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DRELL EFFECT AND GAUGE INVARIANCE

by

P. Stichel and M. Scholz

Physikalisches Staatsinstitut der Universität Hamburg

# Drell Effect and Gauge Invariance

P. Stichel and M. Scholz

Physikalisches Staatsinstitut der Universität Hamburg

It is the aim of the present note to point out the necessity of a gauge invariant treatment of the Drell effect <sup>1)</sup>. This leads for the example  $\gamma + p \rightarrow N^* + \pi^+, +$  which we have treated in detail, to important corrections even at high energies.

The one-pion-exchange (OPE) contribution to the process

$$\gamma + N \rightarrow X + \pi^\pm \quad (1)$$

(X stands for anything)

has been calculated by Drell <sup>1)</sup> according to the Feynman graph fig.1 neglecting the virtuality <sup>2)</sup> of the pion at the vertex  $\pi + N \rightarrow X$ .

The contribution of this diagram should dominate in the process (1) at high energies and small momentum transfer according to the usual arguments establishing the peripheral model <sup>3)</sup>.

The condition of gauge invariance for the transition amplitude

$T_{\gamma+N \rightarrow X+\pi}$  may be stated in the form <sup>4)</sup>

$$T_{\gamma+N \rightarrow X+\pi}(\epsilon) \mid \epsilon = k = 0 \quad (2)$$

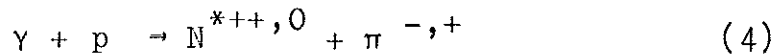
Only such approximations for  $T_{\gamma+N \rightarrow X+\pi}$  make sense which fulfil equ.(2) because gauge invariance tells that the photon is a vector particle with zero rest mass. It is obvious that the matrix element  $T_{\gamma+N \rightarrow X+\pi}^1$  corresponding to the Drell graph fig. 1 violates gauge invariance except at the nonphysical pole  $t = \mu^2$  because

$$T_{\gamma+N \rightarrow X+\pi}^1 \propto (q \cdot \epsilon) \quad (3)$$

Unfortunately it is not possible to preserve gauge invariance, at least for the cross section, by taking the polarization sum  $\sum_{\epsilon} |\epsilon \cdot q|^2$  at the pole because this procedure would lead to  $\frac{d\sigma}{d\Omega} < 0$ .

Now every non gauge invariant expression depends on a time-like gauge vector <sup>5)</sup>  $a$  ( $a \neq k$ ) with  $(\epsilon \cdot a) = 0$ . Therefore, the Drell formula would be reliable only if it would be almost insensitive against

changes of  $a$  for small physical  $t$ -values. This is not the case because for instance the choice  $a = q$  makes  $T_{\gamma+N \rightarrow X+\pi}^{\pm}$  equal to zero<sup>6)</sup>. Therefore, a gauge invariant extension of  $T_{\gamma+N \rightarrow X+\pi}^{\pm}$  is called for. But this is not unique from a pure mathematical point of view<sup>7)</sup>. To get an unique extension we are forced to look for some physical principles. We are far away from a formulation of this unique extension for the general process (1) but we have succeeded in the particular case of the reaction



If we treat the  $3/2 \ 3/2 \ -\pi N$ -resonance  $N^*$  like an ordinary elementary particle of spin  $3/2$  the corresponding Drell graph for reaction (4) takes the form<sup>8)</sup> of fig. 2. The corresponding matrix element  $T^I$  has the following properties:

1. It is of lowest order in the coupling constants  $e$  and  $f$
2. The photon interacts with the "orbital current" of a moving charged particle
3. With respect to the isospin decomposition of the total transition amplitude for reaction (4) in the  $t$ -channel  $T^I$  contains only a  $T_{1,1}$  part (the total transition amplitude contains a superposition of three amplitudes  $T_{I, I_\gamma}$  where  $I$  is equal to the total isospin and  $I_\gamma = 0, 1$  is the isospin of the photon).

We now define the gauge invariant extension of  $T^I$  in such a way that the properties 1. to 3. are maintained<sup>9)</sup>. This extension has the properties of being unique and minimal. Therefore, the extended amplitude may be called the gauge invariant OPE-contribution to reaction (4).

To get a numerical estimate of the corrections caused by the gauge invariant extension of  $T^I$  we have to treat some of the details:

In fig. 3 all possible Feynman graphs for reaction (4) which are of lowest order in  $e$  and  $f$  are presented<sup>10)</sup>. If we normalize the corresponding amplitudes  $T^I$  --IV such that the photon interacts with a

positive charged particle of unit charge, the  $T_{1,1}$  part of this class of diagrams has the form

$$T_{1,1} = T^I + T^{III} - \frac{1}{4} T^{II} - \frac{5}{4} T^{IV} \quad (5)$$

One gets according to the usual Feynman rules for  $T^I$  --IV

$$\begin{aligned} T^I &= ef \frac{2(\varepsilon \cdot q)}{t - \mu^2} \bar{u}_\mu(p_2) (k - q)^\mu u(p_1) \\ T^{II} &= ef \bar{u}_\mu(p_2) (-q)^\mu \frac{(p_1 + k)^\mu + M}{s - M^2} \not{\varepsilon} u(p_1) \\ T^{III} &= ef \bar{u}_\mu(p_2) \varepsilon^\mu u(p_1) \\ T^{IV} &= -f(2\pi)^3 \Gamma_\mu(p_2, k, \varepsilon) (-q)^\mu u(p_1) \end{aligned} \quad (6)$$

with 
$$\Gamma_\mu(p_2, k, \varepsilon) \equiv \langle N_i^* | p_2 | \bar{\Psi}_\mu(0) | \gamma_i k, \varepsilon \rangle$$

where  $u(p_1)$  is the Dirac spinor for the incoming proton and  $u_\mu(p_2)$  resp.  $\Psi_\mu$  are the momentum space wave function resp. field operator for the  $N^*$  described with the Rarita-Schwinger formalism <sup>11)</sup>.

By means of the Dirac equation  $(\not{p}_1 - M) u(p_1) = 0$  and the commutation relations of the  $\gamma$ -matrices one obtains for  $T^{II}$  the decomposition

$$T^{II} = T^{II,1} + T^{II,2}$$

with 
$$\begin{aligned} T^{II,1} &\equiv -ef \bar{u}_\mu(p_2) q^\mu u(p_1) \frac{2(\varepsilon \cdot p_1)}{s - M^2} \\ T^{II,2} &\equiv -\frac{ef}{s - M^2} \bar{u}_\mu(p_2) q^\mu \not{k} \not{\varepsilon} u(p_1) \end{aligned} \quad (7)$$

where  $T^{II,1}$  resp.  $T^{II,2}$  describes the "orbital current" part resp. the contribution of the normal magnetic moment of the proton to the graph fig.3-II. For a similar decomposition of  $T^{IV}$  we use the generalized Ward identity <sup>12)</sup> which reads for  $\Gamma_\mu(p_2, k, \varepsilon)$

$$\Gamma_\mu(p_2, k, \varepsilon) \Big|_{\varepsilon=k} = e(2\pi)^{-3} \bar{u}_\mu(p_2) \quad (8)$$

By means of Lorentz invariance and the Ward identity equ.(8) the most general form of  $\Gamma_\mu$  is obtained as follows

$$-(2\pi)^3 \Gamma_\mu^i(p_2, k, \varepsilon) = \frac{e}{(p_2 - k)^2 - M^{*2}} \bar{u}^\nu(p_2) \left[ 2(\varepsilon \cdot p_2) g_{\nu\mu} + \sum_i f_i C_{\nu\mu}^i(p_2, k, \varepsilon, \gamma) \right] \quad (9)$$

with  $C_{\nu\mu}^i(p_2, k, \varepsilon, \gamma)|_{\varepsilon=k} = 0$

where the  $f_i$  are related to the electromagnetic moments<sup>13)</sup> of the  $N^*$ .

Therefore, the "orbital current" part  $T^{IV,1}$  of  $T^{IV}$  has the form

$$T^{IV,1} = -ef \frac{2(\varepsilon \cdot p_2)}{(p_2 - k)^2 - M^{*2}} \bar{u}_\mu(p_2) q^\mu u(p_1) \quad (10)$$

Then by means of equ.(5) to (10) the gauge invariant extension of  $T^I$  fulfilling the forementioned three properties is expressed as follows

$$T^{OPE} = T^I + T^{III} - \frac{1}{4} T^{II,1} - \frac{5}{4} T^{IV,1} \quad (11)$$

According to equ. (6), (7) and (10) the gauge invariance of equ.(11) is obvious.

By standard methods we then obtain from equ. (11) for the cross section of the reaction  $\gamma + p \rightarrow \pi + N + \pi^\pm$  in the isobar approximation for the  $\pi N$ -scattering in the high energy limit<sup>14)</sup>

$$\frac{d^3\sigma}{dq_0 d\Omega} \underset{s \rightarrow \infty}{\simeq} e^2 (2\pi)^{-3} \frac{|p| \sqrt{s'}}{\sqrt{s'}} \sigma_{33}(s') \left\{ \frac{-t}{(t - \mu^2)^2} + \frac{1}{2} |p|^{-2} + \frac{5}{64} |p|^{-2} \frac{3\mu^2 + 5t + 8s' - 8M^2}{s'} \frac{(-t)}{t - \mu^2} \right\} \quad (12)$$

with

$$s' \equiv (k + p_1 - q)^2, \quad |p|^2 \equiv \frac{(s' - M^2 + \mu^2)^2}{4s'} - \mu^2$$

i.e.  $s'$  is the total energy squared in the rest system of the  $N^*$ ,  $|p|$  the corresponding momentum and  $\sigma_{33}$  the isobar contribution to the total  $\pi N$ -cross section.

Now the first term in equ. (12) corresponds to the Drell formula<sup>1)</sup> while the second and third terms are corrections. These corrections

do not vanish at high energies contrary to the supposition of Drell <sup>15)</sup>. They are at low momentum transfer of an order of magnitude comparable to the original Drell prediction <sup>1)</sup> as can be read off from fig. 4. The general tendency of this corrected cross section for momentum transfer  $-t \lesssim \mu^2$  agrees with some recent measurements in the BeV-region at CEA <sup>16)</sup>.

We further note:

- a) In the static limit ( $M \rightarrow \infty$ ) our results correspond to the results obtained by F. Hadjioannou <sup>17)</sup> and K. Itabashi <sup>18)</sup> within the static Chew-Low model because  $T^{II,1} = 0$  for the coulomb gauge in the CMS and  $T^{IV,1} \xrightarrow{M \rightarrow \infty} 0$ . Therefore, our model is a simple relativistic generalization of the static theory. The forementioned static results agree remarkably with the Caltech measurements <sup>19)</sup> at about 1,2 BeV.
- b) In the cross section corresponding to the single diagram fig.3-I some kinematical "off shell" effects are included automatically. Their importance has already been stressed <sup>20)</sup>.
- c) The proportionality of our results equ.(12) to the  $\pi N$  total cross section depends very strongly on the used isobar model for the  $\pi N$ -scattering. In a more general situation of reaction (1) this may be false.

References and footnotes

- 1) S.D. Drell : Phys.Rev.Lett. 5 (1961) 278
- 2) Off shell corrections have been considered in a recent paper by M.L. Thiebaut, Jr. : Phys. Rev.Lett. 13 (1964) 29
- 3) Compare:
  - S.D. Drell: Phys.Rev.Lett 5 (1960) 342
  - F. Salzman and G. Salzman: Phys.Rev. 120 (1960) 599
  - " " " Phys.Rev.Lett. 5 (1960) 377
  - " " " Phys. Rev. 125 (1962) 1703
  - E. Ferrari and F. Selleri: Nuovo Cimento Suppl. 24 (1962) 453
- 4) We denote the polarization resp. momentum vector of the incoming photon by  $\epsilon$  resp.  $k$  and the momenta of the incoming nucleon, outgoing  $X$  resp. outgoing pion by  $p_1, p_2$  resp.  $q$ . Further we introduce the usual invariants  $s = (k+p_1)^2$  and  $t = (k-q)^2$ . We use the metric  $g_{00} = -g_{11} = -g_{22} = -g_{33} = 1$ .
- 5) Drell has calculated the cross section corresponding to the graph fig. 1 with the coulomb gauge in the overall CMS ( i.e.  $a = p_1$  ).
- 6) This is no contradiction to the gauge invariance at the pole because the limits  $a \rightarrow q, t \rightarrow \mu^2$  do not commute for the polarization sum  $\sum_{\epsilon} |q \cdot \epsilon|^2$ .
- 7) For instance an extension of equ.(3) in the form
 
$$(\epsilon \cdot q) \rightarrow (\epsilon \cdot q) - \frac{(\epsilon \cdot b)(k \cdot q)}{(k \cdot b)}$$
 with an arbitrary four vector  $b$  would fulfil the requirement of gauge invariance.
- 8) The coupling constant  $f$  at the  $\pi N N^*$ - vertex is related to the width of the  $N^*$ . Compare:
  - A.W. Hendry: Nucl. Phys. 37 (1962) 283
- 9) In a similar way the gauge invariant extension of the OPE-amplitude for the process  $\gamma + N \rightarrow N + \pi^{\pm}$  has been discussed by G. Kramer and P. Stichel: Zeitschr. f. Physik 178 (1964) 519

- 10) The so called contact graph fig.3-III comes about by the derivative in the effective  $\pi N N^*$ -coupling  $f \bar{\Psi}_\mu (\partial^\mu \varphi_\pi) \Psi$  and the gauge invariant substitution  $\partial_\mu \rightarrow \partial_\mu - ie A_\mu$  in the presence of electromagnetic interactions.
- 11) W. Rarita and J. Schwinger :Phys.Rev. 60 (1941) 61
- 12) Y. Takahashi: Nuovo Cimento 6 (1957) 371
- 13) One could think of an inclusion of the terms being proportional to the  $f_i$  into our gauge invariant extension, i.e. to drop requirement 2'. But thereby one would run into some difficulties connected with the high-energy behavior of these terms and the non-uniqueness of the "normal electrodynamic moments" for particles with spin greater or equal one. For the latter compare:  
J.A. Young and S.A. Bludman: Phys.Rev. 131 (1963) 2326
- 14) We remember that  $\underline{q}^2 \sin^2 \theta \underset{s \rightarrow \infty}{\simeq} -t$
- 15) S.D. Drell: Rev.Mod.Phys. 33 (1961) 458
- 16) R.B. Blumentahl, W.L. Faissler, P.M. Joseph, L.J. Lanzerotti, F.M. Pipkin, D.G. Stairs, J. Ballam, H. DeStaebler, Jr., and A. Odian: Phys.Rev.Lett. 11 (1963) 496  
But these results do not agree with the measurements by W.A. Blanpied, J.S. Greenberg, V.W. Hughes, D.C. Lu, and R.C. Minehart: Phys.Rev.Lett. 11 (1963) 477
- 17) F. Hadjioannou: CERN-Report 1962
- 18) K. Itabashi: Phys.Rev. 123 (1961) 2157
- 19) J.R. Kilner, R.E. Diebold and R.L. Walker: Phys.Rev.Lett. 5 (1960) 518
- 2P) L.V. Laperashvili and S.G. Matinyan: Soviet Physics JETP 14 (1962) 195



Figure captions:

- fig. 1 Drell graph for reaction  $\gamma + p \rightarrow X + \pi^{-,+}$
- fig. 2 Drell graph for reaction  $\gamma + p \rightarrow N^{*++,\circ} + \pi^{-,+}$
- fig- 3 Lowest order Feynman diagrams for reaction  
 $\gamma + p \rightarrow N^{*++,\circ} + \pi^{-,+}$
- fig. 4  $\frac{d\sigma}{d|t|}$  in arbitrary units at high energies for  
 $\gamma + p \rightarrow N^{*++,\circ} + \pi^{-,+}$  according to the Drell  
formula <sup>1)</sup> (lower curve) resp. equ.(12) at  
 $s' = M^{*2}$  ( upper curve ).

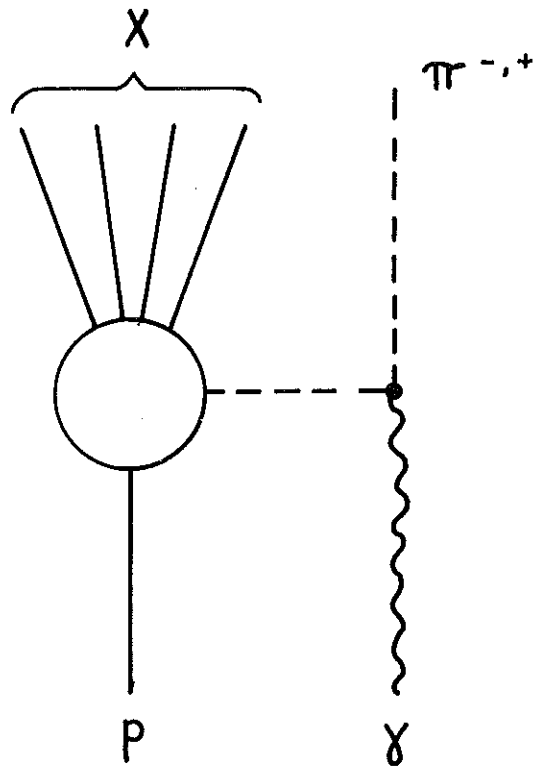


fig. 1

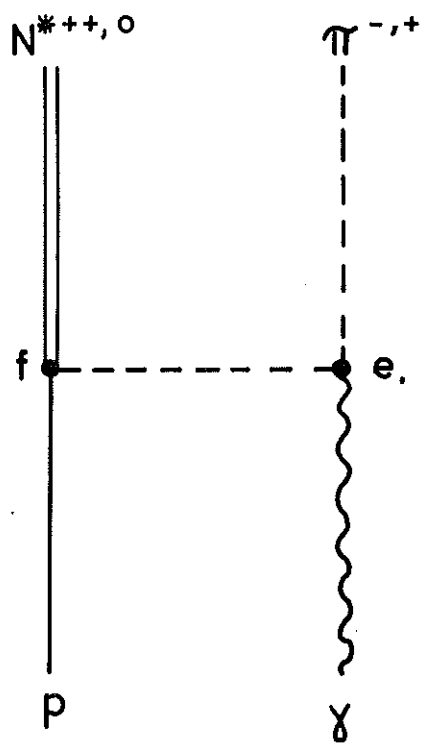
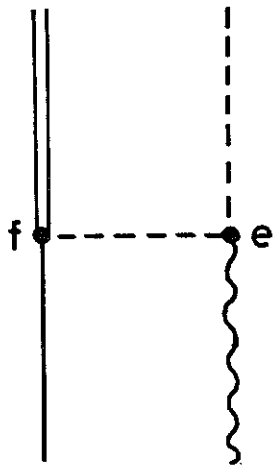
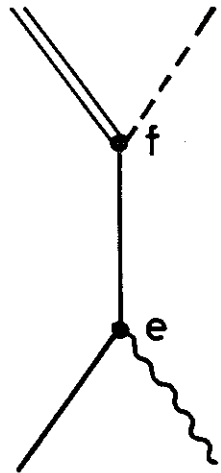


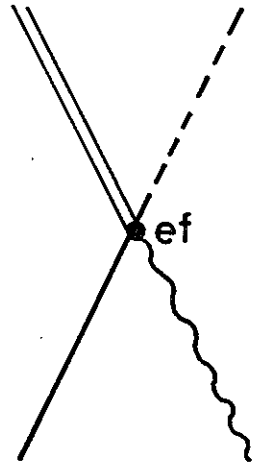
fig. 2



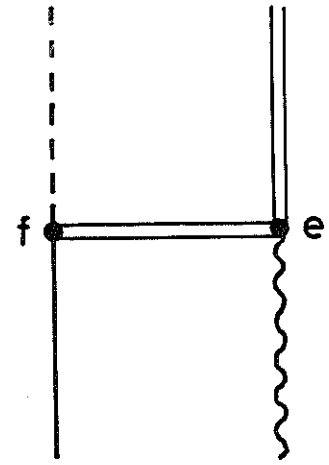
(I)



(II)



(III)



(IV)

fig. 3

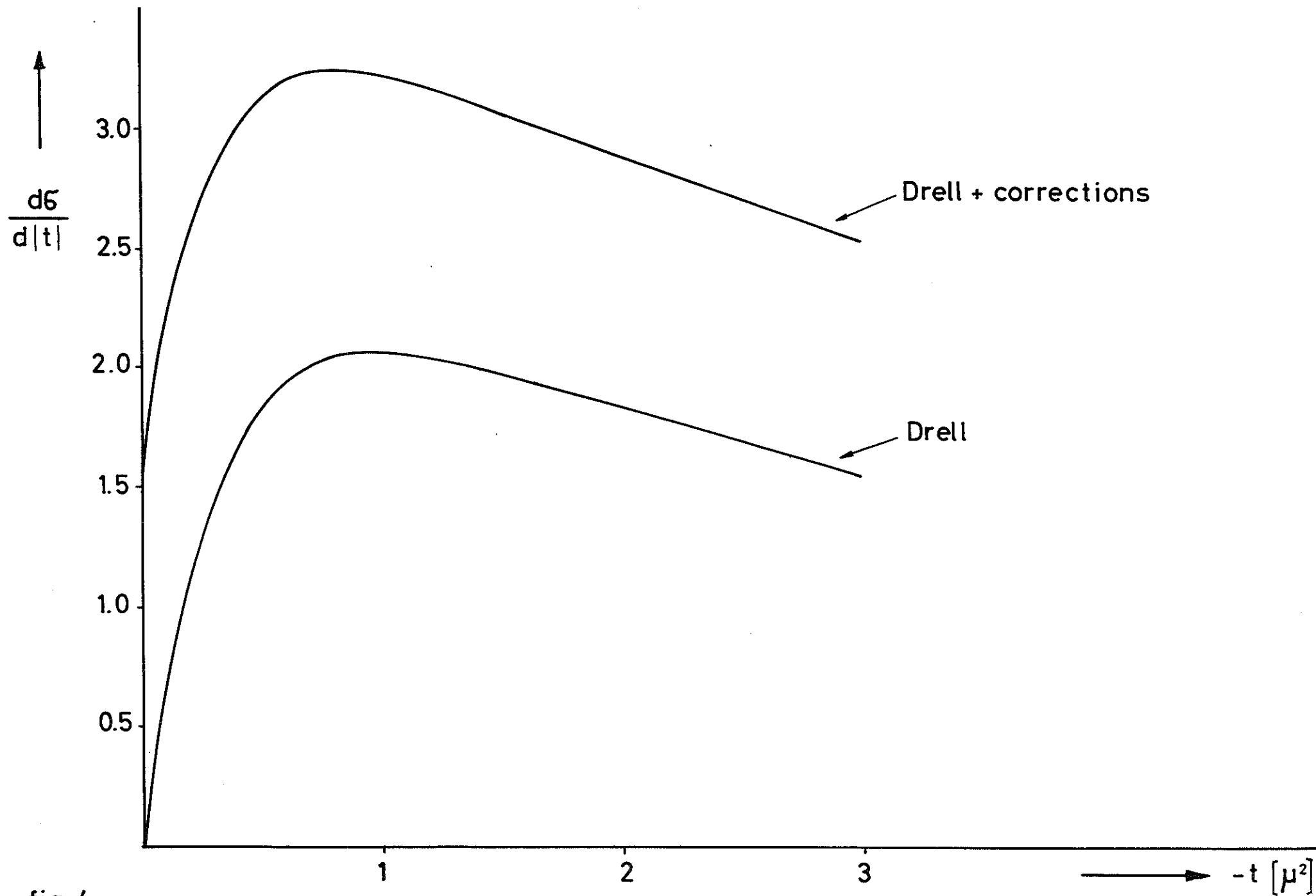


fig. 4

