

**Orientifolds of K3
and Calabi-Yau Manifolds
with Intersecting D-branes**

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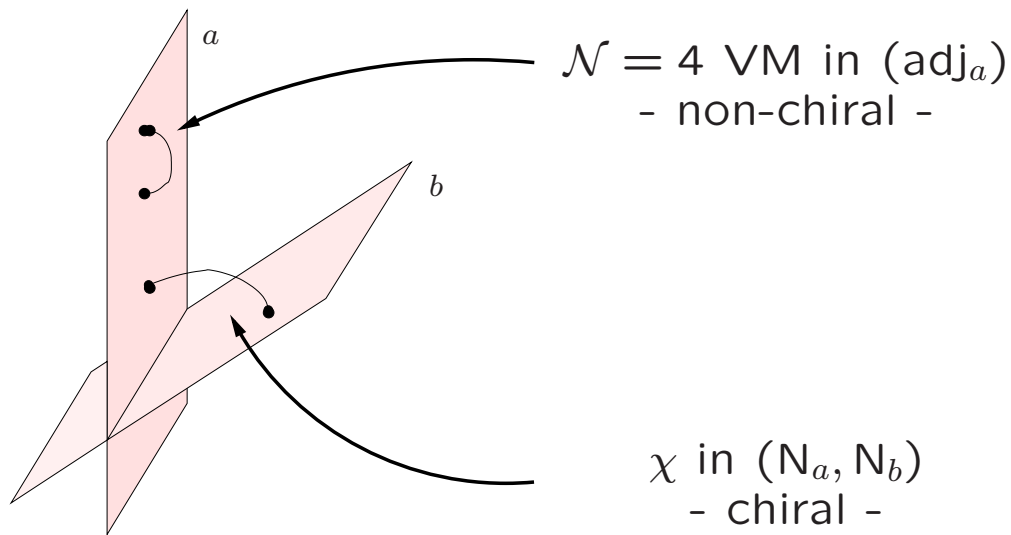
Motivation: Intersecting Brane Worlds

- Effective $U(N)$ gauge theory on N D_p -branes

$$\mathcal{S}_{\text{eff}} = \int_{\mathbb{R}^4 \times \mathcal{M}^6} dx^4 d\xi^6 \mathcal{L}_{\text{gravity}}(g, B_2, \phi, C_p) \\ + \int_{\mathbb{R}^4 \times \mathcal{W}^{p-3}} dx^4 d\zeta^{p-3} \left(\underbrace{\mathcal{L}_{\text{DBI}}(g, \mathcal{F}, \phi)}_{\text{Tension}} + \underbrace{\mathcal{L}_{\text{CS}}(\mathcal{F}, C_p)}_{\text{Charge}} \right)$$

Compact $\mathcal{M}^6 \xrightarrow{\text{SUSY}}$ Orientifold O_p -planes needed.

- Two D-branes a, b intersecting in a point:



χ : Chiral open string R-groundstate.

- Intersecting Brane Worlds (IBW):
Space-time filling D-branes intersecting in points.

General set-up: IBW-Orientifolds

- Define **general IBW** (in $10 - 2d$ dimensions) by

$$\frac{\text{Type II on K3/CY}_3}{\Omega\bar{\sigma}} \quad + \quad \begin{array}{l} \text{D}_{q_a}\text{-branes} \\ \text{on sLag cycles} \\ \pi_a \in H_d(\mathcal{M}^{2d}; \mathbb{Z}) \end{array}$$

- General D_q -brane defined geometrically by
 $\iota : \mathcal{W}^{q+1} \hookrightarrow \mathbb{R}^{10-2d} \times \mathcal{M}^{2d} + \text{gauge bundle } E \text{ on } \mathcal{W}^{q+1}$
 IBW: Want $q + 1 = 10 - 2d + d$ and E flat.

- Consider background $(\mathcal{M}^{2d}, J, \Omega_d)$ with \mathbb{Z}_2 -involution

$$\bar{\sigma}(J) = -J, \quad \bar{\sigma}(\Omega_d) = \bar{\Omega}_d$$

Locally: $\bar{\sigma}$ complex conjugation.

- Combine with world sheet parity Ω into $\Omega\bar{\sigma}$

$$\text{Fix}(\Omega\bar{\sigma}) = \text{Orientifold } O_q\text{-planes}$$

and $\text{Fix}(\Omega\bar{\sigma})$ is special Lagrangian:

$$\iota^* J = \iota^* \Im(\Omega_d) = 0, \quad \iota^* \Re(\Omega_d) = \iota^* d\text{vol}$$

- Cancellation of O_q -plane charge/tension by

$$\text{D}_{q_a}\text{-branes on sLag cycles } \pi_a$$

For π_a only require $\iota^* \Re(e^{i\theta_a} \Omega_d) = \iota^* d\text{vol}$

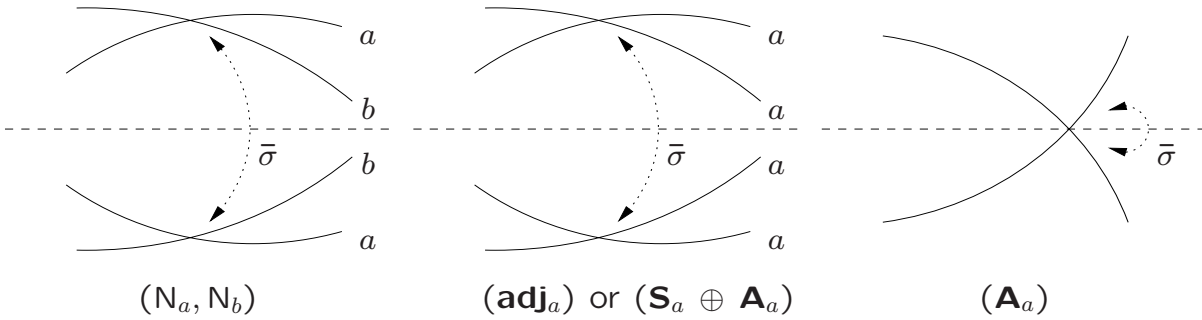
Weak (individual) SUSY conservation.

General set-up: Chiral matter

- Chan-Paton indices permuted by $\bar{\sigma}$

$$\lambda^{ab} |\text{osc}, ab\rangle \xrightarrow{\Omega \bar{\sigma}} \gamma(\Omega) \lambda^{ab} \gamma(\Omega)^{-1} |\Omega \bar{\sigma} \cdot \text{osc}, \bar{\sigma}(a) \bar{\sigma}(b)\rangle$$

Chiral matter in representations



Multiplicities depend on the dimension.

- RR-charge cancellation: Simplification of \mathcal{L}_{CS}

$$\mathcal{L}_{CS}^{(p)} \sim \text{ch}(\mathcal{F}) \wedge \sqrt{\hat{A}(R)} \wedge C_p \xrightarrow{\text{sLag}} \text{rk}(E) C_p$$

leads to Bianchi-identity (δ : Poincaré duality)

$$d \star dC_{q+1} \sim \sum_a \underbrace{N_a \delta(\pi_a)}_{Dq_a\text{-brane}} + \underbrace{Q_q \delta(\pi_{Oq})}_{Oq\text{-plane}}$$

Topological tadpole cancellation conditions

$$\sum_a N_a \pi_a + Q_q \pi_{Oq} = 0$$

Maximal number of equations: $b_d(\mathcal{M}^{2d})$.

IBW on K3:

- Chiral fermion spectrum ($\pi'_a = \pi_{\bar{\sigma}(a)}$)

Representation	Multiplicity
(adj_a)	$\pi_a \circ \pi_a$
$(\mathbf{A}_a \oplus \bar{\mathbf{A}}_a)$	$\frac{1}{2} (\pi_a \circ \pi'_a + \pi_a \circ \pi_{\text{O}7})$
$(\mathbf{S}_a \oplus \bar{\mathbf{S}}_a)$	$\frac{1}{2} (\pi_a \circ \pi'_a - \pi_a \circ \pi_{\text{O}7})$
$(\mathbf{N}_a, \mathbf{N}_b) \oplus (\bar{\mathbf{N}}_a, \bar{\mathbf{N}}_b)$	$\pi_a \circ \pi_b$
$(\mathbf{N}_a, \bar{\mathbf{N}}_b) \oplus (\bar{\mathbf{N}}_a, \mathbf{N}_b)$	$\pi_a \circ \pi'_b$

induces gravitational R^4 -anomaly coefficient

$$\text{anomaly} \sim 14 \pi_{\text{O}7} \circ \pi_{\text{O}7}$$

Using $n_H - n_V + 29n_T = 273$ one can obtain

$$2(9 - n_T) = \pi_{\text{O}7} \circ \pi_{\text{O}7} \stackrel{\text{sLag}}{=} -\chi(\text{Fix}(\bar{\sigma}))$$

Get n_T from action of $\bar{\sigma}$ on $H_{\text{DR}}^*(\text{K}3)$.

- This relation was checked with

Blown-up K3-orbifolds $\mathbb{T}^4/\mathbb{Z}_N$

Algebraic model: Quartic in $\mathbb{C}\mathbb{P}^3$

F-theory generalizations of type I' vacua on CY_3

and mathematically proven.

Example: Blown-up $\mathbb{T}^4/\mathbb{Z}_2$ orbifold

- Define K3-orbifold on rectangular $\mathbb{T}^4 = \mathbb{T}_1^2 \times \mathbb{T}_2^2$ by

$$\Theta : z_i \mapsto -z_i, \quad i = 1, 2$$

Θ has $16 = 4 \times 4$ fixed points $P_{\alpha\beta}$, \mathcal{A}_1 -singularities.

- Blowing-up the singularities adds 16 \mathbb{CP}^1 , $e_{\alpha\beta}$, with

$$e_{\alpha\beta} \circ e_{\gamma\epsilon} = -2\delta_{\alpha\gamma}\delta_{\beta\epsilon} \quad \text{and} \quad \Omega\bar{\sigma} : e_{\alpha\beta} \mapsto -e_{\alpha\beta}$$

- Now find

$$\pi_{O7} = 2(\Re(z_1) \otimes \Re(z_2)) + 2(\Im(z_1) \otimes \Im(z_2))$$

Add two stacks of $N_1 = N_2 = 16$ D7-branes on

$$\pi_1 = \frac{1}{2}(\Re(z_1) \otimes \Re(z_2)) + \frac{1}{2}(e_{11} + e_{12} + e_{21} + e_{22})$$

$$\pi_2 = \frac{1}{2}(\Im(z_1) \otimes \Im(z_2)) + \frac{1}{2}(e_{11} + e_{13} + e_{31} + e_{33})$$

From intersection numbers get chiral matter

Representation $U(16) \times U(16)$	Multiplicity
$(\text{adj}, 1) \oplus (1, \text{adj})$	2
$(A, 1) \oplus (1, A) \oplus c.c.$	2
$(16, 16) \oplus c.c.$	1

Identical to “famous” BS or GP orientifold.
But: Much more solutions available for $\mathbb{T}^4/\mathbb{Z}_2$.

Example on CY_3 : The SM on the quintic

- Chiral fourdimensional spectrum:

Representation	Multiplicity
$(A_a)_L$	$\frac{1}{2} (\pi_a \circ \pi'_a + \pi_a \circ \pi_{O6})$
$(S_a)_L$	$\frac{1}{2} (\pi_a \circ \pi'_a - \pi_a \circ \pi_{O6})$
$(\bar{N}_a, N_b)_L$	$\pi_a \circ \pi_b$
$(N_a, N_b)_L$	$\pi_a \circ \pi'_b$

- Fermat quintic CY_3

$$P(z_i) = z_1^5 + z_2^5 + z_3^5 + z_4^5 + z_5^5 = 0 \subset \mathbb{C}P^4$$

with sLag $\mathbb{R}P^3$ $\bar{\sigma}$ -fixed set

$$P(x_i) = x_1^5 + x_2^5 + x_3^5 + x_4^5 + x_5^5 = 0 \subset \mathbb{R}P^4$$

- Use \mathbb{Z}_5^5 , $z_i \mapsto \omega^{k_i} z_i$ with $\omega^5 = 1$, $k_i \in \mathbb{Z}_5$, to get

$$|k_2, k_3, k_4, k_5\rangle = \{[x_1 : \omega^{k_2} x_2 : \dots : \omega^{k_5} x_5] | P(x_i) = 0\},$$

$$5^4 = 625 \text{ sLag } \mathbb{R}P^3, \text{ calibrated with } \Re(\prod_i \omega^{k_i} \Omega_3).$$

- Intersection number with $|1, 1, 1, 1\rangle$ from

$$\prod_{i=1}^5 (g_i + g_i^2 - g_i^3 - g_i^4) \text{ mod } \langle g_i^5 = 1, \prod_{i=1}^5 g_i = 1 \rangle$$

Example on CY_3 : The SM on the quintic

- Overall Supersymmetry \Rightarrow trivial solution $\pi_a = \pi_{O6}$.
- Non-supersymmetric SM by using

$$\pi_a = |0, 0, 3, 1\rangle,$$

$$\pi_b = |4, 3, 0, 3\rangle,$$

$$\pi_c = |3, 0, 1, 1\rangle - 2|4, 3, 0, 3\rangle,$$

$$\pi_d = |4, 2, 4, 4\rangle - 2|0, 0, 3, 1\rangle$$

with $N_a = 3$, $N_b = 2$ and $N_c = N_d = 1$ produces

3 generation SM fermion spectrum

with right-handed neutrinos.

- Anomaly-free hypercharge is

$$U(1)_Y = \frac{1}{3}U(1)_a - U(1)_c + U(1)_d$$

- GS couplings to cancel $U(1) - SU(N)^2$ anomalies.
- An invisible sector needed for tadpole cancellation.
- SUSY breaking at M_s : large transverse volume

$$\text{vol}_{\perp}^{9-q} \gg \text{vol}_{\text{int}}^{q+1}(\text{D}q\text{-brane})$$

SUSY breaking: Scalar potential

- Perturbing SUSY vacua (with “A-type” branes):

F-terms \longleftrightarrow Kähler moduli

D-terms \longleftrightarrow Complex structure moduli

- F-terms vanish perturbatively \longrightarrow disc instantons

$$W(t) \sim \sum_{n=1}^{\infty} \frac{1}{n^2} e^{-nt}$$

Examples with non-vanishing $W(t)$ known.

- D-terms induced by brane tension

$$\int_{\mathcal{W}^6} d\zeta^3 \mathcal{L}_{\text{DBI}} \sim e^{-\phi_4} \left(\sum_a N_a \left| \int_{\pi_a} \Omega_3 \right| + \int_{\pi_{06}} \Re(\Omega_3) \right)$$

of type

$$\mathcal{V}_{\text{D-term}} = \sum_a \frac{1}{2g_a^2} \left(\sum_i q_a^i |X_i|^2 + \xi_a \right)^2 = \sum_a \frac{\xi_a^2}{2g_a^2} + \dots$$

with

$$\xi_a^2 \sim \left| \int_{\pi_a} \Omega_3 \right| - \int_{\pi_a} \Re(\Omega_3)$$