

# Quark and lepton mass textures

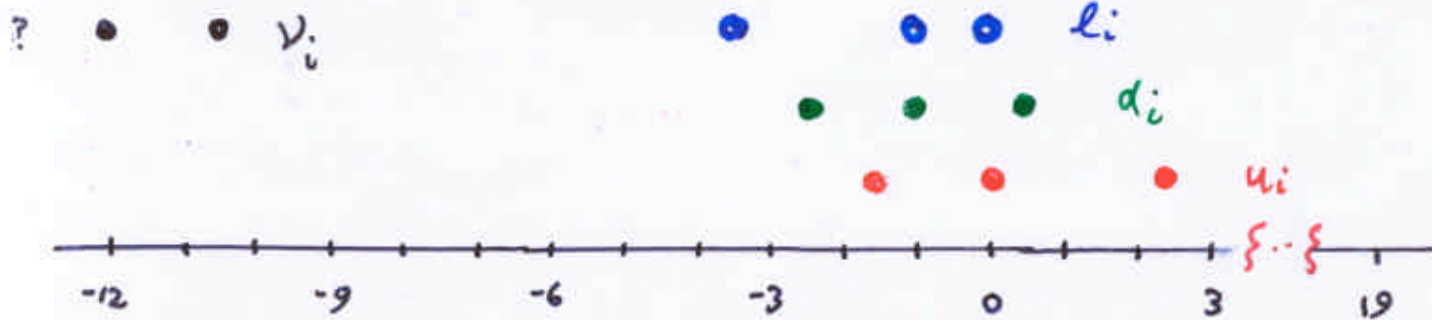
G.Ross, DESY, June 02



Data:

MASSSES:

$M_W, M_H(?)$   
x



GeV.

MIXING:

$$V_{CKM} \approx \begin{pmatrix} 1 & 0.218 - 0.224 & 0.002 - 0.005 \\ 0.218 - 0.224 & 1 & 0.032 - 0.048 \\ 0.004 - 0.015 & 0.03 - 0.048 & 1 \end{pmatrix}$$

MASS MATRICES

$$\begin{aligned} \text{mass} &= h_{ij}^u \bar{\psi}_{iL} u_{jR} \langle H^0 \rangle + h_{ij}^d \bar{\psi}_{iL} d_{jR} \langle H^0 \rangle \\ &= \bar{\psi}_L m^u u_R + \bar{\psi}_L m^d d_R \end{aligned}$$

$$m^u = V_L^\dagger \underline{m}_{\text{diag}}^u V_R$$

$$m^d = U_L^\dagger \underline{m}_{\text{diag}}^d U_R$$

$$\underline{V}_{CKM} \equiv V_L^\dagger U_L$$

## Mass matrix determination

Not democratic :

but see Fritsch, Taixeira

$$M = \begin{pmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ m_{31} & m_{32} & m_{33} \end{pmatrix}$$

$$V_{23} \approx \frac{m_{23}}{m_{33}} + \frac{m_{32}}{m_{33}} \frac{m_s}{m_b}$$

$$V_{12} \approx \frac{m_{12}}{m_{22}} + \frac{m_{21}}{m_{22}} \frac{m_d}{m_s}$$

$$V_{13} \approx \frac{m_{13}}{m_{33}} + \frac{m_{31}}{m_{33}} \frac{m_d}{m_b}$$

## Symmetric fit.

Roberts, Romo, GGR, Velasco-Serv

$$\frac{m^d}{m_b} = \begin{pmatrix} 0 & 1.5 \epsilon^3 & 0.4e^{i20^\circ} \epsilon^2 \\ 1.5 \epsilon^3 & \epsilon^2 & 1.3 \epsilon^2 \\ 0.4e^{i20^\circ} \epsilon^3 & 1.3 \epsilon^2 & 1 \end{pmatrix} \quad \epsilon = 0.15$$

$$\frac{m^u}{m_t} = \begin{pmatrix} 0 & \epsilon'^3 & ? \epsilon'^3 \\ \epsilon'^3 & \epsilon'^2 & ? \epsilon'^2 \\ ? \epsilon'^3 & ? \epsilon'^2 & 1 \end{pmatrix} \quad \epsilon' = 0.05$$

## Asymmetric fit

$$\frac{m^d}{m_b} = \begin{pmatrix} 0 & 1.7 \epsilon^3 & 0 \\ 1.7 \epsilon^3 & 0 & 5 \epsilon^2 \\ 0 & 0.3 & 1 \end{pmatrix}$$

$$\frac{m^u}{m_t} = \begin{pmatrix} 0 & 2 \epsilon'^3 & 0 \\ 2 \epsilon'^3 & 0 & 2 \epsilon' \\ 0 & 0.6 \epsilon' & 1 \end{pmatrix}$$

# SUSY Textures

$$\frac{M^d}{m_b} = \begin{pmatrix} \leq \epsilon^4 & \epsilon^3 & \leq \epsilon^3 \\ ? & \epsilon^2 & \epsilon^2 \\ ? & ? & 1 \end{pmatrix}$$

The diagram illustrates the SUSY texture for the down quark mass matrix. The matrix is shown as a 3x3 array of elements. The top row contains  $\leq \epsilon^4$ ,  $\epsilon^3$ , and  $\leq \epsilon^3$ . The middle row contains a red question mark,  $\epsilon^2$ , and  $\epsilon^2$ . The bottom row contains a red question mark, a red question mark, and 1. Blue arrows point from labels  $m_d$ ,  $V_{us}$ ,  $V_{ub}$ ,  $V_{cb}$ , and  $m_s$  to the top-left, top-middle, top-right, middle-right, and bottom-middle elements, respectively.

# SUSY Textures : FCNC, ~~CP~~ constraints

$(m_d, \Delta S \neq 0 \Delta Q = 0)$

$$\frac{M^d}{m_b} = \begin{pmatrix} \leq \epsilon^4 & \epsilon^3 & \leq \epsilon^3 \\ \leq \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \leq \epsilon^2 & \leq \epsilon^{2-1} & 1 \end{pmatrix}$$

$\Delta S \neq 0 \Delta Q = 0$   
( $A_{\alpha\beta\gamma}$ )

~~CP~~  
( $A_{\alpha\beta\gamma}$ )

Abel, Kahil, Lebedev  
Servant

**SUGRA** ×

Gauge mediation?

but Froggatt Nielsen × (?)

VIVES, GGR ; Masiero, Murayama  
Gabbiani, Gabrielli, Masiero, Silvestrini  
Hisano, Moroi, Tobe, Yamaguchi, Nomura.  
Feng, Nir, Shadmi  
Lavignac, Masini, Savoy  
Davidson, Ibarra  
Bobu, Datta, Mohapatra  
...

$\mu \rightarrow e\gamma$   
 $e \rightarrow \mu\gamma$

# SUSY Textures

GATTO, SARTORI, TONIN

$$\frac{M^d}{m_b} = \begin{pmatrix} 0 & \mathcal{E}^3 & \leq \mathcal{E}^3 \\ \mathcal{E}^3 & \mathcal{E}^2 & \mathcal{E}^2 \\ \leq \mathcal{E}^2 & \leq \mathcal{E}^2 & 1 \end{pmatrix}$$

$\leq \mathcal{E}^5$  (pointing to the top-left element 0)  
 $\leq \mathcal{E}^2$  (pointing to the bottom-left element)

*CP SM phase*

Fritzsch,  
Weinberg

$$\sin \theta_C = \sqrt{\frac{m_d}{m_s}} - e^{i\delta} \sqrt{\frac{m_u}{m_c}}$$

$$0.217 - 0.222 \text{ c.f. } |(0.216 - 0.214) - (0.07 - 0.076)e^{i\delta}| \\ = 0.213 - 0.223, \delta \approx 90^\circ$$

## Extension to charged leptons

$$\text{Det}(M^l) = \text{Det}(M^d) \Big|_{M_X} \quad \checkmark$$

$$\frac{M^l}{m_\tau} = \begin{pmatrix} 0 & \varepsilon^3 & ?\varepsilon^3 \\ \varepsilon^3 & 3\varepsilon^2 & ?\varepsilon^2 \\ ?\varepsilon^2 & ?\varepsilon^2 & 1 \end{pmatrix}$$

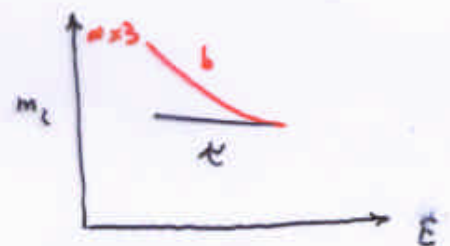
$$\frac{m_s}{m_\mu}(M_X) = \frac{1}{3}$$

$$\frac{m_d}{m_e}(M_X) = 3$$

Georgi Jarlskog

$$\frac{m_b}{m_\tau}(M_X) = 1 \quad \checkmark$$

Buras, Ellis,  
Gaillard, Nanopoulos



# Symmetries and textures

Hierarchical structure strongly suggests a broken symmetry

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \xrightarrow{\langle \theta \rangle \neq 0} \begin{pmatrix} 0 & 0 & 0 \\ 0 & a\epsilon^2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$a = O(1), \quad \epsilon = \frac{\langle \theta \rangle}{M}$$



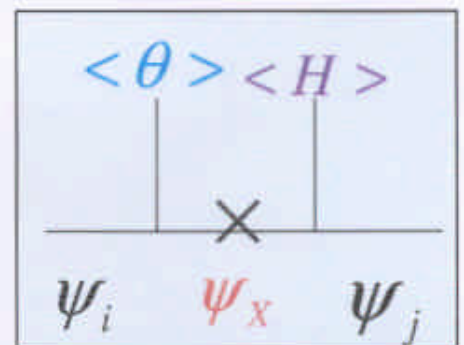
SYMMETRY?

Abelian, Non-Abelian



MESSENGER SECTOR?

$$m_{ij} = \frac{\langle \theta \rangle \langle H \rangle}{M_x}$$



Froggatt, Nielsen



# Abelian Family Symmetry

$\tilde{U}(1)$



$$\frac{\bar{\Psi}_R M^d \Psi_L}{m_b} = (\bar{d}_L \quad \bar{s}_L \quad \bar{b}_L) \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon & 1 & 1 \end{pmatrix} \begin{pmatrix} d_R \\ s_R \\ b_R \end{pmatrix}$$

4 1 -5

? ?

2 -1 -1

+

Elwood, Larges, Ramo

$$\epsilon = \frac{\langle \Theta \rangle^3 \langle H \rangle^6}{M_x}$$

- Anomalous  $\tilde{U}(1)$   $\mathcal{D}: (\sum -g\theta^i \partial^i + \dots)^2$

+ Green-Schwarz anomaly cancellation

Ibanez.

$(g_i \Leftrightarrow Q_i)$

$$+ U_{MNS} = \begin{pmatrix} 1 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & 1 & 1 \\ \epsilon^3 & 1 & 1 \end{pmatrix} \left. \vphantom{\begin{pmatrix} 1 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & 1 & 1 \\ \epsilon^3 & 1 & 1 \end{pmatrix}} \right\} \begin{array}{l} \text{Large mixing} \\ \text{due to equal} \\ \text{family charges (s)} \end{array}$$

### 3(1) horizontal symmetries

Froggatt, Nielsen

Hall, Randall,

Dine, Leigh, Kagan

Nir, Seiberg,

Paulot, Seiberg

Leurer, Nir, Seiberg

Kabatn. Schmelzer,

Pomarol, Tommasini

Hall, Murayama,

Frampton, Kong,

Dudas, Pokorski, Savoy

Corone, Hall, Murayama

Barbieri, Dvali, Hall,

Kowamura, Murayama, Yamaguchi

Antoni-Hamed, Cheng, Hall

Barbieri, Hall,

Blozek, Dermisek, Raby

Anagnostou, Rabin, Dimopoulos, Hall, Starkman

Binetruy, Lavignac, Ramond

Berchioni, Tarantkivadze

Jezabek, Sammo

Grossman, Nir, Shadmi

Buchmuller, Yanagida

Froggatt, Gibson, Nielsen

Choi, Huang, Chen

Kob, GGE

Nir, Shadmi,

Altarelli, Feruglio, Masmo

Jack, Jones, Wild

King, Oliveira

Tomimoto ; Steel

Bando, Malheaux

Nielsen, Takamiishi

Kane, Everett, Kim.

Abelian Family symmetry

$\tilde{U}(1)$

$$\frac{\bar{\psi}_R M^d \psi_L}{m_b} = \begin{pmatrix} \bar{d}_R & \bar{s}_R & \bar{b}_R \end{pmatrix} \begin{pmatrix} 0 & \epsilon^3 & \epsilon^4 \\ \epsilon^3 & \epsilon^2 & \frac{1}{2}\epsilon \\ \epsilon^4 & \frac{1}{2}\epsilon & 1 \end{pmatrix} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix}$$

-3
2
1
-3
2
1

$O(\epsilon^8) \checkmark$

↑

$\langle \theta \rangle = \langle \bar{\theta} \rangle$

$$\epsilon = \frac{\langle \theta \rangle \langle H \rangle}{M_X} = \frac{\langle \bar{\theta} \rangle \langle H \rangle}{M_X}$$

- Anomaly cancellation  $\Leftrightarrow \sin^2 \theta_w = \frac{3}{4}$
- Origin of charges ... strings ?

Ibanez GGR

Cvetic, Everett, Langacker  
Froggi ...

# Non-Abelian family symmetry

- Maximal  $U(3)^5$
- GUTs, eg  $SO(10)$ ,  $\rightarrow U(3)$ .
- F.C.N.C.  $m_{\tilde{u}_L}^2 (\tilde{u}_L^2 + \tilde{c}_L^2 + \tilde{t}_L^2) + m_{\tilde{u}_R}^2 (\tilde{u}_R^2 + \tilde{c}_R^2 + \tilde{t}_R^2)$   
 $+ m_{\tilde{d}_L}^2 (\tilde{d}_L^2 + \tilde{s}_L^2 + \tilde{b}_L^2) + m_{\tilde{d}_R}^2 (\tilde{d}_R^2 + \tilde{s}_R^2 + \tilde{b}_R^2)$   
 (but beware D-terms)

⇒ Expect  $U(3)$  to be strongly broken to  $O(2)$  ...

still good for  $\Delta S \neq 0, \Delta Q = 0$  processes

⇒ Full  $U(3)$  structure may be of interest

(~ near bi-maximal neutrino mixing)

eg  $\begin{pmatrix} u \\ d \end{pmatrix}_{\psi, i}$   $u_{\psi, i}^c, d_{\psi, i}^c$   $\begin{pmatrix} \nu \\ e \end{pmatrix}_{\psi, i}, e_{\psi, i}^c, \nu_{\psi, i}^c$   $SU(3)$   
3

$$P = d_{\psi, i}^c \phi_{\psi, 3}^i \phi_{\psi, 3}^i \psi_{\psi, i} + d_{\psi, i}^c \phi_{\psi, 23}^i \phi_{\psi, 23}^i \psi_{\psi, i} + \epsilon^{ijk} d_{\psi, i}^c \psi_{\psi, j} \phi_{\psi, 23}^k (\phi_{\psi, 23}^k)$$

$\begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$ 
 $\begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$

King - GGR

U(2): Barbieri, Ceminelli, Romina  
Barbieri, Hall, Romanino  
Barbieri, Hall, Raby, Romanino  
Blazek, Raby, Tobe  
Berezhiani, Rossi  
Aranda, Carone, Lebed.  
Romond.  
...

U(3): Chkareuli  
Berezhiani, Chkareuli  
Berezhiani, Rossi  
Anselm, Berezhiani  
Soldate, Rao, Hill  
Koide, Oneda  
Kitano, Minura  
...

mass matrices.

$$\frac{m^d}{m_b} = \begin{pmatrix} 0 & -\epsilon^3 & -\epsilon^3 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix}$$

$$m^u \leftrightarrow m^d \quad ; \quad \epsilon \leftrightarrow \epsilon'$$

Vacuum alignment.

$$\langle \phi_3 \rangle = \begin{pmatrix} 0 \\ 0 \\ a_3 \end{pmatrix}$$

$$\langle \bar{\phi}_3 \rangle = (0, 0, a_3)$$

$$\langle \bar{\phi}_2 \rangle = (0, a_2, 0)^{\dagger}$$

$$p > \quad \mathcal{V}(\phi_{23} \bar{\phi}_2 \phi_{23} \bar{\phi}_3 - \mu^4) + \mathcal{U}(\phi_{23} \bar{\phi}_{23}) + \chi \phi_3 \phi_2^{\dagger}$$

$$V = \sum_i \left| \frac{\partial \mathcal{P}}{\partial \phi_i} \right|^2 + m_{23}^2 (\phi_{23,1}^2 + \phi_{23,2}^2 + \phi_{23,3}^2)$$

$$\langle \phi_3 \rangle = \begin{pmatrix} 0 \\ b \\ b \end{pmatrix}$$

$$\bar{\phi}_3 = (a, b, -b)$$

## Textures and symmetry

$$SU(3)_f \otimes GUT \otimes SU(2)_R \Rightarrow H^d, H^u, H^e, H^v$$

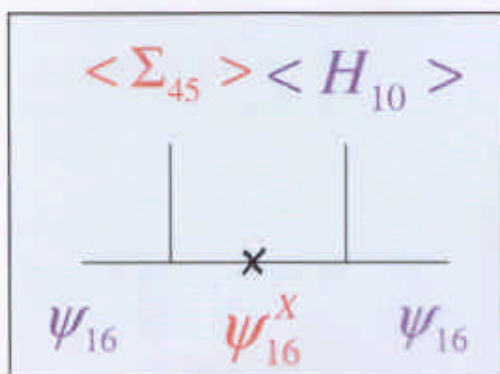
$$\frac{M^d}{m_b} = \begin{pmatrix} \varepsilon^8 & \varepsilon^3(z+(x+y)\varepsilon) & \varepsilon^3(z+(x-y)\varepsilon) \\ -\varepsilon^3(z+y\varepsilon) & \varepsilon^2(w+u\varepsilon) & \varepsilon^2(w-u\varepsilon) \\ -\varepsilon^3(z+y\varepsilon) & \varepsilon^2(w-u\varepsilon) & 1 \end{pmatrix}$$

$GUT \quad q \leftrightarrow l :$

$$\frac{M^l}{m_\tau} = \begin{pmatrix} \varepsilon^8 & \varepsilon^3(z+(x+y)\varepsilon) & \varepsilon^3(z+(x-y)\varepsilon) \\ -\varepsilon^3(z+y\varepsilon) & a^\dagger \varepsilon^2(w+u\varepsilon) & a \varepsilon^2(w-u\varepsilon) \\ -\varepsilon^3(z+y\varepsilon) & a \varepsilon^2(w-u\varepsilon) & 1 \end{pmatrix}$$

$$SU(2)_R : \quad \underbrace{M^d \leftrightarrow M^u \quad M^l \leftrightarrow M^v}_{\neq} \quad \varepsilon \leftrightarrow \varepsilon'$$

†



$$\langle \Sigma_{45} \rangle \propto (B-L) + k T_3^R$$

Barbieri, Hall, Raby, Romo

$$\Rightarrow k=0 \quad a_l = a_v = -3$$

$$\Rightarrow k=2 \quad a_l = 3 \quad a_v = 0$$

## Near bi-maximal mixing

$$\varepsilon' = 0.05$$

$$\frac{M_D^{\nu}}{m_3} = \begin{pmatrix} \varepsilon'^8 & \varepsilon'^3(z + (x+y)\varepsilon') & \varepsilon'^3(z + (x-y)\varepsilon') \\ -\varepsilon'^3(z + y\varepsilon') & a_{\nu}\varepsilon'^2(w + u\varepsilon') & a_{\nu}\varepsilon'^2(w - u\varepsilon') \\ -\varepsilon'^3(z - y\varepsilon') & a_{\nu}\varepsilon'^2(w - u\varepsilon') & 1 \end{pmatrix}$$

Majorana Mass  $\varepsilon_M \ll \varepsilon'$

$$M_M \approx \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} \quad m_1 \ll m_2 \ll m_3$$

“See Saw”

$$M_{\nu} = M_D^{\nu T} M_M^{-1} M_D^{\nu}$$

Gell-Mann  
Roman  
Slonitsky  
Yagisaka.

$$V_a \propto z(V_{\mu} + V_{\tau}) + y\varepsilon'(V_{\mu} - V_{\tau})$$

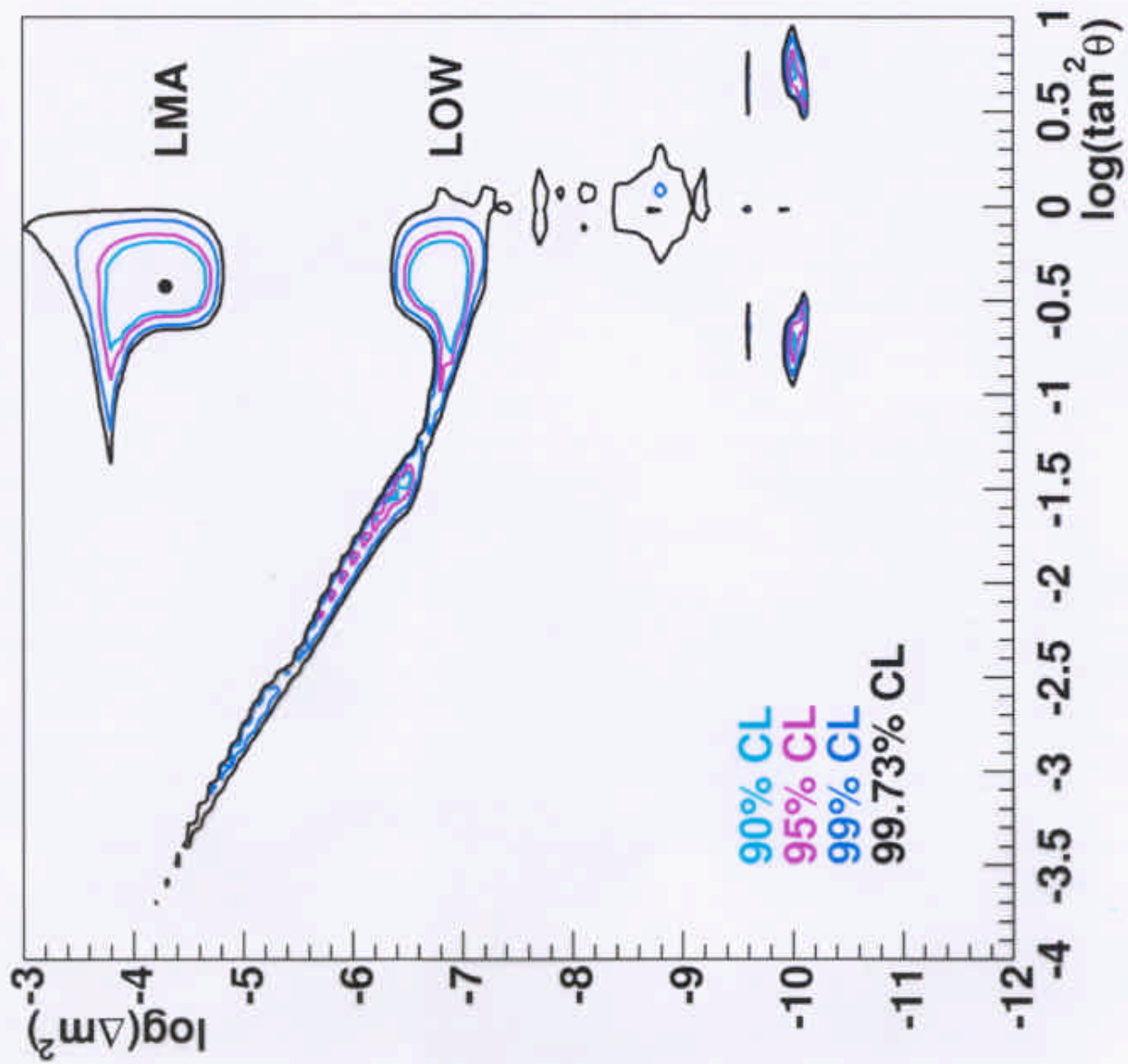
$$V_b \propto zV_e + r(V_{\mu} - V_{\tau} - y\varepsilon'(V_{\mu} + V_{\tau})/z)$$

$\frac{1}{m_1}$  domin

$\frac{1}{m_2}$  next

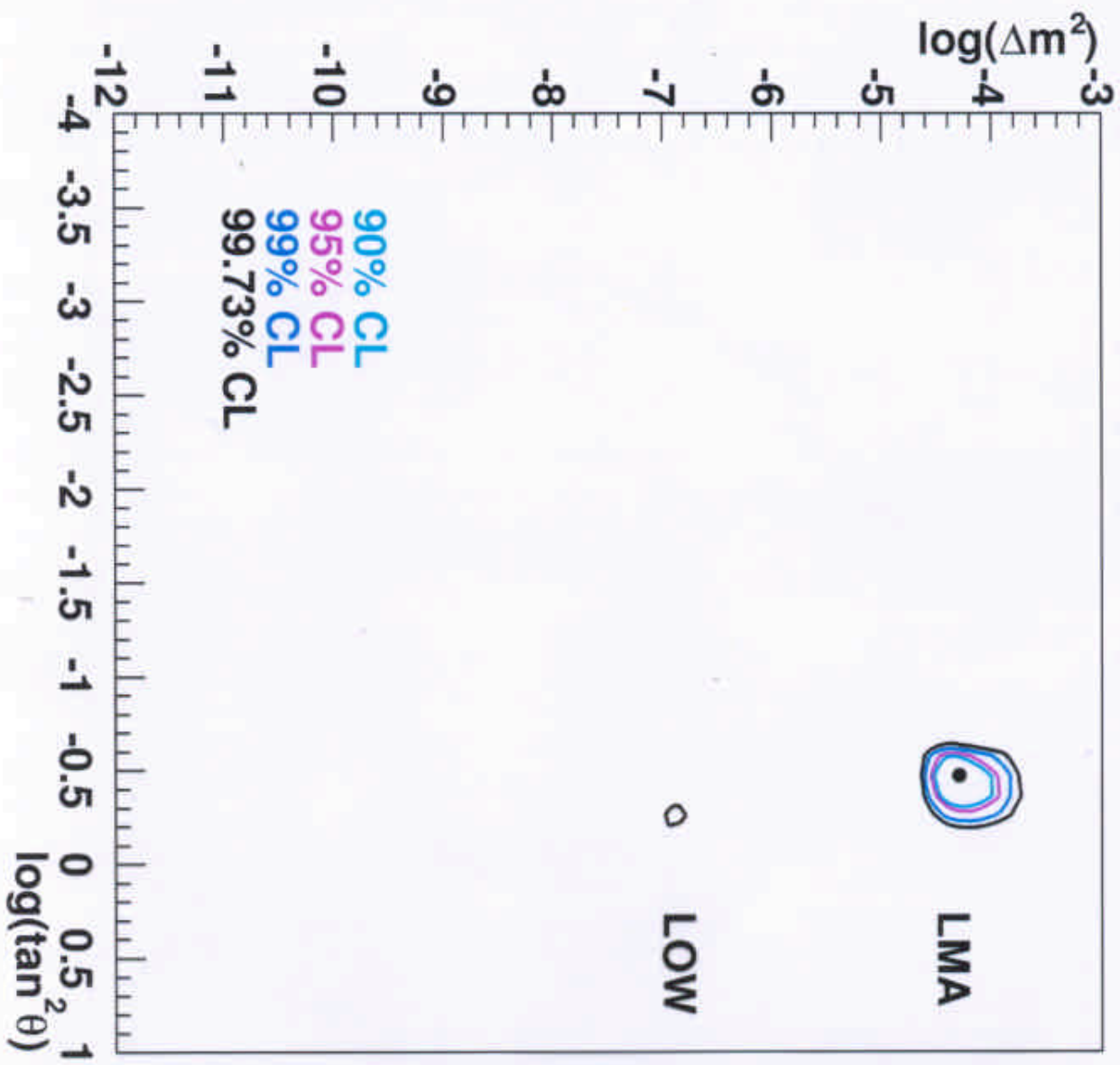
$$z, \quad r = \sqrt{2}(u - a_{\nu}wy/z) = O(1)$$





LATEST FROM SNO

(via S. Biller & N. Tolley)



LOW solution

$h=0$

$z$	$0.69 \pm 0.046$
$x$	$-(1.98 \pm 0.07)$
$y$	$0.73 \pm 0.07$
$\phi_x$	$0.07 \pm 0.01$
$\phi_y$	$0.19 \pm 0.01$
$\omega$	$0.56 \pm 0.05$
$u$	$-(0.55 \pm 0.12)$
$\bar{\epsilon}$	$0.21 \pm 0.01$
$\epsilon(M_X)$	$0.067 \pm 0.012$
$\epsilon(M_Z)$	$0.096 \pm 0.017$

Table 4:

	LMA	LOW	VAC
$\tan^2 \theta_{12}$	0.16	<u>0.71</u> †	2.37
$\frac{ M_2 ^2}{ M_3 ^2} <$	$(0.8 \pm 0.5) \times 10^{-3}$	$(13 \pm 9) \times 10^{-5}$	$(6 \pm 4) 10^{-5}$
$\frac{ \Delta m_{21}^2 }{ \Delta m_{32}^2 _{\text{exp}}}$	$(1.6, 71) \times 10^{-3}$	$(0.82, 1.8) \times 10^{-5}$	$(0.57, 4.01) \times 10^{-7}$

Table 5:

$$\underline{t_{23}^2 = 1.84 \pm 0.1.}$$

$$\begin{aligned} |(U_{MNS})_{13}| &= |-(s_{13}^l c_{23}^{Ll} e^{i\phi_{sl}} - s_{13}^{\nu} c_{13}^l e^{i(\gamma_{12}^{\nu} - \gamma_{12}^l)}) + s_{12}^l s_{23}^{Ll} e^{-i(\gamma_{13}^{\nu} - \gamma_{13}^l)}| \\ &= \underline{7.10^{-2}} \end{aligned}$$

† of  $(4-10) \cdot 10^{-1}$

# LMA solution

$k=2$

Parameters
$z = 0.78 \pm 0.14$
$x = -1.86 \pm 0.51$
$\phi_x = 0.14 \pm 0.04$
$y = 1.37 \pm 0.21$
$\phi_y = 0.19 \pm 0.05$
$w = 0.77 \pm 0.06$
$u = -0.87 \pm 0.15$
$\bar{e} = 0.18 \pm 0.02$
$t_{23}^2 = 0.67 \pm 0.05$
$\frac{b'}{b} = 1.1 \pm 0.1$
$\frac{M_2^2}{M_3^2} = 3.1 \frac{m^2}{m^2}$

✓  
...  $\mu^0$  ✓  
✓

$t_{12}^2 = 4.2 \times 10^{-1}$  fit.

LMA, solar information					
$\Delta m_{12}^2 (\text{eV})^2$		$t_{12}^2$		g.o.f.	Ref.
BFP	at $3\sigma$ %CL	BFP	at $3\sigma$ %CL		
$5.5 \times 10^{-5}$	$(0.23, 3.7) \times 10^{-4}$	$4.2 \times 10^{-1}$	$(2.4, 8.9) \times 10^{-1}$	49%	[?]
$5.6 \times 10^{-5}$	$(0.22, 2.2) \times 10^{-4}$	$0.39 \times 10^{-1}$	$(2.0, 6.4) \times 10^{-1}$		[?]
$6.2 \times 10^{-5}$	$(0.23, 3.4) \times 10^{-5}$	$0.4 \times 10^{-1}$	$(2.3, 7.9) \times 10^{-1}$	84%	[?]
	at $1\sigma$ %CL		at $1\sigma$ %CL		
$4.5 \times 10^{-5}$	$(3.1, 7.2) \times 10^{-1}$	$0.4 \times 10^{-1}$	$(3.2, 4.8) \times 10^{-1}$		[?]

$(U_{MNS})_{13} = 7 \cdot 10^{-2}$

## SUMMARY

The new data for both the quark and lepton sectors is testing our ideas for the origin of the fermion masses and mixings and raises interesting questions :

➡ Is there a family symmetry generating the hierarchy?

U(1)? SU(3)? ..

➡ Is there a connection between quarks and leptons?

SU(5), SO(10), strings?

➡ Is there an extension of the flavour symmetry?

SU(2)<sub>R</sub>?

(+GUTs :  $\theta^q \Rightarrow \theta^l$ ,  $M^d \Rightarrow M^v$ )

➡ FCNC, CP

Processes related to family structure

Observable signals close