



## NOTE

## 2D directional ramp filter

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**Abstract**

Usual tomographic reconstruction methods start by filtering projections before backprojecting the data. In some cases, inverting the filtering and the backprojection steps can be useful to preserve spatial information. In this paper, an intermediate between a filter-backproject and a backproject-filter approach is proposed, based on the extension of the usual ramp filter to two dimensions. To this end, an expression for a band-limited 2D version of the ramp filter is derived. We have tested this filter on simulated x-ray CT projections of a Shepp-Logan phantom and on proton CT list-mode data. We accurately reconstructed the x-ray CT and the proton CT data, although the reconstruction can be slightly noisier than a standard filtered backprojection in some cases. A slight improvement of the spatial resolution of proton CT images reconstructed with this 2D filter has been observed.

**1. Introduction**

The filtered backprojection (FBP) is the reference algorithm to reconstruct tomographic data. It consists in (1) filtering the projections with a 1D ramp filter and (2) backprojecting the result in the image domain. An alternative method, the backproject-filter or backproject-first (BPF), starts with the backprojection before filtering in the 2D image domain. BPF approaches can be useful when dealing with certain modalities to avoid resampling. For example, in proton CT (pCT) (figure 1 center), starting by backprojecting the protons along their non-linear paths could improve spatial resolution, compared with binning the list-mode data into projections (Zeng 2007, Poludniowski *et al* 2014, Rit *et al* 2015). Similarly, time-of-flight information of positron emission tomography (PET) can be accounted for in the image domain (Watson 2007) (figure 1 right). Our objective is to develop such an approach by extending the usual 1D ramp filter in 2D. BPF methods usually rely on 2D radial filters (Zeng *et al* 1994, Poludniowski *et al* 2014). However, the backprojected image has an infinite support which is problematic during convolution since the backprojection matrix must have a finite size. The truncation will cause an offset in the reconstructed values. This effect can be minimized by using a very large backprojection matrix. Another possible backproject-first approach is based on the Hilbert transform inversion (Noo *et al* 2004, Zeng 2007, Rit *et al* 2015), and involves a differentiation step.

In this paper, the idea is to (1) smear each projection in the image space, (2) filter the smeared projection with a 2D version of the 1D ramp filter and (3) integrate over all projection angles. A similar 2D version of the ramp filter has been proposed by Watson (2007) in the context of time-of-flight positron emission tomography (TOF PET), although the filter was sampled directly in the frequency domain causing sampling artifacts. A variation of this filter, also proposed by Watson (2007) and called CRG-CW (Convolved Ramp and Gaussian with Confidence Weighting), has been used for TOF direct reconstruction (Kao 2008, Guo *et al* 2011, Conti *et al* 2013, Kao *et al* 2010). Similarly to what is done for the 1D ramp filter, we sample the filter in the spatial domain to avoid sampling artifacts. We start by defining the 2D ramp filter in the case of parallel x-ray CT (xCT), derive its expression in the spatial domain, before applying this method to simulated xCT and pCT data. Although the main applications in mind for this method are pCT and TOF PET, the filter is

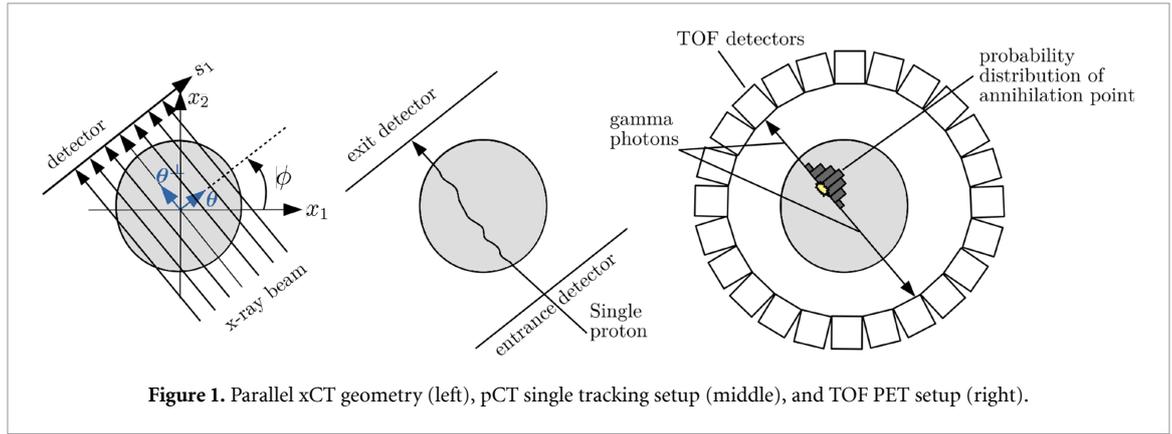


Figure 1. Parallel xCT geometry (left), pCT single tracking setup (middle), and TOF PET setup (right).

mathematically demonstrated in a conventional xCT setting to avoid technicalities specific to proton/PET imaging. It is then applied to proton CT simulations.

## 2. Methods

### 2.1. Parallel FBP and 1D ramp filter

An image  $f(x_1, x_2)$ , with  $(x_1, x_2)$  the spatial coordinates, can be reconstructed from its parallel projections

$$p_\phi(s_1) = \int_{\mathbb{R}} f(s_1\theta + s_2\theta^\perp) ds_2, \quad (1)$$

where  $s_1$  is the distance of the projection line from the center,  $s_2$  is the position along the projection line,  $\theta = (\cos \phi, \sin \phi)$  and  $\theta^\perp = (-\sin \phi, \cos \phi)$  (see figure 1). Using a filtered backprojection method, the image is reconstructed by

$$f(x_1, x_2) = \int_0^\pi \tilde{p}_\phi(x_1 \cos \phi + x_2 \sin \phi) d\phi, \quad (2)$$

where

$$\tilde{p}_\phi(s_1) = \int_{\mathbb{R}} P_\phi(\sigma_1) |\sigma_1| e^{i2\pi\sigma_1 s_1} d\sigma_1, \quad (3)$$

with  $P_\phi(\sigma_1)$  defined as the Fourier transform (FT) of  $p_\phi(s_1)$ .

In practice, the filtered projections  $\tilde{p}_\phi(s_1)$  are obtained using a band-limited version of the 1D ramp filter. A common choice proposed by Bracewell *et al* (1967) and Ramachandran *et al* (1971) is

$$H(\sigma_1) = \begin{cases} |\sigma_1| & \text{if } -W < \sigma_1 < W \\ 0 & \text{otherwise,} \end{cases} \quad (4)$$

with  $W$  the Nyquist frequency defined as  $W = 1/2\tau$  with  $\tau$  the sampling period of the projections  $p_\phi$ . Directly discretizing this expression to filter the projections in the frequency domain would cause an underestimation of the entire reconstructed values, because of the zero value attributed to the zero frequency bin (Kak *et al* 1988, Crawford 1991). In order to avoid this issue, the impulse response of the filter is calculated by taking its inverse Fourier transform

$$h(s_1) = \int_{\mathbb{R}} H(\sigma_1) e^{i2\pi\sigma_1 s_1} d\sigma_1 = \frac{1}{2\tau^2} \text{sinc}\left(\frac{2\pi s_1}{2\tau}\right) - \frac{1}{4\tau^2} \text{sinc}^2\left(\frac{\pi s_1}{2\tau}\right) \quad (5)$$

and subsequently discretized by replacing  $s_1 = n\tau$ , which yields

$$h[n] = \begin{cases} \frac{1}{4\tau^2}, & \text{if } n = 0 \\ 0, & \text{if } n \text{ even} \\ \frac{-1}{n^2\pi^2\tau^2}, & \text{if } n \text{ odd,} \end{cases} \quad (6)$$

as was proposed by Ramachandran *et al* (1971). The discrete Fourier transform (DFT) of this impulse response does not have a zero value at its zero frequency and allows an accurate reconstruction of the image values (Kak *et al* 1988). Equation (6) is used to compute the 1D ramp filter used for FBP.

## 2.2. From the 1D ramp filter to a 2D directional ramp filter

From equation (2), we see that the projections are filtered then smeared in the image space using the variable change  $s_1 = x_1 \cos \phi + x_2 \sin \phi$ . We want to apply a 2D version of the ramp filter on the already smeared projections, i.e. do the variable change before filtering.

We recall that  $(\sigma_1, \sigma_2)$  are the Fourier variables corresponding to  $(s_1, s_2)$  in the spatial domain. We introduce the dirac  $\delta$  function, using the property  $\int_{\mathbb{R}} \delta(\sigma_2) e^{i2\pi\sigma_2 s_2} d\sigma_2 = 1$ , in equation (3)

$$\tilde{p}_\phi(s_1) = \int_{\mathbb{R}} \int_{\mathbb{R}} \delta(\sigma_2) P_\phi(\sigma_1) |\sigma_1| e^{i2\pi(\sigma_1 s_1 + \sigma_2 s_2)} d\sigma_1 d\sigma_2 \quad (7)$$

and, given the expression of the Fourier transform  $P_\phi(\sigma_1)$  and the fact that formally  $\delta(\sigma_2) = \int_{\mathbb{R}} e^{-i2\pi\sigma_2 s_2} ds_2$ , note that

$$\delta(\sigma_2) P_\phi(\sigma_1) = \int_{\mathbb{R}} \int_{\mathbb{R}} p_\phi(s_1) e^{-i2\pi(\sigma_1 s_1 + \sigma_2 s_2)} ds_1 ds_2. \quad (8)$$

We use the following vector notations for  $s_1 = \mathbf{x} \cdot \boldsymbol{\theta}$ ,  $s_2 = \mathbf{x} \cdot \boldsymbol{\theta}^\perp$  and the corresponding Fourier variables  $\sigma_1 = \boldsymbol{\xi} \cdot \boldsymbol{\theta}$  and  $\sigma_2 = \boldsymbol{\xi} \cdot \boldsymbol{\theta}^\perp$ , where  $\mathbf{x} = (x_1, x_2)$  and  $\boldsymbol{\xi} = (\xi_1, \xi_2)$ . We also define  $b_\phi : \mathbb{R}^2 \rightarrow \mathbb{R}$ , the backprojection of one projection, as

$$b_\phi(\mathbf{x}) = p_\phi(\mathbf{x} \cdot \boldsymbol{\theta}) \quad (9)$$

and  $B_\phi(\boldsymbol{\xi})$  its Fourier transform. We call  $b_\phi(\mathbf{x})$  a smeared projection. Using these definitions, we note that equation (8) is the expression of the Fourier transform of  $b_\phi(\mathbf{x})$ , and equation (7) becomes

$$\tilde{b}_\phi(\mathbf{x}) = \int_{\mathbb{R}^2} B_\phi(\boldsymbol{\xi}) |\boldsymbol{\xi} \cdot \boldsymbol{\theta}| e^{i2\pi\boldsymbol{\xi} \cdot \mathbf{x}} d\boldsymbol{\xi}, \quad (10)$$

and the image can then be reconstructed from

$$f(\mathbf{x}) = \int_0^\pi \tilde{b}_\phi(\mathbf{x}) d\phi. \quad (11)$$

This equation allows reconstructing the image in three steps

- (1) Smear each projection in the image space, i.e. compute a 2D image  $b_\phi(\mathbf{x})$  for each projection angle;
- (2) Filter each 2D image  $b_\phi(\mathbf{x})$  with the corresponding 2D filter  $|\boldsymbol{\xi} \cdot \boldsymbol{\theta}|$  to obtain  $\tilde{b}_\phi(\mathbf{x})$ ;
- (3) Sum  $\tilde{b}_\phi(\mathbf{x})$  over all angles  $\phi$ .

Note that this 2D version of the ramp filter depends on  $\phi$ , hence the name directional ramp (DR) filter. By definition, the backprojection operation consists in smearing each projection in the image space, i.e. interpolating  $b_\phi(\mathbf{x})$  from  $p_\phi(s_1)$  using  $b_\phi(\mathbf{x}) = p_\phi(\mathbf{x} \cdot \boldsymbol{\theta})$  and summing over all projection angles, i.e.  $\int_0^\pi b_\phi(\mathbf{x}) d\phi$ . In the proposed method, we separate these two steps of the backprojection: steps (1) and (3) correspond to the backprojection and step (2) to the filtering. This method resembles a BPF approach since we start by smearing the projections in the image space, however the angular sum to compute the backprojected image is the last step as in an FBP. The usual 2D cone filter used in BPF methods is  $\|\boldsymbol{\xi}\|$ , and it is applied after the full backprojection since it is not direction dependent, unlike the DR filter which must be used before the angular sum as it depends on the projection angle. A similar filter to the 2D DR, called transverse ramp, has been used to reconstruct TOF PET data (Watson 2007). It was directly sampled in the frequency domain, causing sampling artifacts due to the zero values along the line  $\boldsymbol{\xi} \cdot \boldsymbol{\theta} = 0$ . We choose to calculate the filter's impulse response as in the 1D case to avoid such artifacts.

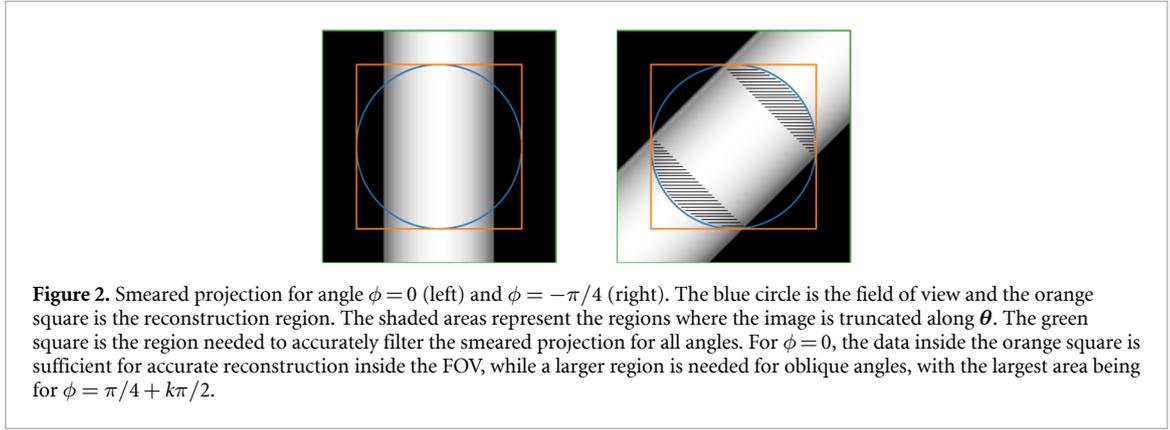
## 2.3. Impulse response of the 2D directional ramp filter

A band-limited version of the 2D DR filter is given by

$$H_\phi(\boldsymbol{\xi}) = \begin{cases} |\boldsymbol{\xi} \cdot \boldsymbol{\theta}| & \text{if } |\xi_1|, |\xi_2| < W \\ 0 & \text{otherwise.} \end{cases} \quad (12)$$

The corresponding kernel in the direct space is obtained by taking the inverse Fourier transform of this directional filter:

$$h_\phi(\mathbf{x}) = \int_{\mathbb{R}^2} H_\phi(\boldsymbol{\xi}) e^{i2\pi\boldsymbol{\xi} \cdot \mathbf{x}} d\boldsymbol{\xi}. \quad (13)$$



For  $\phi \in [-\pi/4, \pi/4]$ , the integral can be split into two parts,

$$h_{\phi}(x_1, x_2) = \int_{-W}^W \left[ \int_{-W}^{-\xi_2 \tan \phi} -(\xi_1 \cos \phi + \xi_2 \sin \phi) e^{j2\pi(\xi_1 x_1 + \xi_2 x_2)} d\xi_1 \right. \\ \left. + \int_{-\xi_2 \tan \phi}^W (\xi_1 \cos \phi + \xi_2 \sin \phi) e^{j2\pi(\xi_1 x_1 + \xi_2 x_2)} d\xi_1 \right] d\xi_2, \quad (14)$$

knowing that  $\xi \cdot \theta$  is negative below the line given by  $\xi_1 = -\xi_2 \tan \phi$  and positive above it. These integrals lead to

$$h_{\phi}(x_1, x_2) = \left( -x_2 \cos \phi \operatorname{sinc}\left(\frac{\pi(x_1 \tan \phi - x_2)}{\tau}\right) - x_1 \sin \phi \cos\left(\frac{\pi x_1}{\tau}\right) \cos\left(\frac{\pi x_2}{\tau}\right) \right. \\ \left. + (x_1 \sin \phi + x_2 \cos \phi) \operatorname{sinc}\left(\frac{\pi x_2}{\tau}\right) \cos\left(\frac{\pi x_1}{\tau}\right) \right) \frac{1}{2\tau(\pi x_1)^2 x_2} + \frac{\cos \phi}{2\tau^3} \operatorname{sinc}\left(\frac{\pi x_1}{\tau}\right) \operatorname{sinc}\left(\frac{\pi x_2}{\tau}\right). \quad (15)$$

The discretized impulse response, with  $x_1 = m\tau$  and  $x_2 = n\tau$ , is given by

$$h_{\phi}[m, n] = \begin{cases} \frac{1}{2\pi^2 \tau^3} \left( \frac{-\cos \phi}{m^2} \operatorname{sinc}(\pi(m \tan \phi - n)) - \cos(\pi n) \cos(\pi m) \frac{\sin \phi}{nm} \right) & \text{if } m, n \neq 0 \\ \frac{\sin^2 \phi}{2\pi^2 n^2 \tau^3 \cos \phi} \cos(\pi n) & \text{if } m = 0, n \neq 0 \\ \frac{\cos \phi}{2\pi^2 m^2 \tau^3} (\cos(\pi m) - \operatorname{sinc}(\pi m \tan \phi)) & \text{if } m \neq 0, n = 0 \\ \frac{2 \cos^2 \phi + 1}{12\tau^3 \cos \phi} & \text{if } m = 0, n = 0. \end{cases} \quad (16)$$

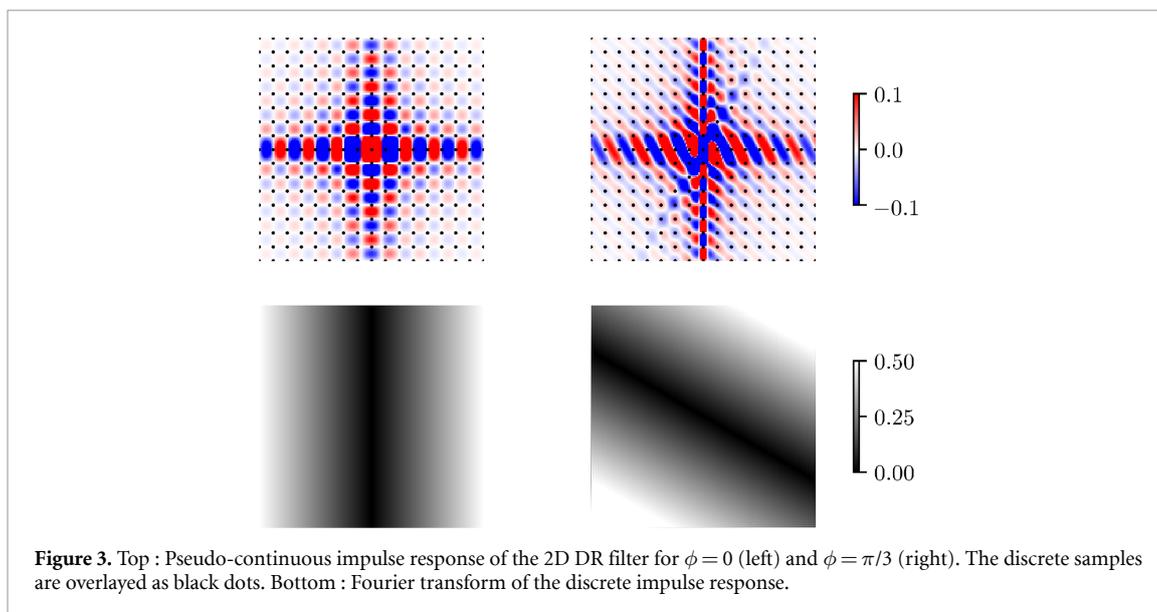
The expressions for  $m$  and/or  $n$  equal to zero are found by setting  $x_1$  and/or  $x_2$  to zero in equation (14). The expression can be extended to angles  $\phi \notin [-\pi/4, \pi/4]$  using  $h_{\phi+\pi}[m, n] = -h_{\phi}[m, n]$  and  $h_{\phi+\pi/2}[m, n] = h_{-\phi}[n, m]$ . Note that setting  $\phi = 0$  in equation (16) gives the expression of the 1D ramp filter multiplied by  $1/\tau$  on the line  $n = 0$ , and zero elsewhere. It should also be noted that the impulse response of the filter (equation (15)) has infinite support, such that sampling the filter in the frequency domain would always result in aliasing artifacts according to the sampling theorem (Crawford 1991).

## 2.4. Size of the backprojection region

In order for the 2D filtered smearred projections to be equivalent to the 1D filtered projections, we need non-truncated images  $b_{\phi}(\mathbf{x})$  along  $\theta$  inside the field of view (FOV) (figure 2). We do not need the full projections outside the FOV since the DR filter tends to a dirac along  $\theta^{\perp}$  in the direct space (since it is constant in the Fourier space). By taking a backprojection region (region where the projections will be smearred) of the same size as the reconstruction region, part of the data near the borders will be truncated for angles  $\phi \neq k\pi/2, k \in \mathbb{Z}$ . In order to keep the full projections inside a circular FOV for all angles, one should set  $M = \sqrt{2}N$ , where the backprojection region is  $M \times M$  and the reconstruction region is  $N \times N$ .

## 2.5. Simulations

We generated parallel ray projections of the 2D Shepp Logan phantom (Shepp et al 1974) using RTK (Rit et al 2014) in order to test the filter. 804 projections were acquired over a 180 degree range, with a detector of 512 pixels. The phantom was reconstructed using grids of  $512 \times 512$  pixels and  $1024 \times 1024$  pixels. Poisson



noise was applied to the idealized projections, using an initial fluence of  $10^7$  protons per detector pixel, and weighting the line integrals with  $0.01879 \text{ mm}^{-1}$ , the linear attenuation coefficient of water at 75 keV, to work with physical attenuation values.

A proton CT simulation was performed using the Monte Carlo platform GATE (Jan *et al* 2011). The setup is detailed in Rit *et al* (2013). A fan beam proton source was simulated, and the list-mode data was binned into parallel geometry images  $b_\phi(\mathbf{x})$  using the same binning as in Rit *et al* (2015). The non-linearity of proton trajectories was taken into account by estimating each proton's most likely path (Schulte *et al* 2008). We used a spiral phantom, consisting of a disk of water with aluminum inserts (figure 5). The spatial resolution of each insert was obtained by extracting profiles across the insert edges and determining the frequency corresponding to 10% of the peak value of the modulation transfer function (MTF) of these profiles. The reconstruction using the DR filter was compared with the algorithm presented in Rit *et al* (2013) which generalizes the FBP to non-linear paths.

### 3. Results

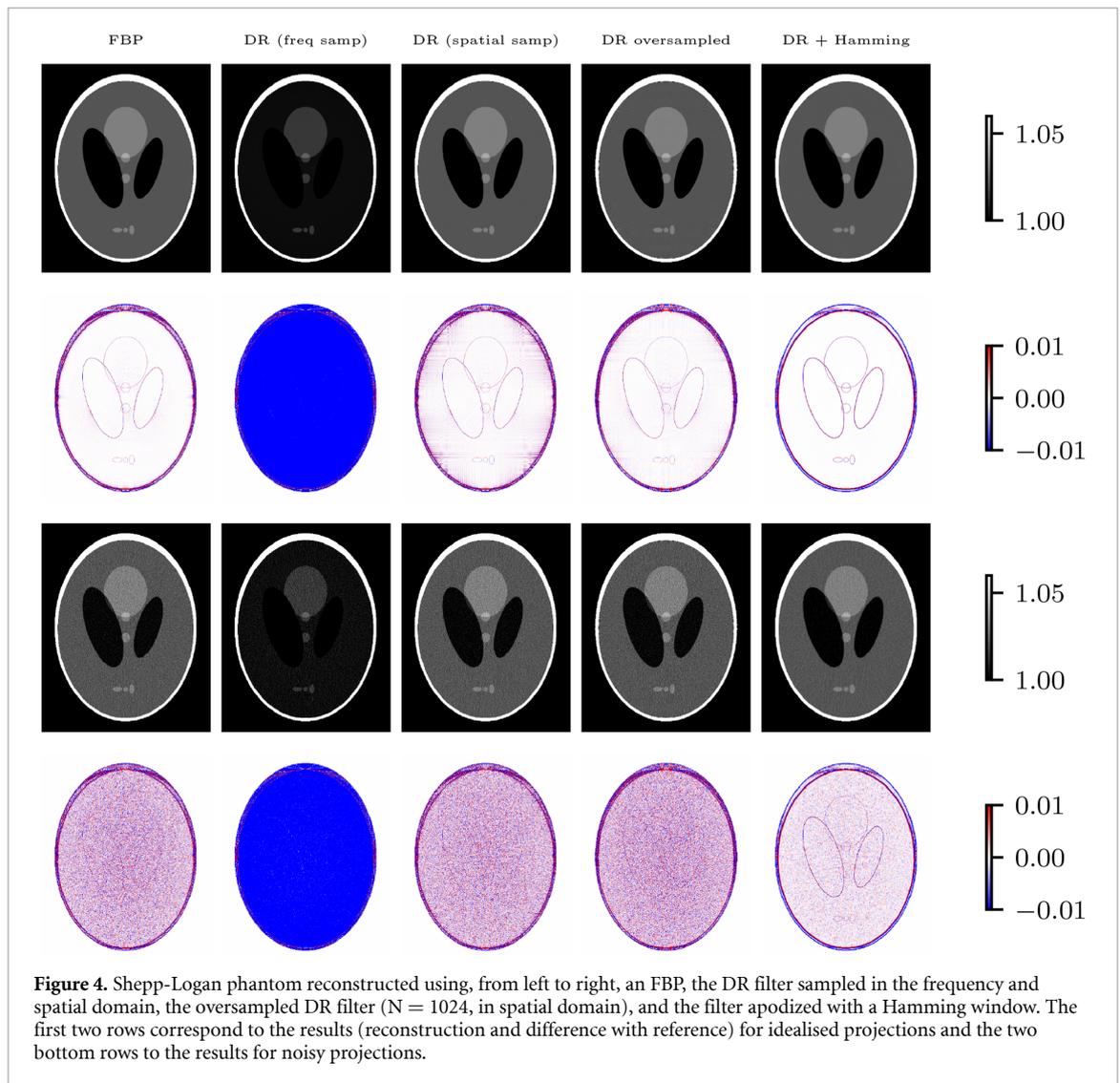
Figure 3 shows the 'pseudo-continuous' filter, i.e. the oversampled filter calculated from the continuous impulse response (equation (15)) by setting  $x_1 = m\tau'$  and  $x_2 = n\tau'$  with  $\tau' \ll \tau$ . It is interesting to observe that, after discretization, for the case  $\phi = 0$  (left), the samples outside the central horizontal line lie exactly on the zero crossings. For the case  $\phi = \pi/3$  (right), the filter is not zero outside the filtering line  $n = m \tan \phi$ . The DFT of the filters looks like the usual 1D ramp filter duplicated along the direction  $\theta^\perp$ .

The reconstructions of the Shepp-Logan phantom using different parameters are shown in figure 4. The reconstructed values using the filter sampled in the frequency domain are underestimated. The bias is constant and is equal to  $-0.17$ . The rest of the reconstructions are very similar, although some artifacts are visible near the outer edges of the phantom in the case of the non-apodized DR filter for idealized projections. This is confirmed on the difference maps, where the DR reconstructed image is slightly noisier for idealized projections, although this is only visible with a tight color scale ( $[-0.01, 0.01]$ ). Using an oversampled backprojection (but keeping the same sampling for the projections) reduces the noise, and apodizing the filter with a Hamming window completely suppresses the artifacts. The reconstructions from noisy projections show the DR filter performs as well as the 1D ramp filter, and that the previous artifacts are hidden by noise.

The reconstructions of proton CT data are shown in figure 5. There is no visible difference between the image reconstructed with an FBP and with the DR filter. The spatial resolution  $f_{\text{MTF}10\%}$  as a function of each bead's distance to the center of the phantom is represented. The difference between the resolution for the FBP and the DR algorithms reaches  $0.8 \text{ lp mm}^{-1}$  for the outermost bead.

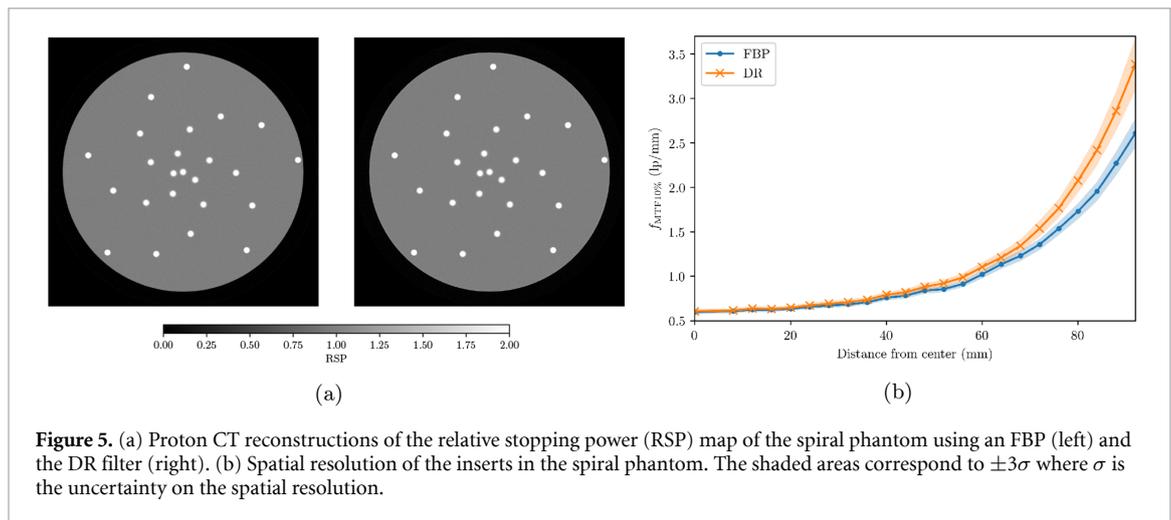
### 4. Discussion

Our results show that the 2D DR filter, sampled in the spatial domain, allows accurate reconstruction of xCT and pCT data, although some artifacts are apparent in the case of reconstructions from noiseless xCT



projections. We know that, in the direct space, the DR filter should look like a dirac in the direction  $\theta^\perp$  for the 2D convolution to be equivalent to the 1D one. However, apodizing the filter with a square window in equation (12) results in a convolution with a 2D sinc function, which explains the shape of the filter in figure 3. While the discretized filter is a dirac for angles  $\phi = k\pi/2$ ,  $k \in \mathbb{Z}$ , since all samples outside the central line are zero, this is not the case for other angles, meaning there will be interferences from other lines during convolution. In Fourier space, this translates in a discontinuity of the FT at the Nyquist frequency. This is visible in figure 3 where the DFT of the DR filter for  $\phi = \pi/3$  has very thin black lines on its bottom left corner. This could explain the remaining artifacts visible in figure 4. A similar issue with the continuity of the FT was reported by Schöndube *et al* (2010), where the authors define a 2D inverse Hilbert transform. The image reconstructed using this filter suffered from ring artifacts. One of the proposed solutions is to apodize the filter in the Fourier space using a Hamming window to deal with the discontinuity. Our results show that the apodization effectively suppresses artifacts, although it also causes a slight loss of spatial resolution. An alternative is to increase the sampling of the backprojected image (Schöndube *et al* 2010), which also reduces the noise as seen in figure 4. Since the artifacts are related to the sampling of the 2D DR filter, taking more samples i.e. increasing the filter's band limit, will make the reconstruction less noisy. However, this artifact is not visible when using projections with a realistic level of noise. As was observed for the 1D ramp filter by Crawford (1991) and Kak *et al* (1988), sampling in the frequency domain will cause aliasing artifacts (an underestimation in the spatial domain) due to the infinite support of the impulse response.

In the case of simple line integrals, such as in xCT, using the 2D DR filter should give the same result as a standard FBP but is computationally less effective. The DR filter could rather be used with modalities such as proton CT or TOF PET, where the projections are respectively integrals along non-linear paths due to multiple Coulomb scattering or integrals along weighted lines due to time resolution of the detector. In xCT, the projections are constant along the direction  $\theta^\perp$ , therefore using 1D projections and the 1D ramp filter



**Figure 5.** (a) Proton CT reconstructions of the relative stopping power (RSP) map of the spiral phantom using an FBP (left) and the DR filter (right). (b) Spatial resolution of the inserts in the spiral phantom. The shaded areas correspond to  $\pm 3\sigma$  where  $\sigma$  is the uncertainty on the spatial resolution.

makes sense. Both pCT and TOF PET add a new dimension, the depth of the proton or the time-of-flight, such that the smeared projections vary along  $\theta^\perp$  (see figure 1). Filtering the 2D smeared projections  $b_\phi(\mathbf{x})$  requires either rotating each image so that its samples are aligned with the 1D filter, then perform a line-by-line filtering; or using a directional 2D ramp filter. The DR filter could present some notable advantages in this case: as in BPF methods, the filtering is done in the image space so that we avoid rotations that can cause a loss of spatial resolution; at the same time, unlike other BPF approaches, there is no bias due to the truncation of the backprojection region which does not need to be approximated using very large matrices (2 to 4 times the reconstruction region in Poludniowski *et al* (2014) versus  $\sqrt{2}$ -times). A drawback is that the DR filter is different for each projection angle, but it can be pre-computed for a given image grid and set of projection angles. Our results for proton CT data (figure 5) have confirmed that the DR filter can improve spatial resolution compared with an FBP algorithm. This improvement was observed only for inserts close to the edge of the spiral phantom because at the center of the object, the image is too blurry due to Coulomb scattering to see any enhancement of spatial resolution.

While this method is theoretically demonstrated for parallel geometries only, the acquisitions can be done in another setup as long as the data is rebinned into parallel geometry, which is straightforward for list-mode pCT data, as was done for our own pCT simulations. It may be possible to derive an expression for the ramp filter in the case of fan beam data, for example, however, this might prove to be more difficult as the transform from the sinogram domain to the image domain is not a simple rotation as for parallel data.

Another possible use of the DR filter is for 3D parallel projections in xCT, when the detector is rotated by an in-plane angle. The projections would need to be realigned with the image grid before filtering. To avoid this interpolation, the 2D DR filter, computed for the in-plane angle direction, could be used on each 2D projection before backprojection.

## 5. Conclusion

We have proposed a 2D version of the ramp filter and applied it to simulated xCT and pCT data. This method starts by the smearing of each projection in the image space, then the direction-dependent filtering, and finally the angular sum. The filter allows an accurate reconstruction and can be used to avoid an interpolation which would degrade spatial resolution.

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