

NEUTRINO MASSES AND MIXING

Concha Gonzalez-Garcia
(CERN, IFIC, Stony Brook)

OUTLINE

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What and How We Learn From Oscillations*

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SUSY02, DESY June 2002

I. Introduction

- If neutrinos have a mass, the weak eigenstates $|\nu_\alpha\rangle$ are linear combinations of the mass eigenstates $|\nu_i\rangle$

$$|\nu_\alpha\rangle = \sum_{i=1}^n U_{\alpha i} |\nu_i\rangle \quad U \text{ is a unitary mixing matrix.}$$

- To fully determine the lepton flavour structure we want to know:

- * How many neutral states: N

- * Their nature: Dirac $\nu^C \neq \nu$
Majorana $\nu^C = \eta\nu$ (η =phase)

- * Their masses: m_i

- * Their mixings: $\frac{N(N-1)}{2}$ mixing angles

- * Their CP properties:

$$\frac{(N-1)(N-2)}{2} \text{ (Dirac Phases)} + N - 1 \text{ (Majorana Phases)}$$

- We have information from:

- * The line shape of the Z: $N_{\text{weak}} = 3$

- * Neutrino Oscillations: Best at present but only mass differences

- * Direct kinematic measurements of the masses:

for instance ${}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e \Rightarrow m_e < 2.2 \text{ eV}$

but what is $m_e = f(m_i, U)$?

- * ν -less double- β decay: $|\langle m_{\beta\beta} \rangle| = \left| \sum_{i=1}^N U_{ei}^2 m_i \right|$ if ν_i Majorana

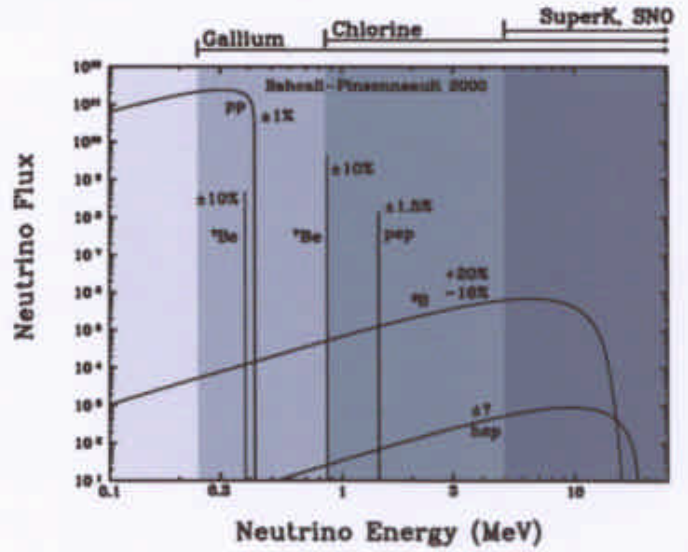
- * Also from Astrophysics and Cosmology:

BBN, Structure formation, Dark matter ...

II. Solar Neutrinos

- The sun emits ν_e 's.

Standard Solar Model fluxes



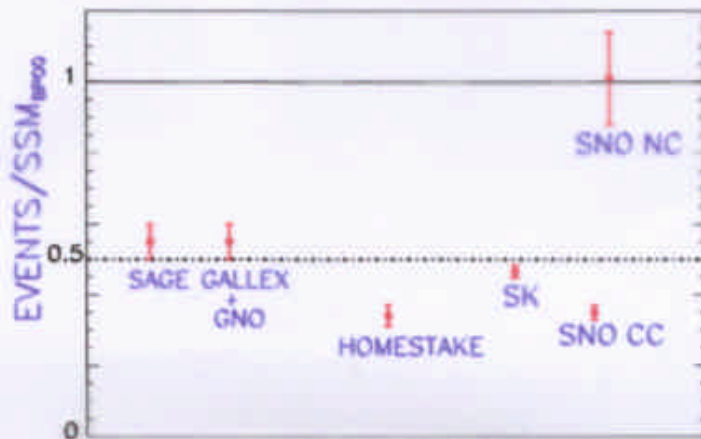
- Radiochemical experiments:** (SNU $\equiv 10^{-36}$ absorptions/atom/sec)
 - Homestake (South Dakota, USA) $E_\nu > 0.81$ MeV

$$\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^- \quad 2.56 \pm 0.23 \text{ SNU}$$
 - SAGE (Russia) and GALLEX, GNO (Gran Sasso) $E_\nu > 0.23$ MeV

$$\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^- \quad 70.8 \pm 4.4 \text{ SNU}$$

- Real-Time experiments:**
 - Kamiokande and SuperK. (Kamioka, Japan) $E_e > 5$ MeV
 ES $\nu_x + e^- \rightarrow \nu_x + e^- \quad \frac{\phi}{10^6} = 2.35 \pm 0.02 \pm 0.08 \text{ cm}^{-2} \text{ s}^{-1}$
 - SNO (Sudbury, Canada) $T_e > 5$ MeV
 - CC $\nu_e + d \rightarrow p + p + e^- \quad 1.76 \pm 0.05 \pm 0.09 \text{ cm}^{-2} \text{ s}^{-1} *$
 - NC $\nu_x + d \rightarrow \nu_x + p + n \quad 5.09^{+0.44}_{-0.43} \text{ cm}^{-2} \text{ s}^{-1} *$
 - ES $\nu_x + e^- \rightarrow \nu_x + e^- \quad 2.39^{+0.24}_{-0.23} \pm 0.12 \text{ cm}^{-2} \text{ s}^{-1} *$

(* For undistorted ${}^8\text{B}$ spectrum)



SSM Independent Tests

- For the Chlorine+Gallium+SK+SNO_{CC} rates:

$$\chi_{BP00}^2 = 60/4dof \Rightarrow \text{GOF} \lesssim 10^{-10}\%$$

- Model Independent Tests Before SNO:

– Treat Dominant Fluxes ${}^7\text{Be}$, ${}^8\text{B}$, pp as free

– Impose Luminosity Constraint: $\sum \alpha_j \Phi_j = \frac{L_\odot}{4\pi r^2}$

Best Fit \Rightarrow negative ${}^7\text{Be}$

Best Fit with fluxes ≥ 0 : $\frac{\alpha_{pp}}{\alpha_{SSM}^{pp}} = 1.08$, $\frac{\alpha_{Be}}{\alpha_{SSM}^{Be}} = 0$, $\frac{\alpha_{8B}}{\alpha_{SSM}^{8B}} = 0.45$

$$\chi_{min}^2 = 19/1dof \Rightarrow \text{GOF} = 10^{-3}\%$$

- Model Independent Tests With SK and SNO:

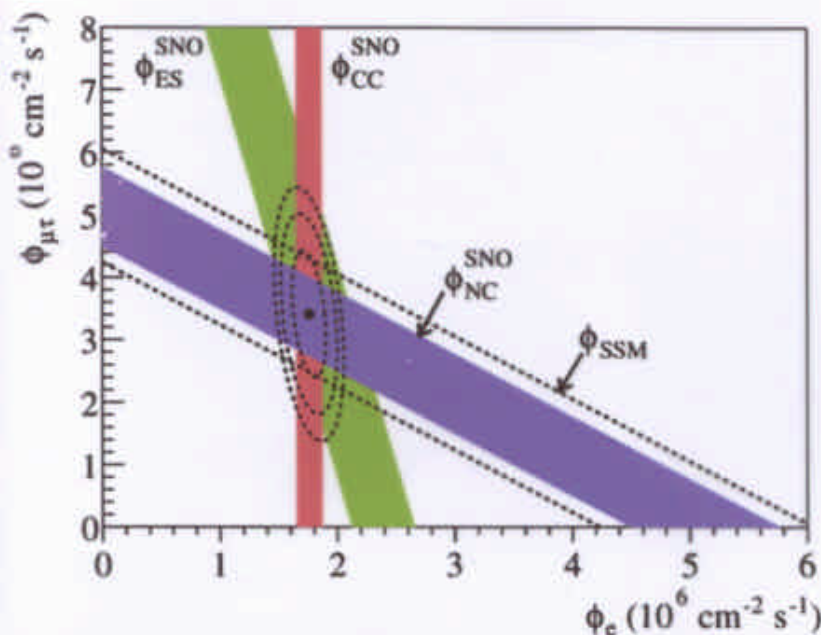
* SK and SNO measure Φ_{8B} :

* If flavour conversion:

$$\Phi^{CC} = \Phi_e$$

$$\Phi^{ES} = \Phi_e + r \Phi_{\mu\tau} \quad r = \frac{\sigma_{ES}(\nu_e)}{\sigma_{ES}(\nu_\mu)} \simeq \frac{1}{6}$$

$$\Phi^{NC} = \Phi_e + \Phi_{\mu\tau}$$



* No-oscillation \Rightarrow

$$\Phi_{SK}^{ES} = \Phi_{SNO}^{CC} \Rightarrow 3.2\sigma \text{ out}$$

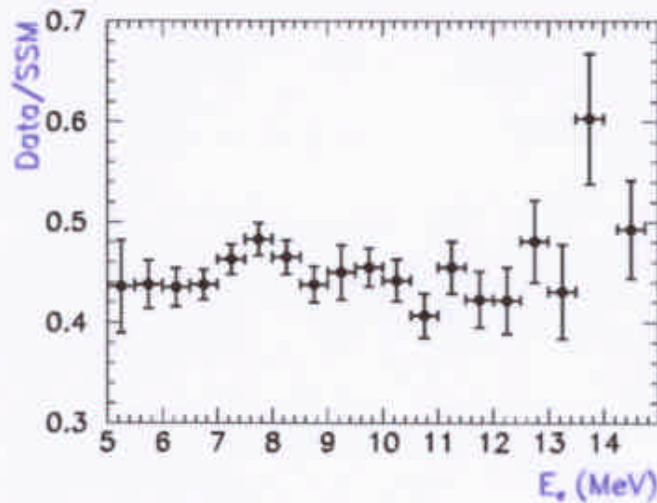
$$\Phi_{SNO}^{NC} = \Phi_{SNO}^{CC} \Rightarrow 5.3\sigma \text{ out}$$

* $\nu_e \rightarrow \nu_{sterile}$ conversion \Rightarrow

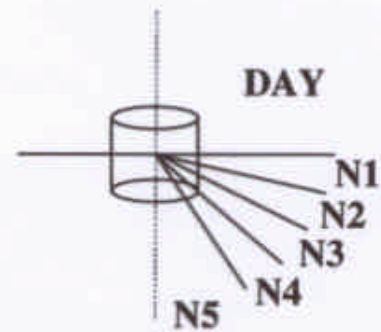
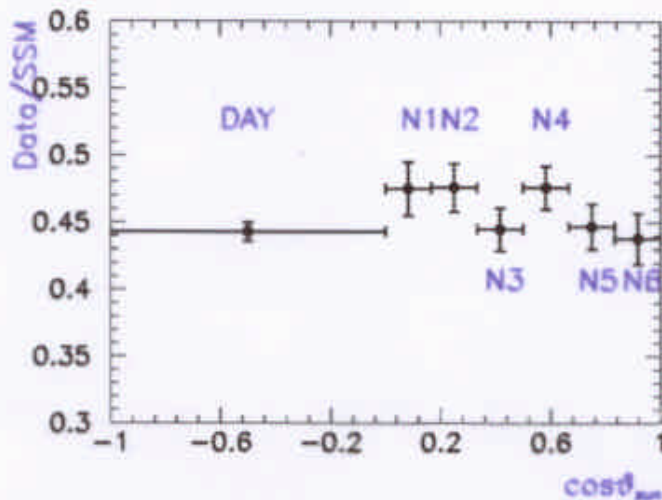
$$\Phi_{SNO}^{NC} \simeq \Phi_{SNO}^{CC} \sim 5\sigma \text{ out}$$

Other Super-Kamiokande Measurements

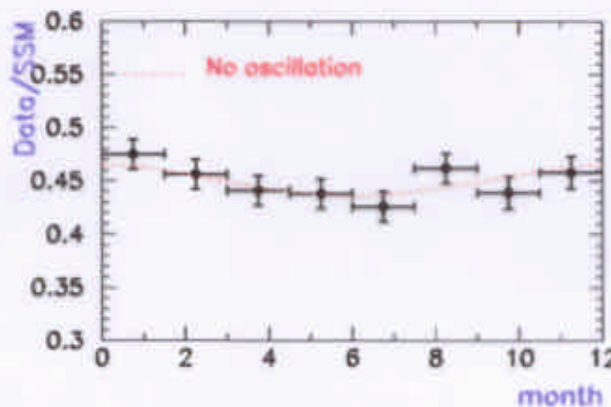
- Recoil Electron Energy Spectrum → no distortion $\chi^2_{flat} = 19/(18dof)$



- Zenith Angle Distribution (Day/Night Effect): Effect of Earth Matter
→ Few more events at N than D $2 \frac{D-N}{D+N} = -0.021 \pm 0.02 \pm 0.013 (0.8\sigma)$

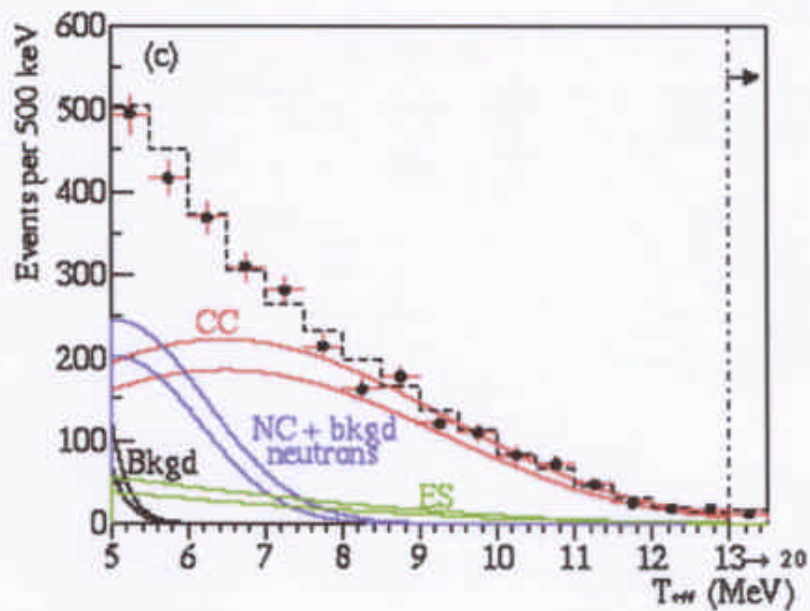


- Seasonal Variation → Small Beyond Earth Orbit Eccentricity



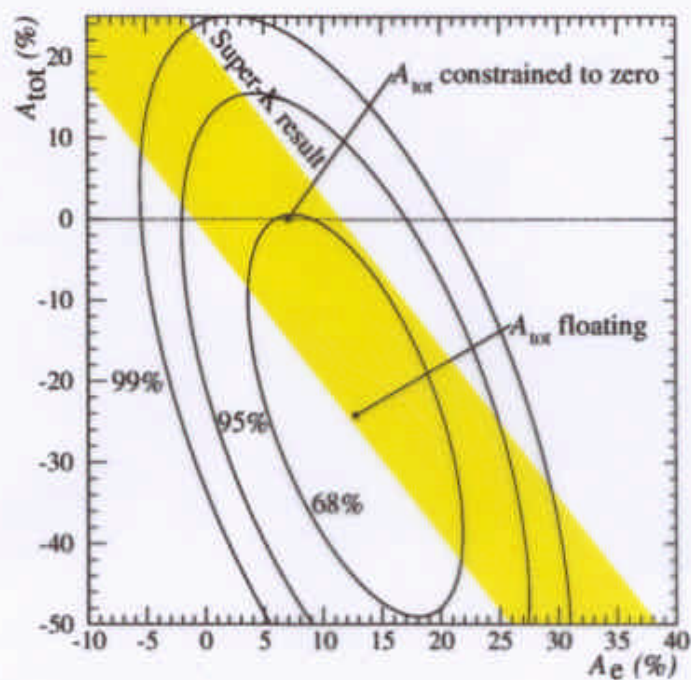
SNO Measurements

- ~~Recent~~ **Electron Energy Spectrum** → no large distortions
(Includes CC+NC+ES contributions)



Day-Night variation

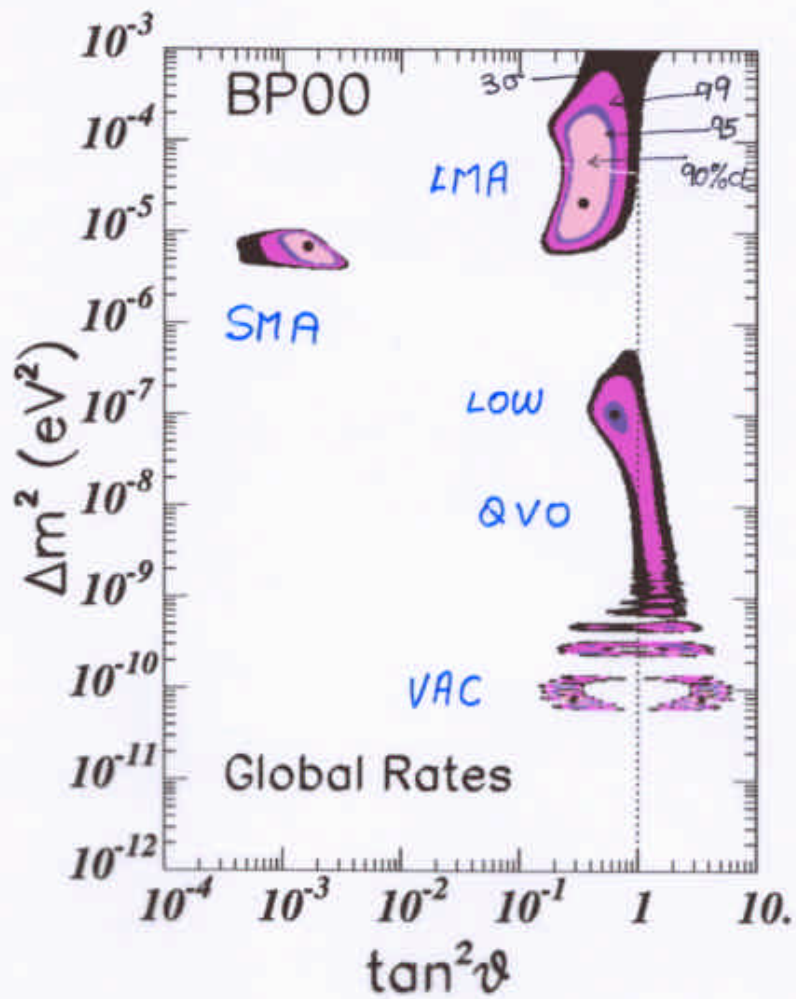
- Few more events at N than D $2 \frac{D-N}{D+N} = 0.07 \pm 0.05 \pm 0.02$
- agreement with SK



Solutions for $\nu_e \rightarrow \nu_{\text{active}}$

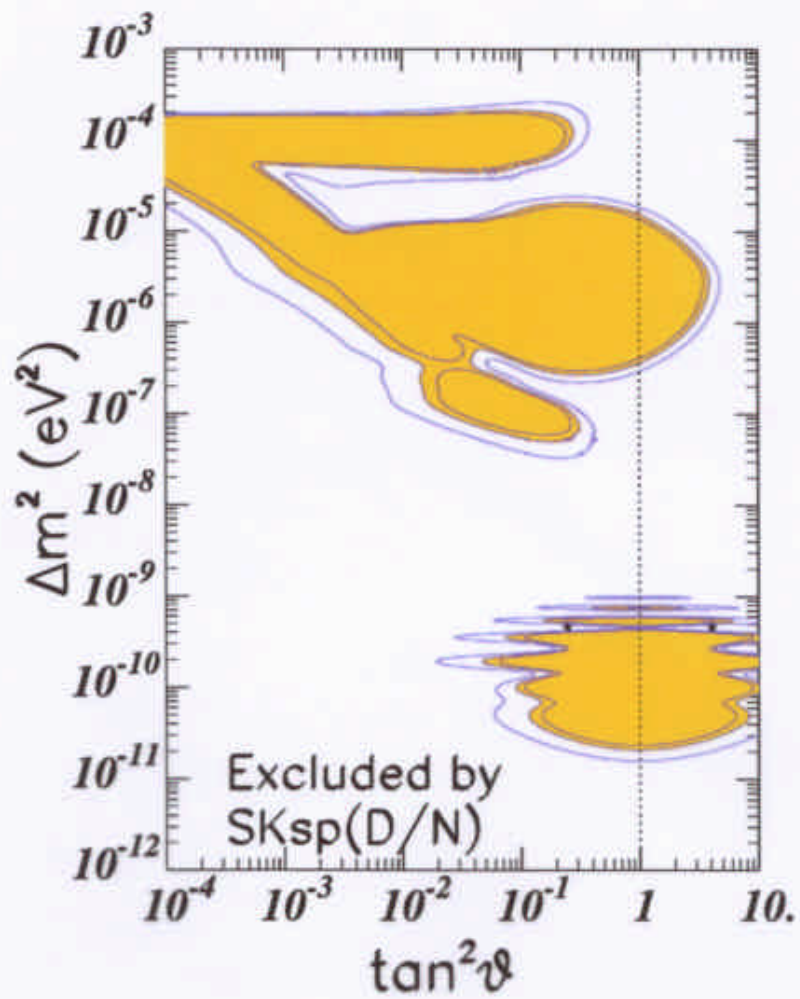
Super-Kamiokande 1498 days, SNO CC, BP00 (Before April 20, 2002)

Allowed regions from Rates :



Different regimes could explain the Global Rates

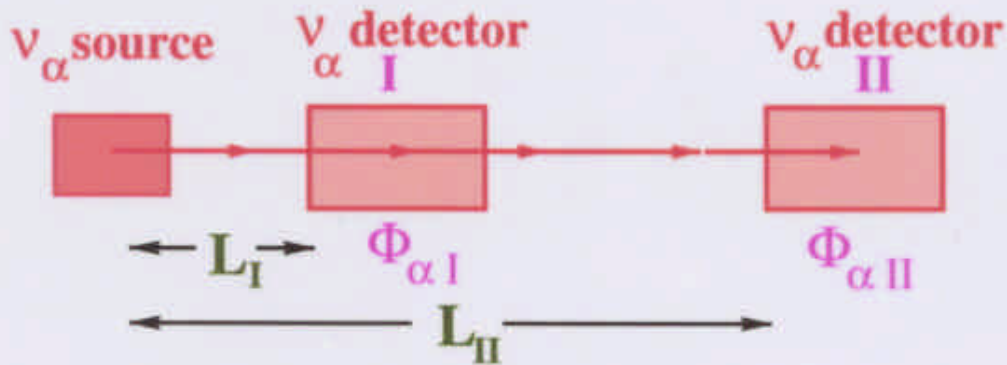
Excluded from SK D/N Spectra:



SMA, LOW and VAC were disfavoured

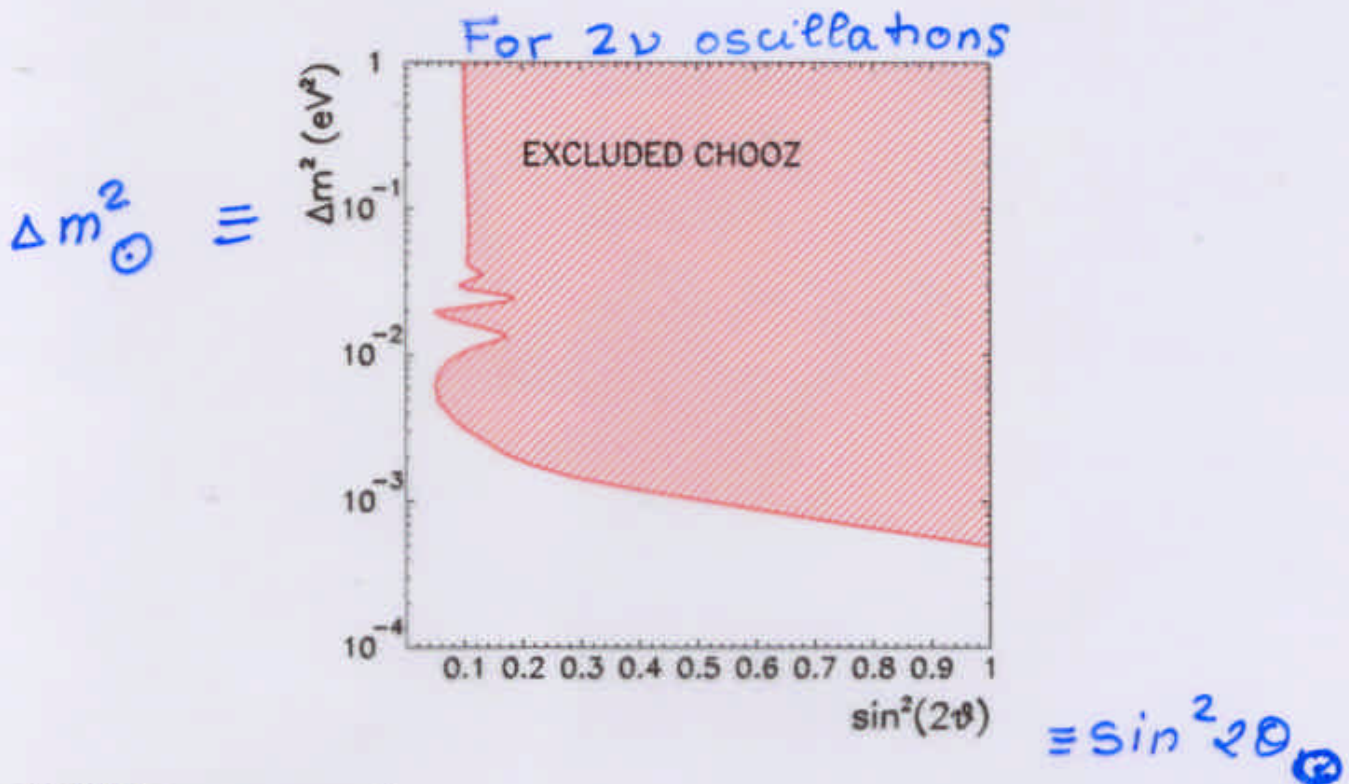
Chooz Experiment

- Negative Lab experiment with ν source: **Nuclear Reactor**
- Disappearance Experiment



Compares $\Phi_{\alpha I}$ and $\Phi_{\alpha II}$ to look for loss

Experiment	$\sim \langle L/E \rangle (\text{m/MeV})$	α
Chooz	200	$\bar{\nu}_e$

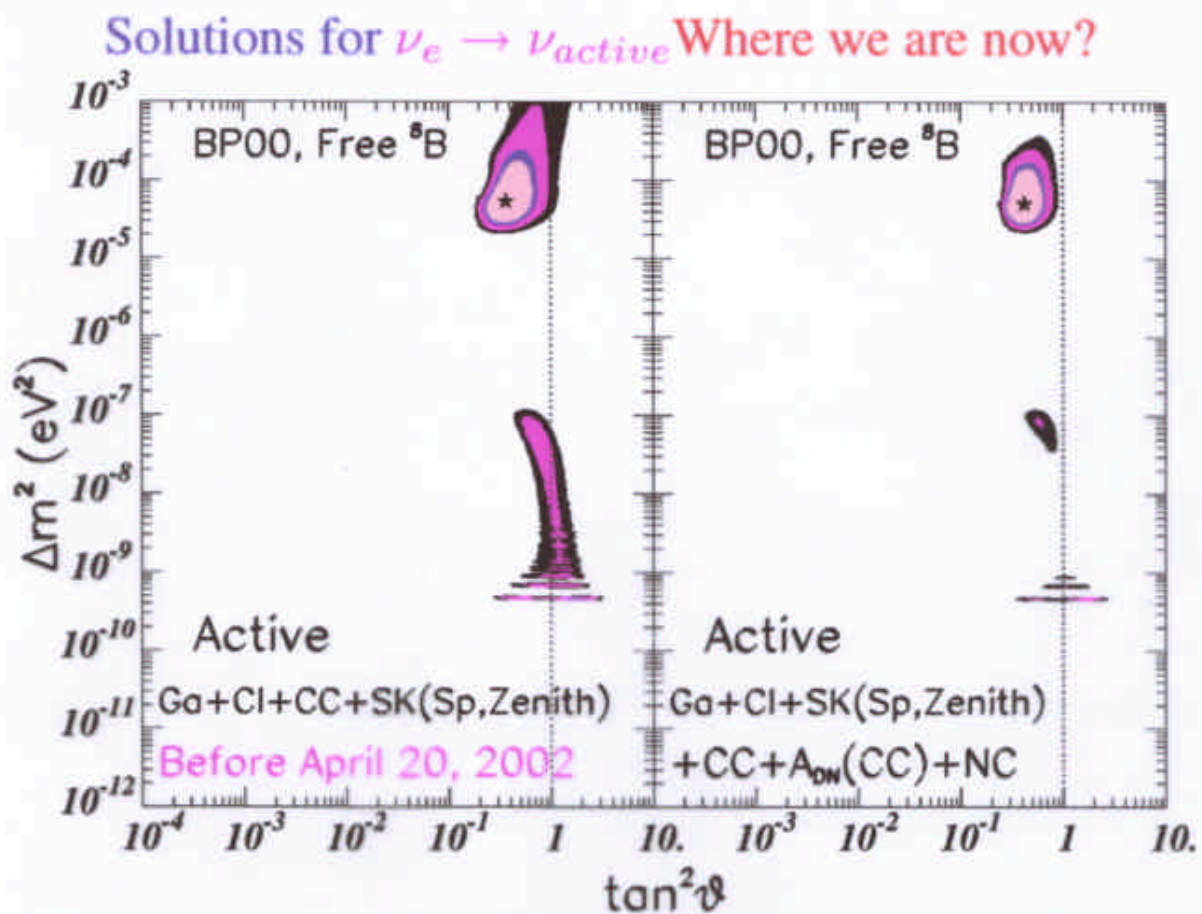


If CPT is conserved:

- Constraints **solar** oscillations for $\Delta m_{21}^2 \gtrsim 8 \times 10^{-4} \text{ eV}^2$.
- Constraints $\nu_\mu \rightarrow \nu_e$ component of **atmospheric** oscillations

Impact of SNO April 2002 results:

- SNO collaboration, nucl-ex/0204009
- Barger, Marfatia, Whisnant and Wood, hep-ph/0204253
- Bandyopadhyay, Choubey, Goswami, Roy, hep-ph/0204286
- Creminelli, Signorelli, Strumia addendum to hep-ph/0102234
- Aliani, Antonelli, Picariello, Torrente-Lujan hep-ph/0205053
- de Holanda, Smirnov, hep-ph/0205241
- Strumia, Cattadori, Ferrari, Vissani, hep-ph/0205262



(J.N. Bahcall, M.C.G-G, C. Peña Garay (BGP), hep-ph/0204314)

- LMA best fit:

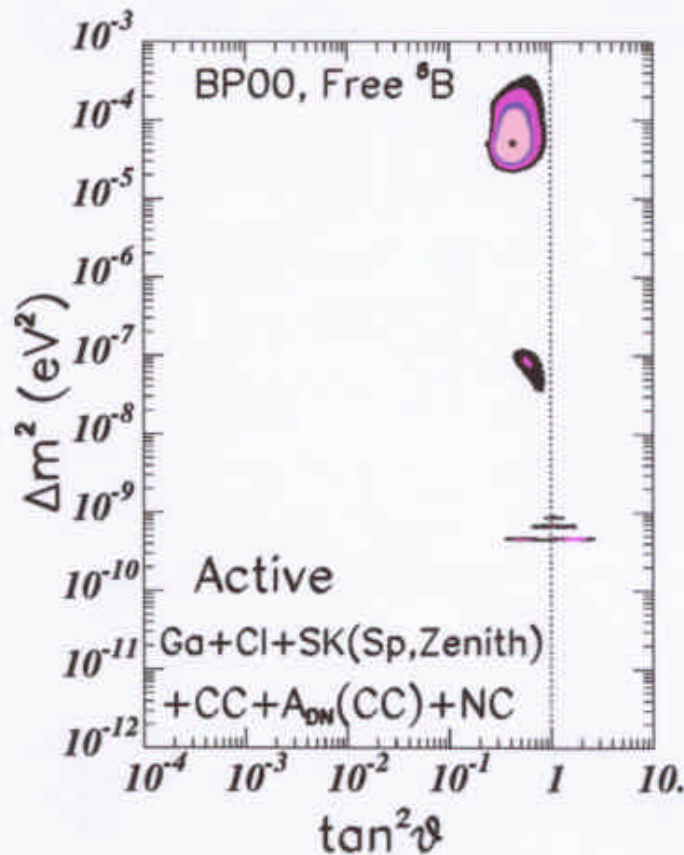
- $\Delta m^2 = 5 \times 10^{-5} \text{eV}^2$ $\tan^2 \theta = 0.42$
- Maximal mixing is “out” in LMA: $0.24 < \tan^2 \theta < 0.89$ at 3σ
- Δm^2 below CHOOZ: $\Delta m^2 = (0.25 - 3.7) \times 10^{-4} \text{eV}^2$ at 3σ

- LOW and QVAC-VAC further disfavoured

- SMA “out” at 3.7σ and Pure sterile is “way out” at 5.4σ

Solutions for $\nu_e \rightarrow \nu_{\text{active}}$ Where we are now?

(J.N. Bahcall, M.C.G-G, C. Peña Garay, hep-ph/0204314)



- LMA best fit in all analysis:

- Maximal mixing excluded at 3.2 in LMA: $\tan^2 \theta \leq 0.89$ at 3σ

- LMA below CHOOZ bound: $\Delta m^2 \leq 3.7 \times 10^{-4} \text{ eV}^2$ at 3σ

$$\text{Other analysis } \tan^2 \theta \leq \begin{cases} 0.55 \\ 0.64 \\ 0.79 \\ 1 \end{cases} \quad \Delta m^2 \leq \begin{cases} 1.9 \times 10^{-4} \text{ SNO} \\ 2.3 \times 10^{-4} \text{ Barger et al} \\ 3.4 \times 10^{-4} \text{ de Holanda et al} \\ 5.0 \times 10^{-4} \text{ Strumia et al} \end{cases}$$

- LOW solution: allowed only at 2.5σ

- (Q)VAC allowed, but not robustly, at 2.1σ .

$$\text{Other analysis LOW at } \begin{cases} 2.8 \sigma \\ 2.5 \sigma \\ 3.2 \sigma \\ 2.2 \sigma \end{cases} \quad (\text{Q})\text{VAC at } \begin{cases} > 3 \sigma \text{ SNO} \\ > 3 \sigma \text{ Barger et al} \\ 2.7 \sigma \text{ de Holanda et al} \\ 2.5 \sigma \text{ Strumia et al} \end{cases}$$

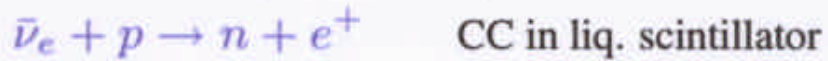
- Small mixing angles are “out” at more than 3.5σ in all analysis .

- Pure sterile is “way out” at more than 5σ in all analysis

Near Future Experiments:

• Reactor neutrino experiments: KamLAND

★ Search on $\bar{\nu}_e$ for $L \sim 200$ km (17 reactors), $E_\nu \sim$ few MeV



– Sensitive to the LMA region

– No matter effects: $\theta \equiv \frac{\pi}{2} - \theta$

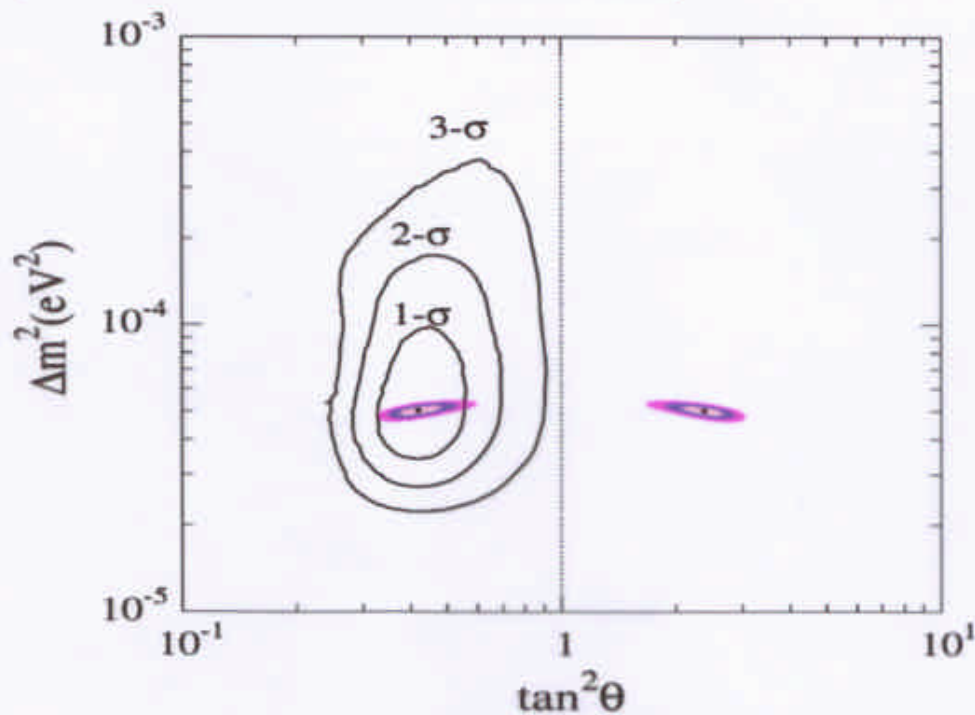
*but combined with solar $\Rightarrow \theta < \frac{\pi}{2}$
(deGouvea & Peña-Garay, PLB2001)*

– CC events: No information about active or sterile osc.

★ Running since January: In May, 11 reported $\bar{\nu}_e$ candidates

★ Expected Allowed regions from KamLAND data

(if LMA is the solution to the SNP)



• Solar neutrino experiments: BOREXINO

★ Search on low energy solar neutrinos



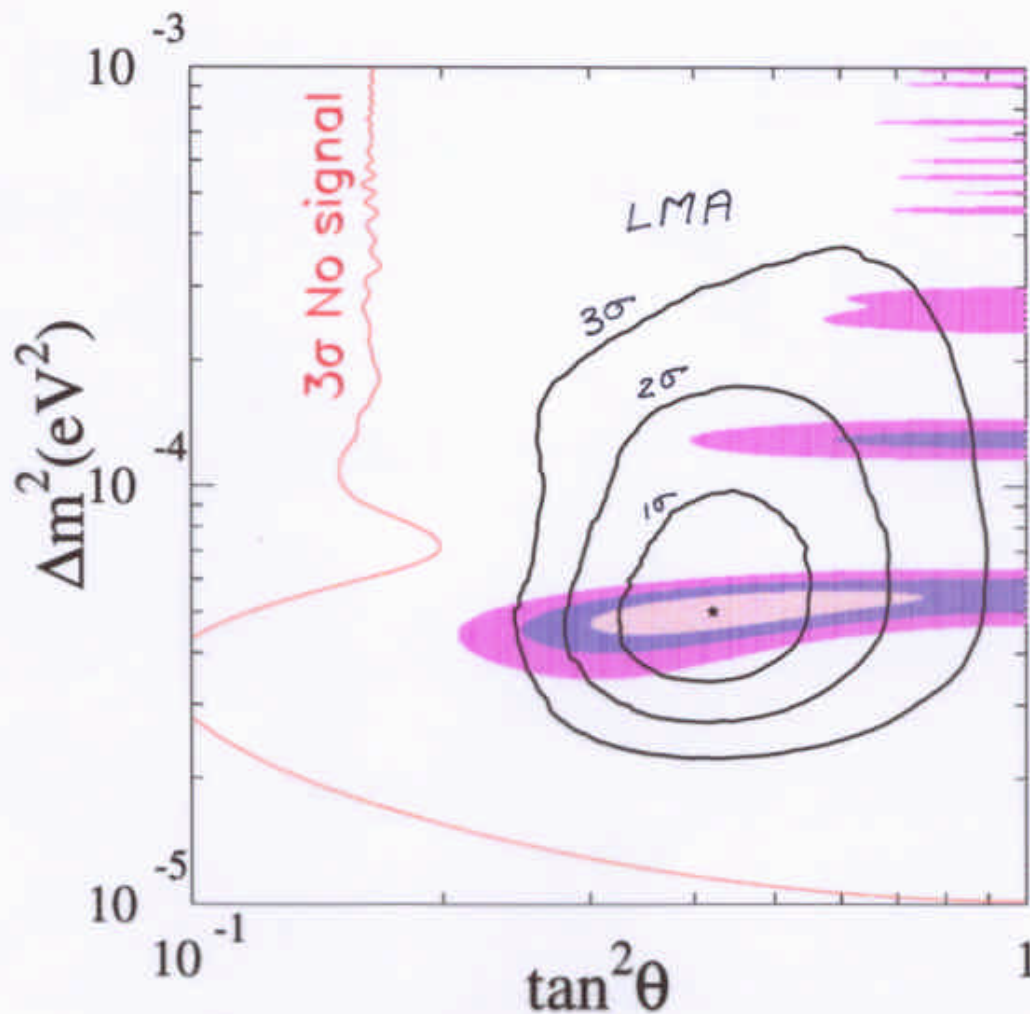
– Measurement of Φ (${}^7\text{Be}$) ($0.25 < T_e < 0.8$)

– Sensitive to the LOW region: D/N, Zenith dependence

– Sensitive to the VAC region: Seasonal dependence

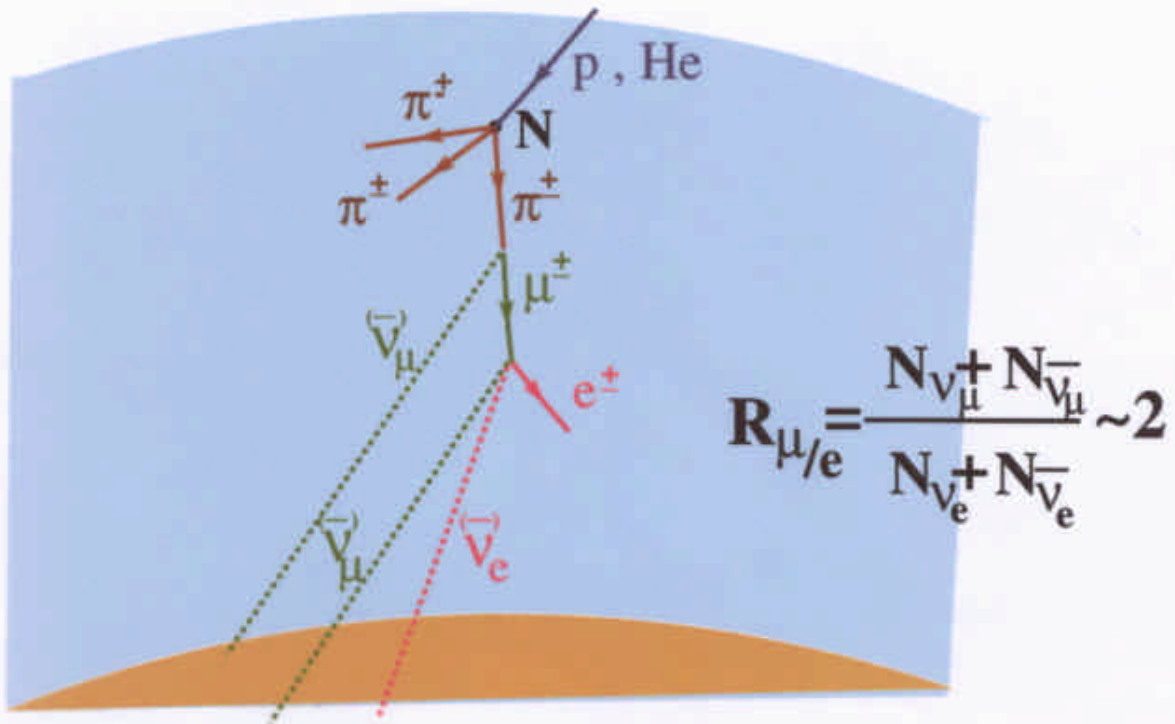
What could KamLAND say this year?

- Lets assume 200 expected events and
 - (1) 97 observed (best fit LMA) with best fit LMA spectrum
 - (2) 200 observed with no distortion in spectrumIncluding only statistical errors



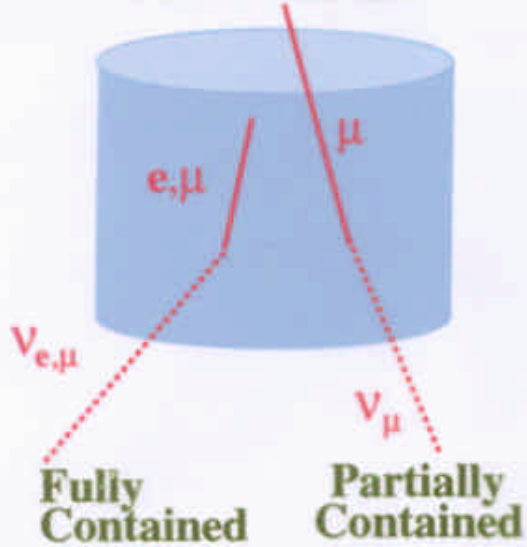
III. Atmospheric Neutrinos

Atmospheric $\nu_{e,\mu}$ are produced by the interaction of cosmic rays (p, He ...) with the atmosphere



EVENT CLASSIFICATION

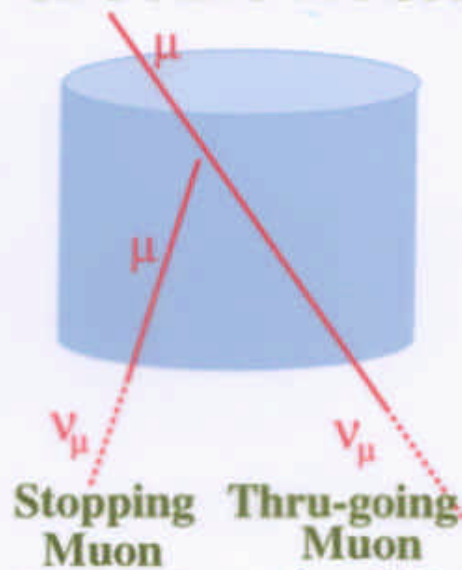
CONTAINED



Fully Contained

Partially Contained

UPGOING MUONS



Stopping Muon

Thru-going Muon

E_{ν} 0.1 - few GeV

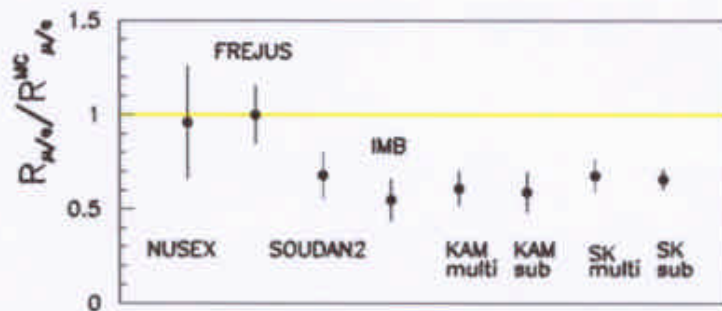
few GeV

few 10 GeV

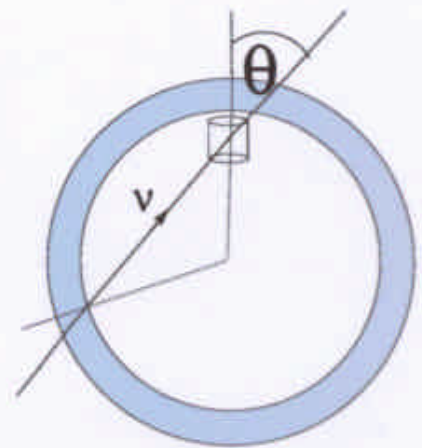
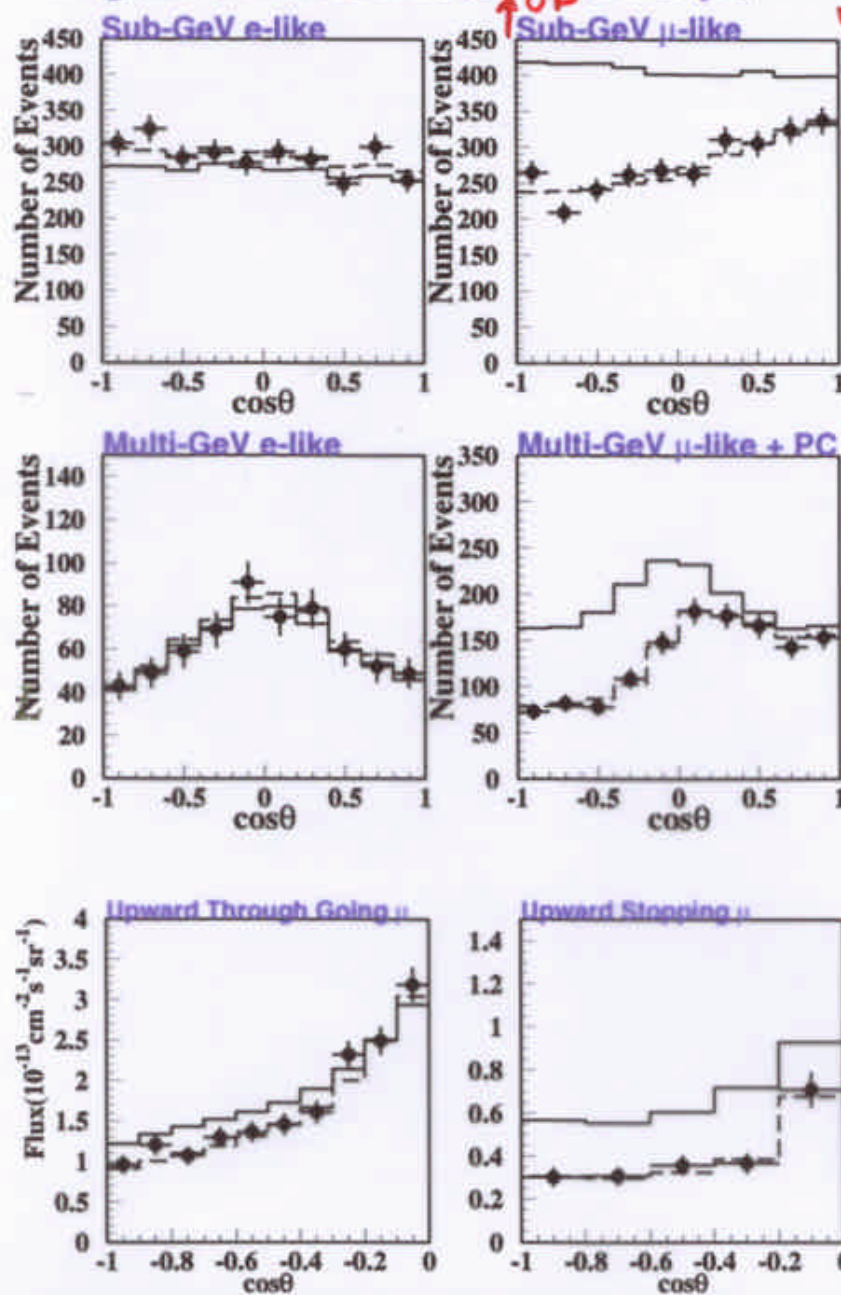
few 100 GeV

Atmospheric Neutrino Data

- Total Rates for Contained Events



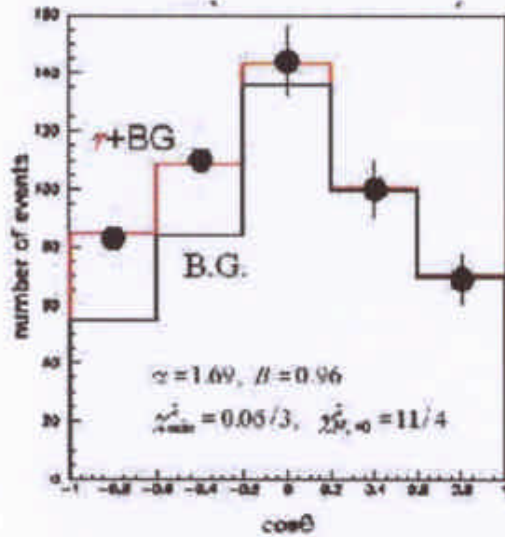
- Angular Distribution (SK 1498 days)



→ Deficit grows with L

→ decreases with E

- τ searches in SK: Events with topologies compatible with τ decay.



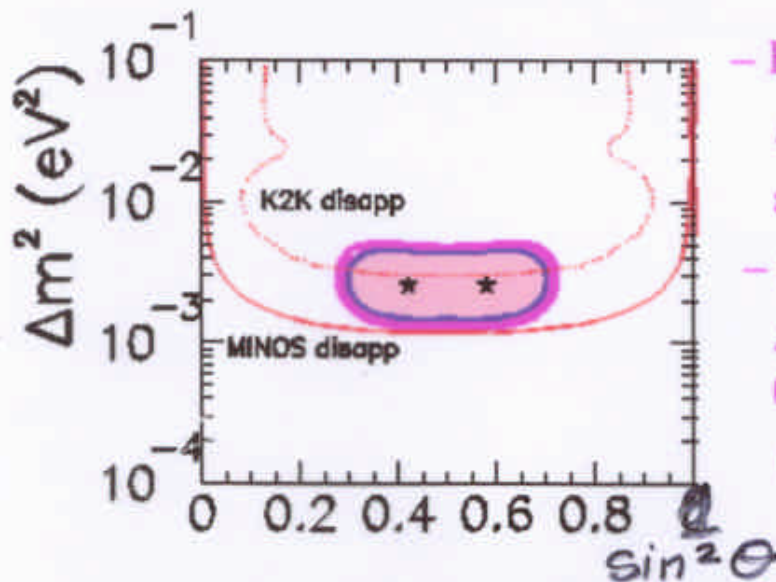
$$N_{ev}^{\tau-like} = 145 \pm 44^{+11}_{-16}$$

$$N_{exp} = 86$$

Compatible with $\nu_{\mu} \rightarrow \nu_{\tau}$

But not very significant yet

- $\nu_{\mu} \rightarrow \nu_{\tau}$ oscillation regions: Not much to add to SK analysis



- Best fit:

$$\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta = 0.42$$

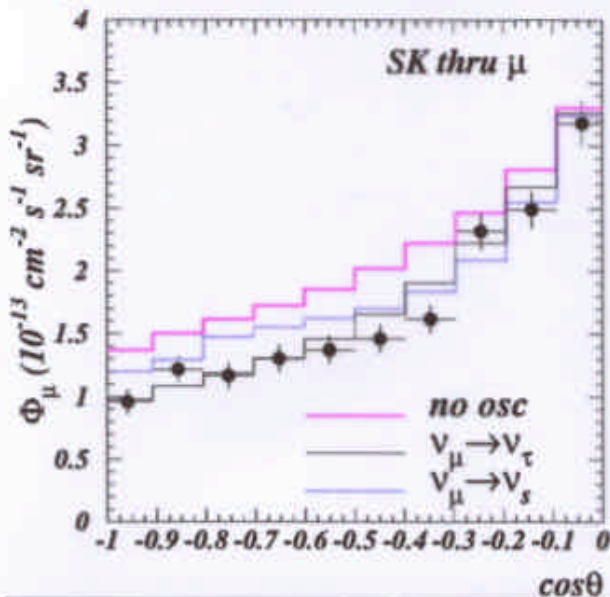
- at 99% CL

$$\Delta m^2 = (1 - 5) \times 10^{-3} \text{ eV}^2$$

$$0.26 < \sin^2 \theta < 0.74$$

$$(\sin^2 2\theta > 0.8)$$

- $\nu_{\mu} \rightarrow \nu_{sterile}$: Matter effects \Rightarrow Flatter upgoing- μ distribution



$\nu_{\mu} \rightarrow \nu_{sterile}$

disfavoured are more than 4σ

- For 3- ν mixing U : 3 angles, 1 CP-phase + (2 Majorana phases)

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{21} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- The angles $\theta_{ij} \in [0, \pi/2] \Rightarrow \sin^2 2\theta$ does not cover full space

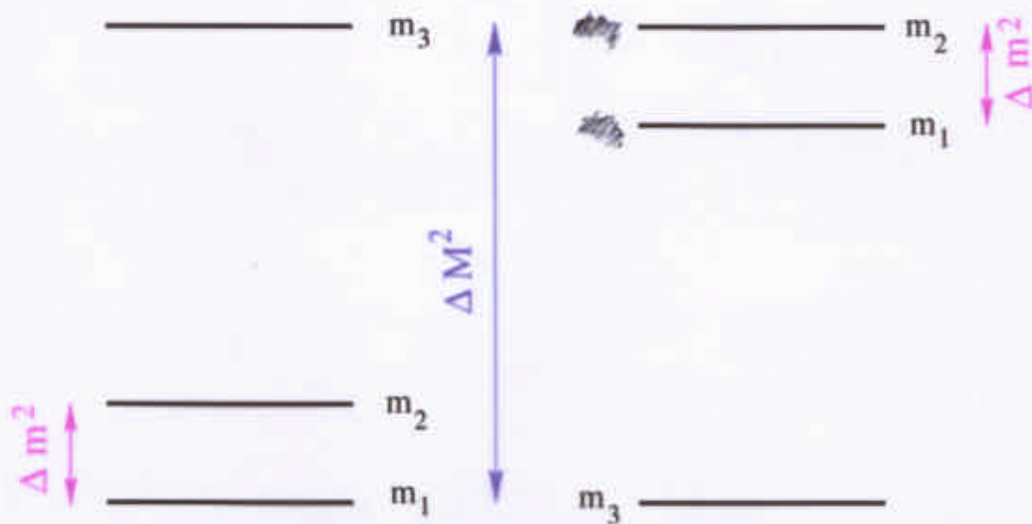
$\sin^2(\theta)$ Better for linear scale

$\tan^2(\theta)$ Better for log scale

- For the CP phase there are two options:

$$0 \leq \delta < 2\pi \text{ and } m_1 \leq m_2 \leq m_3$$

$$0 \leq \delta \leq \pi \text{ and two mass ordering}$$



NORMAL

$$\Delta M^2 = \Delta m_{31}^2$$

$$\Delta m^2 = \Delta m_{21}^2$$

INVERTED

$$\Delta M^2 = -\Delta m_{32}^2$$

$$\Delta m^2 = \Delta m_{21}^2$$

- Two different CP conserving values $\delta = 0, \pi$, ($\cos \delta = \pm 1$)

- Hierarchy parameter: $\alpha = \frac{\Delta m^2}{\Delta M^2}$

- Hierarchical approximation $\alpha = 0$

- The Evolution Equation in Matter: ($A = 2\sqrt{2}G_F EN_e$)

$$i \frac{d\nu_\alpha}{dt} = \sum_\beta \left[\sum_j U_{\alpha j} U_{\beta j}^* \frac{m_j^2}{2E} + A \delta_{\alpha e} \delta_{\beta e} \right] \nu_\beta$$

- In the **Hierarchical approximation**

- * No dependence of CP phase δ

- * For $\theta_{13} = 0$ solar and atmospheric oscillations decouple

- Normal and Inverted schemes are equivalent

- solar $\rightarrow \Delta m^2 = \Delta m_\odot^2 \quad \theta_{12} = \theta_\odot$

- atmospheric $\rightarrow \Delta M^2 = \Delta m_{atm}^2 \quad \theta_{23} = \theta_{atm}$

- * For $\theta_{13} \neq 0$

- Solar Oscillations (Hierarchical $\Rightarrow \Delta M^2 \rightarrow \infty$)

$$P_{ee}^{3\nu, Sun} = c_{13}^4 P_{ee}^{2\nu, Sun}(\Delta m_{12}^2, \theta_{12}, A = c_{13}^2 A_2) + s_{13}^4$$

Independent of θ_{23}

- Atmospheric Oscillations (Hierarchical $\Rightarrow \Delta m^2 \rightarrow 0$)

Independent of θ_{12}

Dependence on scheme due to matter effects

$$\sin 2\theta_{13,m} = \frac{\sin 2\theta_{13}}{\sqrt{(\cos 2\theta_{13} \mp A/\Delta M^2)^2 + (\sin 2\theta_{13})^2}}$$

For **Normal** matter enhancement for **neutrinos**

For **Inverted** matter enhancement for **antineutrinos**

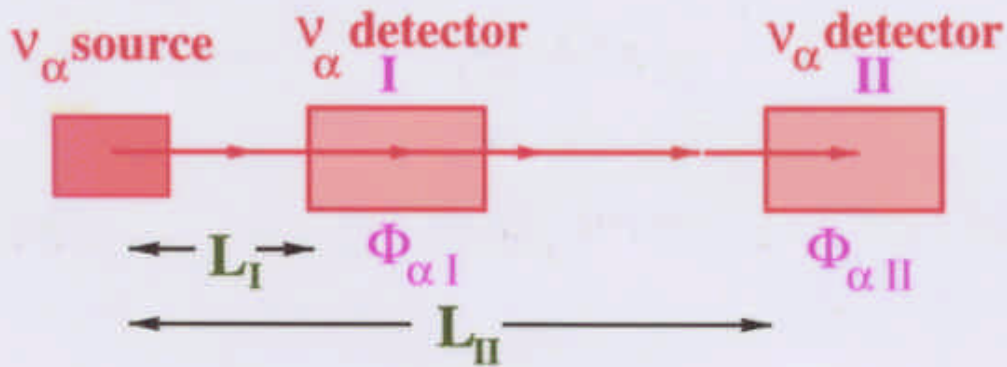
- CHOOZ: limit on $\bar{\nu}_e$ disappearance

$$P_{ee}^{CH} \simeq 1 - 4c_{13}^2 s_{13}^2 \sin^2 \left(\frac{\Delta M^2 L}{4E} \right)$$

For $\Delta M^2 \gtrsim 8 \times 10^{-4} \text{ eV}^2 \Rightarrow$ CHOOZ limits θ_{13}

Chooz Experiment

- Negative Lab experiment with ν source: Nuclear Reactor
- Disappearance Experiment

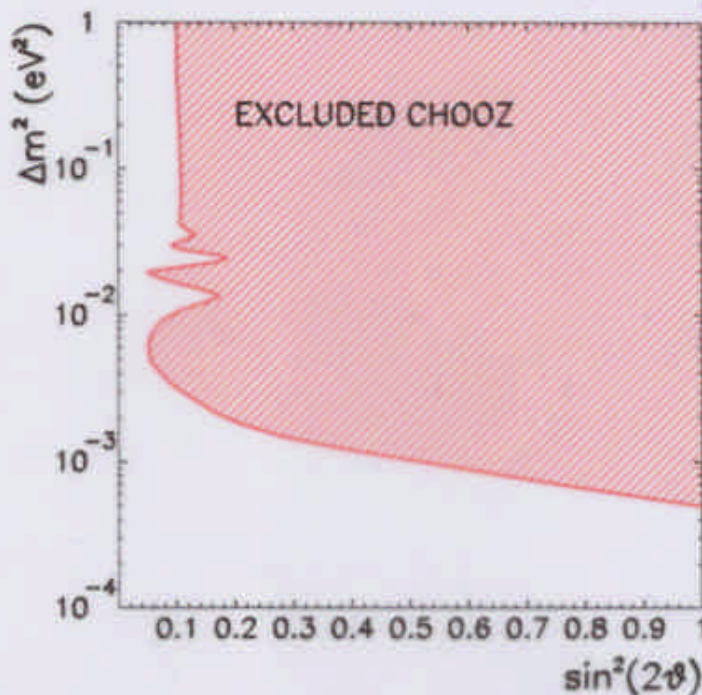


Compares $\Phi_{\alpha I}$ and $\Phi_{\alpha II}$ to look for loss

Experiment	$\sim \langle L/E \rangle (\text{m/MeV})$	α
Chooz	200	$\bar{\nu}_e$

In Hierarchical Approximation
and CPT

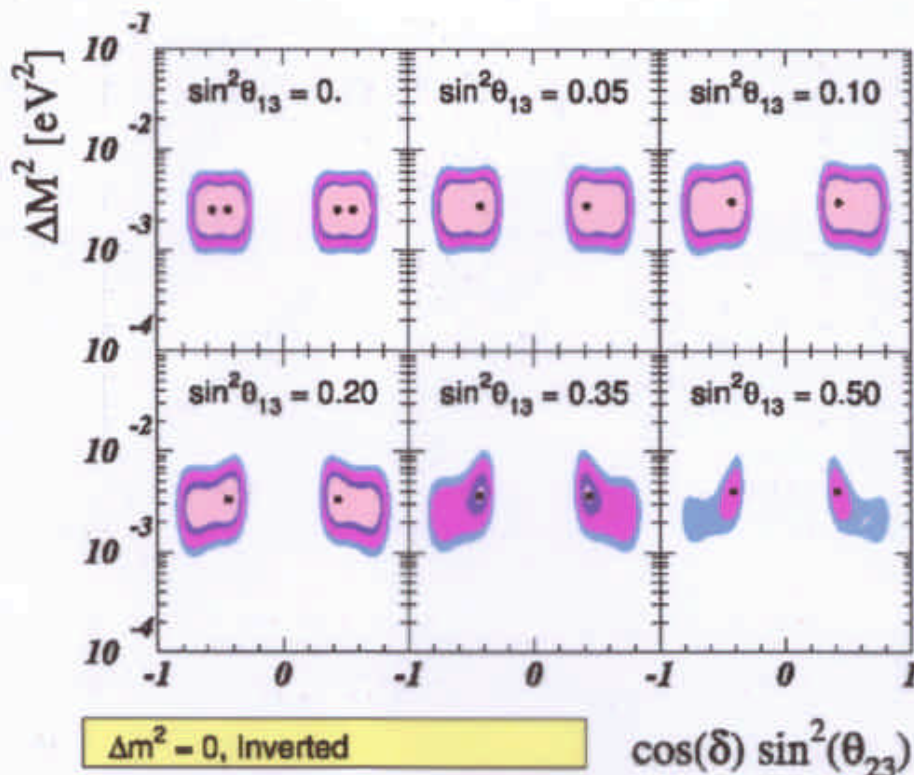
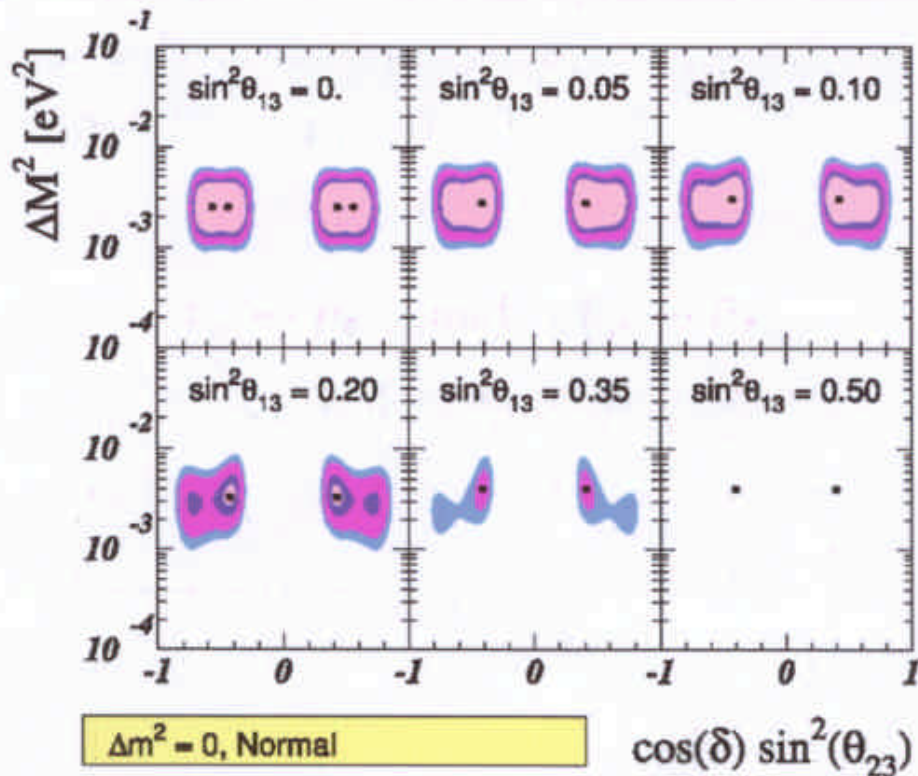
$$\Delta M^2 =$$



$$\sin^2(2\theta) \equiv \sin^2(2\theta_{13})$$

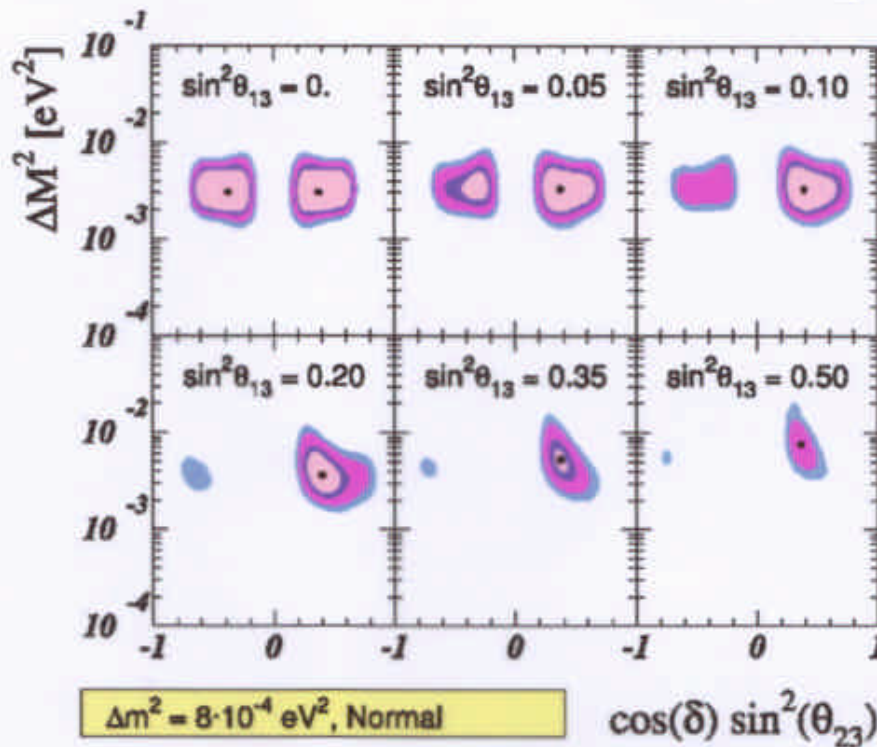
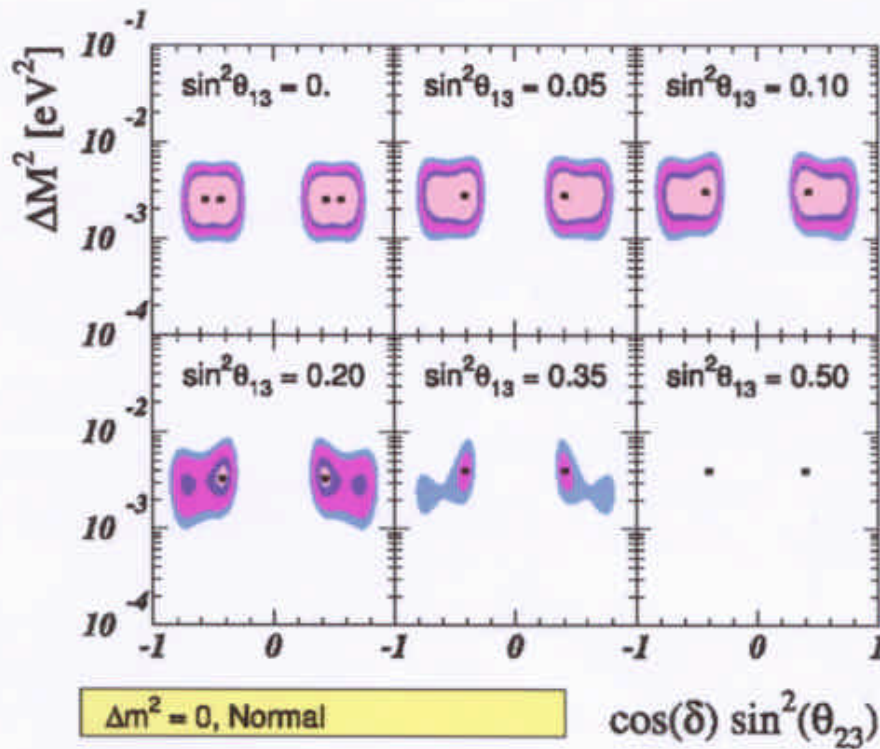
3- ν Atmospheric Neutrino Oscillation Parameters

M.C. G-G and M. Maltoni, hep-ph/0202218

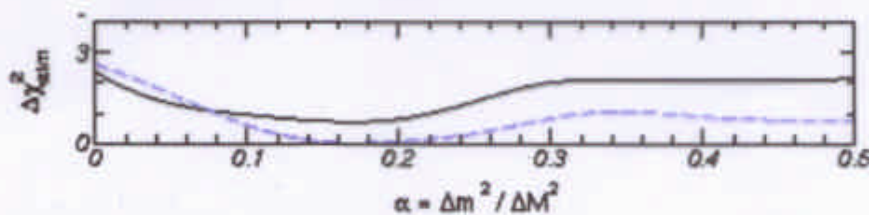


- From **ATM data alone** \Rightarrow limit on $\sin^2 \theta_{13}$
 - If **CPT** this is the **only limit** on $\sin^2 \theta_{13}$ for ν 's
 - For CPT since $\Delta M^2 \gtrsim 8 \times 10^{-4} \text{ eV}^2$ CHOOZ limits also θ_{13}
 - But from 2ν 3σ ranges $\Rightarrow \alpha = \frac{\Delta m^2}{\Delta M^2} \lesssim 0.4$
- \Rightarrow Possible effects beyond hierarchical approximation?

ATM 3- ν Oscillations Beyond Hierarchical Approximation

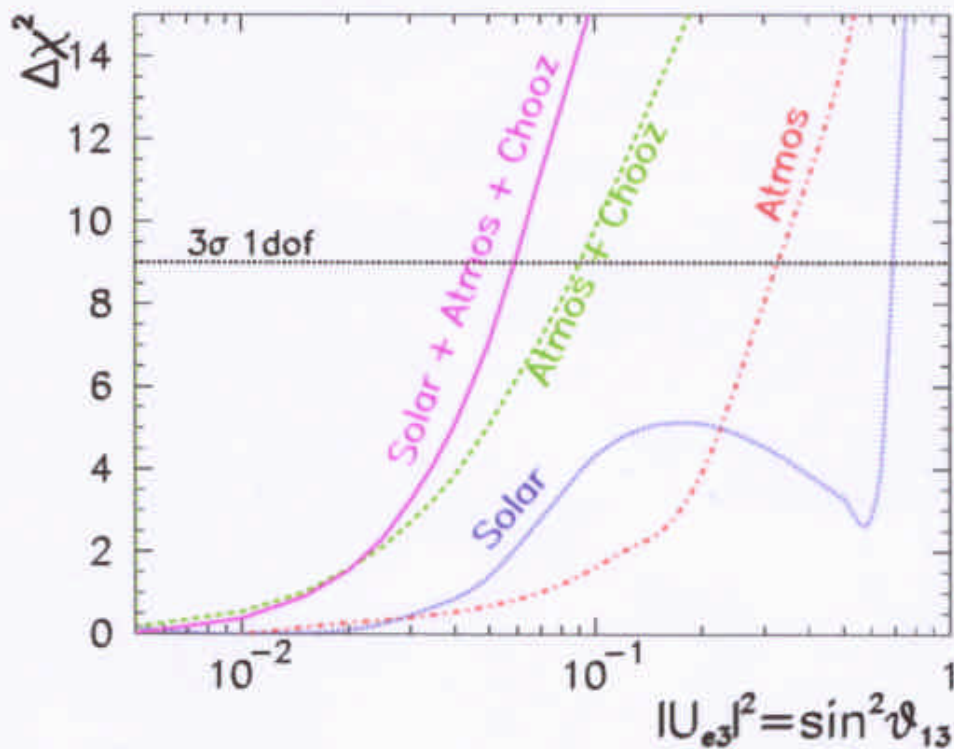


– ATM fit is not sensitive to **large α**



– But always $\Delta M^2 \gtrsim 10^{-3} \Rightarrow$ **CHOOZ bound**

3- ν Combined Analysis: Constraints on θ_{13}



- All data favour θ_{13} small

- 3σ (1dof) allowed ranges of parameters

$$2.4 \times 10^{-5} < \Delta m^2 / \text{eV}^2 < 2.4 \times 10^{-4} \quad \text{LMA}$$

$$0.27 < \tan^2 \theta_{12} < 0.77 \quad \text{LMA}$$

$$1.4 \times 10^{-3} < \Delta M^2 / \text{eV}^2 < 6.0 \times 10^{-3}$$

$$0.4 < \tan^2 \theta_{23} < 3.0,$$

$$\sin^2 \theta_{13} < 0.06$$

- Mixing Matrix U

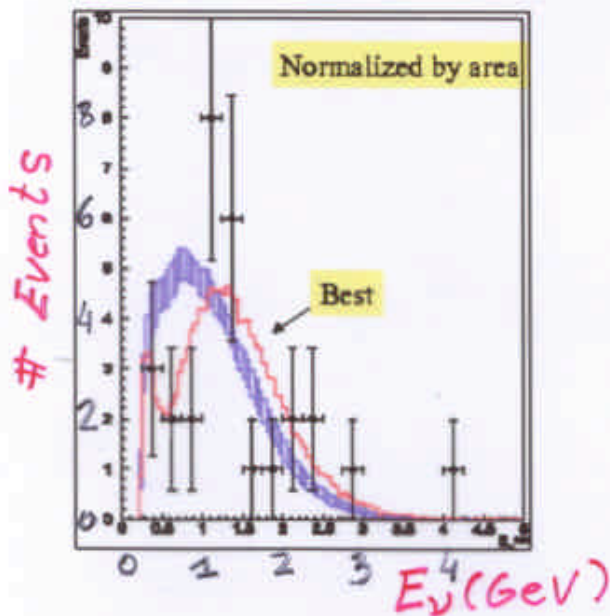
$$|U| = \begin{pmatrix} 0.73 - 0.89 & 0.44 - 0.66 & < 0.24 \\ 0.23 - 0.66 & 0.24 - 0.75 & 0.51 - 0.87 \\ 0.06 - 0.57 & 0.40 - 0.82 & 0.48 - 0.85 \end{pmatrix}.$$

Long Baseline Experiments

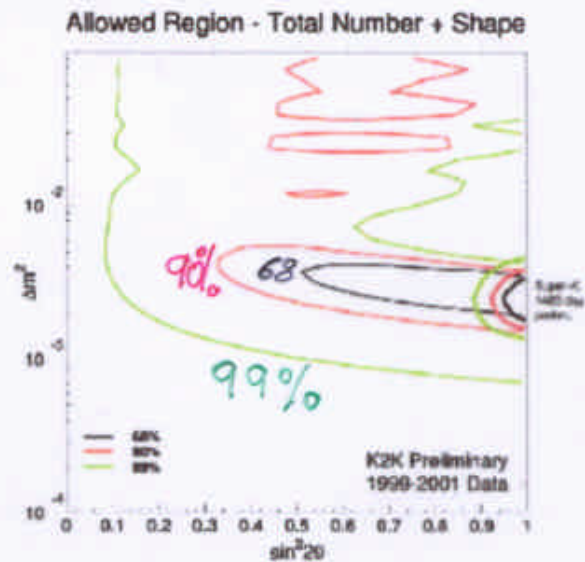
- To Test Atmospheric Neutrino Oscillations

Experiment	Production	Detection	L (Km)
K2K	ν_μ at KEK	Kamiokande	250
MINOS	ν_μ at Fermilab	Soundan	730
Opera/Icarus	ν_μ at CERN	Gran Sasso	740

- K2K Run since June 1999 to July 2001:
(preliminary results presented at ν -2002 conference)

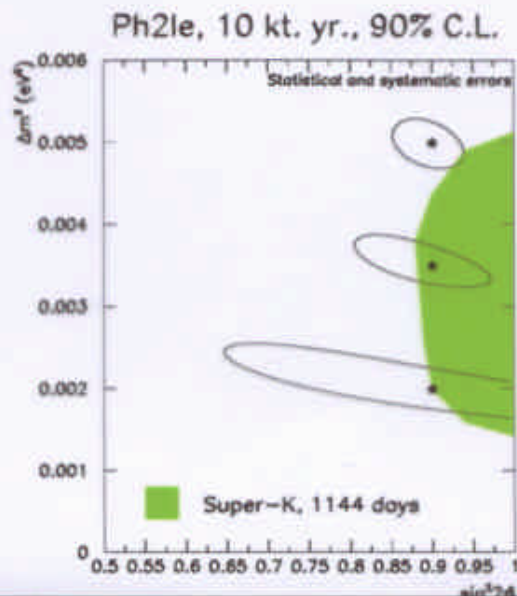


Comparison with SK atm ν observation



Compatible with Atmospheric but not much constraint on parameters

- MINOS
 - Expected to start Dec 2004
 - Expected Reconstruction of Atmospheric Parameters
 - No improvement on θ_{13}



Test of CPT with Atmospheric ν 's

Murayama and Yanagida PLB (2001), Barenboim et al hep-ph/0108199,
 hep-ph/0201134, Skadghauge hep-ph/0112189

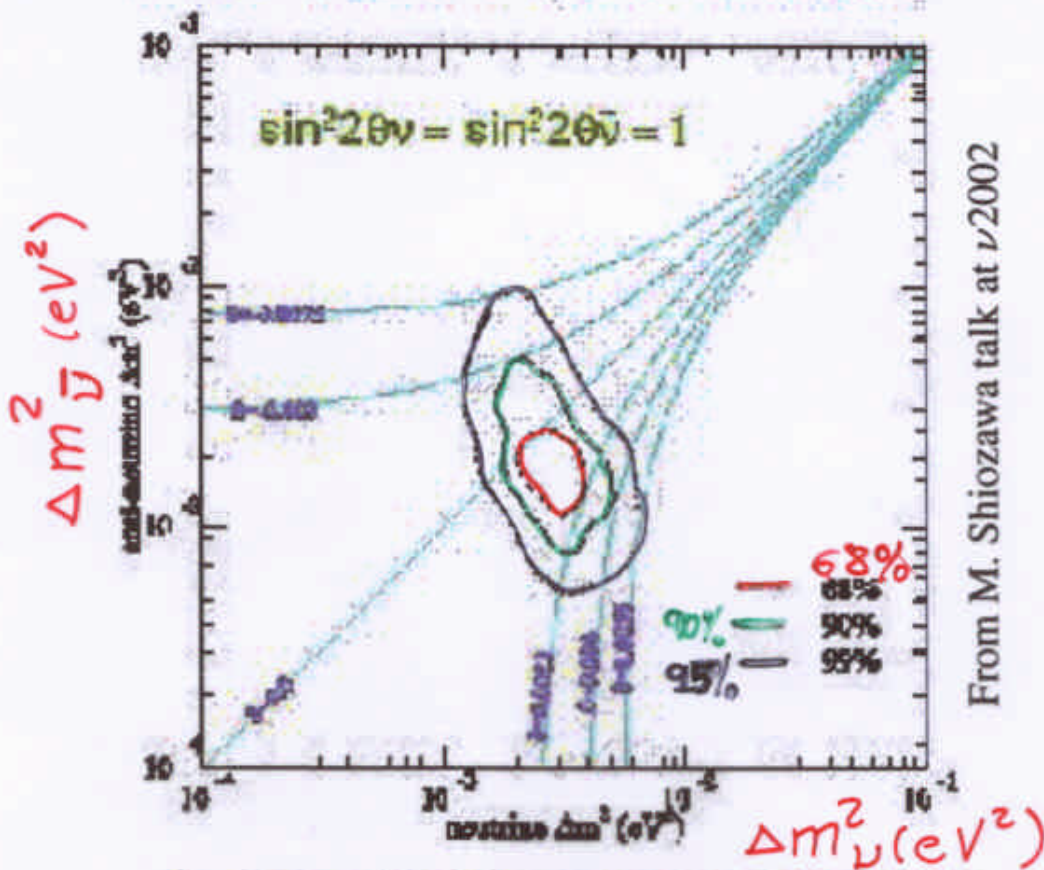
- Since in Atmospheric Oscillations both:

$$\nu_\mu \rightarrow \nu_\tau \quad \text{and} \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$$

but

- SK collaboration fits data with $\Delta m_\nu^2 \neq \Delta m_{\bar{\nu}}^2$ ($\sin^2 \theta_\nu = \sin^2 \theta_{\bar{\nu}}$)

$\Delta m_\nu^2 \neq \Delta m_{\bar{\nu}}^2$ (CPT violation)



From M. Shiozawa talk at ν 2002

- consistent with 0 CPT asymmetry
- limit on $\delta = \Delta m_\nu^2 - \Delta m_{\bar{\nu}}^2$: $-0.0075 < \delta < 0.0055$

– They find:

Best fit is close to CPT conservation $\Delta m_\nu^2 = \Delta m_{\bar{\nu}}^2$

Limit at 95 %CL $-0.0075 < (\Delta m_\nu^2 - \Delta m_{\bar{\nu}}^2) < 0.0055$

– Still not very conclusive

– Also not fully general analysis

IV. LSND and Karmen and Sterile Neutrinos

- **LSND**= the only signal for oscillation from laboratory experiment
- Uses the proton beam of Los Alamos

$$p + \text{Target} \rightarrow \pi^+ + X$$

$$\pi^+ \rightarrow \nu_\mu \mu^+$$

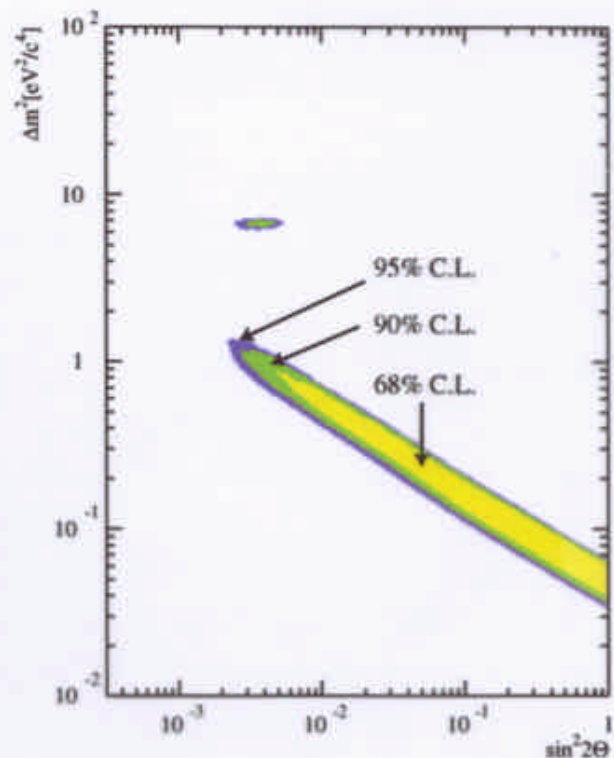
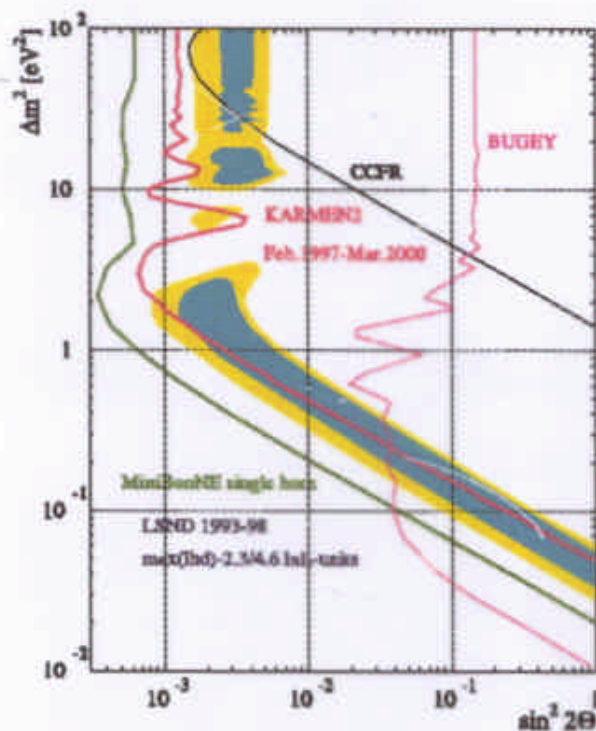
$$\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$$

- At $L = 30$ m with $\langle E_\nu \rangle \sim 30$ MeV observes

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e \quad (\bar{\nu}_e + p \rightarrow e^+ n \text{ followed by } np \rightarrow d \gamma)$$

- with a probability $\langle P_{e\mu} \rangle = (0.264 \pm 0.067 \pm 0.045)\%$
- There is another experiment *Karmen* which searches for the same signal and does not observe oscillations.

LSND+Karmen Combined Analysis



E.D. Church et. al., hep-ex/0203023

- *MiniBoone* Experiment in Fermilab will solve this.

Four Neutrino Mixing

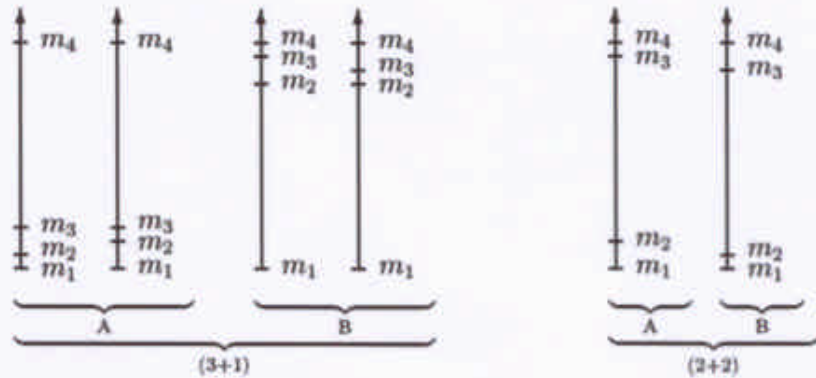
- To fit solar, atmospheric and LSND

$$\rightarrow 3 \Delta m^2 : \Delta m_{LSND}^2 \gg \Delta m_{atm}^2 \gg \Delta m_{sun}^2$$

$$\rightarrow 4 \nu's \quad \text{LEP} \Rightarrow 4\text{th } \nu \text{ must be sterile}$$

- U : 6 mixing angles and 3 CP phases

- 6 mass spectra:



- Limits from Accelerator and Reactor: \rightarrow 3+1 disfavoured

Also: $\left\{ \begin{array}{l} \text{From solar neutrinos: } \nu_e \rightarrow \nu_s \text{ ruled out } \sim 5\text{-}\sigma \\ \text{From atmospheric neutrinos: } \nu_\mu \rightarrow \nu_s \text{ ruled out } \gtrsim 4\text{-}\sigma \end{array} \right.$

- But in 4- ν mixing such as:



Naively: Solar: $\nu_e \rightarrow \nu_+$ $\nu_+ \simeq s_\eta \nu_s + c_\eta \nu_\tau$ (η = combination of angles in U)
 Atm: $\nu_\mu \rightarrow \nu_-$ $\nu_- \simeq c_\eta \nu_s - s_\eta \nu_\tau$

More Precisely: 4 relevant mixing angles

Solar: Oscillations $\nu_e \rightarrow \nu_\alpha$ with Δm_\odot^2 and osc angle θ_{12}

$$\nu_\alpha = c_{23}c_{24}\nu_s + \sqrt{1 - c_{23}^2c_{24}^2}\nu_a \Rightarrow 2+1 \text{ parameters}$$

ATM: Oscillations $\nu_\beta \rightarrow \nu_\gamma$ with Δm_{atm}^2 and osc angle θ_{34}

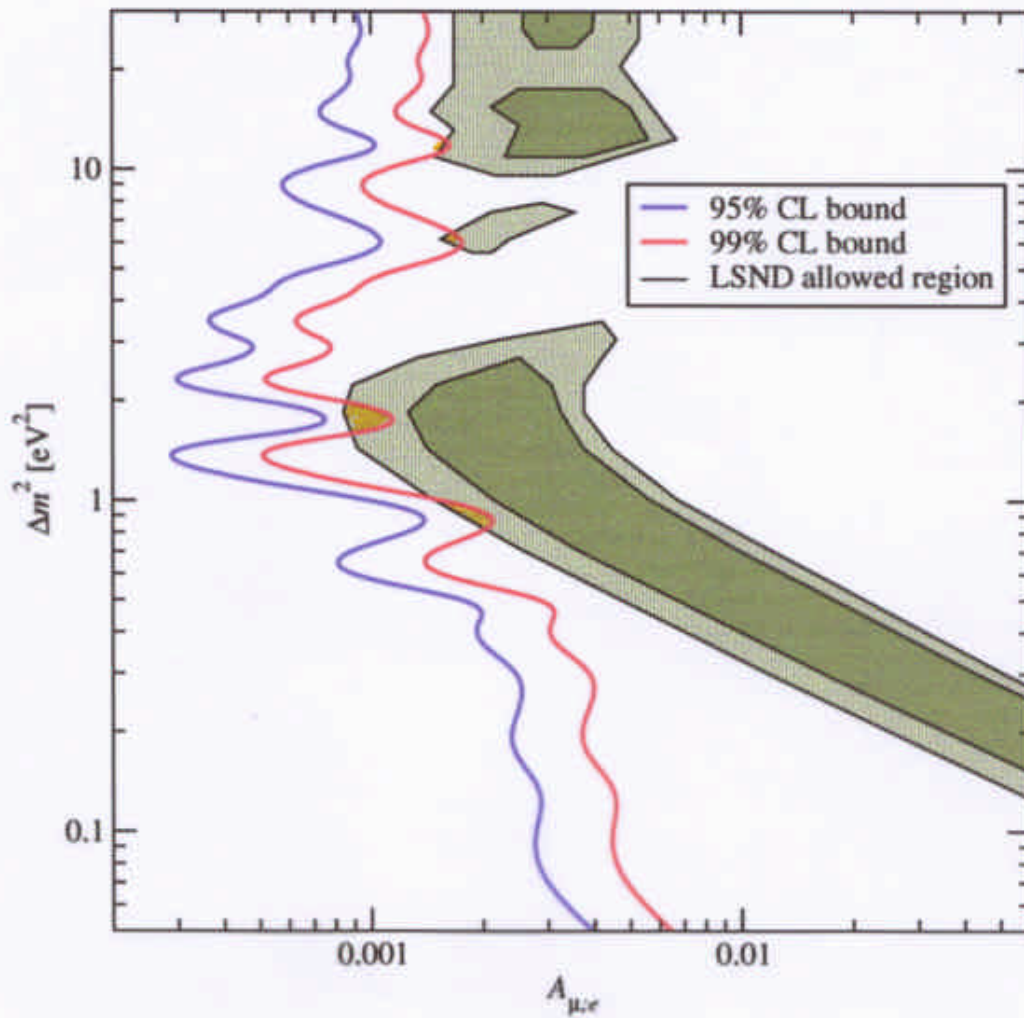
$$\nu_\beta = s_{23}c_{24}\nu_s + c_{23}\nu_\mu - s_{23}s_{24}\nu_\tau \quad \nu_\gamma = s_{24}\nu_s + c_{24}\nu_\tau$$

$$\Rightarrow 2+2 \text{ parameters}$$

Status of 3+1 schemes:

Grimus and Schwetz E.J. Phys (2001)

Valle, Maltoni and Schwetz PRD (2002)



Karmen + Bugey + CDHS + Nomad:

⇒ Only two very small "islands" allowed at 99 %CL

⇒ This is unchanged by the new SNO NC data

Solutions for Solar 4ν Oscillations

- Sterile limit weaker if free 8B :

$$\Phi(^8B) = \Phi(\nu_e) + \Phi(\nu_{\mu\tau}) + \Phi(\nu_s) = \Phi(\nu_e) + (1 + \tan^2 \eta)\Phi(\nu_{\mu\tau})$$

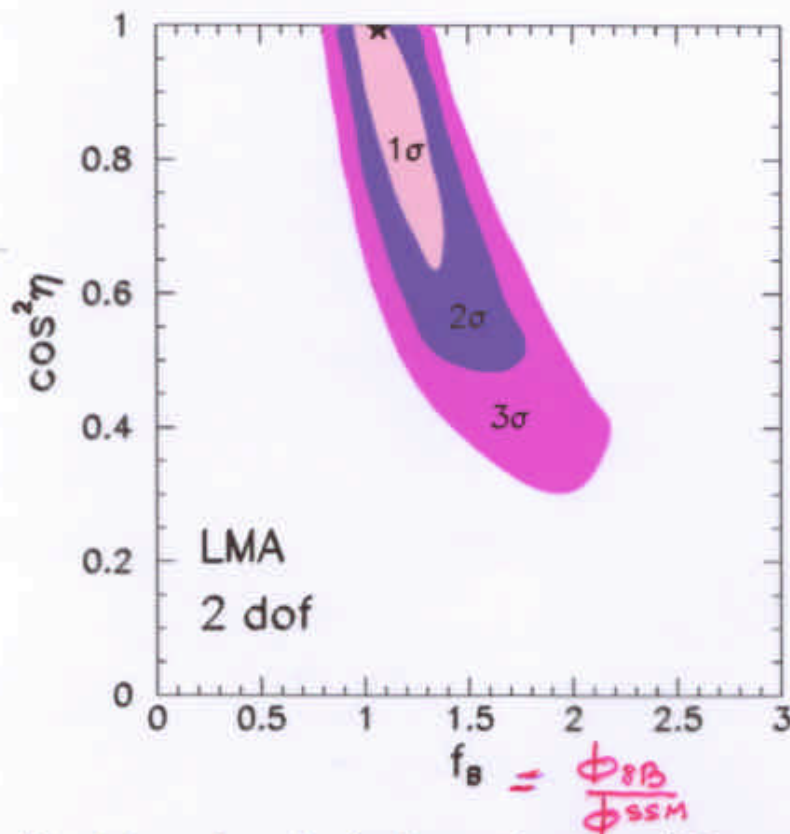
In other way (Barger et al., PRL88, 2002):

$$\Phi(CC) = \Phi(^8B) \cdot \langle P_{ee} \rangle$$

$$\Phi(NC) = \Phi(^8B) \cdot (\langle P_{ee} \rangle + \cos^2 \eta (1 - \langle P_{ee} \rangle))$$

$$\Phi(ES) = \Phi(^8B) \cdot (\langle P_{ee} \rangle + 0.17 \cdot \cos^2 \eta (1 - \langle P_{ee} \rangle))$$

- Allowed region from Global Analysis :



Best fit still $\nu_e \rightarrow \nu_a$

But degeneracy in present limit

of $\cos^2 \eta$ versus f_B

NC data does not solve it

– To determine f_B independently of the sterile admixture

⇒ Combine Solar and terrestrial disappearance measurements:

KamLAND and SNO CC ⇒ f_B at $\sim 10\%$

(J.N. Bahcall, M.C.G-G, C. Peña-Garay, hep-ph/0204194)

+SNO NC ⇒ $\cos^2 \eta \sim 15\%$

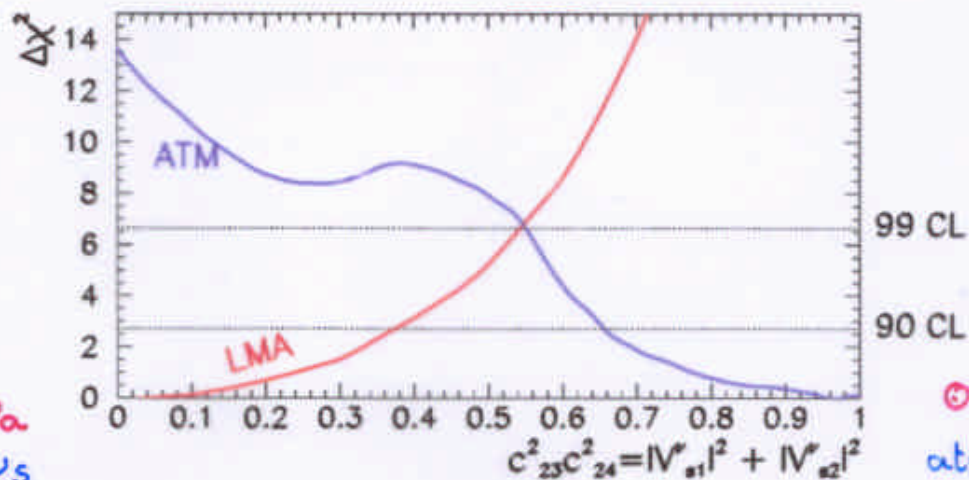
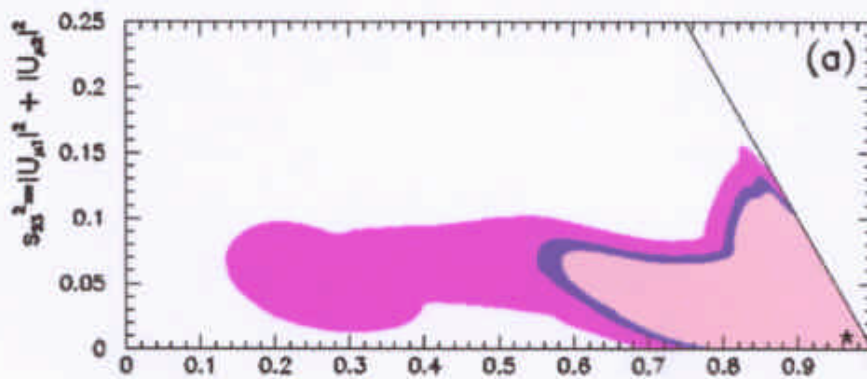
Solutions for Atmospheric 4ν Oscillations

- Oscillations $\nu_\beta \rightarrow \nu_\gamma$ with Δm_{atm}^2 and osc angle θ_{34}

$$\nu_\beta = s_{23}c_{24}\nu_\delta + c_{23}\nu_\mu - s_{23}s_{24}\nu_\tau \quad \nu_\gamma = s_{24}\nu_\delta + c_{24}\nu_\tau$$

- The analysis constrains two new parameters:

- $c_{24}^2 \equiv \tau$ -sterile admixture
- $s_{23}^2 = |U_{\mu 1}|^2 + |U_{\mu 2}|^2 \equiv$ effect of Δm_{LSND}^2 on Atm neutrinos



$\odot \nu_e \rightarrow \nu_\alpha$
atm $\nu_\mu \rightarrow \nu_s$

$\odot \nu_e \rightarrow \nu_s$
atm $\nu_\mu \rightarrow \nu_\tau$

- $\nu_\beta =$ close-to-pure ν_μ ($s_{23}^2 \lesssim 0.12(0.16)$ at 90 (99%))
 - this oscillates into a state which is preferably composed by ν_τ , but a 48% admixture of sterile still allowed at 99% CL
 - Solar $\Rightarrow c_{23}^2 c_{24}^2 < 0.54$ at 99% CL (weaker if f_B free)
 - Atmospheric $\Rightarrow c_{23}^2 c_{24}^2 > 0.54$ at 99% CL
- \Rightarrow 2+2 scenarios still valid at 99% CL

VI. Neutrino Mass Scale

Kinematic Determination

- The strongest limit is (Mainz & Troisk experiments)

$$m_{\nu_e} < 2.2 \text{ eV} \quad \text{From } {}^3\text{H} \rightarrow {}^3\text{He} + e + \bar{\nu}_e$$

- What is m_{ν_e} in presence of mixing?:
- Simplification: when m_j are quasi-degenerate

$$\frac{dN}{dE} = R(E) \sqrt{(E_0 - E)^2 - m_{eff}^{\beta 2}} \Theta(E_0 - E - m_{eff}^{\beta})$$

With
$$m_{\nu_e} = m_{eff}^{\beta} = \sum_j m_j |U_{ej}|^2$$

ν -less Double- β decay ($(\beta\beta)_{0\nu}$)

- If ν are Majorana \Rightarrow L is violated \Rightarrow ν -less Double- β decay



- $(\beta\beta)_{0\nu}$ amplitude is proportional to effective Majorana mass

$$|\langle m_{\beta\beta} \rangle| = \left| \sum_i^N U_{ei}^2 m_i \right|$$

- Best limit from ${}^{75}\text{Ge}$ Heidelberg-Moscow experiment. At 90% CL

* $|\langle m_{\beta\beta} \rangle| < 0.35 \text{ eV}$ + theor. uncert. on nucl. matrix element $\rightarrow < 1.05 \text{ eV}$

- * Sensitive to CP violating phases (unlike m_{eff}^{β})

• How to learn from comparing $|\langle m_{\beta\beta} \rangle|$ and m_{eff}^β ?

- Choose your favourite mass scheme compatible with $\Delta m^{2'}$'s from oscillation data
- Derive bounds and values for $|U_{ei}|$ from oscillation data
- Predict possible values for $|\langle m_{\beta\beta} \rangle|$ and m_{eff}^β
- Compare to present/future sensitivity

Bilenky, Giunti, Grimus, Kayser, Petcov, PLB (1999)

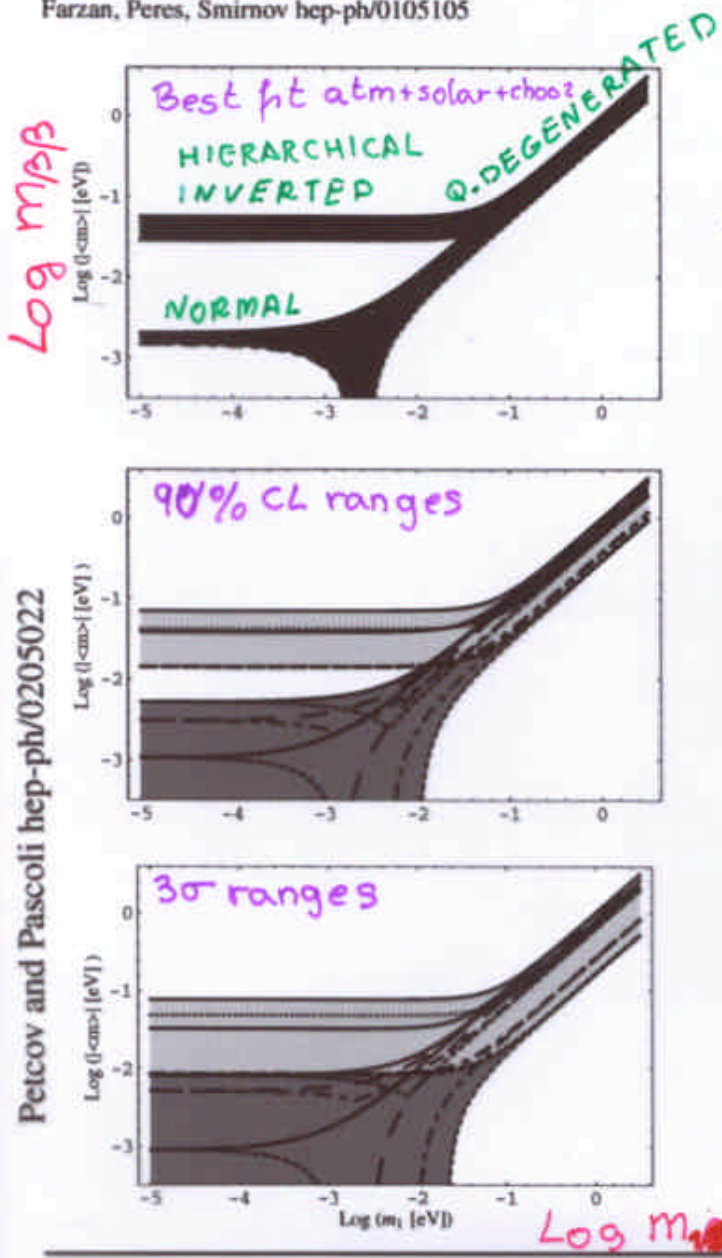
Giunti, PRD (2000)

Bilenky, Giunti, Grimus, Kayser, Petcov, PLB (1999)

Bilenly, Pascoli, Petcov hep-ph/0102265, hep-ph/0104218

Klapdor, Pas, Smirnov PRD (2001)

Farzan, Peres, Smirnov hep-ph/0105105



As oscillation data gets more precise

Comparison of $|\langle m_{\beta\beta} \rangle|$ and m_{eff}^β may allow to discriminate between mass schemes

But precision may not be enough

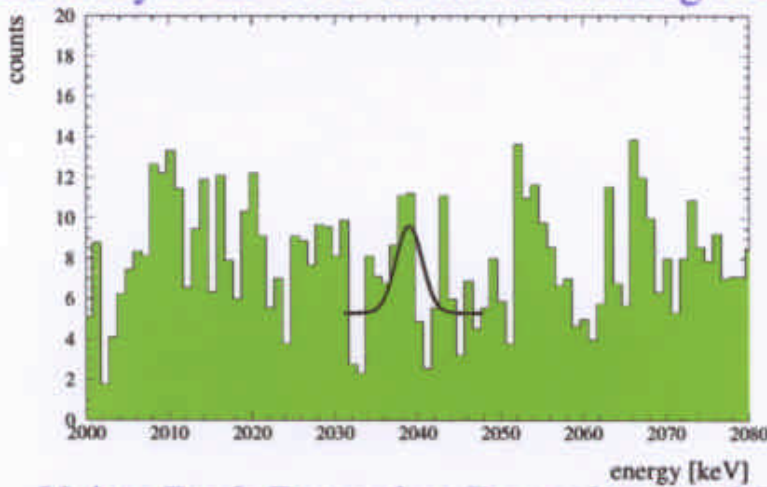
Barger, Glashow, Langacker and Marfatia, hep-ph/0205290

Petcov and Pascoli hep-ph/0205022

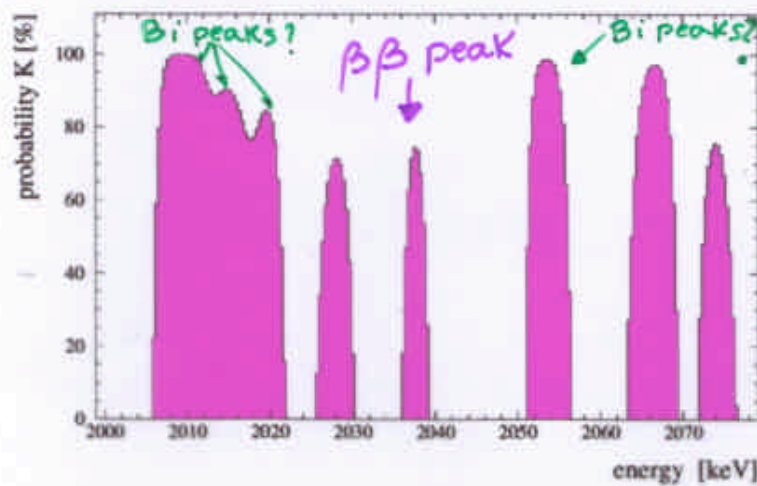
Comment on Evidence of $(\beta\beta)_{0\nu}$

Klapdor-Keingrothaus *et. al.* MPLA (2001)

Reanalysis of 1990-2000 Heidelberg-Moscow data finds evidence



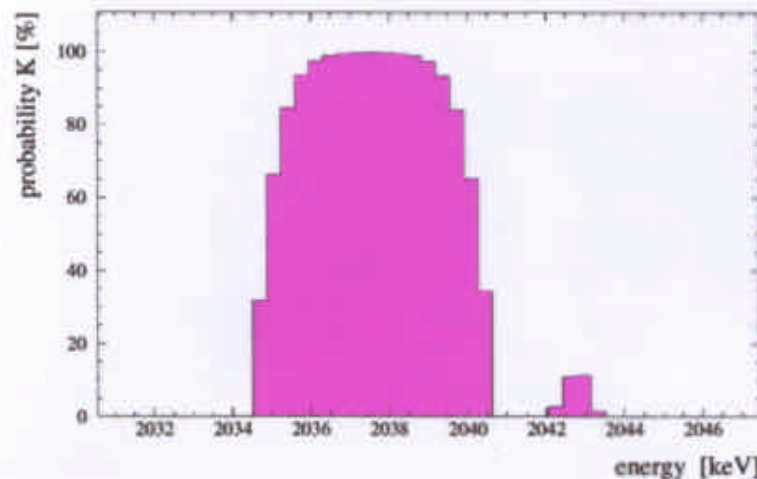
– Using Peak Detection Procedure and Bayesian Approach



- Finds 6 peaks
- 3 recognized ^{214}Bi Peaks (but not fully quantitatively)
- 1 assumed to be $\beta\beta$ peak (because it has the right E)
- 3 peaks are ignored

– Assuming small energy 5σ interval around the $\beta\beta$ Peak

– fits the unique peak to a peak + constant background



finds 2.2 (3.1) σ evidence

$$\Rightarrow \langle m_{\beta\beta} \rangle = 0.11 - 0.56 \text{ eV (95\%)}$$

Comment on Evidence of $(\beta\beta)_{0\nu}$

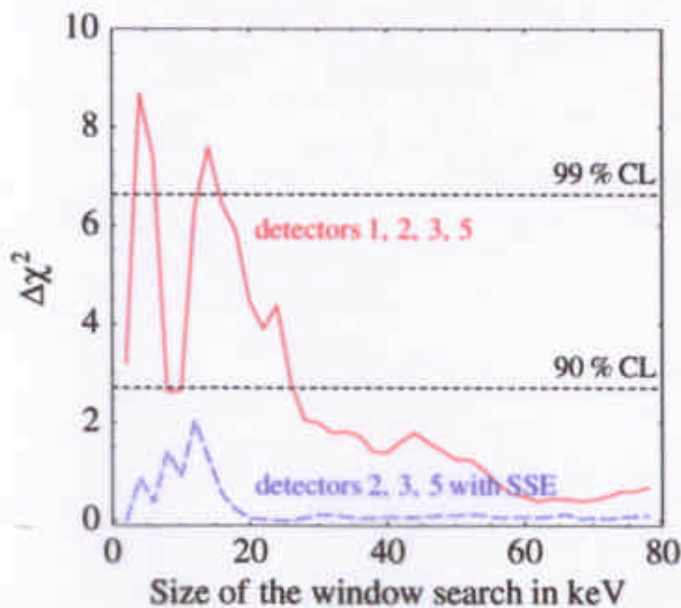
Criticisms:

C. E. Aalseth, *et. al*, hep-ph/0202018, to appear in MPLA

Feruglio, Strumia and Vissani, hep-ph/0201291, to appear in NPB

– How to justify the 5σ window?

If window is larger the significance decreases



From Feruglio et al.

– How well are the 3 recognized ^{214}Bi Peaks understood?

– How about the two non understood Peaks?

Common sense: If some peaks are not fully understood and some peaks are not understood at all the probability of the “signal” peak to be right should be smaller

To quantify this: Fit the full spectrum to flat background + known peaks + signal

Feruglio et al. did it and got at most 1.5σ

VI. Summary

- Solar analysis:

- Full SNO phase-1 data confirms dramatically SSM
- LMA is the only MSW solution at $\sim 99\%$ CL
- KamLAND running and should be giving important results soon

- Solar + atmospheric + reactor in 3ν

- All data prefers θ_{13} small.
- 3σ (1dof) allowed ranges

$$2.4 \times 10^{-5} < \Delta m^2 / \text{eV}^2 < 2.4 \times 10^{-4} \quad \text{LMA}$$

$$0.27 < \tan^2 \theta_{12} < 0.77 \quad \text{LMA}$$

$$1.4 \times 10^{-3} < \Delta M^2 / \text{eV}^2 < 6.0 \times 10^{-3}$$

$$0.4 < \tan^2 \theta_{23} < 3.0,$$

$$\sin^2 \theta_{13} < 0.06$$

- Mixing Matrix U

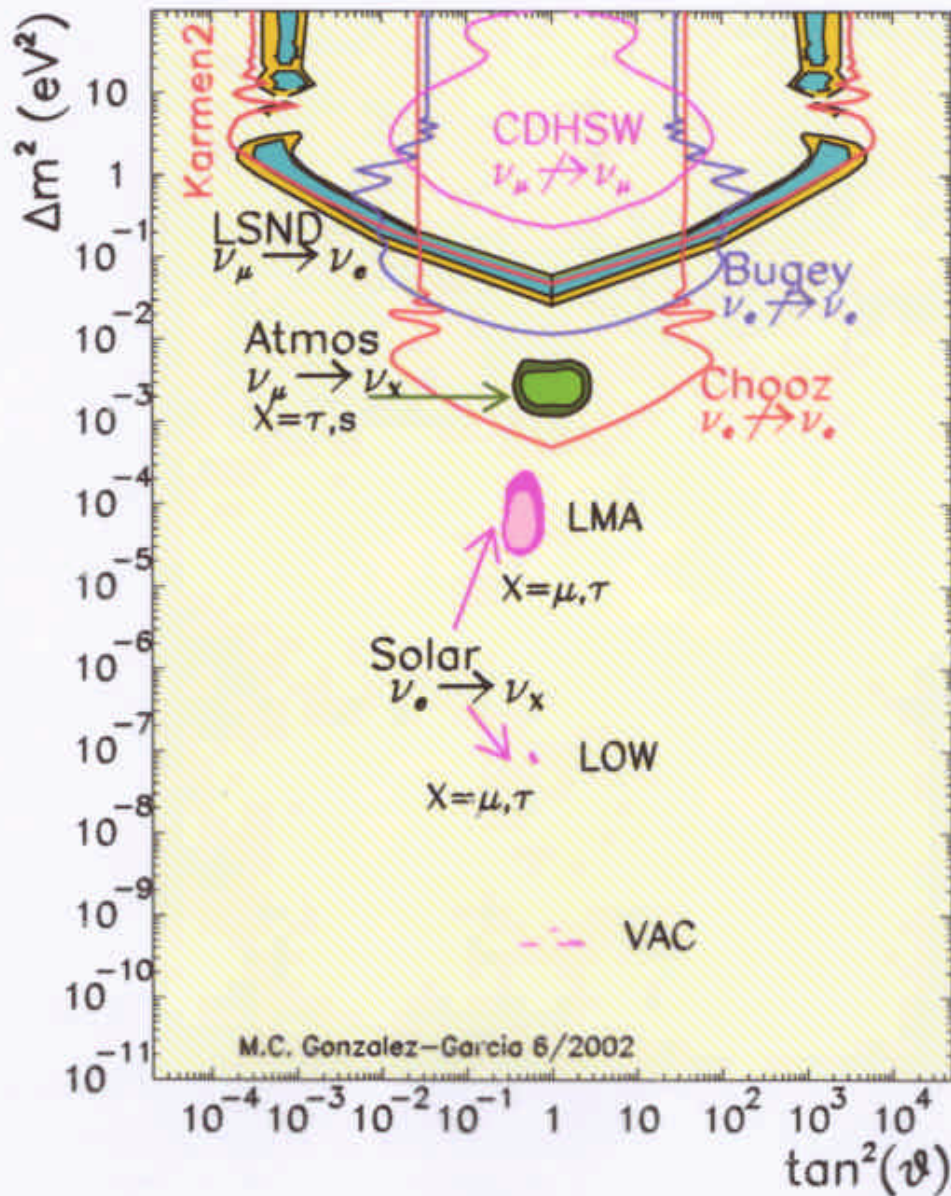
$$|U| = \begin{pmatrix} 0.73 - 0.89 & 0.44 - 0.66 & < 0.24 \\ 0.23 - 0.66 & 0.24 - 0.75 & 0.51 - 0.87 \\ 0.06 - 0.57 & 0.40 - 0.82 & 0.48 - 0.85 \end{pmatrix}.$$

- K2K results compatible with atmospheric oscillations
- KamLAND and MINOS will give important constraints on 12 and 34 parameters
- Still missing an experiment for 13 and CP violation

- 4ν and sterile neutrinos

- Limit from solar neutrinos relaxed if ^8B flux larger than SSM
- Limit from atm relaxed if admixture with Δm_{LSND}^2 considered
- 2+2 Still compatible at 99% CL

- Summary of Two-Oscillation Searches



My personal summary:

“ These have been the hell of very exciting (and very exhausting) years to do neutrino phenomenology ”