Study of Charmonium-like States via ISR at *B* Factories

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The ISR processes at open charm region studied at the two *B* factories, BaBar and Belle, are reviewed. The report focuses on charmonium-like states, including the Y(4008) and Y(4260) observed in $e^+e^- \rightarrow \pi^+\pi^- J/\psi$, and the Y(4360) and Y(4660) observed in $e^+e^- \rightarrow \pi^+\pi^-\psi(2S)$. Measurements of the $e^+e^- \rightarrow$ open charm cross sections, including $e^+e^- \rightarrow D\overline{D}$, $D^0D^-\pi^+ + c.c.$, $D^*\overline{D} + c.c.$, and $D^*\overline{D}^*$, confirm the excited ψ states $\psi(4040)$, $\psi(4160)$ and $\psi(4415)$, but show no evidence for any of the Y states. The 4 Y states and 3 ψ states between 4.0 and 4.7 GeV/ c^2 are too many to be all charmonium states from a point of view of Potential Models, indicates that our understanding of the vector charmonium states above 4 GeV/ c^2 is still poor, and one or more of these states may be exotic. Measurement of $e^+e^- \rightarrow \Lambda_c^+\overline{\Lambda_c^-}$ shows the existence of an X(4630), which may be the Y(4660) observed in $e^+e^- \rightarrow \pi^+\pi^-\psi(2S)$. A Y(2175) is observed in $e^+e^- \rightarrow \phi f_0(980)$, this may indicate the existence of the $s\overline{s}$ version of the Y state.

1 Introduction

The Initial State Radiation (ISR) process, shown in Figure 1, is that when e^+e^- collides at $\sqrt{s_0}$, one or several photons (γ_{ISR}) emit from e^+ or e^- , and the left part is still a e^+e^- collision. Because γ_{ISR} takes away some energy, the effective collision energy is lowered down, like a new collider running at new \sqrt{s} , which could be much lower than $\sqrt{s_0}$.



Figure 1: The ISR process at e^+e^- collider. At collision, the emitted photons make the effective \sqrt{s} lower than the original $\sqrt{s_0}$.

The ISR cross section for a particular hadronic final state f (excluding the radiated γ_{ISR})

is related to the corresponding e^+e^- cross section $\sigma_f(s)$ by:

$$\frac{d\sigma_f(s_0, x)}{dx} = W(s_0, x) \cdot \sigma_f(s_0(1-x)) , \qquad (1)$$

where $x = 2E_{\gamma}/\sqrt{s_0}$; E_{γ} is the energy of the γ_{ISR} in the nominal e^+e^- center-of-mass (CM) frame; $\sqrt{s_0}$ is the nominal e^+e^- CM energy; and $\sqrt{s_0(1-x)}$ is the effective CM energy (\sqrt{s}) at which the final state f is produced. The invariant mass of the hadronic final state defines the effective e^+e^- CM energy. The function $W(s_0, x)$ is calculated with better than 1% accuracy [1] and describes the probability density function for ISR photon emission, which occurs at all angles. When \sqrt{s} is far from $\sqrt{s_0}$, the effective cross section $\sigma_f(s_0, x)$ is much small. And because the high energy γ_{ISR} is usually along with colliding beams, the detection efficiency is usually very low. So study via ISR needs very large data sample.

The two *B* factories, BaBar at SLAC and Belle at KEK have collected more than 1 ab⁻¹ e^+e^- collision data around $\Upsilon(4S)$. And the designed asymmetric collision increases the detection efficiency. So the *B* factories become very suitable for the studies via ISR processes, especially at open charm threshold region. At this region, there are just several ψ states established tens of years ago via inclusive production. Here we report the charmonium-like states observed from ISR studies at the *B* factories, including the Y(4008) and Y(4260) from $e^+e^- \rightarrow \pi^+\pi^- J/\psi$, the Y(4360) and Y(4660) from $e^+e^- \rightarrow \pi^+\pi^-\psi(2S)$. $e^+e^- \rightarrow K^+K^-J/\psi$ is also studied at Belle, no obvious signal of the *Y* states is observed. Cross sections of $e^+e^- \rightarrow D\overline{D}$, $D^0D^-\pi^+ + c.c.$, $D^*\overline{D} + c.c.$, and $D^*\overline{D}^*$ have been measured, $\psi(4040)$, $\psi(4160)$ and $\psi(4415)$ have been confirmed but no obvious *Y* states signal can be seen. Measurements on $e^+e^- \rightarrow \Lambda_c^+\overline{\Lambda_c}^-$ shows a structure at around 4.63 GeV, and in $e^+e^- \rightarrow \phi f_0(980)$ the Y(2175)is observed.

2 The Y states via $e^+e^- \rightarrow h^+h^-$ +charmonium

Three final states are analyzed, including $\pi^+\pi^- J/\psi$, $\pi^+\pi^-\psi(2S)$, and K^+K^-J/ψ .

2.1 Measurement on $e^+e^- \rightarrow \pi^+\pi^- J/\psi$

BaBar studied $e^+e^- \to \pi^+\pi^- J/\psi$ [2], the data sample used is 232 fb^{-1} . With a J/ψ mass constraint, the $\psi(2S)$ signal is well described by a Cauchy shape function. Figure 2 shows a new structure well above $\psi(2S)$. The new structure is called Y(4260). An unbinned likelihood fit to the $\pi^+\pi^- J/\psi$ mass spectrum is performed using a single relativistic Breit-Wigner signal function and a second-order polynomial background. The fit gives 125 ± 23 events with a mass of $4259\pm8^{+2}_{-6}$ MeV/ c^2 , a width of $88\pm23^{+6}_{-4}$ MeV/ c^2 , and $\Gamma(Y(4260) \to e^+e^-) \cdot \mathcal{B}(Y(4260) \to \pi^+\pi^- J/\psi) = 5.5\pm1.0^{+0.8}_{-0.7} \text{ eV}/c^2$. The significance is more than 8σ .

Belle studied this channel with about 550 fb^{-1} data [3]. $\psi(2S)$ is used as reference signal. The calculation gives the partial width of $\psi(2S) \Gamma_{e^+e^-} = 2.54 \pm 0.02 \pm 0.15 \text{ keV}/c^2$, agrees with other experiments very well. The generator used is PHOKHARA. To increase detecting efficiency, the γ_{ISR} is not reconstructed, instead, the recoil mass of the charged track system is required to be agree with a missing massless particle. Figure 3 shows the $\pi^+\pi^-J/\psi$ invariant mass spectrum. Besides the Y(4260) signal, Belle finds a broad enhancement Y(4008) around $4 \text{ GeV}/s^2$. Figure 4 shows the $\pi^+\pi^-$ invariant mass distributions of events for three $m_{\pi^+\pi^-J/\psi}$



Figure 2: The $\pi^+\pi^- J/\psi$ invariant mass spectrum from BaBar in the range 3.8–5.0 GeV/ c^2 and (inset) over a wider range that includes the $\psi(2S)$. The solid curve shows the result of the single-resonance fit.

regions, [3.8, 4.2], [4.2, 4.4], and [4.4, 4.6] (unit in GeV/c^2). The $\pi^+\pi^-$ invariant mass distribution for events around 4.25 GeV/c^2 differs significantly from phase space; for other energy ranges the agreement with phase space is better. And considering the asymmetry shape of the Y(4260), it is reasonable to assume that two coherent structures exist in this channel. An unbinned fit with two coherent resonances is applied to the $\pi^+\pi^- J/\psi$ invariant mass spectrum. There are two solutions with equally good fit quality (see Table 1).



Figure 3: The plot shows the $\pi^+\pi^- J/\psi$ mass spectrum and the fit with two coherent resonances.

2.2 Measurement on $e^+e^- \rightarrow \pi^+\pi^-\psi(2S)$

After the discovery of the Y(4260), BaBar searches for it in $e^+e^- \rightarrow \pi^+\pi^-\psi(2S)$ with about 300 fb^{-1} data [5]. Figure 5 shows the $\pi^+\pi^-\psi(2S)$ invariant mass spectrum and the $\pi^+\pi^-$ invariant mass distribution. The state Y(4360) was observed around 4.3 GeV/ c^2 . Fit with one resonance give the mass $(4324\pm24) \text{ MeV}/c^2$ and the width $(172\pm33) \text{ MeV}/c^2$, where systematic errors not included. BaBar tried to fit with a Y(4260), but the conclusion is negative. The statistics didn't allow BaBar fit the spectrum with two resonances.

Belle study this spectrum with a data sample of about 673 fb^{-1} [6]. Figure 6 shows the $\pi^+\pi^-\psi(2S)$ invariant mass spectrum. Belle confirms the Y(4360) and observes the Y(4660) for the first time. Fitting with two coherent resonances gives the results in Table 2, there are



Figure 4: The $\pi^+\pi^-$ invariant mass distribution of events for different $\pi^+\pi^- J/\psi$ mass regions. (a): $m_{\pi^+\pi^- J/\psi} \in [3.8, 4.2] \text{ GeV}/c^2$, (b): $m_{\pi^+\pi^- J/\psi} \in [4.2, 4.4] \text{ GeV}/c^2$, and (c): $m_{\pi^+\pi^- J/\psi} \in [4.4, 4.6] \text{ GeV}/c^2$. The points with errors bars are pure signal events, the histograms are MC simulations made using phase space distributions.

Parameters	Solution I	Solution II	
M(Y(4008))	$4008 \pm 40^{+114}_{-28}$		
$\Gamma_{\rm tot}(Y(4008))$	$226\pm44\pm87$		
$\mathcal{B} \cdot \Gamma_{e^+e^-}(Y(4008))$	$5.0 \pm 1.4^{+6.1}_{-0.9}$	$12.4 \pm 2.4^{+14.8}_{-1.1}$	
M(Y(4260))	$4247 \pm 12^{+17}_{-32}$		
$\Gamma_{\rm tot}(Y(4260))$	$108\pm19\pm10$		
$\mathcal{B} \cdot \Gamma_{e^+e^-}(Y(4260))$	$6.0 \pm 1.2^{+4.7}_{-0.5}$	$20.6 \pm 2.3^{+9.1}_{-1.7}$	
ϕ	$12 \pm 29^{+7}_{-98}$	$-111\pm7^{+28}_{-31}$	

Table 1: Fit results of the $\pi^+\pi^- J/\psi$ invariant mass spectrum from Belle.



Figure 5: The left plot shows the $\pi^+\pi^-\psi(2S)$ invariant mass spectrum up to 5.7 GeV/ c^2 from BaBar. The right plot shows the corresponding $\pi^+\pi^-$ invariant mass distribution.

also two solutions with equal quality and different $\Gamma_{e^+e^-}$. Figure 7 shows the scattering plot of $M(\pi^+\pi^-)$ versus $M(\pi^+\pi^-\psi(2S))$. From the scattering plot, there is a concentration around 1 GeV/ c^2 at $M(\pi^+\pi^-)$. If it is the scalar particle $f_0(980)$, the decay of Y(4660) should be dominated by $\psi(2S) + f_0(980)$. So there is an assumption that Y(4660) is a $\psi(2S)f_0(980)$ bound state [7]. For both Y(4360) and Y(4660), $M(\pi^+\pi^-)$ distributions tend to be large. And the one of Y(4360) happens to be around 600 MeV/ c^2 , which looks similar to that from $\psi(2S) \to \pi^+\pi^- J/\psi$. At $\psi(2S)$ decay, an assumption is that $\pi^+\pi^-$ is from scalar particle $\sigma(600)$.



Figure 6: The plot shows the $\pi^+\pi^-\psi(2S)$ invariant mass distribution.



Figure 7: The plot is the scattering plot of $M(\pi^+\pi^-)$ vs. $M(\pi^+\pi^-\psi(2S))$, which indicates a clear signal at $M(\pi^+\pi^-)$ near 1 GeV/ c^2 . This structure may be $f_0(980)$.

A combined fit has been performed on BaBar and Belle's measurements, which are also shown in Table 2. From the fits, and the comparison with other vector charomnium(-like) states, the Y(4660) has the highest mass and the narrowest width. This may be because of the narrow width of $f_0(980)$ decays from the Y(4660), or indicates the Y(4660) is a different state.

2.3 $e^+e^- \rightarrow K^+K^-J/\psi$

CLEO-c observed 3 K^+K^-J/ψ events at $\sqrt{s} = 4.26 \text{ GeV}/c^2$, and assumed them from the Y(4260). Limited by statistics, only Belle scans $e^+e^- \rightarrow K^+K^-J/\psi$ via ISR [8]. Figure 8

	Dollo ft		Combined ft	
	Belle III		Combined fit	
Parameters	Solution I	Solution II	Com. Sol.I	Com. Sol.II
M(Y(4350))	$4361\pm9\pm9$		4355^{+9}_{-10}	
$\Gamma_{\rm tot}(Y(4350))$	$74\pm15\pm10$		103^{+17}_{-15}	
$\mathcal{B} \cdot \Gamma_{e^+e^-}(Y(4350))$	$10.4\pm1.7\pm1.5$	$11.8\pm1.8\pm1.4$	$11.1^{+1.3}_{-1.2}$	12.3 ± 1.2
M(Y(4660))	$4664 \pm 11 \pm 5$		4661^{+9}_{-8}	
$\Gamma_{\rm tot}(Y(4660))$	$48\pm15\pm3$		42^{+17}_{-12}	
$\mathcal{B} \cdot \Gamma_{e^+e^-}(Y(4660))$	$3.0\pm0.9\pm0.3$	$7.6\pm1.8\pm0.8$	$2.2^{+0.7}_{-0.6}$	5.9 ± 1.6
ϕ	$39\pm30\pm22$	$-79\pm17\pm20$	18^{+23}_{-24}	-74^{+16}_{-12}

Table 2: Results of the fits to the $\pi^+\pi^-\psi(2S)$ invariant mass spectrum from Belle's unbinned fit and a combined fit on BaBar and Belle's results.

shows the K^+K^-J/ψ invariant mass distribution, together with the background estimated from the J/ψ mass sidebands. There is a broad enhancement around 4.4-5.5 GeV/ c^2 . In addition, there are two events near $\sqrt{s} = 4.26$ GeV, where CLEO observes three K^+K^-J/ψ events [4].



Figure 8: The K^+K^-J/ψ invariant mass distribution from Belle.

Fit the spectrum with a single resonance, The resonance parameters are $M = 4430^{+38}_{-43} \text{ MeV}/c^2$, $\Gamma_{\text{tot}} = 254^{+55}_{-46} \text{ MeV}/c^2$, $\mathcal{B}(R \to K^+K^-J/\psi) \cdot \Gamma_{e^+e^-} = 1.9 \pm 0.3 \text{ eV}/c^2$, where the errors are statistical only. Since there is a small concentration around 4.4 GeV/c², another fit with two resonance has been applied. One resonance is $\psi(4415)$ fixed according to PDG. The fit shows that the other resonance has mass $4875 \pm 132 \text{ MeV}/c^2$ and width $630 \pm 126 \text{ MeV}/c^2$. The spectrum and fit results are still not very clear. Like previous processes, the K^+K^- invariant mass also tends to be large. No clear Y(4260) signal is observed, and Belle gives an upper limit on $\mathcal{B}(Y(4260) \to K^+K^-J/\psi)\Gamma(Y(4260) \to e^+e^-) < 1.2 \text{ eV}/c^2$ at the 90% C.L.

3 ψ States at $e^+e^- \rightarrow$ Open Charm

Since masses of the Y states are well above the charm threshold, the Y states are expected to decay to open charms easily. Four final states are measured using ISR data, they are $D\overline{D}$ [9, 13], $D\overline{D}\pi + c.c.$ [10], $D\overline{D}^* + c.c.$ [11, 13], and $D^*\overline{D}^*$ [11, 13]. For comparison, cross

sections from Belle of $e^+e^- \rightarrow$ open charm are shown in Figure 9, as well as the cross sections of $e^+e^- \rightarrow h^+h^-$ + charmonium. From Belle's results, there are $\psi(4040)$, $\psi(4160)$ and $\psi(4415)$ at open charm final states, and one state could just appear at one or two channel obviously. $D\overline{D}\pi$ is dominated by $D\overline{D}^*(2460) + c.c.$, and $\psi(4415)$ could only decay to DD(2460).



Figure 9: The left plot shows the measured $e^+e^- \rightarrow \pi^+\pi^- J/\psi$ (a), $\pi^+\pi^-\psi(2S)$ (b), and K^+K^-J/ψ (c) cross sections. The right plot shows the measured $D\overline{D}$ (a), $D^0D^-\pi^+ + c.c.$ (b), $D^{*+}D^{*-}$ (c), and $D^+D^{*-} + c.c.$ (d) cross sections.

BaBar got similar results on $e^+e^- \rightarrow$ open charm measurement. Figure 10 shows the sum of the $e^+e^- \rightarrow D\overline{D}$, $D\overline{D}^*$, and $D^*\overline{D}^*$ cross sections, the arrow indicates the position of the Y(4260), which falls in a local minimum, in agreement with the cross section measured for hadron production in e^+e^- annihilation [14]. BaBar also obtains $\frac{\mathcal{B}(Y(4260)\rightarrow D\overline{D})}{\mathcal{B}(Y(4260)\rightarrow J/\psi\pi^+\pi^-)} < 34$ and $\frac{\mathcal{B}(Y(4260)\rightarrow D^*\overline{D}^*)}{\mathcal{B}(Y(4260)\rightarrow J/\psi\pi^+\pi^-)} < 40$ at the 90% confidence level.

4 $e^+e^- \rightarrow \Lambda_c^+ \overline{\Lambda}_c^-$ and $\phi \pi^+ \pi^-$

It is important to search these exotic Y states in charm baryon-antibaryon pair production. The first study is $e^+e^- \rightarrow \Lambda_c^+ \overline{\Lambda_c^-}$ from Belle [15]. Figure 11 shows the cross section with statistical uncertainties only. There is a peak slightly above mass threshold. One possible interpretation of it is a new structure, X(4630). Belle gives the mass $M = (4634^{+8+5}_{-7-8}) \text{ MeV}/c^2$ and the total width $\Gamma_{\text{tot}} = (92^{+40+10}_{-24-21}) \text{ MeV}/c^2$. The significance for this structure is 8.8σ . The X(4630) is close to the Y(4660), and they have the same quantum number, $J^{PC} = 1^{--}$, so it is possible that they are the same structure.

It seems that there exists an $s\overline{s}$ version of the Y states from the study on $e^+e^- \rightarrow \phi \pi^+\pi^$ via ISR. Like $\pi^+\pi^-\psi(2S)$, there are signals $\phi f_0(980)$ in $\phi \pi^+\pi^-$ final state. Figure 12 shows the cross sections on $e^+e^- \rightarrow \phi f_0(980)$ from BaBar [16] and Belle [17]. There is a structure



Figure 10: Sum of $e^+e^- \to D\overline{D}$, $D\overline{D}^*$, and $D^*\overline{D}^*$ cross sections. The arrow indicates the position of the Y(4260).



Figure 11: The cross section for the exclusive process $e^+e^- \rightarrow \Lambda_c^+ \overline{\Lambda_c^-}$.

at 2.17 GeV/ c^2 . BaBar measures $M = (2175 \pm 10) \text{ MeV}/c^2$ and $\Gamma = (58 \pm 16) \text{ MeV}/c^2$ with only statistic errors, and Belle obtains $M = (2133^{+69}_{-115}) \text{ MeV}/c^2$ and $\Gamma = (169^{+105}_{-92}) \text{ MeV}/c^2$ where the uncertainties include both statistical and systematic errors. BES also confirmed this state in $J/\psi \rightarrow \eta \phi f_0(980)$ decay [18], and reports $M = (2186 \pm 10 \pm 6) \text{ MeV}/c^2$ and $\Gamma = (65 \pm 23 \pm 17) \text{ MeV}/c^2$.

5 Summary

Here we report ISR precesses studied at BaBar and Belle, focusing on charmonium-like states found above 4 GeV/ c^2 region. There are four exotic states, Y(4008) and Y(4260) are only observed at $e^+e^- \rightarrow \pi^+\pi^- J/\psi$, and Y(4360) and Y(4660) are only observed at $e^+e^- \rightarrow \pi^+\pi^-\psi(2S)$. There is no evidence of these state in $e^+e^- \rightarrow D\overline{D}$, $D\overline{D}\pi$, $D\overline{D}^*$, and $D^*\overline{D}^*$,



Figure 12: The cross sections of $e^+e^- \rightarrow \phi f_0(980)$ from BaBar(left) and Belle(right). Each plot also shows best fit on the spectrum, and give the evidence for Y(2175).

instead, $\psi(4040)$, $\psi(4160)$ and $\psi(4415)$ are confirmed at these channels. The 4 Y states and 3 ψ states too many for the charmonium states predicted in potential models, which may indicate one or several of them are exotic states. Belle observes a structure X(4630) in charm baryon-antibaryon pair $\Lambda_c^+\overline{\Lambda_c}$ production. The X(4630) and Y(4660) are consist with each other in mass and width. There looks an $s\overline{s}$ version of the Y state, Y(2175) in $e^+e^- \to \phi f_0(980)$.

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