matrix element in the case of radiative decays. Calculating the matrix elements of  $\mathcal{O}_7$  for heavy  $s$  quark using the representations from [49] for the excited states one finds for the rates in terms of the Isgur-Wise functions (51-55)<sup>2</sup>

$$\Gamma(B \rightarrow K^* \gamma) = \Omega |\xi_C(vv')|^2 \frac{1}{y} [(1-y)^2(1+y)^6(1+y^2)] \quad (56)$$

$$\Gamma(B \rightarrow K_1(1270) \gamma) = \Omega |\xi_E(vv')|^2 \frac{1}{y} [(1-y)^2(1+y)^2(1+y^2)] \quad (57)$$

$$\Gamma(B \rightarrow K_1(1400) \gamma) = \Omega |\xi_F(vv')|^2 \frac{1}{24y^3} [(1-y)^2(1+y)^7(1+y^2)] \quad (58)$$

$$\Gamma(B \rightarrow K_2^*(1430) \gamma) = \Omega |\xi_F(vv')|^2 \frac{1}{8y^3} [(1-y)^2(1+y)^7(1+y^2)] \quad (59)$$

$$\Gamma(B \rightarrow K^*(1680) \gamma) = \Omega |\xi_G(vv')|^2 \frac{1}{24y^3} [(1-y)^7(1+y)^6(1+y^2)] \quad (60)$$

$$\Gamma(B \rightarrow K_1(1580) \gamma) = \Omega |\xi_G(vv')|^2 \frac{1}{8y^3} [(1-y)^7(1+y)^6(1+y^2)] \quad (61)$$

$$\Gamma(B \rightarrow K^*(1410) \gamma) = \Omega |\xi_{C_2}(vv')|^2 \frac{1}{y} [(1-y)^2(1+y)^5(1+y^2)] \quad (62)$$

The argument  $vv'$  of the Isgur-Wise functions is fixed by the condition

$$vv' = \frac{m_B^2 + m_{K^*}^2}{2m_B m_{K^*}} \quad (63)$$

<sup>2</sup>Note that in ref. [26], a factor  $(1-y)^2$  was missing in all the expressions listed here, which we now correct. The branching ratios presented in ref. [26] remain unchanged. We thank M. Gourdin and N. Paver for pointing out this typographical error.

| Name          | State   | $J^P$ | $n^{2s+1}L_J$     | $J_{light}$ | Mass/MeV          |
|---------------|---------|-------|-------------------|-------------|-------------------|
| $K$           | $C$     | $0^-$ | $1^1S_0$          | $[1/2]$     | $497.67 \pm 0.03$ |
| $K^*(892)$    | $C^*$   | $1^-$ | $1^3S_1$          | $[1/2]$     | $896.1 \pm 0.3$   |
| $K_1(1270)$   | $E^*$   | $1^+$ | $1^1P_1/1^3P_1$   | $[1/2]$     | $1270 \pm 10$     |
| $K_1(1400)$   | $F$     | $1^+$ | $1^1P_1/1^3P_1$   | $[3/2]$     | $1402 \pm 7$      |
| $K^*(1410)$   | $C_2^*$ | $1^-$ | $2^3S_1$          | $[1/2]$     | $1412 \pm 12$     |
| $K^*(1430)$   | $E$     | $0^+$ | $1^3P_0$          | $[1/2]$     | $1429 \pm 7$      |
| $K_2^*(1430)$ | $F^*$   | $2^+$ | $1^3P_2$          | $[3/2]$     | $1425.4 \pm 1.3$  |
| $K(1460)$     | $C_2$   | $0^-$ | $2^1S_0$          | $[1/2]$     | $\approx 1460$    |
| $K_2(1580)$   | $G^*$   | $2^-$ | $[1^1D_2/1^3D_2]$ | $[3/2]$     | $\approx 1580$    |
| $K_1(1650)$   | $G$     | $1^+$ | $[2^1P_1]$        | $[1/2]$     | $1650 \pm 50$     |
| $K^*(1680)$   | $G$     | $1^-$ | $1^3D_1$          | $[3/2]$     | $1714 \pm 20$     |
| $K_2(1770)$   | $G$     | $2^-$ | $[1^1D_2/1^3D_2]$ | $[5/2]$     | $1768 \pm 14$     |
| $K_3^*(1780)$ | $G$     | $3^-$ | $1^3D_3$          | $[5/2]$     | $1770 \pm 10$     |
| $K(1830)$     | $G$     | $0^-$ | $3^1S_0$          | $[1/2]$     | $\approx 1830$    |
| $K_0^*(1950)$ | $G$     | $0^+$ | $[2^3P_0]$        | $[1/2]$     | $1945 \pm 32$     |
| $K_2^*(1980)$ | $G$     | $2^+$ | $[2^3P_0]$        | $[1/2]$     | $1975 \pm 22$     |
| $K_4^*(2045)$ | $G$     | $4^+$ | $[2^3P_0]$        | $[1/2]$     | $2045 \pm 9$      |
| $K_3(2250)$   | $G$     | $2^-$ | $1^3F_4$          | $[1/2]$     | $2247 \pm 17$     |
| $K_3(2320)$   | $G$     | $3^+$ |                   |             | $2324 \pm 24$     |
| $K_0^*(2380)$ | $G$     | $5^-$ |                   |             | $2382 \pm 24$     |
| $K_4(2500)$   | $G$     | $4^-$ |                   |             | $2490 \pm 20$     |

Table 7: Spectrum of neutral  $K$  mesons. Notation, masses, and assignment of quantum numbers are taken from [18], except for quantities in brackets. The systematical and statistical errors on the masses have been added in quadrature.

and we have used the following abbreviations

$$\Omega = \frac{\alpha}{128\pi^4} G_F^2 m_b^5 |V_{cb}|^2 |V_{cs}|^2 |C_T(m_b)|^2, \quad y = \frac{m_{K^{**}}}{m_B}. \quad (64)$$

Since the decays into states in the same spin symmetry doublet are described by a single Isgur-Wise function, spin symmetry relates these decays. From (56-62) we find

$$\begin{aligned} \Gamma(B \rightarrow K_2^*(1430)\gamma) &= 3 \cdot \Gamma(B \rightarrow K_1(1400)\gamma) \\ \Gamma(B \rightarrow K_2(1580)\gamma) &= 3 \cdot \Gamma(B \rightarrow K^*(1680)\gamma) \end{aligned} \quad (65)$$

In the heavy quark limit the two members of a spin symmetry doublet should be degenerate in mass, which means that the corresponding Isgur-Wise functions would be taken at the same value of  $v\bar{v}$  and the ratio  $y$  would be the same for both states. However, for the  $s$  quark we expect a large breaking of the spin symmetry, which means that the two relations (65) will be only approximate.

In order to obtain quantitative results a model has to be used to actually calculate the Isgur-Wise functions. We shall employ the model by Grinstein, Scora, Isgur and Wise [50]. They use harmonic oscillator wave functions for the mesons. The corresponding oscillator strengths are  $\beta_X$  and  $\beta_B$  which have been fitted in [50] to semileptonic  $B$  and  $D$  decays. From this fit one obtains  $\beta_X = 0.84$  GeV,  $\beta_B = 0.41$  GeV, which are not equal and hence the GISW wave functions don't have a built-in flavour symmetry. The breaking of the heavy flavour symmetry causes a violation of the normalization condition  $\xi_C(1) = 1$ . To estimate the model dependence and the effect of the breaking of heavy quark symmetry we use the same parameter  $\beta$  for both wave functions and vary it between  $\beta_X$  and  $\beta_B$ , hoping that this provides a plausible range for the decay rate predictions.

Table 8 shows our estimates for the exclusive  $B \rightarrow K^{**}\gamma$  branching ratios. Our results are given in units of the inclusive branching ratio  $B \rightarrow X_s\gamma$ , which has been discussed in section 2. We recall that we estimated an inclusive branching ratio of  $BR(B \rightarrow X_s\gamma) = 2 \cdot 5 \times 10^{-4}$ . For the numerical values of the  $B \rightarrow K^{**}\gamma$  branching ratios in  $BR(B \rightarrow X_s\gamma) = 4 \times 10^{-4}$ , corresponding to a top quark mass of about 150 GeV and  $\mu = m_b$ .

For an earlier calculation of  $BR(B \rightarrow K^*\gamma)$ , see ref. [52]. Our predictions for this mode encompasses the estimate  $R = 7\%$  from this work. To compare the numbers in Table 8 with the results of the calculation reported in [53], we note first that the experimental information on the  $K^{**}$  resonances has increased considerably. Taking into account updated mass values, our results are generally in agreement with [53]. However, there is a noticeable difference in our prediction for the branching fraction into  $K_2^*(1430)$ , which from our estimate is about a factor three larger compared to the one reported in [53]. Very recently, some of the branching ratios estimated by us in Table 8 have also been calculated in ref. [54] in SM and in the extension of SM with additional charged Higgs doublet. These authors find that the decay mode  $B \rightarrow K^*(1410)\gamma$  is the dominant decay channel in  $B \rightarrow X_s\gamma$ . Our result is consistent with spin symmetry, because it satisfies the general relations (65) which hold without model dependent input for the Isgur-Wise functions. However, one should anticipate significant power corrections, which have yet to be estimated. It is obvious that a  $B$  factory will be able to thoroughly scan the  $K^{**}$ -mass region, via FCNC  $B$  decays, and it should be possible to distinguish various competing models. Our aim at this stage is to get ball-park estimates. Obviously, there is much room here for theoretical improvements.

| Name          | State   | $J^P$ | $v\bar{v}$ | $\xi$           | $R$           | $BR \times 10^{-5}$ |
|---------------|---------|-------|------------|-----------------|---------------|---------------------|
| $K$           | $C$     | $0^-$ | 5.346      | 0.125 - 0.239   | forbidden     | forbidden           |
| $K^*$         | $C^*$   | $1^-$ | 3.030      | 0.136 - 0.253   | 3.5% - 12.2%  | 1.4 - 4.9           |
| $K^*(1430)$   | $E$     | $0^+$ | 1.982      | 0.309 - 0.453   | forbidden     | forbidden           |
| $K_1(1270)$   | $E^*$   | $1^+$ | 2.198      | 0.296 - 0.441   | 4.5% - 10.1%  | 1.8 - 4.0           |
| $K_1(1400)$   | $F$     | $1^+$ | 2.015      | 0.307 - 0.451   | 6.0% - 13.0%  | 2.4 - 5.2           |
| $K_2^*(1430)$ | $F^*$   | $2^+$ | 1.987      | 0.309 - 0.452   | 17.3% - 37.1% | 6.9 - 14.8          |
| $K^*(1680)$   | $G$     | $1^-$ | 1.702      | 0.359 - 0.420   | 1.1% - 1.5%   | 0.4 - 0.6           |
| $K_2(1580)$   | $G^*$   | $2^-$ | 1.820      | 0.350 - 0.417   | 4.3% - 6.4%   | 1.8 - 2.6           |
| $K(1460)$     | $C_2$   | $0^-$ | 1.946      | -0.242 - -0.293 | forbidden     | forbidden           |
| $K^*(1410)$   | $C_2^*$ | $1^-$ | 2.005      | -0.240 - -0.292 | 7.2% - 10.6%  | 2.9 - 4.2           |
| Sum           |         |       |            |                 | 44.1% - 90.9% | 17.6 - 36.4         |

Table 8: Values for the Isgur-Wise function, the exclusive to inclusive ratio  $R = \Gamma(B \rightarrow K^*\gamma)/\Gamma(B \rightarrow X_s\gamma)$ , and the branching ratio  $BR(B \rightarrow K^*\gamma)$  for  $K^*$  and higher resonances, taken from ref. [26].

## 4.2 Semileptonic Rare $B$ Decays

In this section we shall consider in some detail the semileptonic rare decays  $B \rightarrow K^{(*)}\ell^+\ell^-$ . In this case all the operators  $\mathcal{O}_1$  to  $\mathcal{O}_9$  of the operator basis for the effective Hamiltonian (Eq. (5)) contribute. We shall take two complementary points of view. One may exploit heavy quark symmetries of heavy to light transitions to obtain a relation between semileptonic heavy to light decays and the rare decays. However, this approach only allows to relate these decays and will not yield absolute predictions. In order to arrive at absolute predictions we shall again use the relations between form factors obtained in the limit of heavy  $s$  quark. We shall use two parametrizations of the Isgur-Wise function, fitted to the semileptonic  $D$ -decays.

First, we apply heavy quark symmetries and treat the  $s$  quark as a light quark, i.e. we have to consider a heavy to light decay. This has been done first in [55] where the relations we are going to exploit have been derived first. The main idea is that the spin flavour symmetries of the heavy quark limit may be used to relate heavy to light decays, in particular the semileptonic ones with the rare decays. Thus one finds relations among the rare decay  $B \rightarrow K\ell^+\ell^-$  and the semileptonic decays  $B \rightarrow \pi\ell\nu_\ell$ ,  $D \rightarrow \pi\ell\nu_\ell$  and  $D \rightarrow K\ell\nu_\ell$ . By the same token, one may relate  $B \rightarrow K^*\ell^+\ell^-$  to the semileptonic decays  $B \rightarrow \rho\ell\nu_\ell$ ,  $D \rightarrow \rho\ell\nu_\ell$  and  $D \rightarrow K^*\ell\nu_\ell$ . In addition, heavy quark symmetries also allow to relate the rare decays  $B \rightarrow K^{(*)}\nu\bar{\nu}$  to the corresponding semileptonic decays.

Heavy quark symmetries will work best in the region close to the maximal momentum transfer to the leptons and we shall restrict ourselves to the comparison of the lepton spectra of the processes mentioned above close to the point of maximal momentum transfer. Experimentally this will be the kinematic region where most of the data will be obtained and a comparison of the spectra should be within reach of a future  $B$  factory.

In addition to heavy flavour symmetry one needs also the usual flavour  $SU(3)$  symmetry. However, flavour  $SU(3)$  is broken by the hadron masses and in form factors. The kinematic effects of  $SU(3)$  breaking may easily be taken into account by using the measured value for

$m_K/m_\pi \sim 3.7$ . We shall assume in the following that  $SU(3)$  breaking in the form factors is negligible.

For the decays into a light pseudoscalar meson, close to the point of maximal momentum transfer to the leptons, one has for the ratio of the spectra of  $B \rightarrow K \ell^+ \ell^-$  and  $B \rightarrow \pi \ell \nu$  [27]:

$$\begin{aligned} \bar{R}_\ell(z) &\equiv \frac{\frac{d\Gamma}{dz}(B \rightarrow K \ell^+ \ell^-)}{\frac{d\Gamma}{dz}(B \rightarrow \pi \ell \nu)} \\ &= \frac{|V_{cb} V_{cb}^*|^2 \alpha^2}{|V_{ub} V_{ub}^*|^2 8\pi^2} \left( \frac{z^2 - 4\kappa^2}{z^2 - 4\pi^2} \right)^{3/2} \left\{ |C_{\text{eff}}|^2 + 2|C_7|^2 + |C_9|^2 \right\} \end{aligned} \quad (66)$$

where  $z = (2v \cdot p)/m_B$ ,  $\tau = m_\pi/m_B$ , and  $\kappa = m_K/m_B$ ;  $v$  and  $p$  are the velocity of the decaying  $B$  meson and the momentum of the final state light meson, respectively. Note that in the limit  $\kappa \rightarrow \tau$  this ratio depends only weakly on  $z$  through the coefficient  $C_{\text{eff}}$ , calculated from the quark loop diagrams. Inserting the maximum value for the momentum transfer the ratio  $\bar{R}_\ell$  becomes a constant in the limit  $\kappa = \tau$ ,

$$\bar{R}_\ell = \frac{|V_{cb} V_{cb}^*|^2 \alpha^2}{|V_{ub} V_{ub}^*|^2 8\pi^2} \left\{ |C_{\text{eff}}|^2 + 2|C_7|^2 + |C_9|^2 \right\} \quad (67)$$

This relation is model independent and relates the lepton spectra of the usual, i.e. CC-induced, and the rare, i.e., FCNC-induced, semileptonic decays; it will be of some use at a future  $B$  factory since both the decays  $B \rightarrow K \ell^+ \ell^-$  and  $B \rightarrow \pi \ell \nu$  will hopefully be measured.

Similarly, one may also relate the rare FCNC  $B$ -decays to the semileptonic  $D$  decay  $D \rightarrow K \ell \nu$ , obtaining

$$\frac{\frac{d\Gamma}{d(w\beta)}(B \rightarrow K \ell^+ \ell^-)}{\frac{d\Gamma}{d(w\beta)}(D \rightarrow K \ell \nu)} = \frac{m_B^2}{m_D^2} \bar{R}_\ell \quad (68)$$

The decays into light vector mesons may be treated in an analogous way. One may compare the semileptonic decays  $B \rightarrow \rho \ell \nu$  and  $D \rightarrow K^* \ell \nu$  with the short distance piece of  $B \rightarrow K^* \ell^+ \ell^-$ . The flavour  $SU(3)$  mass splitting for the vector mesons is much smaller than for the pseudoscalars and we may neglect the kinematic factors originating from the different masses of the light mesons. The ratio between the decays  $B \rightarrow \rho \ell \nu$  and  $B \rightarrow K^* \ell^+ \ell^-$  is given by

$$\frac{\frac{d\Gamma}{dz}(B \rightarrow K^* \ell^+ \ell^-)}{\frac{d\Gamma}{dz}(B \rightarrow \rho \ell \nu)} = \bar{R}_\ell \quad (69)$$

and thus this ratio is equal to the one appearing in the decays into light pseudoscalar mesons.

We can also relate the rare decay to the semileptonic decay  $D \rightarrow K^* \ell \nu$  which for small energies results in the same constant ratio as in the pseudoscalar case

$$\frac{\frac{d\Gamma}{d(w\beta)}(B \rightarrow K^* \ell^+ \ell^-)}{\frac{d\Gamma}{d(w\beta)}(D \rightarrow K^* \ell \nu)} = \frac{m_B^2}{m_D^2} \bar{R}_\ell \quad (70)$$

The Wilson coefficients depend on  $m_t$ , which translates into the  $m_t$ -dependence of  $\bar{R}_\ell$ . We list in Table (9) the values of  $\bar{R}_\ell$  for different top masses in units of the value at  $m_t = 100$  GeV

$$R_\ell(m_t = 100 \text{ GeV}) = \frac{|V_{cb} V_{cb}^*|^2}{|V_{ub} V_{ub}^*|^2} \cdot (7.42 \times 10^{-6}) \simeq 1.16 \times 10^{-3} \quad (71)$$

where we have used the default values for the CKM matrix elements from Table 1.

Finally, we discuss the rates for the FCNC semileptonic decays  $B \rightarrow (K, K^*) \nu \bar{\nu}$ . In the same way as for the decays into charged leptons one finds for the rare decays into neutrinos a constant ratio depending on the Wilson coefficient  $D_3$  appearing in the effective Hamiltonian for the decays into neutrino pairs. One finds

$$\begin{aligned} R_\nu &\equiv \frac{\frac{d\Gamma}{d(w\beta)}(B \rightarrow K \nu \bar{\nu})}{\frac{d\Gamma}{d(w\beta)}(D \rightarrow K \ell \nu)} \\ &= \left| \frac{V_{cb} V_{cb}^*}{V_{cs}} \right| \frac{m_B^2}{m_D^2} \frac{\alpha^2}{8\pi^2} 6|D_3|^2 = \left| \frac{V_{cb} V_{cb}^*}{V_{cs}} \right| \cdot 4.55 \cdot 10^{-4} = 9.3 \cdot 10^{-7} \end{aligned} \quad (72)$$

where a top mass of 100 GeV,  $|V_{cb}| = 0.044$  and  $|V_{cs}| = 0.974$  have been used. The dependence on  $m_t$  of the ratio  $R_\nu$  is displayed in Table 10.

| $m_t$ [GeV]                                       | 100 | 125 | 150 | 175 | 200 | 225 | 250 |
|---|-----|-----|-----|-----|-----|-----|-----|
| $\bar{R}_\ell(m_t)/\bar{R}_\ell(100 \text{ GeV})$ | 1.0 | 1.5 | 2.1 | 2.9 | 4.0 | 5.4 | 7.1 |

Table 9: Top mass dependence of the ratio  $\bar{R}_\ell$ .

| $m_t$ [GeV]                         | 100 | 125 | 150 | 175 | 200 | 225 | 250 |
|-------------------------------------|-----|-----|-----|-----|-----|-----|-----|
| $R_\nu(m_t)/R_\nu(100 \text{ GeV})$ | 1.0 | 1.6 | 2.4 | 3.4 | 4.7 | 6.2 | 8.0 |

Table 10: Top mass dependence of the ratio  $R_\nu$ .

The spectra of the lepton invariant mass may be expressed in terms of the Isgur-Wise function and the corresponding expressions may be found in [25]. In order to obtain the decay rates we have to specify a parametrization of the Isgur-Wise function. We shall use two different parametrizations; the first one is inspired by a monopole form:

$$\xi(v \cdot v') = \frac{w_0^2}{w_0^2 - 2 + 2v \cdot v'} \quad (73)$$

and the second one by models employing wave functions to calculate the decay form factors:

$$\xi(v \cdot v') = \exp(\beta(1 - v \cdot v')) \quad (74)$$

We use the total rates of the decay  $D \rightarrow K \ell \nu$  to fit  $w_0$  in (73) and  $\beta$  in (74). The best fit is obtained for  $w_0 \approx 1.80$  and  $\beta \approx 0.5$  [25]. These two parametrizations may be used to integrate the differential rates as given in [25] and the numerical results are displayed in Table 11 for the decay  $B \rightarrow K \ell^+ \ell^-$  and in Table 12 for the decay  $B \rightarrow K^* \ell^+ \ell^-$ . Note that the differential rate for  $B \rightarrow K^* \ell^+ \ell^-$  behaves like  $1/m_t^2$  for small invariant dilepton masses  $m_\ell^2$  and thus the total rate will depend logarithmically on the lower bound of the integration, namely  $4m_\ell^2/m_B^2$ . This is the only point in our analysis where lepton mass effects become important. The rate  $B \rightarrow K \ell \ell$  does not become singular in this limit, and thus the difference

|  | Eq. (73), $w_0 = 1.80$ | Eq. (74), $\beta = 0.50$ |
|--|------------------------|--------------------------|
| $\Gamma(B \rightarrow K\ell^+\ell^-)/\text{GeV}$ | $2.9 \cdot 10^{-10}$   | $1.3 \cdot 10^{-10}$     |
| $BR(B \rightarrow K\ell^+\ell^-)$                | $6.0 \cdot 10^{-7}$    | $2.7 \cdot 10^{-7}$      |
| $R(B \rightarrow Ke^+e^-)$                       | 4%                     | 2%                       |
| $R(B \rightarrow K\mu^+\mu^-)$                   | 7%                     | 3%                       |

Table 11: Rates and branching fractions for the decays  $B \rightarrow K\ell^+\ell^-$  with  $\ell = e, \mu$ , and  $m_t = 150 \text{ GeV}$ .

|  | Eq. (73), $w_0 = 1.80$ | Eq. (74), $\beta = 0.50$ |
|--|------------------------|--------------------------|
| $\Gamma(B \rightarrow K^*e^+e^-)/\text{GeV}$     | $2.7 \cdot 10^{-18}$   | $2.0 \cdot 10^{-18}$     |
| $BR(B \rightarrow K^*e^+e^-)$                    | $5.6 \cdot 10^{-6}$    | $4.1 \cdot 10^{-6}$      |
| $R(B \rightarrow K^*e^+e^-)$                     | 37%                    | 28%                      |
| $\Gamma(B \rightarrow K^*\mu^+\mu^-)/\text{GeV}$ | $1.4 \cdot 10^{-18}$   | $1.2 \cdot 10^{-18}$     |
| $BR(B \rightarrow K^*\mu^+\mu^-)$                | $2.9 \cdot 10^{-6}$    | $2.5 \cdot 10^{-6}$      |
| $R(B \rightarrow K^*\mu^+\mu^-)$                 | 34%                    | 29%                      |

Table 12: Rates and branching fractions for the decays  $B \rightarrow K^*e^+e^-$  and  $B \rightarrow K^*\mu^+\mu^-$  and  $m_t = 150 \text{ GeV}$ .

between the rates for  $B \rightarrow Ke$  and  $B \rightarrow K\mu\mu$  is negligible. We also give in Tables 11 and 12 the ratio  $R$  for the corresponding exclusive decays, with the inclusive rate for  $B \rightarrow X, e^+e^-$  and  $B \rightarrow X, \mu^+\mu^-$  fixed to be:

$$\Gamma(B \rightarrow X, e^+e^-) = 7.2 \cdot 10^{-18} \text{ GeV} \quad (75)$$

$$\Gamma(B \rightarrow X, \mu^+\mu^-) = 4.1 \cdot 10^{-18} \text{ GeV} \quad (76)$$

We also remark that in estimating the branching ratios in the tables below, if not otherwise stated, we have used the inclusive  $B$  decay width

$$\Gamma(B) = 4.84 \times 10^{-13} \text{ GeV} \quad (77)$$

which corresponds to the central value of the present world average of the  $B$  hadron lifetime,  $\tau_b = 1.36 \text{ ps}$ . The numbers in Tables 11 and 12 are in agreement with other estimates in the literature.

We also remark that the above rates include only the short distance contribution, the importance of including the long distance contributions from the decays  $B \rightarrow J/\psi(K, K^*) \rightarrow \ell^+\ell^-(K, K^*)$ , in particular the resulting interference pattern in the invariant mass distributions, is well noted in the literature.

Similarly, we may also use these parametrizations of the Isgur-Wise function to obtain the total rates for the decays  $B \rightarrow K\nu\bar{\nu}$  and  $B \rightarrow K^*\nu\bar{\nu}$ . Integrating the differential distributions yields the rates given in Table 13, for the two parametrizations of the Isgur-Wise function. Again, we give the total rate, the branching fraction and the ratio  $R$  for the

|  | Eq. (73), $w_0 = 1.80$ | Eq. (74), $\beta = 0.50$ |
|--|------------------------|--------------------------|
| $\Gamma(B \rightarrow K\nu\bar{\nu})/\text{GeV}$   | $3.1 \cdot 10^{-18}$   | $1.4 \cdot 10^{-18}$     |
| $BR(B \rightarrow K\nu\bar{\nu})$                  | $6.4 \cdot 10^{-6}$    | $2.9 \cdot 10^{-6}$      |
| $R(B \rightarrow K\nu\bar{\nu})$                   | 8.0%                   | 3.6%                     |
| $\Gamma(B \rightarrow K^*\nu\bar{\nu})/\text{GeV}$ | $1.1 \cdot 10^{-17}$   | $1.0 \cdot 10^{-17}$     |
| $BR(B \rightarrow K^*\nu\bar{\nu})$                | $2.3 \cdot 10^{-6}$    | $2.0 \cdot 10^{-6}$      |
| $R(B \rightarrow K^*\nu\bar{\nu})$                 | 28.4%                  | 25.8%                    |

Table 13: Rates and branching fractions for the decays  $B \rightarrow K\nu\bar{\nu}$  and  $B \rightarrow K^*\nu\bar{\nu}$ , with  $m_t = 150 \text{ GeV}$  and summed over three neutrino species.

decay  $B \rightarrow (K, K^*)\nu\bar{\nu}$  summed over three generations. The inclusive rate for  $B \rightarrow X, \nu\bar{\nu}$  may be obtained from Eq. (42), which for  $m_t = 150 \text{ GeV}$  is:

$$\Gamma(B \rightarrow X, \nu\bar{\nu}) = 3.87 \cdot 10^{-17} \text{ GeV} \quad (78)$$

These processes have relatively large branching fractions and should be measurable at a future asymmetric  $B$  factory, if, as remarked already, the decay products of the two  $B$  mesons can be effectively separated.

#### 4.3 Decay Rates for $(B_s^0, B_d^0) \rightarrow \ell^+\ell^-$ and $(B_s^0, B_d^0) \rightarrow \gamma\gamma$

These decays were discussed some time ago in ref. [28] in the lowest (1 loop) order. With the help of the effective Hamiltonian formalism developed since then it is easy to incorporate the QCD effects, and we refer to a recent calculation of this decay mode in ref. [57]. Neglecting the small corrections, one gets:<sup>3</sup>

$$\Gamma(B_s^0 \rightarrow \tau^+\tau^-) = \frac{G_F^2}{\pi} \left(\frac{\alpha}{4\pi}\right)^2 f_B^2 m_B m_\tau^2 \sqrt{1 - \frac{4m_\tau^2}{m_B^2}} |V_{cb} V_{cs}^*|^2 |C_9(m_W)|^2 \quad (79)$$

Here  $f_B$  is the  $B$ -meson pseudoscalar coupling constant for which we assume  $f_{B_s} = 200 \text{ MeV}$ , and numerically  $C_9(m_W) = 3.62$  for  $m_t = 150 \text{ GeV}$ . The branching ratio for  $B_s^0 \rightarrow \ell^+\ell^-$  can be written as

$$BR(B_s^0 \rightarrow \tau^+\tau^-) = 3.8 \times 10^{-7} \left(\frac{f_{B_s}(\text{GeV})}{0.2}\right)^2 \left(\frac{C_9(m_W)}{3.62}\right)^2 \quad (80)$$

The rates for the decays  $B_s^0 \rightarrow \mu^+\mu^-$  and  $B_s^0 \rightarrow e^+e^-$  are suppressed compared to the corresponding rate for  $\tau^+\tau^-$  by  $(m_\mu/m_\tau)^2$  and  $(m_e/m_\tau)^2$ , respectively, and they are indeed very small, as can be seen in Table 14. Again, one can express the decay rates  $\Gamma(B_s^0 \rightarrow \ell^+\ell^-)$  in terms of the rates for  $\Gamma(B_s^0 \rightarrow \ell^+\ell^-)$  using the relation in the CKM model:

$$\frac{\Gamma(B_d^0 \rightarrow \ell^+\ell^-)}{\Gamma(B_s^0 \rightarrow \ell^+\ell^-)} = \frac{|V_{ud}|^2}{|V_{us}|^2} (1 + \delta_\ell), \quad (81)$$

<sup>3</sup>Note that there is a factor of 2 missing in the expression for the decay rate given in ref. [4] and [28]. We thank Andrzej Buras for drawing our attention to it.

## 5 OVERVIEW and OUTLOOK

Theoretical interest in rare  $B$ -decays lies in the first place in their potential role as precision tests of the Standard Model in the flavour sector. Within the Standard Model, their eventual measurements will provide quantitative information about the top quark mass and more importantly about the CKM matrix-elements,  $V_{td}$ ,  $V_{ts}$ , and  $V_{cb}$ . In particular, the CKM-suppressed rare  $B$ -decays directly measure  $V_{td}$ . Together with improved measurements of the CKM matrix elements  $|V_{cb}|$  and  $|V_{ub}|$ , the measurements of  $|V_{td}|$  will determine the CKM unitarity triangle, pinning down the CP violating phases in the Standard Model. However, rare  $B$ -decay may provide one of the early hints for the non-SM physics. It is, therefore, imperative to get as reliable estimates of these decays in the SM as possible.

We have discussed in this report a number of inclusive and exclusive branching ratios and distributions involving rare  $B$ -decays in the Standard Model. The estimates presented here are based on the applications of QCD, whose role lies in both sharpening the theoretical expectations and in providing a dependable energy-momentum profile of the final states. Based on the successful applications of perturbative QCD in studies of the inclusive CC semileptonic and non-leptonic  $B$ -decays, one expects that applications of the same in inclusive FCNC  $B$ -decays will also provide reliable estimates. However, they remain to be tested experimentally.

We emphasize that it would be worthwhile to measure the hard photon energy spectra in the inclusive  $B$ -decays,  $B \rightarrow X + \gamma$ . Photons from the CC  $B$  decays  $B \rightarrow (X_c, X_u) + \gamma$  are interesting in their own right providing a detailed test of the dynamics of hard photon emission in heavy meson decays. These photons range out by  $\sim 2$  GeV from  $B$  decays at rest due to kinematics, or else they are emitted at a reduced rate due to the CKM suppression in the decays  $B \rightarrow X_d + \gamma$ . Hence, photons with  $E_\gamma \geq 2$  GeV in  $B$  decays are likely candidates for the FCNC processes  $B \rightarrow (X_s, X_d) + \gamma$ . In principle, one could distinguish the CKM-allowed and -suppressed rare  $B$ -decays by flavour-tagging the hadrons in  $X_s$ , which should have an overall strangeness quantum number  $S = -1$ , and in  $X_d$ , consisting of light hadrons with an overall  $S = 0$ . In view of this, we emphasize good photon measurement capability to study a large class of very interesting  $B$  decays involving photonic transitions.

While the radiative rare  $B$ -decays are expected to have larger branching ratios, and hence emphasized quite a bit here, the FCNC  $B$ -decays involving dileptons are equally promising, since they can be searched for in hadron collisions also, such as at the Fermilab Tevatron, LHC, and SSC, and in fixed target dedicated  $B$  physics facilities. With an estimated yield of  $O(10^8 - 10^{12})$   $B$ -hadrons at these facilities, many of the inclusive and exclusive dilepton decay modes are detectable. In fact, the present best limits on their searches have been obtained from the  $p\bar{p}$  colliders at CERN and Fermilab.

The FCNC semileptonic and purely leptonic  $B$  decays can be classified as the CKM-allowed and CKM-suppressed transitions involving the matrix element  $V_{td}$  and  $V_{ts}$ , respectively. Their rates and various dilepton distributions are sensitive to both  $m_t$  and non-SM interactions. We have worked out the  $m_t$ -dependence in many examples in this report. Experiments searching for CP violation in  $B$  decays, based on  $B \rightarrow J/\psi + K_s$ , have a built-in sensitivity for measuring FCNC semileptonic and leptonic decays, such as  $B \rightarrow X_s \ell^+ \ell^-$ , with the dilepton mass being different from the  $J/\psi$  and  $\psi$  masses to reduce the CC component. Theoretical predictivity in exclusive rare  $B$ -decays is less sharp. This is so since rare

with  $\delta_t$  is again an  $SU(3)$ -breaking parameter. Again, for the default value  $|V_{td}/V_{cb}| = 0.21$  one expects the branching ratios for  $B_d^0 \rightarrow \ell^+ \ell^-$  to be suppressed by  $\sim 22$ , as compared to the corresponding decays  $B_s^0 \rightarrow \ell^+ \ell^-$ . We have listed these branching ratios in Table 14 for  $m_t = 150$  GeV, dropping  $\delta_t$ .

Finally, the branching ratio for the process  $B_s^0 \rightarrow \gamma \gamma$  is:

$$BR(B_s^0 \rightarrow \gamma \gamma) = 1.5 \times 10^{-8} \left( \frac{f_{B_s}(\text{GeV})}{0.2} \right)^2 \left( \frac{I^+(\gamma)}{0.43} \right)^2 \quad (82)$$

where the function  $I^+(\gamma)$  is given in ref. [28]. The branching ratio for this and the corresponding one involving the CKM-suppressed decay  $BR(B_d^0 \rightarrow \gamma \gamma)$  are listed in Table 14 with  $m_t = 150$  GeV.

| Decay Modes                                | Br                           | Experimental Upper Limits (90 % C.L.) |
|--|------------------------------|---------------------------------------|
| $(B_d, B_u) \rightarrow X_s \gamma$        | $3.5 \times 10^{-4}$         | $8.4 \times 10^{-4}$ [CLEO][56]       |
| $(B_d, B_u) \rightarrow K^* \gamma$        | $(4.0 - 6.8) \times 10^{-5}$ | $0.92 \times 10^{-4}$ [CLEO][56]      |
| $(B_d, B_u) \rightarrow X_d \gamma$        | $1.5 \times 10^{-5}$         | -                                     |
| $(B_d, B_u) \rightarrow \rho + \gamma$     | $(2.8 - 4.5) \times 10^{-6}$ | -                                     |
| $(B_d, B_u) \rightarrow X_s e^+ e^-$       | $1.5 \times 10^{-5}$         | -                                     |
| $(B_d, B_u) \rightarrow X_d e^+ e^-$       | $6.5 \times 10^{-7}$         | -                                     |
| $(B_d, B_u) \rightarrow X_s \mu^+ \mu^-$   | $8.5 \times 10^{-6}$         | $5.0 \times 10^{-5}$ [UAI][45]        |
| $(B_d, B_u) \rightarrow X_d \mu^+ \mu^-$   | $3.7 \times 10^{-7}$         | -                                     |
| $(B_d, B_u) \rightarrow K e^+ e^-$         | $6.0 \times 10^{-7}$         | $5.0 \times 10^{-5}$ [PDG][18]        |
| $(B_d, B_u) \rightarrow K \mu^+ \mu^-$     | $6.0 \times 10^{-7}$         | $1.5 \times 10^{-4}$ [PDG][18]        |
| $(B_d, B_u) \rightarrow K^* e^+ e^-$       | $5.6 \times 10^{-6}$         | -                                     |
| $(B_d, B_u) \rightarrow K^* \mu^+ \mu^-$   | $2.9 \times 10^{-6}$         | $2.3 \times 10^{-5}$ [UAI][45]        |
| $(B_d, B_u) \rightarrow X_s \nu \bar{\nu}$ | $8.0 \times 10^{-6}$         | -                                     |
| $(B_d, B_u) \rightarrow X_d \nu \bar{\nu}$ | $3.5 \times 10^{-6}$         | -                                     |
| $(B_d, B_u) \rightarrow K \nu \bar{\nu}$   | $6.4 \times 10^{-6}$         | -                                     |
| $(B_d, B_u) \rightarrow K^* \nu \bar{\nu}$ | $2.3 \times 10^{-6}$         | -                                     |
| $B_s \rightarrow \gamma \gamma$            | $1.5 \times 10^{-8}$         | -                                     |
| $B_d \rightarrow \gamma \gamma$            | $6.5 \times 10^{-10}$        | -                                     |
| $B_s \rightarrow \tau^+ \tau^-$            | $3.8 \times 10^{-7}$         | -                                     |
| $B_d \rightarrow \tau^+ \tau^-$            | $1.7 \times 10^{-8}$         | -                                     |
| $B_s \rightarrow \mu^+ \mu^-$              | $1.8 \times 10^{-9}$         | -                                     |
| $B_d \rightarrow \mu^+ \mu^-$              | $8.0 \times 10^{-11}$        | -                                     |
| $B_s \rightarrow e^+ e^-$                  | $4.2 \times 10^{-14}$        | -                                     |
| $B_d \rightarrow e^+ e^-$                  | $1.9 \times 10^{-15}$        | -                                     |

Table 14: Estimates of the branching fractions for FCNC  $B$ -decays in the Standard Model for  $m_t = 150$  GeV,  $\mu = 5$  GeV and  $f_{B_d} = 200$  MeV. Note that the CKM-suppressed decays depend quadratically on  $|V_{td}|$ , and the numbers correspond to  $|V_{td}| = 9.18 \times 10^{-3}$ . Experimental upper limits are also listed.

$B$ -decays involve the so-called heavy to light hadron transitions, as opposed to the heavy to heavy CC decays  $B \rightarrow (D, D^*)\ell\bar{\nu}_\ell$ , which have been quantitatively studied in the HQET framework and are under theoretical control. Significantly firm statements on the exclusive rare  $B$ -decays can be made if the CKM-suppressed CC exclusive decays become available experimentally. They will allow measurement of the Isgur-Wise function over a large kinematic region, reducing the parametrization dependence, but to be able to predict quantitatively the rates, one will have to estimate power corrections. From an experimental point of view, however, exclusive rare  $B$ -decay searches, such as  $B \rightarrow K^* + \gamma$ ,  $B \rightarrow \rho + \gamma$ , and  $B \rightarrow (K, K^*, \pi, \rho) \ell^+ \ell^-$ , are easier given enough statistics. In view of the ongoing searches, we reviewed estimates of some exclusive decays. They were based in part on vector meson dominance approximation, which we consider experimentally verified in low invariant mass systems and hence also useful in similar kinematic domains in rare  $B$  decays, and in part on the HQET-motivated models. Clearly, there is lot of room for improvement here and we hope that the quantitative study of FCNC exclusive decays will receive the theoretical attention it deserves. Despite this, and with the imminent discovery of the penguin dominated decay mode  $B \rightarrow K^* + \gamma$  around the corner, we would like to stress that a branching ratio  $(4.0 - 7.0) \times 10^{-6}$  is a fairly confident prediction of the Standard Model for this decay mode.

The full potential of FCNC  $B$  physics will be realized only in dedicated  $B$  physics experiments, such as at a  $B$  factory and in future hadronic collisions. The ultimate prize in  $B$  physics is to get a deeper understanding of CP violation, but along the way there are also other precious discoveries to be made. We have given a profile of FCNC  $B$  decays where many of such discoveries are in store, some of them almost at the level of the present experimental sensitivity.

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