

BRIEF COMMUNICATIONS

Volume preserving diffeomorphisms
as Poincaré maps for volume preserving flows

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All the objects below will be C^∞ -smooth. Let M be a compact manifold with $\dim M = m$, and let ν be a volume form on M . Here we mean that ν is a nowhere vanishing differential m -form such that $\int_M \nu > 0$. Let $\mathbb{T} = \mathbb{R}/\mathbb{Z} = \{t \bmod 1\}$. Then $\omega = dt \wedge \nu$ is a volume form on the manifold $\mathbb{T} \times M$.

Let $\pi_{\mathbb{T}}: \mathbb{T} \times M \rightarrow \mathbb{T}$ and let $\pi_M: \mathbb{T} \times M \rightarrow M$ be the natural projections

$$\mathbb{T} \times M \ni (t, x) \mapsto \pi_{\mathbb{T}}(t, x) = t, \quad (t, x) \mapsto \pi_M(t, x) = x.$$

Consider a vector field v on $\mathbb{T} \times M$. We assume that

(A) the first component of v is positive: $D\pi_{\mathbb{T}} v = v_{\mathbb{T}} > 0$,

(B) v preserves the form ω : $L_v \omega = 0$, where L_v is the Lie derivative.

Let g_v^s be the flow generated by the vector field v on $\mathbb{T} \times M$. Condition (A) implies that the Poincaré map (the first-return map) $P_v: \{0\} \times M \rightarrow \{0\} \times M$ is well defined. The map P_v preserves the volume form $\lambda = \iota_v \omega|_{\{t=0\}}$ on $\{0\} \times M$.

Theorem 1. *Let P_v be the diffeomorphism defined above and let $Q: \{0\} \times M \rightarrow \{0\} \times M$ be another diffeomorphism which preserves λ . Assume that Q is (smoothly) isotopic to P_v in the group of λ -preserving self-maps of $\{0\} \times M$. Then there exists an ω -preserving vector field u on $\mathbb{T} \times M$ such that $D\pi_{\mathbb{T}} u > 0$ and $Q = P_u$.*

This result, probably interesting on itself, was obtained as a tool required in the proof of the main result of [1]. Now we sketch the proof of Theorem 1.

(a) Consider an s -smooth family of diffeomorphisms $\mathcal{T}_M(s): M \rightarrow M$ of the manifold M into itself, $s \in \mathbb{R}$. We extend \mathcal{T}_M to a family of diffeomorphisms \mathcal{T}^s of $\mathbb{R} \times M$ by defining

$$\mathbb{R} \times M \ni (t, x) \mapsto \mathcal{T}^s(t, x) = (t + s, \mathcal{T}_M(t + s) \circ \mathcal{T}_M^{-1}(t)(x)). \quad (1)$$

A direct computation shows that \mathcal{T}^s is a flow, that is, $\mathcal{T}^0 = \text{id}$ and $\mathcal{T}^{s_2} \circ \mathcal{T}^{s_1} = \mathcal{T}^{s_1+s_2}$ for any $s_1, s_2 \in \mathbb{R}$. The flow \mathcal{T}^s generates a vector field \mathcal{U} on $\mathbb{R} \times M$:

$$\mathcal{U} = \left(\frac{d}{ds} \Big|_{s=0} \mathcal{T}^s \right) \circ \mathcal{T}^{-s}, \quad D\pi_{\mathbb{R}}(\mathcal{U}) = 1, \quad (2)$$

where $\pi_{\mathbb{R}}: \mathbb{R} \times M \rightarrow \mathbb{R}$ is the natural projection.

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(b) We put $\widehat{v} = v/v_{\mathbb{T}}$. Then $D\pi_{\mathbb{T}}\widehat{v} = 1$. Let $(t, x) \mapsto g_v^s(t, x)$, $(t, x) \in \mathbb{T} \times M$, be the flow of the vector field \widehat{v} . Then g_v^s preserves the form $v_{\mathbb{T}}\omega$. The Poincaré maps P_v and $P_{\widehat{v}}$ coincide. Hence $g_v^1(0, x) = (1, P_v(x))$ for any $x \in M$.

Let G^s be the lift of the flow g_v^s to the covering space $\mathbb{R} \times M$. We define a family $\sigma_s: M \rightarrow M$ by $G^s(0, x) = (s, \sigma_s(x))$, $s \in \mathbb{R}$.

(c) Let γ_s be an s -smooth isotopy from the conditions of Theorem 1: for any $s \in [0, 1]$ the map γ_s is a λ -preserving diffeomorphism of $M \cong \{0\} \times M$. Then $\gamma_0 = P_v$ and $\gamma_1 = Q$. By smoothly changing the parametrization on γ_s we can assume that $\gamma_s = P_v$ if s takes values close to 0, and $\gamma_s = Q$ if s is close to 1. We extend γ_s to the whole axis $\mathbb{R} = \{s\}$, for example, by putting $\gamma_s = P_v$ for $s < 0$ and $\gamma_s = Q$ for $s > 0$.

(d) Consider the family of maps $\mathcal{T}_M(s): M \rightarrow M$ with $\mathcal{T}_M(s) = \sigma_s \circ P_{\widehat{v}}^{-1} \circ \gamma_s$, $s \in \mathbb{R}$. Then $\mathcal{T}_M(0) = \text{id}$ and $\mathcal{T}_M(1) = Q$, hence both $\mathcal{T}_M(0)$ and $\mathcal{T}_M(1)$ preserve the form λ .

Let \mathcal{T}^s be the flow (1) on $\mathbb{R} \times M$ generated by the family $\mathcal{T}_M(s)$, and let \mathcal{U} be the corresponding vector field on $\mathbb{R} \times M$. Then by (1)

$$D\pi_{\mathbb{T}}\mathcal{U} = 1, \quad \mathcal{T}^0 = \text{id}_{\mathbb{T} \times M}, \quad \mathcal{T}^1(0, x) = (1, Q(x)).$$

By (1) and (2)

$$\begin{aligned} \mathcal{U} &= \left(1, \frac{d}{ds} \Big|_{s=0} (\sigma_{t+s} \circ P_{\widehat{v}}^{-1} \circ \gamma_{t+s}) \circ (\sigma_t \circ P_{\widehat{v}}^{-1} \circ \gamma_t)^{-1}\right) = \widehat{v} + \mathbf{w}, \\ \widehat{v} &= \left(1, \left(\frac{d}{dt}\sigma_t\right) \circ \sigma_t^{-1}\right), \quad \mathbf{w} = \left(0, D(\sigma_t \circ P_{\widehat{v}}^{-1})\left(\frac{d}{dt}\gamma_t\right) \circ \gamma_t^{-1} \circ P_{\widehat{v}} \circ \sigma_t^{-1}\right). \end{aligned}$$

Near the points $t = 0$ and $t = 1$ we have: $d\gamma_t/dt = 0$, and therefore $\mathcal{U} = \widehat{v}$. Hence $\mathcal{U}|_{s \in [0, 1]}$ can be extended to an s -periodic vector field $\widehat{\mathcal{U}}$ on $\mathbb{R} \times M$. Let $\widehat{\vartheta}^s$ be the corresponding flow on $\mathbb{R} \times M$. Since $\widehat{\mathcal{U}}$ is periodic, projections of $\widehat{\vartheta}^s$ and $\widehat{\mathcal{U}}$ on a flow ϑ^s and a vector field U on $\mathbb{T} \times M$ are well defined.

(e) Let $\mathbf{1}$ be the vector field on $\mathbb{R} \times M$ defined by the equalities $D\pi_{\mathbb{T}}\mathbf{1} = 1$ and $D\pi_M\mathbf{1} = 0$. The flow $\widehat{\vartheta}^s$ preserves some volume form Ω on $\mathbb{R} \times M$ which can be chosen so that $\iota_{\mathbf{1}}\Omega|_{t=0} = \iota_{\mathbf{1}}\Omega|_{t=1} = \lambda$.

Any volume form on $\mathbb{R} \times M$ equals $\hat{\rho}\omega$, where $\hat{\rho}: \mathbb{R} \times M \rightarrow \mathbb{R}$ is a positive function. Therefore, $\Omega = \hat{\rho}\omega$, where $\hat{\rho}|_{t=0} = \hat{\rho}|_{t=1} = \rho_0$ and $\lambda = \rho_0\nu$.

These equalities and the periodicity of $\widehat{\mathcal{U}}$ imply that $\hat{\rho}$ is 1-periodic in t . Hence there exists a function $\rho: \mathbb{T} \times M \rightarrow \mathbb{R}$ such that $\hat{\rho} = \rho \circ \pi$, where $\pi: \mathbb{R} \times M \rightarrow \mathbb{T} \times M$ is the canonical projection. The flow ϑ^s preserves the volume form $\rho\omega$.

The vector field $u = \rho U$ preserves ω . It remains to note that $P_u = P_U = Q$.

Corollary. *The vector field \mathbf{w} is small if the isotopy γ_s is close to the identity. Moreover, in this case U is close to \widehat{v} , and therefore ρ is close to $v_{\mathbb{T}}$ and then u is a small perturbation of v .*

Bibliography

- [1] B. Khesin, S. Kuksin, and D. Peralta-Salas, *Global, local and dense non-mixing of the 3D Euler equation*, preprint, 2019.

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