

**AN UPPER LIMIT ON  $D^0$ - $\bar{D}^0$  MIXING**

ARGUS Collaboration

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*DESY, D-2000 Hamburg, Fed. Rep. Germany*

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Received 10 August 1987

For footnotes see next page.

We have searched for  $D^0-\bar{D}^0$  mixing in the cascade decay of  $D^{*+}$ , using the excellent particle identification of the ARGUS detector. No mixing was observed, leading to an upper limit of 1.4% (90% CL) for  $\Gamma(D^0 \rightarrow \bar{D}^0 \rightarrow X^+)/\Gamma(D^0 \rightarrow X)$ .

Quark flavour is not conserved in weak interactions. One manifestation of this is the  $K^0 \leftrightarrow \bar{K}^0$  transition, which is allowed via the  $\Delta S=2$  box diagram [1]. The analogue for neutral charm mesons,  $D^0-\bar{D}^0$  mixing is, however, highly suppressed in the six-quark standard model of electroweak interactions [2]<sup>#1</sup>, even after accounting for the long-distance effects [4]. Therefore, a recent report by the MARK III collaboration [5] of possible  $D^0-\bar{D}^0$  mixing at about 1.6% level has generated considerable interest. While experimental sensitivities are still orders of magnitude away from the values predicted in the standard model, mixing searches are nevertheless desirable as a test for the high level  $D^0-\bar{D}^0$  mixing predicted by some of the theoretical ideas which go beyond the standard model [6].

The two most common methods used to search for  $D^0-\bar{D}^0$  mixing are (i) the study of the di-muon events (or tri-muon events in the case of  $\mu$ -scattering experiments) arising from the semi-leptonic decays of the charm-anti-charm hadron pairs [7] and (ii) full reconstruction of the  $D^0$  meson from its daughter particles in the cascade decay of the  $D^{*+}$  [8]<sup>#2</sup>. The first method is model-dependent, since it requires

assumptions about the charm production cross section, the semi-leptonic branching fractions of all charmed particles, and the fraction of  $D^0$  mesons produced in charmed quark fragmentation. We fully reconstruct the  $D^0$  mesons from  $D^{*+}$  decays, a method which is completely model independent.

$D^{*+}$  decays are also particularly suited to obtaining a signal essentially free of combinatorial background. This is due to the small  $Q$ -value for the decay  $D^{*+} \rightarrow D^0 \pi^+$ , which leads to an excellent mass resolution for the  $D^{*+}$ , if the mass of the  $D^0$  decay products is constrained by a kinematic fit to the nominal  $D^0$  mass. Moreover, mixing searches are possible because the charge of the soft pion tags whether a  $D^0$  or  $\bar{D}^0$  was produced. In the weak decay of the  $D^0(c\bar{u})$ , the  $c$  quark decays to an  $s$  quark, and so the sign of the charged daughter kaon is negative; thus, the sign of the charged kaon from the  $D^0$  decay is opposite to the sign of the pion from the  $D^{*+}$  decay. If mixing were to occur, the signs would be the same. The amount of mixing can be determined by comparing the signal with the same-sign combination versus that with the opposite-sign combination. We call the opposite-sign mode a right-charge combination, and the same sign-mode a wrong-charge combination.

The data sample used for our study corresponds to an integrated luminosity of  $199 \text{ pb}^{-1}$ , obtained with the ARGUS detector at the DORIS II storage ring on the  $\Upsilon(2S)$  and  $\Upsilon(4S)$  resonances, and in the nearby continuum. The configuration and performance of the ARGUS detector, and information on multihadron event selection and trigger conditions can be found in previous publications [9]. For this analysis we have used mostly information from the main drift chamber (momentum resolution of  $\sigma_p/p=0.012$  at  $1 \text{ GeV}/c$  and  $dE/dx$  resolution of 5.4%) and the time-of-flight (TOF) counters (time resolution of  $\sigma(t) = 220 \text{ ps}$ ).

The method of particle identification for charged particles is the same as used previously [9]. For each charged track, the  $e$ ,  $\mu$ ,  $\pi$ ,  $K$  and  $p$  particle assignments are used to obtain a corresponding  $\chi^2$  from the  $dE/dx$  and TOF measurements for each particle hy-

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<sup>3</sup> Supported by the Minerva Stiftung.

<sup>4</sup> Supported by the German Bundesministerium für Forschung und Technologie under contract number 054DO51P.

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<sup>10</sup> Supported by the Natural Sciences and Engineering Research Council, Canada.

<sup>11</sup> Supported by the US National Science Foundation.

<sup>12</sup> Supported by Raziskovalna skupnost Slovenije and the Internationales Büro KfA, Jülich.

<sup>13</sup> Supported by the Swedish Research Council.

<sup>14</sup> Supported by the US Department of Energy under contract DE-AS09-80ER10690.

<sup>#1</sup> See ref. [3] for a review.

<sup>#2</sup> In this paper references to a particular particle state should be taken to imply both the particle and its anti-particle.

pothesis. A likelihood ratio,  $l_i$ , for each of these particle hypotheses is then calculated:

$$l_i = \frac{w_i \exp(-\chi_i^2/2)}{\sum_j w_j \exp(-\chi_j^2/2)}, \quad i, j = e, \mu, \pi, K, p,$$

where the  $w_i$  are a priori weights. Weights are set to 1 for all hypotheses except that for  $\pi$ , which is set to 5, a rough approximation to the observed abundances. A track is used for all particle hypotheses for which the likelihood ratio exceeds 10%.

$D^0$  mesons are reconstructed in the decay channel  $D^0 \rightarrow K^- \pi^+$ . A mass-constraining kinematic fit is applied to all  $K^- \pi^+$  combinations with an invariant mass within 30  $\text{MeV}/c^2$  of the  $D^0$  mass. Next, we add a second pion of appropriate charge and compare the number of events above background at the  $D^{*+}$  mass in the  $D^0 \pi^+$  and  $D^0 \pi^-$  mass distributions.

Since the fragmentation of charmed quarks produces a hard  $D^{*+}$  momentum spectrum, a requirement that the scaled momentum of the  $D^{*+}$  system,  $x_p \equiv P_{D^{*+}}/P_{\text{max}}$ , exceed 0.6 greatly reduces the combinatorial background. The distribution of  $\cos \theta_K^*$ , where  $\theta_K^*$  is the angle between the kaon and  $D^0$  flight direction in the  $D^0$  rest frame, should be isotropic. In contrast, the distribution from random combinations of particles from the same jet tends to peak strongly at small forward angles. Therefore, we require  $\cos \theta_K^* < 0.85$  to further suppress combinatorial background.

Additional cuts were applied to remove correlated background sources arising from particle misidentification. Removal of such backgrounds is critical, since single-particle misidentification for the Cabibbo-suppressed  $D^0 \rightarrow K^+ K^-$  and  $\pi^+ \pi^-$  decays, and double misidentification of the allowed  $D^0 \rightarrow K^- \pi^+$  mode, can feed events from the right-charge into the wrong-charge category. To suppress ambiguity in the identification of the daughter particles of the  $D^0$ , the ratio of the kaon to pion likelihood,  $l_K/l_\pi$ , must exceed unity for those particles used as kaons. Likewise,  $l_\pi/l_K > 1$  was required for those particles used as pions. Further cuts are made using the total probability,  $P_{\text{tot}}$ , derived from the sum of the  $\chi^2$ 's from the particle identification of daughter particles and the  $\chi^2$  for the  $D^0$  mass hypothesis. Specifically, the following ratios must be satisfied:

$$P_{\text{tot}}(K^+ \pi^-)/P_{\text{tot}}(K^- \pi^+) < 10^{-3},$$

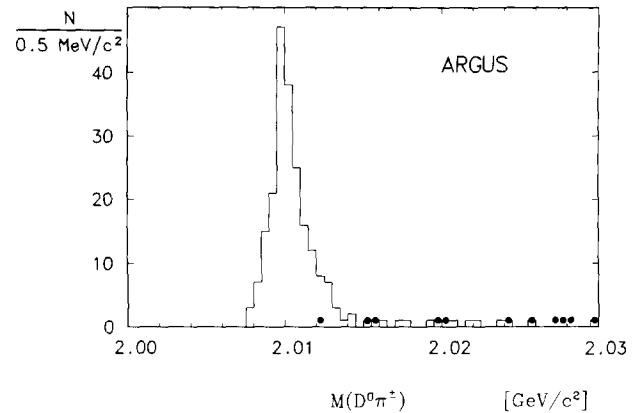


Fig. 1. Invariant mass distribution for right-sign combinations,  $(K^- \pi^+) \pi^+$ , shown by the histogram, and wrong-sign combinations,  $(K^- \pi^+) \pi^-$ , shown by the solid points.

and

$$P_{\text{tot}}(K^+ K^-)/P_{\text{tot}}(K^- \pi^+) < 10^{-2},$$

$$P_{\text{tot}}(\pi^+ \pi^-)/P_{\text{tot}}(K^- \pi^+) < 10^{-2},$$

where the correct assignment is taken to be  $(K^- \pi^+)$ . Contributions from doubly Cabibbo-suppressed decays, e.g.,  $\bar{D}^0 \rightarrow K^- \pi^+$ , are expected [10] to have a rate proportional to  $\sin^4 \theta_c$ , and are therefore neglected.

Fig. 1. shows the  $D^0 \pi$  invariant mass plots for both sign modes. We take  $2.0085 < M(D^0 \pi) < 2.0115$   $\text{GeV}/c^2$  as the signal region and find 162 events in the right-sign and no events in the wrong-sign categories, respectively. This yields an upper limit of 1.4% (90% CL) for  $r = \Gamma(D^0 \rightarrow \bar{D}^0 \rightarrow X^+)/\Gamma(D^0 \rightarrow X)$ . The cuts have been chosen to minimize the ratio of the expected number of background events to the number of right-sign signal events, where the former was estimated by extrapolating from the observed distribution above 2.015  $\text{GeV}/c^2$ . If the expected background drops much below one event, it becomes self-defeating to continue optimizing. The procedure is unbiased, except that the actual number of background events is discrete. If we restrict ourselves to the case where no wrong-sign events are observed, then the limit changes between 1.2 and 1.4% as the cuts are varied. If one event appears, the limit ranges from 1.6 to 1.9%.

The mixing rate is related to the mass difference

( $\delta m$ ) and the difference in the decay widths ( $\delta\Gamma$ ) of the two  $CP$  mass eigenstates [11],

$$r = \frac{(\delta m/\Gamma)^2 + (\delta\Gamma/2\Gamma)^2}{2 + (\delta m/\Gamma)^2 - (\delta\Gamma/2\Gamma)^2},$$

where  $\Gamma$  is the mean decay width. From our limit, we find that  $\delta m/\Gamma$  is less than 0.17 (90% CL), assuming  $\delta\Gamma \ll \delta m$ . Using a  $D^0$  lifetime  $4.3 \times 10^{-13}$  s [12] this implies that  $\delta m \leq 2.6 \times 10^{-4}$  eV.

In conclusion, we have observed no evidence for  $D^0-\bar{D}^0$  mixing and set an upper limit of 1.4% at the 90% confidence level. This limit holds also for the doubly Cabibbo-suppressed decay  $D^0 \rightarrow K^+ \pi^-$ . In comparison, the observations by MARK III of three events with strangeness  $\pm 2$ , which may possibly be due to  $D^0-\bar{D}^0$  mixing, and 162 events with strangeness 0 [5] in a sample of  $\psi'' \rightarrow D^0 \bar{D}^0$  decay corresponds to a mixing rate of about 1.6% [11]. However, it should be noted that due to coherence effects, and the fact that MARK III uses several  $D^0$  decay channels in combination, the two search methods have different sensitivities to the contribution of doubly Cabibbo-suppressed decays [10].

It is a pleasure to thank U. Djuanda, E. Konrad, E. Michel and W. Reinsch for their competent technical help in running the experiment and processing the data. We thank Dr. H. Neseemann, B. Sarau and the DORIS group for the good operation of the storage ring. The visiting groups wish to thank the DESY directorate for the support and kind hospitality extended to them.

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