Bottom-Up Discrete Symmetries for Cabibbo Mixing

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We perform a bottom-up search for discrete non-Abelian symmetries capable of quantizing the Cabibbo angle that parameterizes CKM mixing. Given a particular Abelian symmetry structure in the up and down sectors, we construct representations of the associated residual generators which explicitly depend on the degrees of freedom present in our effective mixing matrix. We then discretize those degrees of freedom and utilize the *Groups, Algorithms, Programming* (GAP) package to close the associated finite groups. This short study is performed in the context of recent results indicating that, without resorting to special model-dependent corrections, no small-order finite group can simultaneously predict all four parameters of the three-generation CKM matrix and that only groups of $\mathcal{O}(10^2)$ can predict the analogous parameters of the leptonic PMNS matrix, regardless of whether neutrinos are Dirac or Majorana particles. Therefore a natural model of flavour might instead incorporate small(er) finite groups whose predictions for fermionic mixing are corrected via other mechanisms.

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I. INTRODUCTION

Non-Abelian (NA) discrete flavour symmetries are powerful tools in the effort to explain the observed structure of fermionic masses and mixings. In particular, they allow for precise predictions of mixing matrices and, when coupled with other auxiliary symmetries, can also help organize mass patterns. Flavour models employing discrete symmetries are generically classified as 'direct,' 'semi-direct,' and 'indirect' (see [1] for a review). In the context of direct or semi-direct models, one might assume that, at very high energies normally at or above the GUT scale, a parent flavour symmetry $\mathcal{G}_{\mathcal{F}}$ breaks to subgroups in the quark $\mathcal{G}_{\mathcal{Q}}$ and lepton $\mathcal{G}_{\mathcal{L}}$ sectors, which then subsequently break to subgroups in the charged lepton \mathcal{G}_{e} , neutrino \mathcal{G}_{ν} , up \mathcal{G}_{u} and down \mathcal{G}_{d} sectors:

$$\mathcal{G}_{\mathcal{F}} \to \begin{cases} \mathcal{G}_{\mathcal{L}} \to \begin{cases} \mathcal{G}_{\nu} \\ \mathcal{G}_{e} \\ \\ \mathcal{G}_{\mathcal{Q}} \to \begin{cases} \mathcal{G}_{u} \\ \mathcal{G}_{d} \end{cases} \end{cases}$$
(1)

This schematic simplifies if $\mathcal{G}_{\mathcal{F}} = \mathcal{G}_{\mathcal{L}} = \mathcal{G}_{\mathcal{Q}}$, in which case the first arrow disappears and one only considers a single reduction to the final residual symmetries. If $\mathcal{G}_{\mathcal{L}}$ and $\mathcal{G}_{\mathcal{Q}}$ have separate origins $\mathcal{G}_{\mathcal{F}}$ can be constructed from the direct product of the groups that give rise to $\mathcal{G}_{\mathcal{L}}$ and $\mathcal{G}_{\mathcal{Q}}$. Regardless of the breaking patterns, the parent symmetries must be NA in order for generations to be arranged in irreducible multiplets and¹, similarly, the final pattern of residual symmetries present in the Standard Model (SM) Lagrangian must be Abelian and of order $N \geq$ number of generations (this requirement is due to the generations having distinct masses and non-trivial mixing).

Recently, the bulk of theoretical studies have focused on the leptonic sector, perhaps due to the flux of new experimental data indicating that the reactor angle θ_{13} is nonzero [4] (see [5–7] for global fits to neutrino mixing observables) and hence simple models based on, e.g., the flavour symmetry A_4 [8–13] must be abandoned or substantially modified [14–27]. Unfortunately, all model-independent scans of the lepton sector indicate that only large groups of $\mathcal{O}(10^2)$ can quantize θ_{13} within 3σ , and even larger groups are needed to quantize the full PMNS matrix to a similar accuracy [28–34]. This statement is true for both Majorana and Dirac-type neutrinos, and regardless of whether the discrete flavour symmetry $\mathcal{G}_{\mathcal{F}}$ is a subgroup of SU(3) or U(3), but only applies to direct models that completely predict the mixing angles. This result was confirmed additionally from general group theoretical arguments [35] and also from a bottom-up approach [36] which we will discuss in detail below.

Furthermore, studies addressing the quark sector are generally performed in light of the leptons. That is, people have searched for quark symmetries [37–39] that have irreducible triplet representations or that can originate from the same groups that work for leptons (e.g. subgroups of $\Delta(6N^2)$). Inevitably, as one might predict given the extremely hierarchical structure of the CKM matrix, no finite group has been found that can predict all angles and phases of the CKM to any accuracy. Small groups such as D_{14} and other variants of the Dihedral family can predict the Cabibbo angle [40, 41], but still not within 3σ . Within this context, it is prudent to consider the possibility that, if a NA discrete flavour symmetry does exist in nature, it is described by a small group whose predictions for fermionic mixing are modified, perhaps via Renormalization Group running [42–44] or additional symmetry breaking effects as have been studied for leptonic mixing [45–47]. We adopt this philosophy in the present note, and focus on finite groups that can predict the Cabibbo angle at leading order.

We study Cabbibo mixing in the quark sector by utilizing the approach introduced in [36], which effectively inverts the arrows in Eq. (1). This method of '[re]constructing' finite flavour groups begins by identifying residual Abelian symmetries present in the Standard Model Yukawa sector and then building explicit representations of the generators of said symmetries. By construction, those matrices depend on the same degrees of freedom present in the mixing matrices. One can then utilize the GAP system for computational finite algebra² to close the groups generated by the representations. This approach essentially realizes an automation of the studies performed in [48] with regard to leptons and [49] with regard to quarks, and was previously applied to a special case of $\mu - \tau$ perturbed leptonic mixing. It is particularly useful as a model-building tool. The authors of [50] reiterated the bottom-up perspective in a non-automated fashion and also conceptually extended it to treat general CP symmetries.

This paper begins with a generic discussion of the residual discrete symmetries that are present in the quark mass sector of the SM in section II. Section III reviews the bottom-up method of [36], and elaborates the specific details of

¹ By considering all possible charge assignments in models with an Abelian $\mathcal{G}_{\mathcal{F}} \sim Z_{n1} \times Z_{n2} \times ...$ in a Froggatt-Nielsen [2] scenario, as was done in [3], one can also achieve realistic mass and mixing relations. However, these predictions are in terms of an unquantized texture parameter ϵ , unlike the NA models we discuss here which fully predict the mixing matrix.

² http://www.gap-system.org/. We use GAP4.7.

this implementation. Results are presented in section IV before we discuss the trends and limitations of our search method in section V. We give closing remarks in section VI.

II. THE SYMMETRIES OF THE YUKAWA MASS SECTOR

The philosophy of building NA flavour symmetries via the identification of residual Abelian symmetries present in the SM Lagrangian has been approached both analytically and numerically over the last couple of years (cf. references above). We adopt this philosophy below to identify the relevant residual symmetries \mathcal{G}_{u} and \mathcal{G}_{d} of the quark mass sector, closely following the discussion and notation of [49].

The SM Lagrangian for quark masses is given by:

$$-\mathcal{L} = \bar{U}_R \hat{M}_U U_L + \bar{D}_R M_D D_L + h.c.$$
⁽²⁾

where $U_{L,R} \equiv (u,c,t)_{L,R}^T$, $D_{L,R} \equiv (d,s,b)_{L,R}^T$ and $\hat{M}_U \equiv diag\{m_u, m_c, m_t\}$. Hence we are in the basis where the up quarks are diagonal. It is clear from Eq. (2) that the Lagrangian is invariant under the action of a U(1) symmetry for each active generation and, noting that U_L and D_L belong to the same $SU(2)_L$ doublet, the natural residual symmetry of both up and down quark mass terms is $U(1)^3$. We are currently only interested in discrete flavour symmetries, so we focus on discrete cyclic subgroups and their direct products:

$$\mathcal{G}_{\mathcal{Q}} \to \begin{cases} \mathcal{G}_u \sim Z_n^u, \, Z_{n1}^u \times Z_{n2}^u \\ \mathcal{G}_d \sim Z_m^d, \, Z_{m1}^d \times Z_{m2}^d \end{cases}$$
(3)

We assign $\mathcal{G}_{u/d}$ to a single cyclic $Z_{n/m}$ (with (n, m) the order of the associated generator) in analogy to the usual choice made for the charged leptons, or to a direct product group in analogy to the maximal $Z_2 \times Z_2$ symmetry that exists for Majorana neutrino mass matrices (see e.g. [1]). However, in this case our cyclic generators are of course not bound to be of order two in either the up or down sectors. Denoting the generator(s) of Z_u as T_l and the generator(s) of Z_d as S_{Di} , the actions of the above residual symmetries on the left-handed fields that are relevant for mixing are represented by:

$$U_L \to T_l U_L \tag{4}$$

$$D_L \to S_{Di} D_L \tag{5}$$

where for three generations

$$T_l = diag \left(e^{i\Phi_1}, e^{i\Phi_2}, e^{i\Phi_3} \right)_l \text{ where } \Phi_j = 2\pi \frac{\phi_j}{n}$$

$$\tag{6}$$

Both ϕ_j and n are integers, with n representing the order of the generator. In the down sector, S_{Di} are given as the rotated generators that depend on the explicit degrees of freedom present in the unitary mixing matrix:

$$S_{Di}(\{\Theta_k, \alpha_j\}) = U_{CKM}(\Theta_k) S(\alpha_j)_i U_{CKM}^{\dagger}(\Theta_k)$$
(7)

where S_i are diagonal matrices analogous to Eq. (6) with phases α_j and $\{\Theta_k\}$ are whatever mixing angles and CP violating phases are present in U_{CKM} .

If we wish to assume that $\mathcal{G}_u, \mathcal{G}_d \subset SU(3)$ (or SU(2) for the limiting case of LO Cabibbo mixing) we can of course impose charge constraints on T and S_D such that:

$$\sum_{j} \phi_{j}, \alpha_{j} \equiv 0 \mod n, m \tag{8}$$

However, in this study we make no such constraint and thus look at the relevant U(3)/U(2) groups to be less restrictive.

III. A BOTTOM-UP TECHNIQUE FOR CLOSING GROUPS

In this section we first briefly review our procedure for finding phenomenologically viable NA discrete symmetries (though we refer the reader to [36] for a more detailed discussion) before outlining some of the specific details of it's application in the quark sector.

A. [Re]constructing finite flavour groups

Having assigned residual Abelian discrete symmetries to the up and down sectors, one is in a position to search for NA symmetries in a bottom-up manner by examining the possible groups closed by the combination of their associated 'residual' generators. To this end, the basic maneuvers executed by our scripts can be summarized as follows:

1. **Discretization:** By construction our generators depend on the same degrees of freedom $\{\Theta_k\}$ present in the mixing matrices under consideration, which are of course continuous. In order to find finite flavour groups one must impose a discretization on $\{\Theta_k\}$. Two examples to this end are given by:

$$\tan(\Theta_k) = \sqrt{\frac{c}{1-c}} \tag{9a}$$

$$\Theta_k = c\pi \tag{9b}$$

where $c \equiv \frac{a}{b}$ and $(a, b) \in Integers$. In the first scheme there is only the single parameter $c \ (c \in [0, 1))$ because we have restricted ourselves to the unit circle. The second scheme rationally discretizes the angle Θ itself, where we insist that Θ lie between 0 and $2\pi \ (a \leq 2b)$ to avoid any degeneracy³. Our 'scans' are then implemented over the variables (a, b) — as the range of the examined (a, b) parameter space grows, so does the number of generators we construct and by proxy the number of potential finite groups we can close.

2. Experimental Constraints: We can look to experimental constraints to limit/tune the (a,b) search space in order to find groups that quantize phenomenologically relevant mixing parameters. Experimental data is often presented with respect to the PDG parameterization of U_{CKM} , and hence the most general constraint we can make on any matrix element is given by:

$$\|U_{min}^{PDG}\|^{2} \leq \|U_{ij}(c_{k})\|^{2} \leq \|U_{max}^{PDG}\|^{2}$$
(10)

In words, we can insist that no matrix element be any greater/smaller than the largest/smallest (experimentally determined) elements of U_{PDG} . We have inequalities in Eq. (10) as opposed to equalities because the finite representations of the residual symmetries know nothing of the ordering of rows and columns (this constraint is relatively generic because while the discrete group can predict entries in the mixing matrix, it can not predict their placement). Thus while we can constrain matrix elements within $x\sigma$ (where x is an arbitrary integer), we may not immediately predict the mixing angles to within $x\sigma$, and require an additional cut at the end of the search. One may of course impose Eq. (10) on multiple elements of a given class. A common practice in the leptonic sector is to assign the smallest predicted entry of the mixing matrix to the 13 entry, which is the smallest according to observation. However, in cases where one attempts to predict a specific 2×2 submatrix, as we intend to do for quarks, we can choose to place the smaller entries in the off-diagonal elements.

3. **GAP Implementation:** We wish to build explicit representations of T_l and S_{Di} in GAP, and hence we must translate our parameterizations of $\{\Theta_k\}$ given by Eq. (9) into GAP objects. For the second discretization scheme, Eq. (9b), this amounts to creating lists of the following:

$$\cos(c) = \frac{E(2b)^{a} + E(2b)^{-a}}{2}$$
(11a)

$$\sin(c) = \frac{E(2b)^{a} - E(2b)^{-a}}{2E(4)}$$
(11b)

where E returns the primitive N-th root of unity, $E(N) \equiv e^{\frac{2\pi i}{N}}$. Additional parameterizations would obviously lead to more variants of Eq. (11)⁴.

$$\cos \left(\Theta \left(c \right) \right) = ER \left(1 - \frac{a}{b} \right)$$
$$\sin \left(\Theta \left(c \right) \right) = ER \left(\frac{a}{b} \right)$$

where ER is a square root operation for a rational number N, \sqrt{N} .

³ As it turns out, systematic studies of finite subgroups of SU(3) [39] show that Eq. (9b) is a rather comprehensive scheme for discretizing the possible mixing angles for both quarks and Dirac neutrinos. Therefore we only consider Eq. (9b) in this study. Note however at least one relevant counter-example is the canonical tri-bimaximal mixing form, which would require a discretization along the lines of Eq. (9a), with $c = \frac{1}{3}$ [36].

 $^{^4}$ For example, were we to also consider the first scheme Eq. (9a), the corresponding GAP objects would look like:

- 4. Generator Formation: Form the explicit representations of viable S_{Di} Eq. (16) and T_l Eq. (6) via Eq. (11).
- 5. Close the Groups: Now that we have the relevant GAP representations of S_{Di} and T_l in a specified interval of $(a, b, \phi_j, \alpha_j, n, m)$ and a user-determined experimental σ -range, we wish to close the groups $\mathcal{G}_{\mathcal{F}/\mathcal{Q}/\mathcal{L}}$ generated by them. GAP is capable of constructing groups directly from matrix representations of generators using the *GroupWithGenerators* command. As we will discuss below, for instance, the idea of this paper is to form all groups closed by

$$\mathcal{G}_{\mathcal{Q}} = \{S_{D1}, S_{D2}, T_1, T_2\}$$
(12a)

$$\mathcal{G}_{\mathcal{Q}} = \{S_{D1}, S_{D2}, T\} \tag{12b}$$

$$\mathcal{G}_{\mathcal{Q}} = \{S_D, T_1, T_2\} \tag{12c}$$

$$\mathcal{G}_{\mathcal{Q}} = \{S_D, T\} \tag{12d}$$

Eq. (12b) treats the case where $\mathcal{G}_d \sim Z_{m1}^d \times Z_{m2}^d$ and $\mathcal{G}_u \sim Z_n^u$ whereas Eq. (12c) treats the case where $\mathcal{G}_d \sim Z_m^d$ and $\mathcal{G}_u \sim Z_{n1}^u \times Z_{n2}^u$, and so on.

6. Analyze: Not all groups closed will be finite, of small-order, NA, etc. GAP contains a host of internal commands that, given a group structure, can be used to filter results based on user-defined preferences. For our purposes we are only concerned with small(ish), finite, NA groups. We impose cuts to that end (details below), and then identify the remaining flavour symmetry candidates with the *GroupID* and *StructureDescription* commands⁵. Our scripts carefully keep track of the parameters $\{a, b, \alpha_j...\}$ associated to the final group structure we identify, so that we might have explicit information on the representations of the residual generators, which is of course relevant at the model-building stage.

B. Specific details of this study

The CKM mixing matrix is given in the Wolfenstein parameterization [51] by:

$$U_{CKM} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$
(13)

Since $\lambda = .22537^{+.00061}_{-.00061}$, $A = .814^{+.023}_{-.024}$, $\bar{\rho} = .117^{+.021}_{-.021}$ and $\bar{\eta} = .353^{+.013}_{-.013}$ [51] (where $\bar{\rho} = \rho(1 - \lambda^2/2 + ...)$ and $\bar{\eta} = \eta(1 - \lambda^2/2 + ...)$ [52], we find

$$|U_{CKM}| \simeq \begin{pmatrix} (.97441) & (.22597) & (.00370) \\ .97413) & (.22475) & (.00340) \\ (.22583) & (.97358) & (.0426) \\ .22461) & .97328) & (.0422) \\ (.00919) & (.0416) & (.99919) \\ .00854) & (.0393) & (.99919) \end{pmatrix}$$
(14)

The hierarchical nature of the quark mixing matrix is now obvious; exterior off-diagonal elements are suppressed by one to two orders of magnitude and the upper 2×2 sub-matrix very nearly approximates an SO(2) rotation about the Cabibbo angle:

$$U_{CKM}^{LO} \simeq \begin{pmatrix} \cos\theta_C & \sin\theta_C \\ -\sin\theta_C & \cos\theta_C \end{pmatrix}$$
(15)

Such a matrix does not exhibit CP violation. Considering the numerical values of Eq. (14) and the fact that no discrete group has been found that quantizes them, it makes sense to study only Eq. (15) with the bottom-up technique described above. Given the symmetry assignments of Eq. (3) and Eq. (6), we find explicit forms for the effective 2-generation S_{Di} .

$$S_{Di} = \begin{pmatrix} e^{i\alpha_{1i}}\cos^2\theta_C + e^{i\alpha_{2i}}\sin^2\theta_C & (e^{i\alpha_{2i}} - e^{i\alpha_{1i}})\cos\theta_C\sin\theta_C \\ (e^{i\alpha_{2i}} - e^{i\alpha_{1i}})\cos\theta_C\sin\theta_C & e^{i\alpha_{2i}}\cos^2\theta_C + e^{i\alpha_{1i}}\sin^2\theta_C \end{pmatrix}$$
(16)

⁵ Note that *StructureDescription* is *not* an isomorphism invariant command — two non-isomorphic groups can return the same group structure string while isomorphic groups in different representations can return different strings. It is designed to primarily study small groups of $\mathcal{O}(\mathcal{G}) \leq 100$, which is our principal goal in this study. On the other hand, the *GroupID* is unique.

IV. RESULTS

In this section we present our results and some discussion given four assignments for the residual symmetries $\mathcal{G}_{d/u}$. In each subsection we reference tabled results of the groups found when searching within the parameter ranges discussed above and/or below. The first column of each table gives the parameter c, which is a direct proxy for the Cabibbo angle. The following 2-3 columns give the diagonal entries of the 2×2 matrix representations of T_l and S_i , as discussed in Section II. There are three columns when either \mathcal{G}_u or \mathcal{G}_d is a direct product. The fourth (fifth) column gives the unique ID of the given group closed as labeled in the GAP system, and the following column the associated group structure as given by the *StructureDescription* command. D_N corresponds to the Dihedral group of order N, Q_N to quarternions of order N, and QD_N to Quasi-Dihedrals of order N. We also remind the reader of the isomorphism structure of $\Sigma(2N^2)$ groups (see Appendix A):

$$\Sigma(2N^2) \equiv (Z_N \times Z_{N'}) \rtimes Z_2 \tag{17}$$

and for simplicity we have also arbitrarily named the following groups:

range associated to the physical mixing angle θ_C in each scan.

$$\Psi(N,M) \equiv (Z_N \times Z_M) \rtimes Z_2$$

Finally, the last column gives the value for $\sin \theta_C$ quantized by the group. In all tables we only present results with non-trivial charge reassignments in the residual symmetry generators and non-trivial permutations of the parameter c. That is, we do not show results where the same group quantizes the same mixing matrix, but with different diagonal matrix elements in T_l or S_i , or results with explicitly different c but equivalent $\sin(c\pi)$.

A. $G_d \sim Z_m^d$, $G_u \sim Z_n^u$

We begin by assigning a single cyclic symmetry to both the up and down sectors, which reflects the simplest possible discrete symmetry scenario, and scanning over the possible NA finite groups closed with the associated generator representations. We present the scan results in Tables I and II, which are also discussed in more detail here than in following sections.

In Table I we allow for a rather large window for the Cabbibo angle, $.2 \leq \sin \theta_C \leq .3$, and restrict the discretization parameters to $a, b \in \{0, 1...50\}$, choices which when combined yield 52 values of the parameter c. The order of the residual generators is restricted to $\mathcal{O}(T, S) \leq 4$, which (given the choices for the phase parameters described above) yields 19 unique diagonal generators to be distributed to both the up and down sectors. This means there are 988 unique non-diagonal generators S_D in the down-sector and 18772 different combinations of generators that could potentially close NA finite groups. To quicken the scans, we first confirm that $\mathcal{O}(S_D \cdot T) < \infty^6$, as will be the case for any finite group generated by S_D and T. Then, as our stated goal is to primarily search for small flavour groups, we restrict the order of the parent group to $\mathcal{O}(\mathcal{G}_Q) \leq 75$. Table I gives our results given these 'bottom-up' inputs. One sees that a host of group structures are obtained with D_{14} , D_{28} and $Z_7 \rtimes Z_4$ providing the best prediction of $\sin \theta_C \simeq .2225$ (c = 1/14). We find that other semi-direct products, Ψ , and Q groups all predict less interesting values for $\sin \theta_C$.

These results can be compared to Table II, where we tighten the Cabibbo window to .22414 $\leq \sin \theta_C \leq$.22658 (in closer accordance to the PDG allowed experimental range) while simultaneously broadening the discretization range to $a, b \in \{0, 1...100\}$. We now only find 8 allowable values for c ranging from $\frac{4}{55}$ to $\frac{90}{97}$. We further restrict $\mathcal{O}(T, S) \leq 3$

⁶ We test all such combinations for other symmetry assignments where more generators are considered.

and $\mathcal{O}(\mathcal{G}_{\mathcal{Q}}) \leq 1000$, as such values for c will intuitively generate much larger groups than before. Indeed, we now find only larger Dihedral groups with the smallest ones being D_{110} and D_{138} . However, these groups obviously yield better predictions for $\sin \theta_C$ — all groups except D_{110} and D_{220} showing up in Table II predict angles that fall within the PDG allowed ranges in Eq. (14). Were we to allow for an even finer gridding of a/b, we should expect to be able to find Dihedral groups predicting ever more precise mixing angles.

 D_{14} and other Dihedral groups have been known in the literature for some time [40, 41]. Our approach reveals how generating them is nearly a trivial matter. Consider the original mixing matrix Eq. (15), which represents an SO(2) rotation in the Cabibbo plane. This can obviously be thought of as a circle, and quantizing θ_C to a rational multiple of π corresponds to carving regular polyhedra out of said circle. Dihedral groups encode the symmetries of polyhedra (D_8 is the symmetry of a square, e.g.), so it is no surprise that they show up throughout our scans. It is also no surprise that a finer gridding in the discretization parameters generates larger groups; the number of sides of the associated polyhedra increases. Also note that Dihedral groups are not found in more universal, top-down scans like that in [39] because most such studies insist that \mathcal{G}_Q contain 3-D irreducible representations — polyhedra are, after all, 2 dimensional objects.

Given the final results in Tables I and II, one can then directly reconstruct the explicit generator representations (in an appropriate basis) that work for realistic direct and semi-direct discrete models of flavour. As an example, consider line 13 of Table I, where we immediately read off that that the numerical mixing matrix

$$U_{CKM}^{LO} \simeq \begin{pmatrix} .974928 & .222521 \\ -.222521 & .974928 \end{pmatrix}$$
(18)

is predicted from the NA finite group $Z_7 \rtimes Z_4$ (*SmallGroup*(28,1)) generated by the following explicit matrix representations in the up and down sectors:

$$T^{(28,1)} = \begin{pmatrix} -i & 0 \\ 0 & i \end{pmatrix} \qquad S_D^{(28,1)} = \begin{pmatrix} i(\sin^2 \frac{\pi}{14} - \cos^2 \frac{\pi}{14}) & 2i\cos \frac{\pi}{14}\sin \frac{\pi}{14} \\ 2i\cos \frac{\pi}{14}\sin \frac{\pi}{14} & -i(\sin^2 \frac{\pi}{14} - \cos^2 \frac{\pi}{14}) \end{pmatrix}$$
(19)

In direct and semi-direct flavour models, the vacuum expectation values of various 'flavons' must be invariant under the operation of these matrices (so that the broken family symmetry reproduces the data at the level of the Standard Model Lagrangian Eq. (2)). Hence the bottom-up method can be particularly useful for model-builders.

As a final note, the familiar reader may question why Tables I and II do not contain a greater diversity of group structures⁷. For example, it is well-known that A_4 , the alternating symmetry of the tetrahedron, has been used to predict unit (i.e. trivial) mixing in the quark sector $[8-12]^8$, which may be a reasonable first-order approximation to U_{CKM} . Yet it is clear that we will never obtain this prediction with our approach. Unit mixing translates to a diagonal down-sector generator Eq. (16), which when combined with the diagonal up-sector generator Eq. (6) will never close a NA finite group, regardless of the associated charges — diagonal matrices commute. As another example, consider S_3 , the symmetry group of the triangle. It has a single two-dimensional irreducible representation, and while it can be generated by two matrices, both of which can fit into the forms of Eq. (6) and Eq. (16), we do not find it in Tables I or II. This absence is due to limits we put on the Cabibbo quantization window — S_3 predicts a much larger value for $\sin \theta_C$ than .3 (.7071). In Appendix A we look at non-physical values of the Cabibbo angle and show that, indeed, many other group structures can be found using our method. In Section V we briefly discuss the sensitivity of the method to user-defined parameter choices.

B.
$$G_d \sim Z_{m1}^d \times Z_{m2}^d$$
, $G_u \sim Z_n^u$

We now enlarge the symmetry assignment in the down sector by allowing a direct product of cyclic groups, in analogy to the $Z_2 \times Z_2$ symmetry of the Majorana neutrino mass matrix. We again allow $a, b \in \{0, 1...50\}$, $\mathcal{O}(T, S_1, S_2) \leq 4$, and $\mathcal{O}(\mathcal{G}_Q) \leq 75$, but restrict the Cabibbo window to $.2 \leq \sin \theta_C \leq .24$. The results are presented in Table III, where we see that the only new group found in comparison to Table I is $Z_3 \times D_{14}$, which also predicts $\sin \theta_C \simeq .2225$. From the model-building perspective, this is likely not an interesting result, as it does no more work than D_{14} .

⁷ In both Tables I and II we have restricted the $\mathcal{O}(T, S)$ to the same maximum value. One may wonder whether more interesting structures can be found by allowing one subgroup to have a larger maximum order. We have performed a scan along these lines where $\mathcal{O}(T) \leq 6$ but $\mathcal{O}(S) \leq 4$. We again put $a, b \in \{0, 1...50\}, .2 \leq \sin \theta_C \leq .24$, and restrict $(\mathcal{G}_Q) \leq 75$. With these inputs we find no new group structures and no new predictions for the Cabibbo angle.

 $^{^{8}}$ This is expected from other groups, when both sectors are broken to the same subgroup.

c	T_{diag}	S_i	GAP-ID	Group Structure	$\sin \theta_C$
$\frac{1}{11}$	[-1, 1]	[-1, 1]	[22, 1]	D_{22}	.2817
$\frac{1}{11}$	[1, -1]	[-1, 1]	[44, 3]	D_{44}	.2817
$\left \frac{1}{11}\right $	[-i, i]	[-i, i]	[44, 1]	$Z_{11} \rtimes Z_4$.2817
$\left \frac{1}{12}\right $	[-1, 1]	[-1, 1]	[24, 6]	D_{24}	.2588
$\left \frac{1}{12}\right $	[-i, i]	[-1, 1]	[24, 8]	$\Psi(6,2)$.2588
$\left \frac{1}{12}\right $	[-i, i]	[-i, i]	[24, 4]	$Z_3 \rtimes Q_8$.2588
$\frac{1}{13}$	[-1, 1]	[-1, 1]	[26, 1]	D_{26}	.2393
$\frac{1}{13}$	[1, -1]	[-1, 1]	[52, 4]	D_{52}	.2393
$\frac{1}{13}$	[-i, i]	[-i, i]	[52, 1]	$Z_{13} \rtimes Z_4$.2393
$\frac{1}{14}$	[-1, 1]	[-1, 1]	[28, 3]	D_{28}	.2225
$\frac{1}{14}$	[-i, i]	[-1, 1]	[56, 4]	$Z_4 \times D_{14}$.2225
$\frac{1}{14}$	[1, -1]	[-1, 1]	[14, 1]	D_{14}	.2225
$\frac{1}{14}$	[-i, i]	[-i, i]	[28, 1]	$Z_7 \rtimes Z_4$.2225
$\frac{1}{15}$	[-1, 1]	[-1, 1]	[30, 3]	D_{30}	.2079
$\frac{1}{15}$	[1, -1]	[-1, 1]	[60, 12]	D_{60}	.2079
$\frac{1}{15}$	[-i, i]	[-i, i]	[60, 3]	$Z_{15} \rtimes Z_4$.2079
$\frac{2}{21}$	[-1, 1]	[-1, 1]	[42, 5]	D_{42}	.2948
$\frac{2}{23}$	[-1, 1]	[-1, 1]	[46, 1]	D_{46}	.2698
$\frac{2}{25}$	[-1, 1]	[-1, 1]	[50, 1]	D_{50}	.2487
$\frac{2}{27}$	[-1, 1]	[-1, 1]	[54, 1]	D_{54}	.2306
$\frac{2}{29}$	[-1, 1]	[-1, 1]	[58, 1]	D_{58}	.2150
$\frac{2}{31}$	[-1, 1]	[-1, 1]	[62, 1]	D_{62}	.2013
$\frac{3}{31}$	[-1, 1]	[-1, 1]	[62, 1]	D_{62}	.2994
$\frac{3}{32}$	[-1, 1]	[-1, 1]	[64, 52]	D_{64}	.2903
$\frac{3}{32}$	[-i, i]	[-1, 1]	[64, 53]	QD_{64}	.2903
$\frac{3}{32}$	[-i, i]	[-i, i]	[64, 54]	Q_{64}	.2903
$\frac{3}{34}$	[-1, 1]	[-1, 1]	[68, 4]	D_{68}	.2737
$\frac{3}{34}$	[1, -1]	[-1, 1]	[34, 1]	D_{34}	.2737
$\left \frac{3}{34}\right $	[-i, i]	[-i, i]	[68, 1]	$Z_{17} \rtimes Z_4$.2737
$\left \frac{3}{35}\right $	[-1, 1]	[-1, 1]	[70, 3]	D_{70}	.2660
$\left \frac{3}{37}\right $	[-1, 1]	[-1, 1]	[74, 1]	D_{74}	.2520
$\frac{3}{38}$	[1, -1]	[-1, 1]	[38, 1]	D_{38}	.2455
$\frac{3}{46}$	[1, -1]	[-1, 1]	[46, 1]	D_{46}	.2035

TABLE I: Flavour symmetries of U_{CKM}^{LO} , where $\mathcal{G}_d \sim Z_m$ and $\mathcal{G}_u \sim Z_n$ with m, n < 5. We display outcomes with distinct groups and $\sin \theta_C$ (for each case there were duplicates where different T and S generators from the ones shown result in the same group and same physical angle). Here $\mathcal{O}(T, S) < 5$ and $\mathcal{O}(\mathcal{G}_Q) \leq 75$.

c	T_{diag}	S_i	GAP-ID	Group Structure	$\sin \theta_C$
$\frac{4}{55}$	[-1, 1]	[-1, 1]	[110, 5]	D_{110}	.2265
$\frac{4}{55}$	[1, -1]	[-1, 1]	[220, 14]	D_{220}	.2265
$\frac{5}{69}$	[-1, 1]	[-1, 1]	[138, 3]	D_{138}	.2257
$\frac{5}{69}$	[1, -1]	[-1, 1]	[276, 9]	D_{276}	.2257
$\frac{6}{83}$	[-1, 1]	[-1, 1]	[166, 1]	D_{166}	.2252
$\frac{6}{83}$	[1, -1]	[-1, 1]	[332, 3]	D_{332}	.2252
$\frac{7}{97}$	[-1, 1]	[-1, 1]	[194, 1]	D_{194}	.2248
$\frac{7}{97}$	[1, -1]	[-1, 1]	[388, 4]	D_{388}	.2248

TABLE II: Flavour symmetries of U_{CKM}^{LO} , where $\mathcal{G}_d \sim Z_m$, $\mathcal{G}_u \sim Z_n$ with $m, n \leq 3$ and $\mathcal{O}(\mathcal{G}_Q) \leq 1000$. We display only outcomes with distinct groups and $\sin \theta_C$ (for each case there were duplicates where different T and S generators from the ones shown result in the same group and same physical angle).

c	T_{diag}	S_{i1}	S_{i2}	GAP-ID	Group Structure	$\sin \theta_C$
$\frac{1}{13}$	[-1, 1]	[-1, -1]	[-1, 1]	[52, 4]	D_{52}	.2393
$\frac{1}{13}$	[-i, i]	[-1, -1]	[-i, i]	[52, 1]	$Z_{13} \rtimes Z_4$.2393
$\frac{1}{14}$	[-1, 1]	[-1, -1]	[-1, 1]	[28, 3]	D_{28}	.2225
$\frac{1}{14}$	[-i, i]	[-1, -1]	[-1, 1]	[56, 4]	$Z_4 \times D_{14}$.2225
$\frac{1}{14}$	[-i, i]	[-1, -1]	[-i, i]	[28, 1]	$Z_7 \rtimes Z_4$.2225
$\frac{1}{14}$	[1, -1]	$[E(3)^2, E(3)^2]$	[-1, 1]	[42, 4]	$Z_3 \times D_{14}$.2225
$\frac{1}{15}$	[-1, 1]	[-1, -1]	[-1, 1]	[60, 12]	D_{60}	.2079
$\frac{1}{15}$	[-i, i]	[-1, -1]	[-i, i]	[60, 3]	$Z_{15} \rtimes Z_4$.2079

TABLE III: Flavour symmetries of U_{CKM}^{LO} , where $\mathcal{G}_d \sim Z_{m_1} \times Z_{m_2}$ and $\mathcal{G}_u \sim Z_n$ with m, n < 5. We display outcomes with distinct groups and $\sin \theta_C$ (for each case there were duplicates where different T and S generators from the ones shown result in the same group and same physical angle). Here $\mathcal{O}(T, S) < 5$ and $\mathcal{O}(\mathcal{G}_Q) \leq 75$.

It is of course not surprising that we do not find any new quantizations of $\sin \theta_C$, as this is totally controlled by the range in a/b scanned and the Cabibbo window, which were chosen to be the same as (or contained within) those used for Table I. It is also not concerning that, for example, D_{28} is 'generated' by three matrices when it is well known that Dihedrals can be closed with only two. After all, a finite group $\mathcal{G}_{\mathcal{F}}$ can be 'generated' by as many as $\mathcal{O}(\mathcal{G}_{\mathcal{F}})$ elements! So, when we say that Dihedrals have two generators, we mean that the *smallest* set of generating elements for Dihedral groups is $\mathcal{O}(2)$. Indeed, due to the internal ordering of group elements, if one asks GAP for the generators f_i of *SmallGroup*(28,3) corresponding to D_{28} , a three element set is returned⁹:

$$GeneratorsOfGroup(SmallGroup(28,3)) = [f_1, f_2, f_3]$$

$$(20)$$

However, GAP also knows that there is a smaller subset of these three 'generators' that will also do the job:

$$MinimalGeneratingSet(SmallGroup(28,3)) = [f_1, f_2 \cdot f_3]$$
(21)

The very same reasoning can also be applied in reverse to Table I, where the group $\Psi(6, 2)$ would normally be assigned three generators to better reveal its structure in terms of three cyclic symmetries $((Z_6 \times Z_2) \rtimes Z_2)$, yet can in fact be generated by two. $\Delta(27) \in \Delta(3N^2)$ $((Z_3 \times Z_3) \rtimes Z_3)$, a popular group for model building in the leptonic sector [53–55], is a well known example of this.

C.
$$G_d \sim Z_m^d$$
, $G_u \sim Z_{n1}^u \times Z_{n2}^u$

We also naively scan the symmetry assignment corresponding to two up-sector residual generators, as opposed to two (non-diagonal) down-sector generators. Utilizing the same parameter ranges as in Section IV B, we find the exact same results as those presented in Table III, with $T \leftrightarrow S$. This result is unsurprising, as any physical symmetry must be basis independent, and moving between the two symmetry assignments in Sections IV B and IV C requires nothing more than a basis transformation. To see this, simultaneously rotate the 3 generators of Section IV B with the inverse of the operation in Eq. (16) (where we implicitly chose a basis to work in):

$$\{S_D, T_1, T_2\} \longrightarrow U_{CKM}^{\dagger} \{S_D, T_1, T_2\} U_{CKM} \equiv \{S, T_{D1}, T_{D2}\}$$
(22)

where T_{D1} and T_{D2} are non-diagonal generators analogous to S_{Di} given in Eq. (16). However, we are of course entirely free to relabel our generators; T_l and S_i are both diagonal matrices sourced from equivalent lists of all possible charge permutations in Eq. (6):

$$\{S, T_{D1}, T_{D2}\} \xrightarrow[T\leftrightarrow S]{} \{T, S_{D1}, S_{D2}\}$$

$$(23)$$

We have now arrived at the generator set for the symmetry assignment in Section IV B.

⁹ Even Abelian groups like Z_4 will sometimes return multi-element generator sets with the Generators Of Group command.

D. $G_d \sim Z_{m1}^d \times Z_{m2}^d$, $G_u \sim Z_{n1}^u \times Z_{n2}^u$

As a final check, we also scan the symmetry assignment where two generators are assigned to the up and down sector. We keep the same input parameters as in Section IV B. Although more groups are closed (given the larger number of generators), after excluding the redundant cases (with the same angle and same \mathcal{G}_{Q}) the results are again the same as in the previous two sections — the additional generator in either the up or down sector does no work for us, at least within the parameter ranges we choose.

E. Looking for broken symmetries — a consistency check

The groups we find are sourced from the explicit representation of the residual generators, Eq. (16) and Eq. (6). The method is ignorant of what these matrices actually represent, i.e. the symmetry assignments of the physical Lagrangian. Hence, from a completely agnostic perspective, we might also use the bottom-up method to analyze the generator associated with the upper 2×2 sub-matrix of the Wolfenstein parameterization by expanding Eq. (15) about the Cabibbo angle:

$$S_{Di}^{\lambda} = \begin{pmatrix} e^{i\alpha_{2i}}\lambda^2 + e^{i\alpha_{1i}}(\frac{\lambda^2}{2} - 1)^2 & (e^{i\alpha_{1i}} - e^{i\alpha_{2i}})(\frac{\lambda^3}{2} - \lambda) \\ (e^{i\alpha_{1i}} - e^{i\alpha_{2i}})(\frac{\lambda^3}{2} - \lambda) & e^{i\alpha_{1i}}\lambda^2 + e^{i\alpha_{2i}}(\frac{\lambda^2}{2} - 1)^2 \end{pmatrix}$$
(24)

While this generator reflects a trivial rewriting of the original mixing matrix and only changes the numerical values of its elements by small amounts (for substantially small λ), it is a priori entirely plausible that the (exact) structures of Eq. (16) and Eq. (24) for a given quantized value of θ_C/λ generate different parent groups $\mathcal{G}_{\mathcal{Q}}$ when closed with T. That is, minor numerical shifts of $|V_{ij}^{LO}|$ might be sourced by entirely different group structures.

However, Eq. (24) reflects quark mixing that is only unitary up to $\mathcal{O}(\lambda^4)$:

$$V_{\lambda}V_{\lambda}^{\dagger} = \begin{pmatrix} 1 + \mathcal{O}(\lambda^4) & 0\\ 0 & 1 + \mathcal{O}(\lambda^4) \end{pmatrix}$$
(25)

and hence does not generate a symmetry of the Lagrangian. One might then be tempted to interpret it as a 'brokensymmetry' generator. Regardless, we would not expect such a matrix to actually close a finite mathematical group, as the generator itself should not be of finite order, $\mathcal{O}(S_{Di}^{\lambda}) = \infty$. Indeed, upon running our scripts with Eq. (24) and Eq. (6) as the potential group generators, we find that no NA finite flavour groups are closed.

V. GENERAL TRENDS AND LIMITATIONS OF THE BOTTOM-UP TECHNIQUE

While the bottom-up technique described above is a powerful tool that can be used to rapidly identify viable NA discrete symmetries useful for model building, we here discuss some of its limitations. Regarding physics, the method only applies to direct and semi-direct models, respectively those that either predict all angles in the mixing matrix (in this case leaves no freedom in the 2x2 submatrix) or to those models that predict a column of the mixing matrix (like tri-maximal mixing matrices in the case of leptons [36]). The method does *not* apply to cases where the specific residual symmetries are not subgroups of the actual flavour symmetry of the model (referred to as indirect models [1]).

The method is also sensitive to the user-defined input parameters, including the scan ranges for the various a/b, the allowed quantization range for $\sin \theta_C$, the maximum allowed order for $\mathcal{G}_{u/d}$, and the maximum allowed order for $\mathcal{G}_{\mathcal{F}}$. Widening or increasing any of these parameters quickly produces many more group closures, and hence also slows operations. Figure 1 plots an independent variation of each of these four 'tunes' (given the symmetry assignment in Section IV A) against the number of finite, NA groups closed. These plots are meant as a qualitative illustration of the growth of group closures. We see that increasing the scan ranges of a/b and widening the allowed range of $\sin \theta_C$ produces a roughly linear increase in group closures, whereas increasing the allowed order of the parent symmetry eventually plateaus (Figure 1D). This plateau is sensible; there will only be a limited number of finite groups closed when all constraints are also finite. Had we increased the value of a_{\max} to 35 in Figure 1D, for example, the plateau would occur at 120 groups for $MaxOrder(\mathcal{G}_{\mathcal{F}}) \geq 170$.

Figure 1C, on the other hand, also exhibits an overall plateau in group closures despite an unrestricted $\mathcal{O}(\mathcal{G}_{\mathcal{F}})$. This behavior is less intuitive, and to confirm that it exists we also ran two other scans where the effective number of



FIG. 1: Tables showing the number of parent groups $\mathcal{G}_{\mathcal{F}}$ found when varying four inputs to the 'bottom-up' approach, namely the discretization parameters a and b (A), the allowed quantization range of $\sin \theta_C$ (B), the maximum allowed order of the residual Abelian symmetry groups $\mathcal{O}(\mathcal{G}_u, \mathcal{G}_d)$ (C), and the maximum allowed order of the parent NA symmetry group $\mathcal{O}(\mathcal{G}_{\mathcal{F}})$ (D). In each case the other 3 inputs are left fixed to the values shown in the tables. The number of groups given represents the number of raw groups closed by the method, and does not include any trimming of charge degeneracies, etc. The curves represent first-order interpolations of the data, and are present as a visual aid only — they do not represent any theory.

c's are reduced to four and one (there are 10 active c's in Figure 1c). In both instances we see plateaus beginning at $\mathcal{O}(T,S) \leq 2$ and $\mathcal{O}(T,S) \leq 4$, and in the single-c scan the final plateau remains up to $\mathcal{O}(T,S) \leq 8$ (we only ran up to $\mathcal{O}(T,S) \leq 7$ for the four-c scan). Intriguingly, there are plateaus at $4^1 \times (\# \ of \ c's)$ and $4^2 \times (\# \ of \ c's)$ in all three scans. So, there are plateaus at 4 and 16 group closures for one active c, 16 and 64 group closures for four active c's, and 40 and 160 group closures for 10 active c's. We have checked that there are (as must be the case) more closures of Abelian finite groups as $\mathcal{O}(T,S)$ increases, but not the NA groups that we are interested in.

VI. CONCLUSIONS

We have applied the bottom-up [re]construction procedure of [36] to scan over possible NA finite groups $\mathcal{G}_{\mathcal{Q}}$ capable of quantizing the Cabibbo angle of CKM mixing. This study complements other 'top-down' scans which, by virtue of the restrictions put on the irreducible representations of the parent symmetry or other theory biases (e.g. searching for groups that also work for the leptons), do not find or otherwise obscure interesting small groups that can do the same job. After all, no group has been found that can fully quantize Eq. (14), and theorists interested in using NA finite groups in the quark sector should therefore consider the possibility that such symmetries, if natural, may make predictions that are substantially corrected via other mechanisms.

Our scans find multiple candidate groups for $\mathcal{G}_{\mathcal{Q}}$ in Tables I-III, including small semi-direct product structures like $Z_7 \rtimes Z_4$ and $Z_3 \rtimes Q_8$, $\Psi(6, 2)$, and (Quasi)Dihedrals. Given more liberal adjustments of the input parameters, our scans also find other groups like S_3 and $\Sigma(32)$ found in Table IV. Our results seem consistent with former studies of quark mixing, modulo our starting point of 2 dimensional representations for residual generators in the up and down sector. For larger groups of $\mathcal{O}(10^2)$ we can reproduce the PDG values for the (12) and (21) matrix elements of U_{CKM}^{PDG} . We thus also validate the [re]construction procedure, which may be of further use model-building both within Standard Model and BSM mixing scenarios.

с	T_{diag}	S_i	GAP-ID	Group Structure	$\sin \theta_C$
$\frac{1}{4}$	[-1, 1]	[-1, 1]	[8, 3]	D_8	.7071
$\frac{1}{4}$	$[E(3)^2, 1]$	[-1, 1]	[18, 3]	$Z_3 imes S_3$.7071
$\frac{1}{4}$	[-i, 1]	[-1, 1]	[32, 11]	$\Sigma(2\cdot 4^2)$.7071
$\frac{1}{4}$	$[E(3)^2, E(3)]$	[-1, 1]	[6, 1]	S_3	.7071
$\frac{1}{4}$	[-i, i]	$[E(3)^2, 1]$	[18, 3]	$Z_3 \times (Z_3 \rtimes Z_4)$.7071
$\frac{1}{4}$	[-i, i]	$[E(3)^2, E(3)]$	[12, 1]	$Z_3 \rtimes Z_4$.7071
$\frac{1}{4}$	[-i, i]	[-i, i]	[8, 4]	Q_8	.7071
$\frac{2}{7}$	[-1, 1]	[-1, 1]	[14, 1]	D_{14}	.7818
$\frac{2}{7}$	[-i, i]	[-1, 1]	[56, 4]	$Z_4 \times D_{14}$.7818
$\frac{2}{7}$	[1, -1]	[-1, 1]	[28, 3]	D_{28}	.7818
$\frac{2}{7}$	[-i, i]	[-i, i]	[28, 1]	$Z_7 \rtimes Z_4$.7818

TABLE IV: Flavour symmetries of U_{CKM}^{LO} where $\mathcal{G}_{u/d} \sim Z_{n/m}$ with $\mathcal{O}(T,S) \leq 4$ and $\mathcal{O}(\mathcal{G}_F) \leq 75$. We have searched the (non-physical) range $.7 \leq \sin \theta_C \leq .8$.

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Appendix A: Symmetries for other angles

In this appendix we include results for a short scan where we constrain the Cabibbo window to $.7 \leq \sin \theta_C \leq .8$. Our purpose is to illustrate that our scripts, given appropriate inputs, can in fact find groups that may be naively expected given the generator representations in Eqs. (6) and (16). Results for the symmetry assignment $\mathcal{G}_{u/d} \sim Z_{n/m}$ are found in Table IV, where we have input $a, b \in \{0, 1...10\}, \mathcal{O}(T, S) \leq 4$, and $\mathcal{O}(\mathcal{G}_Q) \leq 75$. We see that groups like S_3^{10} and $\Sigma(2N^2)$ groups ($(Z_4 \times Z_4) \rtimes Z_2$) are generated, as expected.

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 $^{^{10}}$ Note the diagonal generator is the order 3 generator with powers of E(3), i.e. it is not in the basis where the generators represent the geometrical symmetries of the triangle.

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